

**MINUTES
OF
THE BOARD OF REGENTS
OF
THE TEXAS STATE UNIVERSITY SYSTEM**

**Quarterly Board Meeting
February 14-15, 2013**

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COMMITTEE MEETINGS

The committee meetings were each held prior to the Board of Regents meeting via teleconference as follows:

- Academic Affairs February 11, 2013 10:00 a.m. CST
- Finance and Audit February 11, 2013 11:00 a.m. CST
- Planning and Construction February 11, 2013 3:00 p.m. CST

ACADEMIC AFFAIRS COMMITTEE

Committee Members

Regent Jaime Garza, Committee Chair; Regent Rossanna Salazar

Call to Order

The Academic Affairs Committee of the Texas State University System was called to order on February 11, 2013 at 10:04 a.m. CST by Chairman of the Board Donna Williams. The meeting was held telephonically.

Present

Regent Jaime Garza; Regent Rossanna Salazar; Chairman of the Board Donna Williams

Also Present

Dr. Brian McCall, Chancellor; Dr. Fernando Gomez, Vice Chancellor and General Counsel; Dr. Perry Moore, Vice Chancellor for Academic Affairs; Ms. Kelly Wylie, Director of Administration; various component campus representatives

Absent

None

Discussion Items

Committee Chair Jaime Garza called on Dr. Perry Moore to present the agenda items.

Dr. Moore presented SHSU: Degree Name Change Request. The committee approved the item to be taken to the full Board.

Dr. Moore presented SRSU: Revised Mission Statement. The committee approved the item to be taken to the full Board.

Dr. Moore presented SRSU-RGC: Add a Bachelor of Applied Science degree. The committee approved the item to be taken to the full Board.

Dr. Moore presented SRSU-RGC: Add a Bachelor of Science in Nursing – Completion Degree. The committee approved the item to be taken to the full Board.

Dr. Moore presented SRSU-RGC: Deletion of Chemistry as a major. The committee approved the item to be taken to the full Board.

Dr. Moore presented SRSU-RGC: Revision of Master Program in School Administration. The committee approved the item to be taken to the full Board.

Dr. Moore presented LSC-PA: Degree Programs. The committee approved the item to be taken to the full Board.

Dr. Moore presented LU: Add a New Concentration in Clinical Mental Health Counseling to the Master of Education Degree (M.Ed.). The committee approved the item to be taken to the full Board.

Dr. Moore presented LU: Phase out the Bachelor of Science Degree in Interior Design. The committee approved the item to be taken to the full Board.

Dr. Moore presented LU: Change Title of Existing Degree Program. The committee approved the item to be taken to the full Board.

Dr. Moore presented TSUS: Twelfth Class Day Reports Spring 2013. Dr. Moore noted that the reports are preliminary and unofficial. The confirmed reports will be presented at the May 2013 Board meeting. The committee approved the item to be taken to the full Board.

Dr. Moore briefly outlined the Consent Agenda items, which consisted of TSUS: Course Additions, Deletions and Changes and TSUS: Out-of-Country Study Programs.

Adjournment

There being no further business before the Committee, Committee Chair Jaime Garza adjourned the meeting at 10:48 a.m. CST.

FINANCE AND AUDIT COMMITTEE

Committee Members

Regent Charlie Amato, Committee Chair; Regent Kevin Lilly; Regent Trisha Pollard

Call to Order

The Finance and Audit Committee of the Texas State University System was called to order on February 11, 2013 at 11:02 a.m. CST by Chairman of the Board Donna Williams. The meeting was held telephonically.

Present

Committee Chair Donna Williams; Regent Charlie Amato; Regent Rossanna Salazar

Also Present

Dr. Roland Smith, Vice Chancellor for Finance; Mr. Daniel Harper, Deputy Vice Chancellor for Finance; Chancellor Brian McCall; Dr. Fernando Gomez, Vice Chancellor and General Counsel; Ms. Carole Fox, Director of Audits and Analysis; Ms. Kelly Wylie, Director of Administration; various component campus representatives

Absent

Regent Kevin Lilly; Regent Trisha Pollard

Discussion Items

Regent Charlie Amato called on Dr. Roland Smith to present the agenda items.

Dr. Smith presented LU: Authorization to Set Board Rates. The committee approved the item to be taken to the full Board.

Dr. Smith presented LU: Residence Hall Lease – Room Rates. The committee approved the item to be taken to the full Board.

Dr. Smith presented LU: 2% Cost-of-Living Increase. The committee approved the item to be taken to the full Board.

Dr. Smith presented SHSU: Authorization to Set Board Rates. The committee approved the item to be taken to the full Board.

Dr. Smith presented SHSU: Authorization to Set Room Rates. The committee approved the item to be taken to the full Board.

Dr. Smith presented SRSU: Room and Board Rates. The committee approved the item to be taken to the full Board.

Dr. Smith presented TxSt-SM: Room and Board Rates for FY2014. The committee approved the item to be taken to the full Board.

Dr. Smith presented TxSt-SM: Early Bond Retirement. The committee approved the item to be taken to the full Board.

Dr. Smith presented LIT: Fee Adjustments for Courses. The committee approved the item to be taken to the full Board.

Dr. Smith presented TSUS: Consideration and action with respect to the “Seventeenth Supplemental Resolution to the Master Resolution Authorizing the Issuance, Sale and Delivery of Board of Regents, Texas State University System Revenue Financing System Revenue Bonds; and Approving and Authorizing Instruments and Procedures Relating Thereto.” The committee approved the item to be taken to the full Board.

Dr. Smith briefly outlined the Consent Agenda items, which included TSUS: Statements of Budgeted Fund Balances and TSUS: Operating Budget Adjustments FY2012. The committee approved the items to be included on the consent agenda.

Adjournment

There being no further business before the Committee, Chairman of the Board Donna Williams adjourned the meeting at 11:56 a.m. CST.

PLANNING AND CONSTRUCTION COMMITTEE

Committee Members

Regent David Montagne, Committee Chair; Regent Ron Mitchell; Regent Bill Scott

Call to Order

The Planning and Construction Committee of the Texas State University System was called to order on February 11, 2013 at 3:01 p.m. CST by Committee Chair David Montagne. The meeting was held telephonically.

Present

Regent David Montagne, Committee Chairman; Regent Ron Mitchell; Regent Bill Scott; Chairman of the Board Donna Williams

Also Present

Dr. Fernando Gomez, Vice Chancellor and General Counsel; Mr. Peter Graves, Vice Chancellor for Contract Administration; Mr. Rob Roy Parnell, Associate Vice Chancellor for Facilities; Ms. Kelly Wylie, Director of Administration; various component campus representatives

Absent

None

Discussion Items

Committee Chairman David Montagne asked Mr. Peter Graves to present the agenda items.

Mr. Graves presented SHSU: Approval of Master Plan Update. The committee approved the item to be taken to the full Board.

Mr. Graves presented TSUS: Revision of System Policies and Procedures Manual for Planning and Construction. The committee approved the item to be taken to the full Board.

Mr. Graves also outlined the final reports, construction summary and the construction project listing (included as appendixes to the minutes).

Adjournment

There being no further business before the Committee, Committee Chair David Montagne adjourned the meeting at 3:50 p.m. CST.

BOARD OF REGENTS MEETING

I. CALL TO ORDER

The Quarterly Board of Regents meeting of The Texas State University System was called to order on Thursday, February 14, 2013 at 12:30 p.m. CST by Chairman of the Board Donna Williams. The meeting was held at the AT&T Executive Education Conference Center in Austin, Texas. Noting the presence of a quorum, Chairman Williams called upon Regent Mitchell to lead in the United States and Texas flag pledges, and called upon Regent Pollard to deliver the invocation.

II. ATTENDANCE

Present

Chairman Donna Williams
Vice Chair Ron Mitchell
Regent Charlie Amato
Regent Jaime Garza
Regent David Montagne
Regent Trisha S. Pollard
Regent Rossanna Salazar
Regent William Scott
Student Regent Andrew Greenberg

Absent

Regent Kevin Lilly

Also Present

Chancellor Brian McCall, Ph.D.; President Dana Gibson, SHSU; President Denise M. Trauth, TxSt-SM; President Jimmy Simmons, LU; President Paul J. Szuch, LIT; President J. Michael Shahan, LSC-O; President Sam Monroe, LSC-PA

III. RECESS TO EXECUTIVE SESSION

Chairman Williams recessed the Board to Executive Session at 12:34 p.m. CST in accordance with *Chapter 551* of the Texas *Government Code* to discuss legal, real estate and personnel issues.

IV. RECONVENE IN OPEN SESSION

The Board reconvened in open session at 3:40 p.m. CST on Thursday, February 14, 2013.

V. APPROVAL OF MINUTES

Upon motion of Chairman Williams, seconded by Regent Pollard, with all Regents voting aye, it was ordered that the minutes of the quarterly Board of Regents Meeting held on November 15-16, 2012 and the minutes of the special called telephonic meeting held on December 11, 2012 were approved.

VI. ACADEMIC AFFAIRS

Regent Jaime Garza, Chair of the Curriculum Committee presented the following agenda items, and noted that Dr. Perry Moore, Vice Chancellor for Academic Affairs was available for any questions or concerns.

2013-44 LU: Add a New Concentration in Clinical Mental Health Counseling to the Master of Education Degree (M.Ed.)

Upon motion of Regent Garza, seconded by Regent Amato, with all Regents voting aye, it was ordered that Lamar University is authorized to add a concentration in Clinical Mental Health Counseling to the existing Master of Education (M.Ed.) degree, effective Summer 2013.

2013-45 LU: Phase out the Bachelor of Science Degree in Interior Design

Upon motion of Regent Garza, seconded by Regent Pollard, with all Regents voting aye, it was ordered that Lamar University is authorized to phase out the Bachelor of Science Degree in Interior Design degree, with elimination occurring with the Spring 2015 class, pending approval of the teach-out plan by the Texas Higher Education Coordinating Board and the Southern Association of Colleges and Schools.

2013-46 LU: Change Title of Existing Degree Program

Upon motion of Regent Garza, seconded by Regent Amato, with all Regents voting aye, it was ordered that Lamar University is authorized to change the title of the Bachelor of Science degree in Communication Disorders to the Bachelor of Science degree in Speech and Hearing Sciences, effective Fall 2013.

2013-47 SHSU: Degree Name Change Request

Upon motion of Regent Garza, seconded by Regent Pollard, with all Regents voting aye, it was ordered that the name of the Master of Arts in Community Counseling degree is changed to the Master of Arts in Clinical Mental Health Counseling degree.

2013-48 SRSU: Revised Mission Statement

Upon motion of Regent Garza, seconded by Regent Amato, with all Regents voting aye, it was ordered that Sul Ross State University is authorized to change the Mission Statement.

2013-49 SRSU-RGC: Add a Bachelor of Applied Science Degree

Upon motion of Regent Garza, seconded by Regent Amato, with all Regents voting aye, it was ordered that Sul Ross State University – Rio Grande College is authorized to add a Bachelor of Applied Science with emphases in Organizational Leadership and Child Development.

2013-50 SRSU-RGC: Add a Bachelor of Science in Nursing – Completion Degree

Upon motion of Regent Garza, seconded by Regent Salazar, with all Regents voting aye, it was ordered that Sul Ross State University – Rio Grande College is authorized to add a Bachelor of Science in Nursing – Completion program to be implemented Fall, 2014.

2013-51 SRSU-RGC: Deletion of Chemistry as a major

Upon motion of Regent Garza, seconded by Regent Pollard, with all Regents voting aye, it was ordered that Sul Ross State University – Rio Grande College is authorized to discontinue and delete Chemistry – CIP CODE 40.0501.00]. This will be effective with the Fall semester, 2013.

2013-52 SRSU-RGC: Revision of Master Program in School Administration

Upon motion of Regent Garza, seconded by Regent Amato, with all Regents voting aye, it was ordered that Sul Ross State University – Rio Grande College is authorized to revise its current M.Ed. degree in School Administration from a 45 hour program to a 36 hour program. This will be effective summer, 2013.

2013-53 LSC-PA: Degree Programs

Upon motion of Regent Garza, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that the following degree and certificate program additions for Lamar State College-Port Arthur are approved:

1. Offer a degree program, and the associated new courses, leading to the Associate of Applied Science with a major in Graphic Design within the Department of Business and Technology to be implemented upon final approval by the TSUS Board of Regents and the Texas Higher Education Coordinating Board.
2. Offer a degree program, and the associated new courses, leading to the Associate of Applied Science with a major in Medical Coding within the Department of Business and Technology to be implemented upon final approval by the TSUS Board of Regents and the Texas Higher Education Coordinating Board.
3. Offer a certificate program, and the associated new courses, with a major in Commercial Music Live Sound and Electronics within the Department of Commercial Music to be implemented upon final approval by the TSUS Board of Regents and the Texas Higher Education Coordinating Board.
4. Offer a degree program, and the associated new courses, leading to the Associate of Applied Science with a major in Sound Design for Visual Media within the Department of Commercial Music to be implemented upon final approval by the TSUS Board of Regents and the Texas Higher Education Coordinating Board.

TSUS: Twelfth Class Day Reports

Dr. Perry Moore presented the preliminary and unofficial twelfth class day reports. The official twelfth class day reports for Spring semester 2013 will be reported at the May 2013 Board of Regents meeting.

Regent Garza noted that the following items will be voted on under the Consent Agenda:

- TSUS: Course Additions, Deletions and Changes
- TSUS: Out-Of-Country Study Programs

These items were voted on and passed under the approval of the Consent Agenda. These items can be found immediately following the meeting minutes.

VII. FINANCE AND AUDIT

Regent Charlie Amato, member of the Finance and Audit Committee, presented the following agenda items:

2013-54 LU: Authorization to Set Board Rates

Upon motion of Regent Amato, seconded by Regent Pollard, with all Regents voting aye, it was ordered that Lamar University is authorized to charge the board rates listed below, to be effective beginning Fall, 2013.

<u>Semester</u>	<u>2012-2013 Rate</u>	<u>2013-2014 Rate</u>	<u>Increase</u>	<u>% Increase</u>
Declining Dollar Meal Plans				
Fall/Spring	\$1,309	\$1,375	\$66	5%
300 Meals-0 Declining Dollars				
Fall/ Spring	\$1,434	\$1,506	\$72	5%
75 meals- \$75 Declining Dollars				
Summer	\$387	\$405	\$18	5%

2013-55 LU: Residence Hall Lease – Room Rates

Upon motion of Regent Amato, seconded by Regent Garza, with all Regents voting aye, it was ordered that Lamar University is allowed to increase its Residence Hall Lease rate listed below, to be effective beginning Fall, 2013.

<u>Semester</u>	<u>2012-2013 Rate</u>	<u>2013-2014</u>	<u>Increase</u>	<u>% Increase</u>
Fall/Spring	\$2,549	\$2,626	\$77	3%
Summer	\$567	\$584	\$17	3%

2013-56 LU: 2% Cost-of-Living Increase

Upon motion of Regent Amato, seconded by Regent Montagne, with all Regents voting aye, it was ordered that Lamar University is allowed to implement a 2% cost-of-living salary increase to currently employed faculty and staff hired between the dates of March 1, 2012 and September 2, 2012. This salary increase will be added to each employee's base pay, effective with the March 2013 payroll, which will be paid on April 1, 2013.

2013-57 SHSU: Authorization to Set Board Rates

Upon motion of Regent Amato, seconded by Regent Salazar, with all Regents voting aye, it was ordered that Sam Houston State University is authorized to charge the board rates listed below, to be effective beginning Fall Semester of FY 2013.

Mandatory Plans

<u>Meal Plan Options</u>	<u>From</u>	<u>To</u>	<u>Inc.Price</u>	<u>% Increase</u>
1. All Access plan -\$100 Incl. Bearkat Bucks	\$1685 \$ 100	\$1735 no change	\$50	2.97%
2. 20 meal plan - \$175	\$1605	\$1655	\$50	3.12%

Incl. Bearkat Bucks	\$ 175	no change		
3. 15 meal plan - \$200	\$1575	\$1625	\$50	3.18%
Incl. Bearkat Bucks	\$ 200	no change		

Voluntary Plans

1. Bearkat Flex Plan				
90 Meals/semester	\$910	\$940	\$30	3.30%
Incl. Bearkat Bucks	\$250	no change		
2. Bearkat Value Plan				
60 Meals/semester	\$665	\$685	\$20	3.01%
Incl. Bearkat Bucks	\$250	no change		
3. Bearkat Lite Plan				
30 meals/semester	\$470	\$485	\$15	3.19%
Incl Bearkat Bucks	\$250	no change		

4. Berakat Elite Plan – Remove this plan from the board program due to lack of participation.

2013-58 SHSU: Authorization to Set Room Rates

Upon motion of Regent Amato, seconded by Regent Scott, with all Regents voting aye, it was ordered that Sam Houston State University be authorized to charge the room rates listed below, to be effective beginning Fall, 2013.

<u>Residence Hall</u>	<u>From</u>	<u>To</u>	<u>Increase</u>	<u>% Increase</u>
Baldwin, Crawford, Creager, Mallon houses	\$1724.00 (semester)	\$1776.00 (semester)	\$ 52.00	3%
Other small houses	\$1672.00 (semester)	\$1722.00 (semester)	\$ 50.00	3%
Belvin and Elliott Halls	\$1836.00 (semester)	\$1892.00 (semester)	\$ 56.00	3%
Estill Hall	\$1940.00 (semester)	\$1998.00 (semester)	\$ 58.00	3%
Jackson-Shaver Hall	\$1918.00 (semester)	\$1974.00 (semester)	\$ 56.00	3%
Lone Star Hall	\$2100.00 (semester)	\$2200.00 (semester)	\$100.00	5%
White Hall	\$2492.00 (semester)	\$2492.00 (semester)	\$ 0.00	0%
Sam Houston Village	\$2532.00 (semester)	\$2608.00 (semester)	\$ 76.00	3%
Raven Village	\$2532.00 (semester)	\$2608.00 (semester)	\$ 76.00	3%
<u>Apartment</u>				
Bearkat Village Apartments	\$2688.00 (semester)	\$2768.00 (semester)	\$ 80.00	3%
<u>Summer School '14</u>				
Small House	\$ 492.00 (semester)	\$ 500.00 (semester)	\$ 8.00	2%
White Hall	\$ 600.00 (semester)	\$ 615.00 (semester)	\$ 15.00	3%

2013-59 SRSU: Room and Board Rates

Upon motion of Regent Amato, seconded by Regent Scott, with all Regents voting aye, it was ordered that Sul Ross State University is authorized to change room and board plan rates beginning with the Fall 2013 semester as follows:

Meal Plan Rates – Fall and Spring Semesters:

Meal Plan	Current	Proposed	% change
7 Day/20 Meals with \$120 Lobo Bucks	\$1375	\$1410	2.5%
7 Day/16 Meals with \$120 Lobo Bucks	\$1332	\$1365	2.5%
200 Meals with \$200 Lobo Bucks	\$1395	\$1430	2.5%

Meal Plan Rates – Summer Semesters:

Meal Plan	Current	Proposed	% change
7 Day/20 Meals with \$50 Lobo Bucks	\$495	\$510	3.0%
7 Day/16 Meals with \$50 Lobo Bucks	\$480	\$490	2.1%
75 Meals with \$75 Lobo Bucks	\$530	\$545	2.8%

Residence Hall Rates:

	Current	Proposed	% change
Lobo Village Residence Hall – per Fall/Spring semester	\$2,125	\$2,190	3.1%
Lobo Village Residence Hall – per Summer semester	\$725	\$745	2.8%
Fletcher Residence Hall – per Fall/Spring semester – Double Occupancy	\$1,125	\$1,160	3.1%
Fletcher Residence Hall – per Fall/Spring semester - Private	\$1,685	\$1,735	3.0%
Fletcher Residence Hall – per Summer semester – Double Occupancy	\$370	\$380	2.7%
Fletcher Residence Hall – per Summer semester – Private	\$555	\$570	2.7%
Mountainside Residence Hall – per Fall/Spring semester – Double Occupancy	\$1,110	\$1,145	3.2%
Mountainside Residence Hall – per Fall/Spring semester – Private	\$1,665	\$1,715	3.0%

Apartment Rates:

	Current	Proposed	% change
Lobo Village Efficiency Apts. – per month	\$515	\$530	2.9%
Lobo Village Family Apts. – per month	\$550	\$565	2.7%

2013-60 TxSt-SM: Room and Board Rates for FY2014

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that room and Board rates at Texas State University-San Marcos for fiscal year 2014, as shown in the tables below, are approved.

University Housing Rates

UNIVERSITY RESIDENCE HALLS & BOBCAT VILLAGE APARTMENTS	Per Semester FY2013	Per Semester FY2014
Burleson, Hornsby (Un-air conditioned)	\$ 1,418	\$ 1,475
Arnold, Beretta, Brogdon, Butler, Elliott, Jackson, Lantana, Laurel, Retama, Smith, Sterry	\$ 2,335	\$ 2,428
Bexar, San Saba, Tower	\$ 2,675	\$ 2,782
Blanco Triple	\$ 2,361	\$ 2,455
Blanco Large Triple	\$ 2,569	\$ 2,672
Blanco Double	\$ 3,113	\$ 3,238
Chautauqua, Gaillardia Single	\$ 3,600	\$ 3,744
Chautauqua, Gaillardia Double	\$ 3,200	\$ 3,328
College Inn Double Bedroom/Quad Suite	\$ 2,948	\$ 3,066
San Marcos Double Bedroom/Quad Suite	\$ 3,113	\$ 3,328
San Marcos Single Bedroom/Quad Suite	\$ 3,550	\$ 3,744
San Jacinto Single Bedroom/Quad Suite	\$ 3,550	\$ 3,744
San Jacinto Single Bedroom/Double Suite	\$ 3,550	\$ 3,744
Bobcat Village 1 Bedroom/1 Bath Apartment	\$ 4,119	\$ 4,284
Bobcat Village 2 Bedroom/2 Bath Apartment	\$ 3,550	\$ 3,744

Board Rates

MEAL PLAN TYPE	Per Semester FY 2013	Per Semester FY 2014
150 Meal Plan + \$125 Dining Dollars	\$ 1,033	\$1,069
200 Meal Plan + \$100 Dining Dollars	\$ 1,120	\$1,160
250 Meal Plan + \$ 75 Dining Dollars	\$ 1,200	\$1,244

2013-61 TxSt-SM: Early Bond Retirement

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that Texas State University, under the authority granted in the 1986 Southwest Texas State University Housing System bond resolution, is authorized to retire the remaining principal balance of bonds payable in the amount of \$520,000 on the next scheduled payment date of April 1, 2013.

2013-62 LIT: Fee Adjustments for Courses

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that Lamar Institute of Technology is authorized to waive the tuition and fees for public service agencies in-service courses, courses offered at the Texas Department of Criminal Justice institutions and courses offered at the Federal Correctional Complex for fiscal year 2013-2014.

2013-63 LIT: Fee Adjustments for Courses

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that Lamar Institute of Technology is authorized to waive the tuition and fees for public service agencies in-service courses, courses offered at the Texas Department of Criminal Justice institutions and courses offered at the Federal Correctional Complex for fiscal year 2013-2014.

2013-64 TSUS: Consideration and action with respect to the “Seventeenth Supplemental Resolution to the Master Resolution Authorizing the Issuance, Sale and Delivery of Board of Regents, Texas State University System Revenue Financing System Revenue Bonds; and Approving and Authorizing Instruments and Procedures Relating Thereto”

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that the “Seventeenth Supplemental Resolution to the Master Resolution Authorizing the Issuance, Sale and Delivery of Board of Regents, Texas State University System Revenue Financing System Revenue Bonds; and Approving and Authorizing Instruments and Procedures Relating Thereto” is adopted.

Regent Amato noted that the following items will be voted on the Consent Agenda:

- TSUS: Statements of Budgeted Fund Balances
- TSUS: Operating Budget Adjustments
- TSUS: Status of Implementation of Audit Recommendations
- TSUS: Quarterly Investment Reports

These items were voted on and passed under the approval of the Consent Agenda. These items can be found immediately following the meeting minutes.

VIII. GOVERNMENTAL RELATIONS

Chairman Williams called on Regent Montagne, who asked Vice Chancellor Sean Cunningham to make a brief report. Vice Chancellor Cunningham presented a legislative update concerning state and federal issues that have the potential to impact the Texas State University System.

IX. CONTRACTS

Chairman Williams asked Dr. Fernando Gomez, Vice Chancellor and General Counsel, to present the items on the contracts agenda. Dr. Gomez stated that all of the following agenda items have been reviewed and have been moved to the consent agenda (copies of the contracts follow in the appendix section).

SHSU: Authorization for Amendment Number Nine to Food Service Contract

It was ordered that the amendment to the May 26, 2005 Food Service Contract between Sam Houston State University and ARAMARK Educational Services of Texas, Inc. of Irving, Texas, is approved.

SRSU: Investment Services Custody Agreement

It was ordered that the investment custody services agreement with Trustmark National Bank for a term of three years is approved.

SRSU: Bookstore Services Contract Extension

It was ordered that Sul Ross State University's contract for bookstore services with Texas Book Company is amended and extended for a period of 2 years to March 31, 2016.

SRSU: Lease of Office Space for SBDC

It was ordered that Sul Ross State University is authorized to enter into a lease with Trans Pecos Bank in Pecos, Texas for office space for its Small Business Development Center and to execute all documents and instruments necessary to carry out this transaction upon review and approval by the Vice Chancellor and General Counsel.

TxSt-SM: Acquisition of 0.48 acres, Central Texas Medical Center, Inc.

It was ordered that Texas State University-San Marcos acquire a 0.48 acre parcel of land at a price not to exceed \$146,000.

LSC-PA: Land Purchase from Port Arthur ISD

It was ordered that Lamar State College-Port Arthur is authorized to purchase a 2.2 acre parcel of land situated at 1600 Lakeshore from the Port Arthur Independent School District for \$61,555.50, with the source of funding being Higher Education Assistance Funds (HEAF).

X. MISCELLANEOUS

Chairman Williams asked for motions regarding the miscellaneous items from the Board members. Presidents were asked to explain their items to the Regents as needed.

2013-65 LU: Naming of Drive on Lamar University Campus

Upon motion of Regent Montagne, seconded by Regent Scott, with all Regents voting aye, it was ordered that the round drive in front of the University Theatre on the Lamar University campus be named W. Brock Brentlinger Drive

2013-66 LU: Naming of a First Lady's Garden

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that the System Chancellor and the Lamar University President are authorized to announce the naming of the Susan Simmons Garden in appreciation of her dedication and service as First Lady of Lamar University to be located directly behind the Music Building on the campus.

2013-67 SRSU: Renaming of the Academic Computer Resource Center

Upon motion of Regent Amato, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that Sul Ross State University is authorized to rename the Academic and Computer Resource Center the Jim Pitts Academic and Computer Resource Center in grateful recognition of his contributions to higher education in the State of Texas.

2013-68 TxSt-SM: Naming of House at 456 Lindsey Street

Upon motion of Regent Mitchell, seconded by Regent Amato, with all Regents voting aye, it was ordered that the house at 456 Lindsey Street on the Texas State University campus be named the Moeller House upon receipt of a \$50,000 gift from Mr. Mike Moeller.

2013-69 LIT: Naming of the Technology Training and Education Buildings

Upon motion of Regent Montagne, seconded by Regent Salazar, with all Regents voting aye, it was ordered that the recently acquired and renovated buildings at Lamar Institute of Technology be named the “Tommy Williams Technology Training and Education Buildings”.

Chairman Williams noted that the following item was voted on and passed under the approval of the Consent Agenda.

- TSUS: Acknowledgement of Gifts-in-Kind

This item can be found immediately following the meeting minutes.

XI. PERSONNEL

Chairman Williams noted that the following item was passed under the Consent Agenda:

- TSUS: Personnel Actions

This item can be found immediately following the meeting minutes.

XII. RECESS

At 4:37 p.m. CST, Chairman Williams recessed the meeting until the following morning.

XIII. RECONVENE

The Quarterly Board of Regents meeting of the Texas State University System was reconvened on Friday, February 15, 2013 at 9:04 a.m. CST by Chairman of the Board Donna Williams. The meeting was held at the AT&T Executive Education Conference Center in Austin, Texas. A quorum was present.

XIV. ATTENDANCE

Present

Chairman Donna Williams
Vice Chair Ron Mitchell
Regent Charlie Amato
Regent Jaime Garza
Regent Kevin Lilly
Regent David Montagne
Regent Trisha S. Pollard
Regent Rossanna Salazar
Regent William Scott
Student Regent Andrew Greenberg

Absent

Also Present

Chancellor Brian McCall; President Dana Gibson, SHSU; President Denise M. Trauth, TxSt-SM; President Jimmy Simmons, LU; President Paul J. Szuch, LIT; President J. Michael Shahan, LSC-O; President Sam Monroe, LSC-PA

XV. STUDENT ADVISORY BOARD (SAB) REPORT

Chairman Amato asked each president to introduce his or her respective students to the Board. The SAB Chairman reported to the Board. The SAB report included a welcome and a written report distributed to the Regents outlining items of importance to the students, including low-producing programs, communication enhancement, House Bill 29, and campus safety.

XVI. TSUS FOUNDATION UPDATE

Mr. Pat Tibbetts, Executive Director made a presentation to the Board regarding the current status of Foundation funds, awards and scholarships. Mr. Tibbetts also recapped the fund raising efforts of the Edmund Kuempel Scholarship gala.

XVII. PLANNING AND CONSTRUCTION

Regent David Montagne, Chair of the Planning and Construction Committee, asked Mr. Peter Graves, Vice Chancellor for Contract Administration to present the following agenda items:

2013-70 SHSU: Approval of Master Plan Update

Upon motion of Regent Montagne, seconded by Regent Pollard, with all Regents voting aye, it was ordered that the 2013-2020 Campus Master Plan Update for Sam Houston State University, prepared by SmithGroupJJR of Ann Arbor, Michigan is approved and the University is authorized to file this Campus Master Plan Update with the Texas Higher Education Coordinating Board.

2013-71 TSUS: Revision of System Policies and Procedures Manual for Planning and Construction

Upon motion of Regent Montagne, seconded by Regent Mitchell, with all Regents voting aye, it was ordered that pursuant to System Rules and Regulations, Chapter III, Paragraph 1.6, the (attached) revision of the System Policies and Procedures Manual for Planning and Construction is approved.

Mr. Graves noted that final reports, a construction summary and a construction project listing were also included as appendices to the agenda.

XVIII. CONSENT AGENDA

Chairman Williams asked if there were any items that Regents wanted to remove from the Consent Agenda. No items were removed from the Consent Agenda.

2013-72 Approval of Consent Agenda

Upon motion of Chairman Williams, seconded by Regent Pollard, with all Regents voting aye, it was ordered that all items in the Consent Agenda be approved.

XIX. PUBLIC COMMENTS

Chairman Williams called for public comments. Mr. Cameron Hill addressed the Board.

XX. ADJOURNMENT

Upon motion of Chairman Williams, seconded by Regent Montagne, with all regents voting aye, the meeting was adjourned at 10:01 a.m. CST.

Attested by:
Brian McCall, Ph.D.
Chancellor and Secretary to the Board

CONSENT

TSUS: Course Additions, Deletions and Changes

Recommendation

The proposed Course Additions, Deletions and Changes for the following Texas State University System components are approved.

COMPONENT	COURSE ADDITIONS	COURSE DELETIONS	COURSE CHANGES
Lamar University	7	0	5
Sam Houston State University	60	13	87
Sul Ross State University	41	11	10
Sul Ross State University - RGC	45	0	1
Lamar Institute of Technology	19	18	4

Background

In accordance with the System *Rules and Regulations, Chapter III, Section 1.(10) Curriculum Matters, Subsection 1.(10)3 Course additions, deletions, and changes* shall be submitted to the Board of Regents for approval.

Lamar University
February 2013

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE TITLE CHANGES	NET ADDITIONS/ DELETIONS
COLLEGE OF ARTS & SCIENCES				
Nursing	1	0	2	1
COLLEGE OF EDUCATION				
Counseling & Special Populations	6	0	3	6
TOTAL	7	0	5	7

COLLEGE OF ARTS & SCIENCES

Nursing

ADDITIONS

MSNE 5356 Advanced Pharmacology

CHANGES

MSNE 5330 Advanced Clinical Concepts

TO

MSNE 5330 Advanced Health Assessment

MSNE 5349 Clinical Approach to Pathophysiology

TO

MSNE 5349 Advanced Pathophysiology

COLLEGE OF EDUCATION

Counseling and Special Populations

ADDITION

CNDV 5189 Seminar: Counseling Credentialing

CNDV 5302 Foundations of Clinical Mental Health Counseling

CNDV 5303 Introduction to School Counseling

CNDV 5324 Substance Abuse Counseling

CNDV 5352 Crisis Prevention Intervention

CNDV 5353 Research and Program Evaluation

CHANGES

CNDV 5310 Individual and Group Facilitation Skills

TO

CNDV 5310 Counseling Skills

CNDV 5320 Cross Cultural Counseling

TO

CNDV 5320 Multicultural Counseling

CNDV 5381 Advanced Seminar in Social and Family Relations

TO

CNDV 5381 Marriage, Couple, and Family Counseling

Sam Houston State University

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE CHANGES: PREFIX, HRS, NUMBER AND/OR TITLE	NET ADDITIONS/ DELETIONS
COLLEGE OF BUSINESS ADMINISTRATION				
Economics and International Business	2	0	0	+2
Management and Marketing	1	0	0	+1
COLLEGE OF CRIMINAL JUSTICE				
Criminal Justice	3	0	8	+3
COLLEGE OF EDUCATION				
Educational Leadership and Counseling	4	0	6	+4
Health and Kinesiology	1	0	2	+1
Language, Literacy, and Special Populations	2	0	0	+2
Library Science	0	0	2	0
COLLEGE OF FINE ARTS AND MASS COMMUNICATION				
Art	4	0	2	+4
Dance	2	0	2	+2
Music	1*	1*	0*	0*
Theatre and Musical Theatre	11	1	5	+10
COLLEGE OF HUMANITIES AND SOCIAL SCIENCES				
English	2/1*	2/1*	19	0
Family and Consumer Sciences	7	1	3	+6
Foreign Languages	1	0	5	+1
History	1	0	2	+1
Political Science	2	2	14/1*	0
Psychology and Philosophy	0	1	3	-1
Sociology	0	4	3	-4
COLLEGE OF SCIENCES				
Agricultural and Industrial Sciences	3	1	2	+2
Biology	0*	0*	4*	0*
Chemistry	0	0	4	0
Geography and Geology	5	1	5/4*	+4

Mathematics and Statistics	8	0	0	+8
Physics	0	0	2*	0
<hr/>				
UNIVERSITY-WIDE				
Newton Gresham Library	1	0	0	+1
<hr/>				
TOTAL	60/2*	13/2*	87/11*	47/0*

COLLEGE OF BUSINESS ADMINISTRATION

Department of Economic and International Business

ADDITIONS

ECON 1100 Economics of Social Problems
ECON 4350 Health Economics and Finance

Department of Management and Marketing

ADDITIONS

MKTG 3328 Professional Selling

COLLEGE OF CRIMINAL JUSTICE

ADDITIONS

FORS 6333 Behavioral Genetics
FORS 6335 Advanced Forensic Chemistry
FORS 6346 Advanced Forensic Toxicology

CHANGES

CRIJ 6393 Special Readings in Criminal Justice
TO
CRIJ 6093 Special Readings in Criminal Justice

CRIJ 7370 Special Readings in Criminal Justice
TO
CRIJ 7070 Special Readings in Criminal Justice

FORS 5115 Statistical Genetics
TO
FORS 5215 Statistical Genetics

FORS 5261 Advanced Forensic DNA
TO
FORS 5361 Advanced Forensic DNA

FORS 5331 Techniques for Crime Scene Investigation
TO
FORS 5231 Techniques for Crime Scene Investigation

FORS 5346 Forensic Toxicology
TO
FORS 5446 Forensic Toxicology

FORS 6394 Special Topics in Forensic Science
TO
FORS 6094 Special Topics in Forensic Science

FORS 5260 Forensic Analysis of Pattern Evidence
TO
FORS 5360 Pattern and Physical Evidence Concepts

COLLEGE OF EDUCATION

Department of Educational Leadership and Counseling

ADDITIONS

HIED 5366 Assessment in Higher Education
DVED 7365 Student Noncognitive Development
DVED 7110 Leadership in Developmental Education
DVED 7336 Doctoral Internship in Developmental Education

CHANGES

HIED 5360 Organization and Administration of Higher Education
TO
HIED 5360 History and Organization of Higher Education

HIED 5362 Resource Development and Allocation in Higher Education
TO
HIED 5362 Higher Education Resource Management

EDLD 7360 Developmental Education in Colleges and Universities
TO
DVED 7360 Developmental Education in Colleges and Universities

EDLD 7366 Outcomes Assessment in DVED
TO
DVED 7366 Outcomes Assessment in DVED

EDLD 7367 Designing Learning Environment in Developmental Education
TO
DVED 7367 Designing Learning Environment in Developmental Education

EDLD 7364 Leading Advanced Learning Communities
TO
DVED 7364 Advanced Learning Theory in Developmental Education

Department of Health and Kinesiology

ADDITIONS

KINE 3117 Competency in Motor Skills

CHANGES

KINE 2115 Fitness for Living
TO
KINE 2115 Lifetime Health and Wellness

HLTH 2380 Drug Use and Abuse
TO
HLTH 3380 Drug Use and Abuse

Department of Language, Literacy, and Special Populations

ADDITIONS

SPED 6319 Bilingual Cognitive Assessment
SPED 7316 Independent Research Special Education

Department of Library Science**CHANGES**

LSSL 5370 Instructional Design and Library Media Production
TO
LSSL 5370 School Librarianship

LSSL 3363 Survey of Juvenile Literature
TO
LSSL 2363 Literature for Children and Teens

COLLEGE OF FINE ARTS AND MASS COMMUNICATION**Department of Art****ADDITIONS**

ARTS 1302 Exploring Contemporary Art
ARTS 1304 Digital Art and Emerging Technology
ARTS 3326 Graphic Design Production
ARTS 4313 W.A.S.H. Practicum

CHANGES

ARTS 2386 Renaissance Through Rococo Art History
TO
ARTS 3385 Renaissance Through Rococo Art History

ARTS 4319 Undergraduate Seminar in Art
TO
ARTS 4019 Undergraduate Seminar in Art

Department of Dance**ADDITIONS**

DANC 5380 Dance Composition 3
FAMC 2301 Creative Arts Seminar

CHANGES

DANC 5376 Contemporary Dance Composition
TO
DANC 5376 Dance Composition I

DANC 5378 Advanced Composition in Contemporary Dance
TO
DANC 5378 Dance Composition 2

School of Music**ADDITIONS**

MUSI 1379 Survey of World Music Cultures*

DELETIONS

MUSI 3379 A Survey of World Music*

Department of Theatre and Musical Theatre

ADDITIONS

THEA 1115 Tech Build Crew
THEA 1116 Costume Crew
THEA 1117 Management Crew
THEA 2367 Text Analysis for the Theatre
THEA 3115 Advanced Tech Build Crew
THEA 3116 Advanced Costume Crew
THEA 3127 Advanced Theatre Mgmt. Crew
THEA 3362 Lighting and Sound Design
THEA 3373 Stage Movement for Actors
THEA 4093 Theatre Internship
THEA 4376 Scene Painting

DELETIONS

THEA 4375 Scenography VI: Scene Painting

CHANGES

THEA 4366 Play Directing
TO
THEA 4366 Directing I

THEA 4367 Play Directing
TO
THEA 4367 Directing II

MTVO 3301 Musical Theatre Applied Voice
TO
MTVO 3201 Musical Theatre Applied Voice

THEA 3332 Beginning Scenic Design
TO
THEA 3336 Beginning Scenic Design

THEA 3363 Dramaturgy
TO
THEA 3364 Dramaturgy

COLLEGE OF HUMANITIES AND SOCIAL SCIENCES

Department of English

ADDITIONS

AMST 2311 Introduction to American Studies
ENGL 2333 World Literature II: 17th Century & After*
ENGL 5334 Creative Writing: Nonfiction

DELETIONS

ENGL 2342 Word Literature II*
ENGL 3375 Teaching Composition in the Secondary Schools
ENGL 3376 Teaching Literature in the Secondary Schools

CHANGES

ENGL 5369 Studies in the Novel

TO
 ENGL 5369 The Novel

ENGL 5370 Studies in Multicultural Literature
 TO
 ENGL 5370 Multicultural Literature

ENGL 5371 Studies in Modern World Literature
 TO
 ENGL 5371 Modern World Literature

ENGL 5372 Colonial American Literature
 TO
 ENGL 5372 Early American Literature

ENGL 5374 Studies in Women's Literature
 TO
 ENGL 5374 Women's Literature

ENGL 5375 Studies in Restoration and Eighteenth-Century English Literature
 TO
 ENGL 5375 Restoration & Eighteenth-Century British Literature

ENGL 5377 Studies in Early & Middle English Literature
 TO
 ENGL 5377 Early & Middle English Literature

ENGL 5378 Studies in Literature of the Renaissance
 TO
 ENGL 5378 Renaissance & Seventeenth-Century British Literature

ENGL 5379 Studies in Romantic Literature
 TO
 ENGL 5379 Romantic Literature

ENGL 5380 Studies in Victorian Literature
 TO
 ENGL 5380 Victorian Literature

ENGL 5381 Studies in Twentieth-Century English Literature
 TO
 ENGL 5381 British Literature, 1900 to the Present

ENGL 5383 Studies in English Linguistics
 TO
 ENGL 5383 English Linguistics

ENGL 5384 Studies in Rhetoric & Composition Theory
 TO
 ENGL 5384 Rhetoric & Composition Theory

ENGL 5385 Studies in American Literature, 1800-1860
 TO
 ENGL 5385 American Literature, 1800-1860

ENGL 5386 Studies in American Literature, 1860-1920

TO
 ENGL 5386 American Literature, 1860-1920

ENGL 5387 Studies in American Literature, 1920-Present
 TO
 ENGL 5387 American Literature, 1920-the Present

ENGL 5388 The Study of Major Figures in American Poetry
 TO
 ENGL 5388 Major Figures in American Poetry

ENGL 5390 Studies in Technical Communication
 TO
 ENGL 5390 Technical & Professional Writing

ENGL 2331 World Literature I
 TO
 ENGL 2332 World Literature I: Before the Seventeenth Century

Department of Family and Consumer Sciences

ADDITIONS

FACS 2365 Digital Drawing for Interior Design
 FACS 3361 History of Furnishings II
 FACS 4371 Nutrition Assessment
 FACS 4373 Cultural Food Practices
 FACS 5323 Interiors & the Aging Population
 FACS 5341 Family Resource Management
 FACS 5343 Lifespan Development in the Family

DELETIONS

FACS 4445 Social and Cultural Aspects of Middle and Far Eastern Foods

CHANGES

FACS 2361 Development and History of Furniture
 TO
 FACS 2361 History of Furnishings I

FACS 2378 Special Topics in Family Consumer Science
 TO
 FACS 2078 Special Topics in Family Consumer Science

FACS 4368 Research Problems
 TO
 FACS 4068 Research Problems

Department of Foreign Languages

ADDITIONS

SPAN 5060 Special Topics in Spanish

CHANGES

ARAB 4375 Individual Readings in Arabic Culture
 TO
 ARAB 4075 Individual Readings in Arabic Culture

FOLG 3361 Individual Readings
TO
FOLG 3061 Individual Readings

FREN 4375 Individual French Readings
TO
FREN 4075 Individual French Readings

GERM 4375 Individual Readings in German
TO
GERM 4075 Individual Readings in German

SPAN 4375 Individual Readings in Spanish
TO
SPAN 4075 Individual Readings in Spanish

Department of History

ADDITIONS

HIST 4399 History Senior Seminar

CHANGES

HIST 5397 Independent Study
TO
HIST 5097 Independent Study

HIST 4369 Civil War and Reconstruction
TO
HIST 3380 The American Civil War

Department of Political Science

ADDITIONS

POLS 2306 Texas Government
PADM 5389 Public Management

DELETIONS

POLS 3376 International Politics in the Post-Soviet Era
POLS 5331 American Political Behavior

CHANGES

PDAM 5386 Leadership and Human Resource Management
TO
PDAM 5386 Human Resource Management

PDAM 5387 Local Government Budgets and Financial Management
TO
PDAM 5387 Public Budgeting and Finance

POLS 3385 International Organization and International Law
TO
POLS 3385 International Law & Organization

POLS 3339 The Roles of Nonprofit Organizations
TO

POLS 3339 Nonprofit Organizations

POLS 3365 Comparative Survey of World Political Systems
TO
POLS 3365 Introduction to Comparative Politics

POLS 3377 Introduction to Political Theory
TO
POLS 3377 Survey of Political Theory

POLS 5330 American Political Institutions
TO
POLS 5330 American Politics

POLS 5371 Comparative Political Analysis
TO
POLS 5371 Comparative Politics

POLS 5373 Theory and Method of International Relations
TO
POLS 5373 International Relations

POLS 2331 Local Political Systems
TO
POLS 3331 Local Political Systems

POLS 2332 State Political Systems
TO
POLS 3332 State Political Systems

POLS 2335 Politics of Ethnic Minorities & Gender
TO
POLS 3335 Politics of Ethnic Minorities & Gender

POLS 4381 Problems in Political Science
TO
POLS 4081 Problems in Political Science

POLS 4395 Directed Study in International Political Science
TO
POLS 4095 Directed Study in International Political Science

POLS 2031 Principles of American—National and State*
TO
POLS 2305 American Government*

Department of Psychology and Philosophy

DELETIONS

PSYC 8393 Internship IV

CHANGES

PSYC 8010 Internship I
TO
PSYC 8110 Internship I

PSYC 8011 Internship II
TO
PSYC 8111 Internship II

PSYC 8012 Internship III
TO
PSYC 8112 Internship III

Department of Sociology

DELETIONS

SOCI 3326 Gender & Inequality-Honors
SOCI 3343 Sociology of Religion-Honors
SOCI 4341 Research Methods in Sociology-Honors
SOCI 4376 Readings in Sociology-Honors

CHANGES

SOCI 4375 Readings in Sociology
TO
SOCI 4075 Readings in Sociology

SOCI 5399 Graduate Readings in Sociology
TO
SOCI 5099 Graduate Readings in Sociology

SOCI 5414 Social Statistics
TO
SOCI 5314 Social Statistics

COLLEGE OF SCIENCES

Department of Agricultural and Industrial Sciences

ADDITIONS

ARGI 2396 Topics in Agriculture
ARGI 5341 Contemporary Animal Ag Issues
ARGI 5379 Advanced Equine Nutrition

DELETIONS

AGRI 5382 Nutritional and Physiological Inter-Relationships

CHANGES

AGRI 1309 Microcomputer Applications in Agriculture
TO
AGRI 1309 Computers in Agriculture

AGR 3364 Equine Science
TO
AGR 2364 Equine Science

Department of Biology

CHANGES

BIOL 1308 Contemporary Biology*
TO
BIOL 1408 Contemporary Biology*

BIOL 1301 Environmental Science Biology*
TO
BIOL 1401 Environmental Science*

BIOL 1311 General Botany*
TO
BIOL 1411 General Botany*

BIOL 1313 General Zoology*
TO
BIOL 1413 General Zoology*

Department of Chemistry

CHANGES

CHEM 1306 Inorganic and Environmental Chemistry Lecture
TO
CHEM 1406 Inorganic and Environmental Chemistry

CHEM 1307 Introductory Organic and Biochemistry Lecture
TO
CHEM 1407 Introductory Organic and Biochemistry

CHEM 1311 General Chemistry I: Lecture
TO
CHEM 1411 General Chemistry I

CHEM 1312 General Chemistry II: Lecture
TO
CHEM 1412 General Chemistry II

Department of Geography and Geology

ADDITIONS

GEOG 2301 Environmental Geography
GEOG 4359 Transportation Geography
GEOG 5311 GIS in Law Enforcement
GEOL 3301 Field Methods
GEOL 4320 Petroleum Geology

DELETIONS

GEOL 3325 Energy and Environmental Impact

CHANGES

GEOG 1301 Weather and Climate*
TO
GEOG 1401 Weather and Climate*

GEOL 1303 Physical Geology*
TO
GEOL 1403 Physical Geology*

GEOL 1304 Historical Geology*
TO
GEOL 1404 Historical Geology*

GEOL 1305 Geologic Hazards and Resources*
 TO
 GEOL 1405 Geologic Hazards and Resources*

GEOG 3351 Readings in Geography
 TO
 GEOG 4351 Readings in Geography

GEOG 4375 Economic Geography
 TO
 GEOG 4075 Economic Geography

GEOG 5375 Readings in Geography
 TO
 GEOG 5075 Readings in Geography

GEOL 4395 Special Topics in Geology
 TO
 GEOL 4095 Special Topics in Geology

GEOL 5395 Special Topics in Geology
 TO
 GEOL 5095 Special Topics in Geology

Department of Mathematics and Statistics

ADDITIONS

MATH 6340 Algebraic Geometry
 MATH 6342 Algebraic Topology
 MATH 6352 Differential Geometry
 MATH 6367 History of Adv. Mathematics
 MATH 6376 Foundations of Applied Math
 STAT 6366 Applied Bayesian Analysis
 STAT 6375 Biostatistics
 STAT 6376 Time Series Analysis

Department of Physics

PHYS 1312 Stars and Galaxies*
 TO
 PHYS 1403 Stars and Galaxies*

PHYS 1311 Introductory Astronomy*
 TO
 PHYS 1404 Solar System Astronomy*

UNIVERSITY-WIDE

Newton Gresham Library

ADDITIONS

NGLI 1101 Research in the Digital Age

***Denotes curriculum changes to be implemented Fall 2014**

SUL ROSS STATE UNIVERSITY

S U M M A R Y T A B L E

SHOWING MAGNITUDE OF REQUESTS FOR THE ADDITION, DELETION,
AND CHANGES IN COURSES

<u>PROGRAM</u>	<u>COURSE ADDITIONS</u>	<u>COURSE DELETIONS</u>	<u>COURSE CHANGES: PREFIX, NUMBER, AND/OR TITLE</u>	<u>NET ADDITIONS/ DELETIONS</u>
<u>****COLLEGE OF AGRICULTURAL AND NATURAL RESOURCE MANAGEMENT****</u>				
<u>Program:</u>				
Animal Science	6	-3	1	3
Natural Resource Management	1	0	0	1
Subtotal	7	-3	1	4
<u>****COLLEGE OF ARTS AND SCIENCES****</u>				
<u>Program:</u>				
Academic Center for Excellence	1	0	0	1
Computer Science and Mathematics	3	0	2	3
Fine Arts and Communication	13	0	4	13
Subtotal	17	0	6	17
<u>****COLLEGE OF PROFESSIONAL STUDIES****</u>				
<u>Program:</u>				
Business Administration	2	-1	0	1
Criminal Justice	2	-1	1	1
Education	5	-4	2	1

Physical Education	6	0	0	6
Vocational Nursing	2	-2	0	0
Subtotal	17	-8	3	9
TOTAL	41	-11	10	30

****RIO GRANDE COLLEGE****

****COLLEGE OF HUMANITIES****

Program:

English	6	0	0	6
History	7	0	1	7
Organizational Leadership	6	0	0	6
Philosophy	1	0	0	1
Political Science	10	0	0	10
Subtotal	30	0	1	30

****COLLEGE OF NATURAL AND BEHAVIORAL SCIENCES****

Program:

Criminal Justice	5	0	0	5
Nursing	9	0	0	9
Psychology	1	0	0	1
Subtotal	15	0	0	15
TOTAL	45	0	1	45

Explanation: Of the 45 additions, only 17 are new courses. All others are regular courses offered though the Alpine Campus and are being added to the RGC inventory to extend graduate offerings.

SCHOOL OF AGRICULTURAL AND NATURAL RESOURCE SCIENCES

Department of Animal Science

ADDITIONS

ANSC 3312 Current Issues in Agriculture
ANSC 5323 Animal Science Research Literature
ANSC 5325 Genetics of Domestic Animals
ANSC 5326 Animal Reproduction
ANSC 5327 Animal Nutrition
ANSC 5328 Domestic Meat Production

DELETIONS

ANSC 1302 Radiology
ANSC 1303 Surgical Assistance
ANSC 3408 Advanced Clinical Assistance

CHANGES

ANSC 5309 Advanced Animal Nutrition, Feeds and Feeding
TO
ANSC 5309 Advanced Livestock and Wildlife Nutrition

Department of Natural Resource Management

ADDITIONS

NRM 2203 Introduction to Field Studies

SCHOOL OF ARTS AND SCIENCES

Academic Center for Excellence

ADDITIONS

ENG 0310 Integrated Reading and Writing Skills

Department of Computer Science and Mathematics

ADDITIONS

CSAT 1307 Art History for the Designer
CSAT 2312 3-D Design
CSAT 3345 Techniques of Video Production

CHANGES

CSAT 2310 Computer Graphics
TO
CSAT 2310 Introduction to Digital Imaging

CSAT 3160 User Driven Design Programming
TO
CSAT 3160 User Interface Programming
Department of Fine Arts and Communication

ADDITIONS

ART 3301 Art Studio Concentration
ART 4302 Advanced Studio Concentration II
COMM 1310 Fundamentals of Communication
COMM 5311 Small Group Communication
COMM 5312 Oral Communication Course Development
COMM 5313 Mass Communication Theory and Research
FA 1302 Introduction to Applied Visual Arts
FA 2302 Introduction to Digital Design
FA 2303 Special Topics in Multicultural Visual and Performing Arts
FA 3303 Field Studies
FA 4101 Senior Capstone Project
JOUR 2302 Feature and Editorial Writing
JOUR 3302 Layout and Design

CHANGES

ART 4301 Art Studio Concentration
TO
ART 4301 Advanced Studio Concentration I

THEA 3308 Theatre Production Workshop
TO
THEA 3308 Acting III: Acting for the Camera

THEA 3317 Acting III: Method Acting
TO
THEA 3317 Acting IV: Advanced Acting for the Camera

THEA 4306 Acting III: Styles
TO
THEA 4306 Acting V: Acting Styles

SCHOOL OF PROFESSIONAL STUDIES

Department of Business Administration

ADDITIONS

ASBT 1101 Personal Financial Literacy
FIN 1101 Personal Financial Literacy

DELETIONS

ECO 5302 Seminar in Economic Issues: Free Enterprise

Department of Criminal Justice

ADDITIONS

CJ 4320 Forensic Ballistics: Handguns
CJ 4321 Forensic Ballistics: Long Guns

CHANGES

CJ 5334 Legal Research and Analysis on Criminal Justice
TO
CJ 5334 Methods of Social Research

DELETIONS

CJ 4316 Forensics Ballistics

Department of Education

ADDITIONS

ED 5627 Clinical Teaching in the Post Baccalaureate Program
ED 7404 Leadership in Educational Administration
ED 7408 The Superintendency
ED 7421 Superintendent/School Board Relations
ED 7422 Superintendent Finance Issues

DELETIONS

ED 7304 Leadership in Educational Administration
ED 7308 The Superintendency
ED 7321 Superintendent/School Board Relations
ED 7322 Superintendent Finance Issues

CHANGES

ED 4601 Student Teaching: Early Childhood through Grade 4
TO
ED 4601 Student Teaching in the Elementary School

ED 5321 Resource Room Test Administration
TO
ED 5321 Foundations of Special Education Law

Department of Physical Education

ADDITIONS

PE 5305 Administration of UIL Programs
PE 5306 Problems in Athletic Administration
PE 5307 Mechanical Analysis of Sport Skills
PE 5308 Health in the Public School
PE 5309 Sport and Exercise Psychology
PE 5310 Legal Aspects of Sports and Physical Activities

Department of Vocational Nursing

ADDITIONS

VNSG 1330 Maternal-Neonatal Nursing
VNSG 1334 Pediatric Nursing

DELETIONS

VNSG 1306 Maternal/Newborn Nursing
VNSG 1407 Pediatric Nursing

RIO GRANDE COLLEGE

College of Humanities

ADDITIONS

ENGL 5300	Studies and Research Techniques Bibliography and Critical Theory
ENGL 5302	Seminar: Creative Writing
ENGL 5305	Studies and research Techniques in English Teaching Methods
ENGL 5309	Readings and Research
ENGL 6301	Thesis
ENGL 6302	Thesis
HST 5303	Seminar in Pre-Columbian and Colonial Mexican American History
HST 5304	Seminar in Modern Mexican History, 1821-Present
HST 5305	Seminar in the Modern Middle East, 1914-Present
HST 5308	Seminar in European History
HST 5309	Seminar in Western History
HST 5312	Readings and Research
HST 5313	Special Topics
ORGL 3300	Organizational Leadership
ORGL 3302	Case Studies in Leadership
ORGL 3304	Professional Communication for Organizations
ORGL 4300	Human Resources Risk Assessment
ORGL 4302	Budget and Revenue
ORGL 4304	Industrial Organizational Psychology
PHIL 3301	Bioethics
POLS 5303	Public Administration Survey
POLS 5307	Scope and Methods in Social Science
POLS 5308	Case Studies in Public Administration
POLS 5310	Public Budgeting and Finance
POLS 5311	Intergovernmental Relations
POLS 5313	Public Policy Analysis
POLS 5312	Agency Politics
POLS 5314	Public Management
POLS 5315	Local Government Administration
POLS 5316	Special Topics in Public Administration: Elections and Election Administration

CHANGES

HST 5310	US History – 19 th Century
	TO
HST 5310	Seminar in American History

College of Natural and Behavior Sciences

ADDITIONS

CRIM 5304	Seminar on Liability and Immunity in Criminal Justice
CRIM 5318	Seminar in Criminal Justice Theories
CRIM 5325	Graduate Seminar
CRIM 5331	Topics in Criminal Justice
CRIM 5334	Legal Research

NURS 3301 Trends and Issues in Professional Nursing
NURS 3303 Nursing Theories and Concepts
NURS 3305 Nursing Research and Application
NURS 3307 Role of Evidence Based Professional Nursing Practice
NURS 4301 Nursing Management and Leadership
NURS 4303 Legal and Ethical Issues in Health Care
NURS 4305 Population-Focused Community Health
NURS 4307 Risk Analysis, Quality Management and Implications for Practice
NURS 4610 Baccalaureate Nursing Practice (Internship Area Selected by Student)
PSCH 4320 Multicultural Psychology

Lamar Institute of Technology
February 2013

COLLEGE/ Academic Unit	COURSE ADDITIONS	COURSE DELETIONS	COURSE CHANGES: PREFIX, HRS, NUMBER AND/OR TITLE	NET ADDITIONS/ DELETIONS
BUSINESS TECHNOLOGIES				
Business & Computer Information Systems	15	14	2	1
Real Estate	2	1	1	1
ALLIED HEALTH & SCIENCE				
Diagnostic Cardiac Sonography	1	2	0	(1)
Occupational Safety & Health	1	1	1	0
TOTAL				

BUSINESS TECHNOLOGIES DEPARTMENT

Business & Computer Information Systems

DELETIONS

ACNT 1303 Introduction to Accounting I
 ACNT 1411 Introduction to Computerized Accounting
 ITSE 1431 Introduction to Visual Basic Programming
 ITSE 1301 Web Design Tools
 ITSE 1407 Introduction to C++ Programming
 ITSE 2449 Advanced Visual Basic Programming
 ITSE 1350 Systems Analysis and Design
 ITSE 2417 Java Programming
 ITSE 1445 Introduction to Oracle SQL
 ITSE 2459 Advanced Computer Programming
 ITSW 1301 Introduction to Word Processing
 ITSW 1310 Introduction to Presentation Graphics Software
 ITSW 2334 Advanced Spreadsheets
 ITSW 2337 Advanced Database

ADDITIONS

BCIS 1305 Business Computer Applications
 COSC 1436 Programming Fundamentals I
 IMED 1316 Web Design I
 IMED 1345 Interactive Digital Media I
 IMED 2315 Web Design II
 IMED 2345 Interactive Digital Media II
 INEW 1440 ASP.NET Programming
 ITSC 1305 Introduction to PC Operating Systems
 ITSC 2335 Application Problem Solving
 ITSE 1430 Introduction to C# Programming
 ITSE 1406 PHP Programming
 ITSE 2313 Web Offering
 ITSW 1307 Introduction to Database
 POFI 1391 Special Topics in Information Processing/Data Entry Technician

POFI 2301 Word Processing

CHANGES

FROM

MATH 1332 Contemporary Math

TO

MATH 1314 College Algebra or MATH 1332 Contemporary Math

FROM

ENGL 2311 Technical & Business Writing

TO

ENGL 1302 Composition II or ENGL 2311 Technical & Business Writing

Real Estate

DELETIONS

RELE 1321 Real Estate Marketing

ADD

RELE 1300 Contract Forms and Addenda

MKTG 1311 Principles of Marketing

CHANGES

FROM

RELE 1311 Real Estate Law

TO

RELE 1311 Law of Contracts

ALLIED HEALTH AND SCIENCES DEPARTMENT

Diagnostic Cardiac Sonography, A.A.S. and A.T.C.

DELETIONS

DSVT 2300 Vascular Technology Applications

MATH 1332 Contemporary Mathematics

ADDITIONS

MATH 1314 College Algebra

Occupational Safety and Health A.A.S. and Certificate

DELETIONS

OSHT 1309 Physical Hazards Control 3:3:0

ADDITIONS

OSHT 1209 Physical Hazards Control 2:2:1

CHANGES

FROM

OSHT 2305 Ergonomics and Human Factors 3:2:2

TO

OSHT 2305 Ergonomics and Human Factors 3:3:0

TSUS: Out-of-State/Out-of-Country Course Offerings

Recommendation

The proposed Out-of-State/Out-of-Country Course Offerings for the following Texas State University System components are approved.

Background

In accordance with the *System Rules and Regulations, Chapter III, Section 1.(10) Curriculum Matters, Subsection 1.(10)6 Out-of-state course offerings* shall be submitted to the Board of Regents for approval.

LAMAR UNIVERSITY

Location: Florence, Italy
Course Number and Title: ARTS-4392, Section 01 Drawing
Dates of Travel: Summer I, 2013
June 4-July 3, 2013 Florence, Italy
Instructor: Assistant Professor Xenia Fedorchenko
Credit for Course 3 Credit Hours

Location: Monkey Bay Sanctuary, Belize
Course Number and Title: BIOL-4401, Section 01 Tropical Watershed Ecology
BIOL-5401, Section 01 Tropical Watershed Ecology
Dates of Travel: Summer I, 2013
June 5-19, 2013 Monkey Bay Sanctuary, Belize
May 17-31, 2013 Pre-travel instruction
June 28, 2013 Field Journals Due
Instructor: Associate Professor Matt Hoch (lead instructor)
Professor Ana Christensen
Credit for Course 4 Hours

Location: Monkey Bay Sanctuary, Belize
Course Number and Title: BIOL-4401, Section 02 Tropical Marine Biology
BIOL-5401, Section 02 Tropical Marine Biology
Dates of Travel: Summer 1, 2013
June 5-19, 2013 Monkey Bay Sanctuary, Belize
May 17- 31, 2013 Pre-travel course instruction
June 28, 2013 Field Journals Due
Instructor: Professor Ana Christensen (lead instructor)
Associate Professor Matt Hoch
Credit for Course 4 Hours

Location: Dublin and surrounding areas, Ireland
Course Number and Title: FCSC-4310, Section 01 The Emerald Isle: A Cultural Tour of Ireland
Dates of Travel: May Mini Session, 2013
May 20 – May 28, 2013
May 15-17, 2013 Pre-travel instruction and orientation
Instructor: Assistant Professor Jan Kimmons
Associate Professor Connie Ruiz
Credit for Course 3 Credit Hours

Location: Florence, Italy
Course Number and Title: HIST-4300, Section 01 Renaissance and Reformation 1300-1600
Dates of Travel: Summer I, 2013
June 4-July 3, 2013 Florence, Italy
Instructor: Associate Professor Rebecca Boone
Credit for Course 3 Credit Hours

Location: Universidad de la America, Quito, Ecuador
Course Number and Title: HLTH-3337, Section 01 U.S and International Health Disparities
Dates of Travel: Summer I 2013
June 7-June 22, 2013 Quito, Ecuador
May 16-June 5, 2013 Pre-travel instruction: face-to-face and online
Instructor: Assistant Professor Israel Msengi
Credit for Course 3 Credit Hours

Location: Universidad de la America, Quito, Ecuador
Course Number and Title: HLTH-4301, Section 01 International Health Foreign Experience
Dates of Travel: Summer I 2013
June 7-June 22, 2013 Quito, Ecuador
May 19-June 5, 2013 Pre-travel instruction: face-to-face and online
Instructor: Assistant Professor Israel Msengi
Credit for Course 3 Credit Hours

Location: Salamanca, Spain
Course Number and Title: SPAN-2312, Section 01 Intermediate Spanish II
Dates of Travel: Summer I, 2013
June 1-July1, 2013
May 15-17, 2013, Pre-travel course instruction and orientation
Instructor: Instructor Norma Zarzosa
Credit for Course 3 Credit Hours

Location: Salamanca, Spain
Course Number and Title: SPAN-3300, Section 01 Conversation
Dates of Travel: Summer I, 2013
June 1-July1, 2013
May 15-17, 2013, Pre-travel course instruction and orientation
Instructor: Instructor Norma Zarzosa
Credit for Course 3 Credit Hours

Location: Salamanca, Spain
Course Number and Title: SPAN-4330, Section 01 Spanish Peninsular Literature I
Dates of Travel: Summer I, 2013
June 1-July1, 2013
May 15-17, 2013, Pre-travel course instruction and orientation
Instructor: Professor Christine Bridges-Esser
Credit for Course 3 Credit Hours

Location: Salamanca, Spain
Course Number and Title: SPAN-4340, Section 01 Spanish Peninsular Literature II
Dates of Travel: Summer I, 2013
June 1-July1, 2013
May 15-17, 2013, Pre-travel course instruction and orientation
Instructor: Professor Christine Bridges-Esser
Credit for Course 3 Credit Hours

**SAM HOUSTON STATE UNIVERSITY
OUT-OF-COUNTRY/OUT-OF-STATE STUDY PROGRAMS
ANNUAL REPORT FOR SPRING SEMESTER 2012 THROUGH FALL SEMESTER 2012**

Program	Country	Courses Offered	Hours of Credit	Number of Students	Instructors	Cost to University	Cost to Student
Agriculture	Costa Rica	AGRI 4364 or AGRI 5369	3	13	A. Wolfskill	\$722.00	\$2061.00
Agriculture	Costa Rica	AGRI 4369 or AGRI 5369	3	12	R. Lane	\$722.00	\$2061.00
Biological Sciences	Mexico	BIOL 3380	3	6	J. Williams	\$1850.00	\$2141.50
Counselor Education	Costa Rica	COUN 7110	3	10	M. Nichter	\$2306.95	\$2849.84
Criminal Justice	Italy	CRIJ 4377 or CRIJ 6394	3	11	W. Oliver	\$0.00	\$3241.50
Criminal Justice	England and Scotland	CRIJ 4377 or CRIJ 6394	3	14	M. Roth	\$0.00	\$3441.50
Economics	China	ECON 4380	3	12	M. Berg	\$752.05	\$4291.50
Economics	Japan	ECON 3341	3	11	H. Nath	\$1283.50	\$5682.00
Economics	Japan	ECON 3344	3	12	H. Nath	\$1283.50	\$5682.00
Education	Spain	CIEE 4385	3	16	K. Eidson	\$0.00	\$4700.00
Education	Spain	CIME 4375	3	15	S. Swicegood	\$0.00	\$4700.00
Educational Leadership	Costa Rica	EDLD 7387	3	15	R. Bustamante	\$2207.28	\$2773.68
French	France	FREN 2311	3	11	C. Payne	\$0.00	\$3300.00
French	France	FREN 2312	3	11	C. Payne	\$0.00	\$3300.00
Geography	Thailand	GEOG 4375	3	15	M. Gillespie	\$2530.34	\$3091.50
Library Science	United States	LSSL 5376	3	12	M. Bell	\$1145.93	\$2081.50
Management	China	MGMT 4320	3	10	M. Berg	\$752.05	\$4291.50
Physics	Australia and United States	PHYS 1311	3	5	S. Miller	\$4450.00	\$5141.50
Physics	Australia and United States	PHYS 1111	1	6	S. Miller	\$0.00	\$4668.50
Physics	Australia and United States	PHYS 1312	3	4	C. James	\$4450.00	\$5141.50
Physics	Australia and United States	PHYS 1112	1	6	C. James	\$0.00	\$4668.50
Spanish	Costa Rica	SPAN 2311	3	7	F. Koeninger	\$552.00	\$2175.00
Spanish	Costa Rica	SPAN 2312	3	7	F. Koeninger	\$552.00	\$2175.00

**SAM HOUSTON STATE UNIVERSITY
OUT-OF-COUNTRY/OUT-OF-STATE STUDY PROGRAMS
ANNUAL REPORT FOR SPRING SEMESTER 2012 THROUGH FALL SEMESTER 2012**

Spanish	Costa Rica	SPAN 3369	3	10	K. Raymond	\$552.00	\$2175.00
Spanish	Costa Rica	SPAN 4370	3	11	K. Raymond	\$552.00	\$2175.00
Spanish	Spain	SPAN 3380	3	13	E. Mallen	\$1500.00	\$3036.00
Spanish	Spain	SPAN 4365	3	18	E. Mallen	\$1500.00	\$3036.00

The following courses, which were originally submitted, were cancelled, and thus not included in this report:

China: CRIJ 4377 or 6394

Costa Rica: ENGL 3338

Costa Rica: ENGL 4378

Costa Rica: FACS 4368

Costa Rica: MKTG 4340 or 5380

Costa Rica: MKTG 4380 or 5380

France: MCOM 4399

France: FACS 4368

Italy: BESL 2301

Italy: BESL 5302

Italy: ECHE 4388 or 5388

Spain: SPAN 4375

United Kingdom: THEA 4392

United States: HIST 4370

Texas State University-San Marcos

Out-of-Country Study Programs Annual Report Spring, Summer, and Fall 2012

SEMESTER	PROGRAM	COUNTRY	COURSES OFFERED	HOURS OF CREDIT	NUMBER OF STUDENTS	INSTRUCTOR	COST TO UNIVERSITY	COST PER STUDENT
Summer II	Field School	Belize	ANTH 4630	6	13	James Garber	\$0 (Extension)	\$2,765 (1)
Summer II	Business	Chile	MGT 4390G	3	4	Ivan Blanco	\$0 (Extension)	\$2,402 (2)
Summer II	Business	Chile	MGT 5375	3	18	Ivan Blanco	\$0 (Extension)	\$2,402 (2)
Summer II	Business	Chile	ECO 3317	3	4	John Mogab	\$0 (Extension)	\$2,402 (2)
Summer II	Business	Chile	ECO 5310	3	18	John Mogab	\$0 (Extension)	\$2,402 (2)
Summer I	Modern Languages	Costa Rica	SPAN 2310	3	28	Sergio Martinez	\$0 (Extension)	\$3,083 (3)
Summer I	Modern Languages	Costa Rica	SPAN 2320	3	29	Sergio Martinez	\$0 (Extension)	\$3,083 (3)
Summer I	Modern Languages	Costa Rica	SPAN 4390	3	3	Sergio Martinez	\$0 (Extension)	\$3,083 (3)
Summer I	Modern Languages	Costa Rica	SPAN 4390	3	4	Sergio Martinez	\$0 (Extension)	\$3,083 (3)
Summer II	Modern Languages	Costa Rica	SPAN 2310	3	8	Antonio Gragera	\$0 (Extension)	\$3,083 (3)
Summer II	Modern Languages	Costa Rica	SPAN 2320	3	9	Antonio Gragera	\$0 (Extension)	\$3,083 (3)
Summer II	Modern Languages	Costa Rica	SPAN 4390	3	6	Antonio Gragera	\$0 (Extension)	\$3,083 (3)
Summer II	Modern Languages	Costa Rica	SPAN 4390	3	7	Antonio Gragera	\$0 (Extension)	\$3,083 (3)
Summer I	Criminal Justice	Cuba	CJ 4363	3	1	Donna Vandiver	\$0 (Extension)	\$2,958 (4)
Summer I	Criminal Justice	Cuba	CJ 5360	3	10	Donna Vandiver	\$0 (Extension)	\$2,958 (4)

Summer I	Criminal Justice	Cuba	CJ 7350H	3	3	Donna Vandiver	\$0 (Extension)	\$2,958 (4)
Summer I	Theatre	England	TH 4323	3	11	Debra Charlton	\$0 (Extension)	\$3,147 (5)
Summer I	Theatre	England	TH 4324	3	11	Debra Charlton	\$0 (Extension)	\$3,147 (5)
Summer I	Theatre	England	TH 5323	3	2	Debra Charlton	\$0 (Extension)	\$3,147 (5)
Summer I	Theatre	England	TH 5324	3	2	Debra Charlton	\$0 (Extension)	\$3,147 (5)
Summer II	Liberal Arts/Social Work	England	HIST 3315	3	7	Bryan Mann	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	HIST 4317	3	9	Bryan Mann	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	HIST 4388	3	3	Bryan Mann	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ENG 2310	3	5	John Blair	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ENG 3311	3	2	John Blair	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ENG 3390	3	1	John Blair	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ANTH 1312	3	2	Jon McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ANTH 4320	3	7	Jon McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ANTH 4360	3	3	Jon McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ANTH 5320	3	2	Jon McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	ANTH 5390	3	2	Jon McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	SOWK 3305	3	7	Stacie McGee	\$0 (Extension)	\$3,397 (6)
Summer II	Liberal Arts/Social Work	England	SOWK 3339	3	8	Stacie McGee	\$0 (Extension)	\$3,397 (6)
Summer I	Anthropology	Ethiopia	ANTH 3322	3	9	Augustine Agwuele	\$0 (Extension)	\$2,597 (7)

Summer I	Anthropology	Ethiopia	ANTH 4360	3	4	Augustine Agwuele	\$0 (Extension)	\$2,597 (7)
Summer I	Anthropology	Ethiopia	ANTH 4380	3	5	Augustine Agwuele	\$0 (Extension)	\$2,597 (7)
Summer II	Modern Languages/Honors	France	FR 1410	4	3	Carole Martin	\$0 (Extension)	\$3,060 (8)
Summer II	Modern Languages/Honors	France	FR 1420	4	3	Carole Martin	\$0 (Extension)	\$3,060 (8)
Summer II	Modern Languages/Honors	France	FR 2310	3	7	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer II	Modern Languages/Honors	France	FR 2320	3	9	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer II	Modern Languages/Honors	France	FR 4304	3	2	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer II	Modern Languages/Honors	France	FR 4370	3	2	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer II	Modern Languages/Honors	France	FR 4390	3	4	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer II	Modern Languages/Honors	France	HON 3395L	3	9	Carole Martin	\$0 (Extension)	\$2,859 (9)
Summer I	Geography	Germany	GEO 4310	3	13	James Petersen	\$0 (Extension)	\$3,377 (10)
Summer I	Geography	Germany	GEO 4390	3	13	James Petersen	\$0 (Extension)	\$3,377 (10)
Spring	History	India	HIST 4350P	3	7	Jesus de la Teja	\$0 (Extension)	\$2,478 (11)
Spring	History	India	HIST 5362	3	4	Jesus de la Teja	\$0 (Extension)	\$2,478 (11)
Spring	History	India	HIST 4350J	3	7	Leah Renold	\$0 (Extension)	\$2,478 (11)
Spring	History	India	HIST 5395E	3	4	Leah Renold	\$0 (Extension)	\$2,478 (11)
Summer II	English	Ireland	ENG 3311	3	12	Nancy Wilson	\$0 (Extension)	\$2,896 (12)
Summer II	English	Ireland	ENG 5395	3	5	Nancy Wilson	\$0 (Extension)	\$2,896 (12)
Summer II	English	Ireland	ENG 3341	3	12	Steve Wilson	\$0 (Extension)	\$2,896 (12)

Summer II	English	Ireland	ENG 5323	3	5	Steve Wilson	\$0 (Extension)	\$2,896 (12)
Summer I	Art & Design	Italy	ARTH 4321I	3	36	Alan Pizer	\$0 (Extension)	\$760 (13)
Summer I	Art & Design	Italy	ARTS 4358	3	17	Jill Pankey	\$0 (Extension)	\$760 (13)
Summer I	Art & Design	Italy	ARTS 2321	3	16	Teri Evans-Palmer	\$0 (Extension)	\$760 (13)
Summer I	Art & Design	Italy	ARTS 4308I	3	3	Teri Evans-Palmer	\$0 (Extension)	\$760 (13)
Summer I	Modern Languages	Italy	ITAL 2310	3	7	Moira Jackson	\$0 (Extension)	\$4,811 (14)
Summer I	Modern Languages	Italy	ITAL 2320	3	7	Moira Jackson	\$0 (Extension)	\$4,811 (14)
Summer I	Modern Languages	Japan	JAPA 2310	3	4	Mayumi Moriuchi	\$0 (Extension)	\$3,834 (15)
Summer I	Modern Languages	Japan	JAPA 2320	3	6	Mayumi Moriuchi	\$0 (Extension)	\$3,834 (15)
Summer I	Modern Languages	Japan	LING 4390	3	13	Mayumi Moriuchi	\$0 (Extension)	\$3,834 (15)
Summer I	Modern Languages	Japan	LING 4390	3	17	Mayumi Moriuchi	\$0 (Extension)	\$3,834 (15)
Summer I	Political Science	Peru	POSI 3335	3	1	Omar Sanchez-Sibony	\$0 (Extension)	\$3,314 (16)
Summer I	Political Science	Peru	POSI 4338	3	1	Omar Sanchez-Sibony	\$0 (Extension)	\$3,314 (16)
Summer I	Political Science	Peru	POSI 5385	3	7	Omar Sanchez-Sibony	\$0 (Extension)	\$3,314 (16)
Summer I	Political Science	Peru	POSI 5398	3	7	Omar Sanchez-Sibony	\$0 (Extension)	\$3,314 (16)
Summer II	Education	South Africa	CI 4378	3	4	Lori Assaf	\$0 (Extension)	\$3,042 (17)
Summer II	Education	South Africa	CI 5375	3	1	Lori Assaf	\$0 (Extension)	\$3,042 (17)
Summer II	Education	South Africa	RDG 4380	3	4	Lori Assaf	\$0 (Extension)	\$3,042 (17)
Summer II	Education	South Africa	RDG 5380	3	1	Lori Assaf	\$0 (Extension)	\$3,042 (17)

Summer I	Business	Spain	BA 4300	3	3	Karen Smith	\$0 (Extension)	\$3,504 (18)
Summer I	Business	Spain	MKT 3343	3	9	Karen Smith	\$0 (Extension)	\$3,504 (18)
Summer I	Business	Spain	MKT 4310	3	15	Karen Smith	\$0 (Extension)	\$3,504 (18)
Summer I	Business	Spain	BA 4300	3	2	Vivek Shah	\$0 (Extension)	\$3,504 (18)
Summer I	Business	Spain	CIS 3380	3	24	Vivek Shah	\$0 (Extension)	\$3,504 (18)
Summer I	Business	Spain	MGT 4330	3	15	Vivek Shah	\$0 (Extension)	\$3,504 (18)
Summer I	Modern Languages	Spain	SPAN 2320	3	20	Nieves Pujalte	\$0 (Extension)	\$3,129 (19)
Summer I	Modern Languages	Spain	SPAN 4390	3	8	Nieves Pujalte	\$0 (Extension)	\$3,129 (19)
Summer I	Modern Languages	Spain	SPAN 4390	3	9	Nieves Pujalte	\$0 (Extension)	\$3,129 (19)
Summer I	Modern Languages	Spain	SPAN 2310	3	19	Yuri Porras	\$0 (Extension)	\$3,129 (19)
Summer II	Computer Science	Spain	CS 4354	3	8	Khosrow Kaikhah	\$0 (Extension)	\$2,996 (20)
Summer II	Computer Science	Spain	CS 4368	3	8	Khosrow Kaikhah	\$0 (Extension)	\$2,996 (20)
Summer II	Political Science	Spain	POSI 2320	3	21	Hassan Tajalli	\$0 (Extension)	\$2,836 (21)
Summer II	Political Science	Spain	POSI 2310	3	20	Maria de la Luz Valverde	\$0 (Extension)	\$2,836 (21)
Summer II	Political Science	Spain	POSI 4379	3	3	Maria de la Luz Valverde	\$0 (Extension)	\$2,836 (21)
Summer II	Political Science	Spain	POSI 4379	3	1	Maria de la Luz Valverde	\$0 (Extension)	\$2,836 (21)
Fall	Modern Languages	Spain	SPAN 3370	3	1	Ricardo de la Fuente	\$0 (Extension)	\$7,748 (22)
Fall	Modern Languages	Spain	SPAN 3371	3	1	Ricardo de la Fuente	\$0 (Extension)	\$7,748 (22)
Fall	Modern Languages	Spain	SPAN 4340	3	1	Ricardo de la Fuente	\$0 (Extension)	\$7,748 (22)

Fall	Modern Languages	Spain	SPAN 4380B	3	1	Ricardo de la Fuente	\$0 (Extension)	\$7,748 (22)
Spring	Modern Languages	Spain	SPAN 3370	3	2	Ricardo de la Fuente	\$0 (Extension)	\$7,736 (23)
Spring	Modern Languages	Spain	SPAN 3371	3	2	Ricardo de la Fuente	\$0 (Extension)	\$7,736 (23)
Spring	Modern Languages	Spain	SPAN 4340	3	2	Ricardo de la Fuente	\$0 (Extension)	\$7,736 (23)
Spring	Modern Languages	Spain	SPAN 4380B	3	2	Ricardo de la Fuente	\$0 (Extension)	\$7,736 (23)

- (1) Assumes student enrolled in 1 course with travel expenses of \$1,559.00 and other expenses of \$1,206.00. Typical enrollment was in 1 course at a total cost of \$2,765.00.
- (2) Assumes student enrolled in 1 course with travel expenses of \$1,799.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,005.00.
- (3) Assumes student enrolled in 1 course with travel expenses of \$2,480.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,686.00.
- (4) Assumes student enrolled in 1 course with travel expenses of \$2,355.00 and other expenses of \$603.00. Typical enrollment was in 1 course at a total cost of \$2,958.00.
- (5) Assumes student enrolled in 1 course with travel expenses of \$2,544.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,750.00.
- (6) Assumes student enrolled in 1 course with travel expenses of \$2,794.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$4,000.00.
- (7) Assumes student enrolled in 1 course with travel expenses of \$1,994.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,200.00.
- (8) Assumes student enrolled in 1 course with travel expenses of \$2,256.00 and other expenses of \$804.00. Typical enrollment was in 2 courses at a total cost of \$3,864.00.
- (9) Assumes student enrolled in 1 course with travel expenses of \$2,256.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,462.00.
- (10) Assumes student enrolled in 1 course with travel expenses of \$2,774.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,980.00.
- (11) Assumes student enrolled in 1 course with travel expenses of \$1,875.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,081.00.
- (12) Assumes student enrolled in 1 course with travel expenses of \$2,293.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,499.00.

- (13) Assumes student enrolled in 1 course with travel expenses of \$157.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$1,363.00.
- (14) Assumes student enrolled in 1 course with travel expenses of \$4,208.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$5,414.00.
- (15) Assumes student enrolled in 1 course with travel expenses of \$3,231.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$4,437.00.
- (16) Assumes student enrolled in 1 course with travel expenses of \$2,711.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,917.00.
- (17) Assumes student enrolled in 1 course with travel expenses of \$2,439.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,645.00.
- (18) Assumes student enrolled in 1 course with travel expenses of \$2,901.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$4,107.00.
- (19) Assumes student enrolled in 1 course with travel expenses of \$2,526.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,732.00.
- (20) Assumes student enrolled in 1 course with travel expenses of \$2,393.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,599.00.
- (21) Assumes student enrolled in 1 course with travel expenses of \$2,233.00 and other expenses of \$603.00. Typical enrollment was in 2 courses at a total cost of \$3,439.00.
- (22) Assumes student enrolled in 1 course with travel expenses of \$7,145.00 and other expenses of \$603.00. Typical enrollment was in 4 courses at a total cost of \$9,557.00.
- (23) Assumes student enrolled in 1 course with travel expenses of \$7,133.00 and other expenses of \$603.00. Typical enrollment was in 4 courses at a total cost of \$9,545.00.

Texas State University-San Marcos
Out-of-State Programs

Institution: Texas State University
Location: Ashland, OR - USA
Dates of Study: July 31, 2013 – August 8, 2013
Dates of Travel: July 31, 2013 – August 8, 2013
Instructor: Dr. Charles Ney
Subject: TH 3367, TH 5361
Credit: 3 SCH

TSUS: Statement of Budgeted Fund Balances

TEXAS STATE UNIVERSITY SYSTEM

STATEMENT OF BUDGETED FUND BALANCES

EXPLANATION OF INFORMATION CONTAINED IN THE STATEMENT

COLUMN 1

Description of fund balances by fund group and source of funds.

COLUMN 2

Fund balances as reported in the Annual Financial Report.

COLUMN 3

Fund balances included as a source of support for the 2012 fiscal year operating budget approved by the Board of Regents.

COLUMN 4

Additional Board of Regents approved usage of available beginning fund balances.

COLUMN 5

Net available fund balances. {Column 2 minus Columns 3 and 4}

UNRESTRICTED CURRENT FUND BALANCES

Funds representing the net accumulation, over the years, of the excess of current funds revenues over current funds expenditures and transfers. It is available for future operating purposes or any other use determined by the Board to the extent that the total fund balance exceeds the amount reported as Reserved.

COLUMN 6

Reservations for third party claims that have not materialized as liabilities against resources and the existence of assets that, because of their non-monetary nature or lack of liquidity, represent financial resources not available for current appropriation or expenditure.

TEXAS STATE UNIVERSITY SYSTEM
STATEMENT OF BUDGETED FUND BALANCES
EXPLANATION OF INFORMATION CONTAINED IN THE STATEMENT
(concluded)

Examples of reserved fund balances:

Reserve for Encumbrances
Reserve for Accounts Receivable
Reserve for Inventories
And Etc.

COLUMN 7

The allocation of the Unreserved Fund Balances represents managements' decision to assign tentative resources for definite plans and/or usages. It is within management's prerogative to change allocations and/or to designate these resources as unallocated.

COLUMN 8

The Unallocated balance of the Unreserved Fund Balances is the balance after considering the Reserved and Allocated designations.

NON-CURRENT FUNDS

Fund groups not available for current operating needs are classified as Non-current Funds. It should be noted that these funds are not included in the annual operating budgets that are approved by the Board.

COLUMN 9

Quasi-Endowments are funds functioning as endowments and are created by the Board of Regents. The funds, generally derived from current funds, are retained and invested for use to satisfy specific purposes. As a general rule these funds can be unendowed by the Board and returned to their status as a current fund.

Plant Funds are used to account for financial activities related to the institutions fixed assets. Fund balances within the Plant Funds are comprised primarily of Net Investment in Plant, funds held for the Retirement of Indebtedness, and funds needed to complete current construction contracts. Institutions may hold funds for anticipated or scheduled (projected) building projects. The institutions may revert these funds to current operating funds for utilization for operating purposes.

TEXAS STATE UNIVERSITY SYSTEM
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH DECEMBER 31, 2012

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 9/1/2012	ORIGINAL	SUPPLEMENTAL	BALANCE 12/31/2012		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
TOTAL E&G	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
DESIGNATED								
Other Income	3,233,029.28			3,233,029.28		3,233,029.28		
TOTAL DESIGNATED	3,233,029.28	0.00	0.00	3,233,029.28	0.00	3,233,029.28	0.00	0.00
AUXILIARY								
TOTAL AUXILIARY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ENDOWMENT AND SIMILAR FUNDS								
TOTAL ENDOWMENT AND SIMILAR FUNDS	7,722,184.85			7,722,184.85				7,722,184.85
PLANT FUNDS								
Invested in Capital Assets, Capital Projects	(771,681,132.33) (13,659,084.09)			(771,681,132.33) (13,659,084.09)				(771,681,132.33) (13,659,084.09)
TOTAL PLANT FUNDS	(785,340,216.42)	0.00	0.00	(785,340,216.42)	0.00	0.00	0.00	(785,340,216.42)
TOTAL ALL FUNDS	(774,385,002.29)	0.00	0.00	(774,385,002.29)	0.00	3,233,029.28	0.00	(777,618,031.57)

LAMAR UNIVERSITY
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH DECEMBER 31, 2012

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 9/1/2012	ORIGINAL	SUPPLEMENTAL	BALANCE 12/31/2012		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Dedicated GR Funds	61,688.88			61,688.88	61,688.88			
THECB Nursing Pass Through	233,715.00			233,715.00				233,715.00
HEAF	315,239.64			315,239.64			315,239.64	
TOTAL E&G	610,643.52	0.00	0.00	610,643.52	61,688.88	0.00	315,239.64	233,715.00
DESIGNATED								
Designated Tuition	7,292,002.85			7,292,002.85	3,721,058.02		3,570,944.83	
Information Technology	1,464,389.00			1,464,389.00	995,000.00		469,389.00	
Library Fees	420,810.00			420,810.00		420,810.00		
All Other Fees	750,606.85			750,606.85		750,606.85		
Distance Education	270,451.55			270,451.55			270,451.55	
Sales and Services	480,343.01			480,343.01		480,343.01		
Institutional Scholarships	2,760,716.29			2,760,716.29				2,760,716.29
Other Income	1,162,940.20			1,162,940.20		1,162,940.20		
TOTAL DESIGNATED	14,602,259.75	0.00	0.00	14,602,259.75	4,716,058.02	2,814,700.06	4,310,785.38	2,760,716.29
AUXILIARY								
Student Service Fees	(744,932.48)			(744,932.48)				
Medical Service Fees	(307,224.54)			(307,224.54)				
Student Center Fees	332,904.10			332,904.10		332,904.10		
Bookstore	1,706,587.68			1,706,587.68			1,706,587.68	
Parking	137,101.22			137,101.22		137,101.22		
Food Service	2,638,241.27			2,638,241.27			2,638,241.27	
Student Housing	5,270,651.21			5,270,651.21	5,270,651.21			
Montagne Center	(3,429,512.59)			(3,429,512.59)				
Athletic Fee	(5,992,005.72)			(5,992,005.72)				
Auxiliary Donation	2,973,448.04			2,973,448.04	2,973,448.04			
Other	22,797.90			22,797.90		22,797.90		
TOTAL AUXILIARY	2,608,056.09	0.00	0.00	2,608,056.09	8,244,099.25	492,803.22	4,344,828.95	0.00
ENDOWMENT AND SIMILAR FUNDS								
	14,669,723.98			14,669,723.98				14,669,723.98
PLANT FUNDS								
HEAF - Construction	8,697,085.42			8,697,085.42	0.00	8,697,085.42	0.00	
TOTAL PLANT FUNDS	8,697,085.42	0.00	0.00	8,697,085.42	0.00	8,697,085.42	0.00	0.00
TOTAL ALL FUNDS	41,187,768.76	0.00	0.00	41,187,768.76	13,021,846.15	12,004,588.70	8,970,853.97	17,664,155.27

SAM HOUSTON STATE UNIVERSITY
STATEMENT OF CHANGES IN BUDGETED FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 - NOVEMBER 30, 2012

DESCRIPTION	AVAILABLE BALANCE 9/1/2012	BUDGETED		AVAILABLE BALANCE 11/30/2012	RESERVED	CURRENT FUNDS		NON-CURRENT FUNDS RESTRICTED
		ORIGINAL	SUPPLEMENTAL			ALLOCATED	UNRESERVED UNALLOCATED	
EDUCATIONAL & GENERAL								
Fund 259	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
HEAF	3,263,058	-	-	3,263,058	-	3,263,058	-	-
TOTAL E&G	\$ 3,263,058	\$ -	\$ -	\$ 3,263,058	\$ -	\$ 3,263,058	\$ -	\$ -
DESIGNATED								
Designated Tuition	\$ 13,232,976	\$ -	\$ 20,000	\$ 13,212,976	\$ -	\$ 13,212,976	\$ -	\$ -
Course Fees	9,050	-	-	9,050	-	9,050	-	-
Computer Use Fees	3,133,609	-	708,980	2,424,629	-	2,424,629	-	-
Advisement Fees	906,156	-	-	906,156	-	906,156	-	-
Library Fees	412,097	-	-	412,097	-	412,097	-	-
Recreation Fees	1,439,767	-	100,000	1,339,767	-	1,339,767	-	-
All Other Fees	2,931,125	-	796,731	2,134,395	-	2,134,395	-	-
Indirect Cost Recovery	2,470,667	-	415,222	2,055,445	-	2,055,445	-	-
Continuing Education	-	-	-	-	-	-	-	-
Sales and Services	11,941,456	-	-	11,941,456	-	11,941,456	-	-
Investment Income	-	-	-	-	-	-	-	-
Other Income	219,577	-	-	219,577	-	219,577	-	-
TOTAL DESIGNATED	\$ 36,696,481	\$ -	\$ 2,040,933	\$ 34,655,548	\$ -	\$ 34,655,548	\$ -	\$ -
AUXILIARY								
Student Service Fees	\$ 1,472,405	\$ -	\$ 24,419	\$ 1,447,986	\$ -	\$ 1,447,986	\$ -	\$ -
Medical Service Fees	1,066,104	-	-	1,066,104	-	1,066,104	-	-
Student Center Fees	362,489	-	-	362,489	-	362,489	-	-
Athletics Student Service Fees	1,481,285	-	-	1,481,285	-	1,481,285	-	-
Recreational Sports Fees	-	-	-	-	-	-	-	-
Other Student Fees	-	-	-	-	-	-	-	-
Food Service	1,570,198	-	-	1,570,198	-	1,570,198	-	-
Housing	1,006,897	-	-	1,006,897	-	1,006,897	-	-
Other Sales and Services	7,133,196	-	236,856	6,896,340	-	6,896,340	-	-
Investment Income	944,940	-	-	944,940	-	944,940	-	-
TOTAL AUXILIARY	\$ 15,037,513	\$ -	\$ 261,275	\$ 14,776,239	\$ -	\$ 14,776,239	\$ -	\$ -
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	\$ 6,220,349	\$ -	\$ -	\$ 6,220,349	\$ -	\$ -	\$ -	\$ 6,220,349
PLANT FUNDS								
HEAF	\$ 4,036,748	\$ -	\$ -	\$ 4,036,748	\$ -	\$ -	\$ -	\$ 4,036,748
Tuition Revenue Bonds	-	-	-	-	-	-	-	-
Current Building Projects	30,865,711	-	-	30,865,711	-	-	-	30,865,711
Projected Building Projects	-	-	-	-	-	-	-	-
TOTAL PLANT FUNDS	\$ 34,902,460	\$ -	\$ -	\$ 34,902,460	\$ -	\$ -	\$ -	\$ 34,902,460
TOTAL ALL FUNDS	\$ 96,119,860	\$ -	\$ 2,302,207	\$ 93,817,653	\$ -	\$ 52,694,845	\$ -	\$ 41,122,808

SUL ROSS STATE UNIVERSITY-COMBINED
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH NOVEMBER 30, 2012

DESCRIPTION	AVAILABLE	BUDGETED	SUPPLEMENTAL	AVAILABLE	RESERVED	RESTRICTED	UNRESERVED	
	BALANCE			BALANCE			ALLOCATED	UNALLOCATED
	9/1/2012	ORIGINAL		11/30/12				
EDUCATIONAL & GENERAL								
Fund 262	511,139.15		-	511,139.15	511,139.15			
HEAF	2,707,545.20			2,707,545.20	2,707,545.20			
TOTAL E&G	3,218,684.35	-	-	3,218,684.35	3,218,684.35	-	-	-
DESIGNATED								
Designated Tuition	2,045,744.41	-	-	2,045,744.41	7,051.83	2,038,692.58		
Course Fees	4,533.20	-		4,533.20	85.52	4,447.68		
Computer Use Fees	434,948.57	-		434,948.57	3,178.62	431,769.95		
Advisement Fees								
Library Fees	28,612.19			28,612.19		28,612.19		
Recreation Fees								
All other Fees	1,391,511.93	-		1,391,511.93	1,941.36	1,389,570.57		
Indirect cost recovery	498,457.11			498,457.11	240.91	498,216.20		
Continuing Education	33,559.34			33,559.34		33,559.34		
Sales and Services								
Investment Income								
Other Income	2,241,864.04			2,241,864.04	34,518.41	2,207,345.63		
TOTAL DESIGNATED	6,679,230.79	-	-	6,679,230.79	47,016.65	6,632,214.14	-	-
AUXILIARY								
Student Service Fees	1,008,766.40	249,516.00	-	759,250.40		759,250.40		
Medical Service Fees	95,322.90	-		95,322.90		95,322.90		
Student Center Fees	(71,109.05)		-	(71,109.05)		(71,109.05)		
Recreational Sports Fee	105,768.79		-	105,768.79		105,768.79		
Other Student Fees	148,380.83		-	148,380.83		148,380.83		
Food Service								
Housing	1,440,383.23	-	-	1,440,383.23		1,440,383.23		
Other Sales and Services								
Investment Income								
TOTAL AUXILIARY	2,727,513.10	249,516.00	-	2,477,997.10	-	2,477,997.10	-	-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	-			-				
PLANT FUNDS								
HEAF	1,755,836.25			1,755,836.25				1,755,836.25
Current Building Projects	1,217,418.24		-	1,217,418.24				1,217,418.24
Reserve for Debt Service	51,761.54		-	51,761.54				51,761.54
Projected Building Projects	890,906.05		-	890,906.05				890,906.05
TOTAL PLANT FUNDS	3,915,922.08	-	-	3,915,922.08	-	-	-	3,915,922.08
TOTAL ALL FUNDS	16,541,350.32	249,516.00	-	16,291,834.32	3,265,701.00	9,110,211.24	-	3,915,922.08

TEXAS STATE UNIVERSITY-SAN MARCOS
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH NOVEMBER 30, 2012

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	CURRENT FUNDS			NON-CURRENT
	BALANCE 9/1/2012	ORIGINAL	SUPPLEMENTAL	BALANCE 11/30/2012	RESERVED	UNRESERVED ALLOCATED	UNALLOCATED	FUNDS RESTRICTED
EDUCATIONAL & GENERAL								
Fund 260	\$1,844,918		-	\$1,844,918	\$743,900		\$1,101,019	
TOTAL E&G	1,844,918		0	1,844,918	743,900		1,101,019	
DESIGNATED								
Designated Tuition	44,869,480		23,538,114	21,331,365.85	3,859,460	17,471,905.97		
Computer Use Fees	6,592,885		1,164,082	5,428,803.22	425,671	5,003,132.12		
Advisement Fees	3,347,895		200,000	3,147,894.67	21,485	3,126,409.44		
Library Fees	2,812,636		-	2,812,636.02	89,789	2,722,847.17		
All Other Fees	1,761,162		-	1,761,161.76	24,746	1,736,415.43		
Indirect Cost Recovery	3,305,930		2,367,278	938,651.88	127,243	811,408.72		
Sales and Services	13,250,734		2,277,490	10,973,243.97	1,439,310	9,533,934.17		
Other Income	3,654,597		-	3,654,596.64	1,296,593	2,358,003.80		
TOTAL DESIGNATED	79,595,319		29,546,965	50,048,354	7,284,297	42,764,057		-
AUXILIARY								
Student Service Fees	4,482,264		849,955	3,632,308.96	-	3,632,309		
Medical Service Fees	1,538,332		-	1,538,331.94	12,418	1,525,914		
Student Center Fees	1,884,450		-	1,884,450.02	13,717	1,870,733		
Recreational Sports Fees	2,815,961		175,000	2,640,960.68	90,370	2,550,591		
Other Student Fees	2,962,389		-	2,962,388.72	1,857	2,960,532		
Food Service	1,499,452		-	1,499,451.81	67,077	1,432,375		
Housing	15,199,099		3,500,000	11,699,099.08	663,158	11,035,941		
Other Sales and Services	16,104,281		207,358	15,896,923.21	5,349,941	10,546,983		
TOTAL AUXILIARY	46,486,227		4,732,313	41,753,914	6,198,536	35,555,378		-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	48,745,262			48,745,262.15				48,745,262
PLANT FUNDS								
HEAF	39,776,984	39,776,984		-				
Tuition Revenue Bonds	10,598,045		10,598,045	-				0
System Revenue Bonds	28,380,231		28,380,231	-				0
Projected Building Projects	37,750,790		37,750,790	-				0
TOTAL PLANT FUNDS	116,506,050	39,776,984	76,729,065	0	0	-	-	0
TOTAL ALL FUNDS	\$293,177,776	\$39,776,984	\$111,008,342	\$142,392,449	\$14,226,733	\$78,319,435	\$1,101,019	\$48,745,262

LAMAR INSTITUTE OF TECHNOLOGY
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH DECEMBER 31, 2012

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 09/01/12	ORIGINAL	SUPPLEMENTAL	BALANCE 12/31/12		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Fund 287	\$1,014,068			\$1,014,068	\$0	\$1,014,068		
HEAF	8,446,293	0	0	8,446,293	5,000,000	3,446,293		
TOTAL E&G	9,460,361	0	0	9,460,361	5,000,000	4,460,361	0	0
DESIGNATED								
Designated Tuition	5,164,783	0	0	5,164,783	2,000,000	3,164,783		
Course Fees	0	0	0	0	0	0		
Computer Use Fees	485,748	0	0	485,748	250,000	235,748		
Advisement Fees	0	0	0	0	0	0		
Library Fees	0	0	0	0	0	0		
Recreation Fees	0	0	0	0	0	0		
All Other Fees	54,351	0	0	54,351	0	54,351		
Indirect Cost Recovery	0	0	0	0	0	0		
Continuing Education	0	0	0	0	0	0		
Sales and Services	0	0	0	0	0	0		
Investment Income	0	0	0	0	0	0		
Other Income	0	0	0	0	0	0		
TOTAL DESIGNATED	5,704,882	0	0	5,704,882	2,250,000	3,454,882	0	0
AUXILIARY								
Student Service Fees	875,254	0	0	875,254	300,000	575,254		
Medical Service Fees	0	0	0	0	0	0		
Student Center Fees	0	0	0	0	0	0		
Recreational Sports Fees	0	0	0	0	0	0		
Other Student Fees	1,265,742	0	0	1,265,742	1,100,000	165,742		
Food Service	0	0	0	0	0	0		
Housing	0	0	0	0	0	0		
Other Sales and Services	0	0	0	0	0	0		
Investment Income	0	0	0	0	0	0		
TOTAL AUXILIARY	2,140,996	0	0	2,140,996	1,400,000	740,996	0	0
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	0	0	0	0	0	0	0	0
PLANT FUNDS								
HEAF	0	0	0	0	0	0		
T.R.B	0	0	0	0	0	0		0
Other	0			0	0	0		
TOTAL PLANT FUNDS	0	0	0	0	0	0	0	0
TOTAL ALL FUNDS	\$17,306,239	\$0	\$0	\$17,306,239	\$8,650,000	\$8,656,239	\$0	\$0

Lamar State College-Orange
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH NOVEMBER 30, 2012

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 9/1/2012	ORIGINAL	SUPPLEMENTAL	BALANCE 11/30/2012		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Fund 285	\$ 1,198,088			\$ 1,198,088		\$ 1,198,088		
HEAF	6,179,751		4,000,000	2,179,751	\$ 2,179,751			
TOTAL E&G	7,377,839	-	4,000,000	3,377,839	2,179,751	1,198,088	-	-
DESIGNATED								
Designated Tuition	3,251,011			3,251,011		3,251,011		
Computer Use Fees	2,307,716			2,307,716		2,307,716		
Advisement Fees				-		-		
Library Fees	209,903	85,745		124,158		124,158		
Recreation Fees				-		-		
All Other Fees	260,252			260,252		260,252		
Indirect Cost Recovery	1,466,666			1,466,666		1,466,666		
Continuing Education/ACE	725,476	317,217	78,106	330,153		330,153		
Other Income	132,917			132,917		132,917		
TOTAL DESIGNATED	8,353,941	402,962	78,106	7,872,873	-	7,872,873	-	-
AUXILIARY								
Student Service Fees	1,641,089			1,641,089		1,641,089		
Medical Service Fees				-		-		
Student Center Fees	394,479			394,479		394,479		
Recreational Sports Fees				-		-		
Other Student Fees	245,156			245,156		245,156		
Food Service	157			157		157		
Housing				-		-		
Other Sales and Services	425,247			425,247		425,247		
Investment Income				-		-		
TOTAL AUXILIARY	2,706,127	-	-	2,706,127	-	2,706,127	-	-
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments				-		-		
PLANT FUNDS								
HEAF				-		-		
Current Building Projects				-		-		
Projected Building Projects				-		-		
TOTAL PLANT FUNDS	-	-	-	-	-	-	-	-
TOTAL ALL FUNDS	\$ 18,437,908	\$ 402,962	\$ 4,078,106	\$ 13,956,840	\$ 2,179,751	\$ 11,777,089	\$ -	\$ -

LAMAR STATE COLLEGE PORT ARTHUR
STATEMENT OF CHANGES IN FUND BALANCES
FOR THE PERIOD SEPTEMBER 1, 2012 THROUGH AUGUST 31, 2013

DESCRIPTION	AVAILABLE	BUDGETED		AVAILABLE	RESERVED	UNRESERVED		RESTRICTED
	BALANCE 09/01/12	ORIGINAL	SUPPLEMENTAL	BALANCE 12/31/12		ALLOCATED	UNALLOCATED	
EDUCATIONAL & GENERAL								
Fund 286	\$449,505			\$449,505	\$449,505			
HEAF	3,200,552	1,100,287		2,100,265	2,100,265			
TOTAL E&G	3,650,057	1,100,287		2,549,770	2,549,770	0	0	0
DESIGNATED								
Designated Tuition	906,602			906,602	906,602	0		
Institutional Scholarships	283,742			283,742		283,742		
Computer Use Fees	230,360			230,360		230,360		
Insurance Contingency	271,232			271,232		271,232		
Application Fees	69,030			69,030		69,030		
IT Contingency	412,771			412,771	60,000	352,771		
All Other Fees	3,364			3,364		3,364		
Indirect Cost Recovery				0		0		
Continuing Education				0		0		
Sales and Services				0		0		
Investment Income				0		0		
Other Income				0		0		
TOTAL DESIGNATED	2,177,101	0	0	2,177,101	966,602	1,210,499	0	0
AUXILIARY								
Auxiliary Services				0		0		
Student Service Fees	258,104			258,104		258,104		
Parking Fee	125,101			125,101		125,101		
Bookstore	135,880			135,880		135,880		
Other Sales and Services	34,891			34,891		34,891		
TOTAL AUXILIARY	553,976	0	0	553,976	0	553,976	0	0
ENDOWMENT AND SIMILAR FUNDS								
Quasi-Endowments	1,078,598			1,078,598		0		1,078,598
PLANT FUNDS								
HEAF				0				
T.R.B				0				
Other				0				
TOTAL PLANT FUNDS				0				
TOTAL ALL FUNDS	\$7,459,731	\$1,100,287	\$0	\$6,359,444	\$3,516,372	\$1,764,474	\$0	\$1,078,598

TSUS: Adjustment of Budgets

Recommendation

The proposed Budget Adjustments for the Texas State University System administration office and components are approved.

<u>FISCAL YEAR 2012</u>		
	<u>Original Budget</u>	<u>Adjusted Budget</u>
<u>System Administration</u>	6,665,451	6,665,451
<u>Lamar University</u>	194,802,698	196,706,262
<u>Sam Houston State University</u>	263,966,714	272,370,192
<u>Sul Ross State University</u>	40,768,843	40,768,843
<u>Texas State University-San Marcos</u>	548,470,639	584,568,229
<u>Lamar Institute of Technology</u>	21,338,183	21,457,965
<u>Lamar State College-Orange</u>	19,841,161	24,563,494
<u>Lamar State College-Port Arthur</u>	19,273,975	19,360,975
<u>System Total</u>	<u>\$1,115,127,664</u>	<u>\$1,166,461,411</u>

Background

In accordance with the System *Rules and Regulations, Chapter III, Section 1.3 Financial Matters*, budget adjustments shall be submitted to the Board of Regents for approval.

TEXAS STATE UNIVERSITY SYSTEM
2012-2013 OPERATING BUDGET & RELATED ADJUSTMENTS
For the Month Ending 12/31/2012

	<u>Adjustments Requiring Board Approval</u>			Adjustments Approved by Chancellor	Adjusted Revenue Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
EDUCATIONAL AND GENERAL REVENUE BUDGET					
State General Revenue	\$2,225,000				\$2,225,000
Employee Benefits - Retirement	139,730				\$139,730
Employee Benefits - Group Insurance	135,294				\$135,294
Employee Benefits - Social Security	137,978				\$137,978
Special Mineral Fund	0				\$0
Investment Income	15,000				\$15,000
Texas State University System Foundation	51,400				\$51,400
Fund Balances	0				\$0
Central Service Charge	3,961,049				\$3,961,049
Totals	\$6,665,451	\$0	\$0	\$0	\$6,665,451

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET \$ 6,665,451

	Original Budget	Adjustments Previously Approved:		Adjusted Budget
		Board	Chancellor	
		\$0	\$0	
	<u>Original Budget</u>	<u>Adjustments this Period</u>		
Salaries and Wages	\$3,493,429		\$9,888	\$3,503,317
Employee Fringe Benefits	856,738		2,274	859,012
Professional Fees	1,031,153			1,031,153
Travel	169,667			169,667
Material and Supplies	106,610			106,610
Rentals and Leases	33,534			33,534
Printing and Publications	9,405			9,405
Furniture and Equipment	-			0
Other	612,829		(12,162)	600,667
Communications and Utilities	42,873			42,873
Repairs and Maintenance	4,600			4,600
Transfers Out	304,613			304,613
Total	\$6,665,451			\$6,665,451

Total Adjustments Submitted for Board Approval this Period \$0
Total Adjustments Approved by Chancellor This Period: \$0

Total Cumulative Adjustments Approved by Board: 0
Total Cumulative Adjustments Approved by Chancellor: 0

EDUCATIONAL AND GENERAL EXPENDITURE BUDGET ADJUSTED \$6,665,451

FY 2013 2nd Quarter Budget Adj for 02 15 13 BOR mtg.xlsx

LAMAR UNIVERSITY
2012-2013 OPERATING BUDGET AND RELATED ADJUSTMENTS
For Period Ending 12/31/2012

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 92,020,435	\$ 542,776	\$ 1,091,543	\$ -	93,654,754
Designated	66,492,060	54,376	144,825	16,000	66,707,261
Auxiliary Enterprises	36,290,203	34,268	19,776	-	36,344,247
Total	\$ 194,802,698	\$ 631,420	\$ 1,256,144	\$ 16,000	196,706,262

EDUCATION & GENERAL

Original Budget: 92,020,435

Previously Approved by Board: 542,776

Requested Budget Adjustments:

Salary Increases

Instructional - Salary Increases

Instructor- Director of writing Center	5,800
Coordinator of Graduate Studies	13,000
Visiting Asst Professor	15,166
Visiting Assistant Professor	15,820

Student Services - Salary Increases

Dir of Intl Stu Services & Recruitment	6,850
Assoc Dir International Student Services	7,000
Director Student Services with Disabilities	10,064

Facilities - Salary Increases

Carpenter Senior	1,596
Carpenter Senior	25,500

Campus Security - Certificate Pay Earned

Police Officer	1,200
Police Sergeant	2,700
Police Officer	1,200
Police Officer	900
Police Officer	2,700

New Positions

Instructional- New Positions

Administrative Associate	22,656
Assistant Professor	70,000
Assistant Professor	64,231
MIC dir Mktg Research Chemist	40,896
Assistant Professor	52,000
Assistant Professor	52,000
Associate Professor	90,000
Chair/Professor	75,000

Research - New Position		
Associate Provost Research		108,000
Student Services - New Positions		
Academic Advisor		31,224
Academic Advisor		31,224
Academic Advisor		31,224
Academic Advisor		31,224
Academic Advisor		31,224
Academic Advisor		31,224
Assoc Dir International Student Services		50,000
Financial Aid Specialist Sr		31,500
Information Technology - New Positions		
Information Security Analyst		47,160
Information Security Analyst		70,740
Facilities - New Position		
Information Center Assistant		20,520
Total Requested Budget Adjustments This Period:		<u>1,091,543</u>
Cumulative Adjustments Approved by President:		
Previously Reported:		
Approved This Period:		
None		
Total Adjustments Approved by President This Period:		<u>-</u>
Total Cumulative Adjustments Approved by President:		<u>-</u>
Adjusted Budget:		<u>93,654,754</u>
DESIGNATED		
Original Budget:		<u>66,492,060</u>
Previously Approved by Board:		<u>54,376</u>
Requested Budget Adjustments:		
Salary Increases		
Instructional - Salary Increase		
Broadcast Programming Manager		15,100
Academic Support - Salary Increase		
Academic Advise-Academic Partnership		31,224
Director SHS Clinics		5,388
Institutional Support - Salary Increase		
Marketing & Promotions Specialist		6,568
Risk Management Stipend		10,000
New Positions		
Instructional - New Position		
Faculty Development		76,545
Information Technology - New Positions		
Programmer Analyst Sr		42,876
Tech Application Manager		51,876

Programmer Analyst Sr	42,876
Total Requested Budget Adjustments This Period:	<u>144,825</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	5,000
Approved This Period:	
Additional M&O Budget Enterprise Services	<u>11,000</u>
Total Adjustments Approved by President This Period:	<u>11,000</u>
Total Cumulative Adjustments Approved by President:	<u>16,000</u>
Adjusted Budget:	<u>66,707,261</u>
AUXILIARY ENTERPRISES	
Original Budget:	<u>36,290,203</u>
Previously Approved by Board:	<u>34,268</u>
Requested Budget Adjustments:	
Salary Increases	
Director- Stud Activities & Comm Services	7,776
Head Golf Coach Men & Women	6,000
Head Golf Coach Men & Women	6,000
	-
Total Requested Budget Adjustments This Period:	<u>19,776</u>
Cumulative Adjustments Approved by President:	
None	-
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>0</u>
Adjusted Budget:	<u>36,344,247</u>

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 11/30/2012

Fund	Adjustments Requiring Board Approval			Adjustments Approved by President(YTD)Over 150k	Adjusted Budget
	Original Budget(2013)	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 99,978,445	\$ 20,572	\$ -		\$ 99,999,017
Designated	\$ 112,207,012	\$ 203,980	\$ 2,477,301	\$ 4,281,692	\$ 119,169,985
Auxiliary Enterprises	\$ 51,781,257	\$ 366,397	\$ 591,536	\$ 462,000	\$ 53,201,190
Trust	-	-	-	-	-
Restricted	-	-	-	-	-
Total	\$ 263,966,714	\$ 590,949	\$ 3,068,837	\$ 4,743,692	\$ 272,370,192

EDUCATION & GENERAL

Original Budget:	<u>\$ 99,978,445</u>
Previously Approved by Board:	<u>\$ 20,572</u>
Requested Budget Adjustments:	<u>\$ -</u>
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	<u>\$ 20,572</u>
Approved This Period:	<u>\$ -</u>
Total Adjustments Approved by President This Period:	<u>\$ -</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget as of 11/30/2012	<u>\$ 99,999,017</u>

DESIGNATED

Original Budget:	<u>\$ 112,207,012</u>
Previously Approved by Board:	<u>\$ 203,980</u>
Requested Budget Adjustments:	
COCJ	300,000
OIP	83,000
CJ HIDTA	34,067
Police Research Report	115,222
Police Research Report	115,222
Fitness	4,967
CHSS Deans DLF	756,692
Records Fee	40,039
Colisuem	13,300
Information Technology	525,000
CMIT Probation	35,100
Rec Sports	100,000
Office of the President	349
French Study Abroad	23,000
Field Research in Library Science	7,850
Cannes Film Festival	28,350

SAM HOUSTON STATE UNIVERSITY
For the Period Ending 11/30/2012

Biodiversity of South Africa	29,840
Multicultural & ESL Costa Rica	26,000
Spanish Study Abroad Spain	30,000
Discoveries in Textiles in Germany	34,470
Costa Rican Field School	84,000
Curriculum and Instruction	35,000
Clinical Practicum in Costa Rica	29,500
Art of Rom, Florence and Paris	14,934
Colisuem	11,400

Total Requested Budget Adjustments This Period:	2,477,301
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Previously Reported:	\$ 203,980
	4,281,692

Cumulative Adjustments Approved by President:	\$ 4,281,692
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Approved This Period:	\$ 119,169,985
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Total Cumulative Adjustments Approved by President:

Adjusted Budget as of 11/30/2012	\$ 119,169,985
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AUXILIARY ENTERPRISES

Original Budget:	\$ 51,781,257
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Previously Approved by Board:	\$ 366,397
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Requested Budget Adjustments:	\$ 591,536
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CJ International Schools	36,784
CJ International Program	13,393
ELA Institute	162,000
Center for Rural Studies	3,270
RS/Athletics Shared	18,053
Dining Express Fall	18,053
Library Science Conference	25,501
Dining Express Fall	25,501
Library Science Conference	3,930
Dining Bearkat Express Fall	8,477
Apartment Management	300,000
Library Science Conference	5,000
SSF-Tree of Light	4,419
VRC Annual Event	5,710

Total Requested Budget Adjustments This Period:	\$591,536
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SAM HOUSTON STATE UNIVERSITY
For the Period Ending 11/30/2012

Cumulative Adjustments Approved by President:	462,000
Previously Reported:	<u>366,397</u>
Approved This Period:	<u>\$ 462,000</u>
Total Adjustments Approved by President This Period:	<u>\$462,000</u>
Total Cumulative Adjustments Approved by President:	<u><u> </u></u>
Adjusted Budget as of 11/30/2012	<u><u>\$ 272,370,192</u></u>

SUL ROSS STATE UNIVERSITY
2012-2013 OPERATING BUDGET AND RELATED ADJUSTMENTS
For the Period Ending December 31, 2012

Fund	Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 28,126,919	\$ -	\$ -	-	\$ 28,126,919
Designated	4,623,031				4,623,031
Auxiliary Enterprises	4,443,189				4,443,189
Trust	-				-
Restricted	3,575,704				3,575,704
Total	\$ 40,768,843	\$ -	\$ -	-	\$ 40,768,843

EDUCATION & GENERAL

Original Budget:	<u><u>\$ 28,126,919</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u><u>\$ -</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u><u>\$ -</u></u>
Adjusted Budget:	<u><u>\$ 28,126,919</u></u>

DESIGNATED

Original Budget:	<u><u>\$ 4,623,031</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u><u>\$ -</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u><u>\$ -</u></u>
Adjusted Budget:	<u><u>\$ 4,623,031</u></u>

AUXILIARY ENTERPRISES

Original Budget:	<u><u>\$ 4,443,189</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>

SUL ROSS STATE UNIVERSITY

Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 4,443,189</u>

TRUST

Original Budget:	<u>\$ -</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ -</u>

RESTRICTED

Original Budget:	<u>\$ 3,575,704</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	-
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ -</u>
Adjusted Budget:	<u>\$ 3,575,704</u>

Texas State University-San Marcos

FISCAL YEAR 2013 OPERATING BUDGET AND RELATED ADJUSTMENTS
For the Period Ending November 30, 2012

Fund	Adjustments Requiring Board Approval		Budget Adjustments	Approved by President	Cumulative Adjustments	Adjusted Budget
	Previously	Requested				
Education & General	233,113,114	0	3,032,018	0		236,145,132
Designated	192,693,775	0	27,777,002	447,116		220,917,893
Auxiliary Enterprises	122,663,750	0	4,647,099	194,355		127,505,204
Total	548,470,639	0	35,456,119	641,471		584,568,229

EDUCATION & GENERAL

Original Budget:	<u>233,113,114</u>
Previously Approved by Board:	<u>0</u>
Requested Budget Adjustments:	
Research Development carry forwards	1,280,316
HEAF carry forwards	1,075,994
E&G carry forwards	<u>675,708</u>
Total Requested Budget Adjustments This Period:	<u>3,032,018</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	0
Approved This Period:	
Total Adjustments Approved by President This Period:	<u>0</u>
Total Cumulative Adjustments Approved by President:	<u>0</u>
Adjusted Budget:	<u>236,145,132</u>

Texas State University-San Marcos

DESIGNATED

Original Budget: 192,693,775

Previously Approved by Board: 0

Requested Budget Adjustments:

Designated carry forwards	17,294,621
Indirect Costs carry forwards	2,409,295
Interim financing for West Campus Projects	3,460,000
Using Computer Service Fee reserves for infrastructure upgrades	1,164,082
Electronic Course Fee carry forwards	966,796
Set up estimated income for Continuing Education Programs	457,998
Using reserves for Lantana Hall and JCK Parking Lot	425,000
Using TPEG reserves	399,797
Using UG Application Fee reserves for enrollment incentives	300,000
Using reserves for Library Repository	240,000
Using reserves for Advising Center	200,000
Set up estimated income for Molecular Beam Epitaxy RSC	174,000
Archeological Studies Project carry forwards	152,412
Using Study Abroad reserves	133,000

Total Requested Budget Adjustments This Period: 27,777,002

Cumulative Adjustments Approved by President:

Previously Reported: 0
 Approved This Period:

Using reserves to close Perkins Loan Account A/R	95,242
Increase estimated income for Campus Construction Overhead	67,000
Increase estimated income for Testing Support	30,000
Using reserves of Health Resources Center-Special Projects	26,974
Set up estimated income for UAV Research Service Center	25,000
Increase estimated income for HHP Internships	25,000
Set up estimated income for ISPAN conference	22,000
Using reserves for Polymer Lab	21,227
Set up estimated income for Math Software License	20,000
Increase estimated income for HHP Events	18,190
Increase estimated income for Education Testing Service	15,000
Using Staff Council Fundraising reserves for scholarships	13,868
Using Testing Support reserves	10,000
Set up estimated income for Caminitos Project	9,825
Using FACTS Community Services reserves	9,270
Set up budget for Custodial Projects/Events	9,000
Set up estimated income for Meadows-Conferences/Workshops	8,968
Increase estimated income for Institute for Improvement of Health Care	6,300
Set up estimated income for Staff Council Fundraising	3,000
Using Evans reserves to purchase music stands	2,850
Using CLAS Conferences reserves	2,573
Increase estimated income for Individual Testing Agreements	2,245
Using HR-Retirement Fair reserves	1,235

Texas State University-San Marcos

Set up estimated income for Athletic Training Education Program	1,000
Set up budget for Employee Wellness Fair	850
Using Psychological Testing reserves to purchase tests	500

Total Adjustments Approved by President This Period:	447,116
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Total Cumulative Adjustments Approved by President:	447,116
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Adjusted Budget:	220,917,893
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AUXILIARY ENTERPRISES

Original Budget:	122,663,750
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Previously Approved by Board:	0
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Requested Budget Adjustments:

Using Residential Housing reserves for Brogdon Hall renovation	3,500,000
Student Service Fee carryforwards	850,455
Using Recreational Sports Fee reserves for capital expenditures and improvements to Campus Recreation facilities	175,000
Using GPD Interest & Forfeits reserves for scholarship	121,644

Total Requested Budget Adjustments This Period:	4,647,099
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Cumulative Adjustments Approved by President:

Previously Reported:	0
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Approved This Period:

Using Parking Services reserves	48,310
Increasing estimated income for Restaurant Lease	42,641
Increasing estimated income for ID Card Services	30,000
Using Bobcat Tailgating reserves for event	19,953
Using Library Collection-Sales reserves for Lonesome Dove event	15,000
Increasing estimated income for Laptop Sales-Nursing	10,000
Set up estimated income for E-textbook Pilot Program	10,000
Increase estimated income for KTSW Radio	10,000
Increase estimated income for RRHEC Auxiliaries	6,000
University Bookstore carryforward	2,450

Total Adjustments Approved by President This Period:	194,355
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Total Cumulative Adjustments Approved by President:	194,355
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Adjusted Budget:	127,505,204
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Fund	Lamar Institute of Technology Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 14,068,888	\$ -	\$ -	\$ 15,346	\$ 14,084,234
Designated	5,054,295			102,323	5,156,618
Auxiliary Enterprises	2,215,000			2,113	2,217,113
Trust	-			-	-
Restricted	-			-	-
Total	\$ 21,338,183	\$ -	\$ -	\$ 119,782	\$ 21,457,965

EDUCATION & GENERAL

Original Budget:	<u>\$ 14,068,888</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 15,346
Approved This Period:	-
Total Adjustments Approved by President This Period:	<u>-</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 15,346</u>
Adjusted Budget:	<u>\$ 14,084,234</u>

DESIGNATED

Original Budget:	<u>\$ 5,054,295</u>
Previously Approved by Board:	
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 27,323
Approved This Period:	
Increase Budget	75,000
Total Adjustments Approved by President This Period:	<u>75,000</u>
Total Cumulative Adjustments Approved by President:	<u>\$ 102,323</u>
Adjusted Budget:	<u>\$ 5,156,618</u>

Lamar Institute of Technology

AUXILIARY ENTERPRISES

Original Budget:	<u>\$ 2,215,000</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 2,308
Approved This Period:	
Prior Year Refund	(195)
Total Adjustments Approved by President This Period:	<u>(195)</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ 2,113</u>
 Adjusted Budget:	 <u>\$ 2,217,113</u>

TRUST

Original Budget:	<u>\$ -</u>
Previously Approved by Board:	<u>\$ -</u>
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	<u>\$ -</u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	-
Total Adjustments Approved by President This Period:	<u>-</u>
 Total Cumulative Adjustments Approved by President:	 <u>\$ -</u>
 Adjusted Budget:	 <u>\$ -</u>

Lamar Institute of Technology

RESTRICTED

Original Budget:	\$ -
Previously Approved by Board:	\$ -
Requested Budget Adjustments:	
None	-
Total Requested Budget Adjustments This Period:	\$ -
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
Prior Year Encumbrances	-
	-
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	\$ -
Adjusted Budget:	\$ -

Fund	Lamar State College - Orange			Cumulative Adjustments Approved by President	Adjusted Budget
	Adjustments Requiring Board Approval				
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 13,394,025	\$ 515,818	\$ 4,000,000	\$ 15,000	\$ 17,924,843
Designated	5,418,304	142,898	34,300	(1,585)	5,593,917
Auxiliary Enterprises	1,028,832	-	-	15,902	1,044,734
Trust					-
Restricted					-
Total	\$ 19,841,161	\$ 658,716	\$ 4,034,300	\$ 29,317	\$ 24,563,494

EDUCATION & GENERAL

Original Budget:	<u><u>\$ 13,394,025</u></u>
Previously Approved by Board:	<u><u>\$ 515,818</u></u>
Requested Budget Adjustments:	
Nursing and Classroom Building	<u>4,000,000</u>
Total Requested Budget Adjustments This Period:	<u><u>\$ 4,000,000</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 10,000
Approved This Period:	
Nursing Shortage Reduction	15,000
Building Maintenance	<u>(10,000)</u>
Total Adjustments Approved by President This Period:	5,000
Total Cumulative Adjustments Approved by President:	<u><u>\$ 15,000</u></u>
Adjusted Budget:	<u><u>\$ 17,924,843</u></u>

DESIGNATED

Original Budget:	<u><u>\$ 5,418,304</u></u>
Previously Approved by Board:	<u><u>\$ 142,898</u></u>
Requested Budget Adjustments:	
Continuing Education	<u>34,300</u>
Total Requested Budget Adjustments This Period:	<u><u>\$ 34,300</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
Prior Year Encumbrances Brought Forward	<u>(1,585)</u>
Total Adjustments Approved by President This Period:	<u>(1,585)</u>
Total Cumulative Adjustments Approved by President:	<u><u>\$ (1,585)</u></u>
Adjusted Budget:	<u><u>\$ 5,593,917</u></u>

AUXILIARY ENTERPRISES

Original Budget:	<u><u>\$ 1,028,832</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>
Requested Budget Adjustments:	
None	<u>-</u>

Lamar State College - Orange

Total Requested Budget Adjustments This Period:	<u><u>\$ -</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ 16,040
Approved This Period:	
Prior Year Encumbrances Brought Forward	<u>(138)</u>
Total Adjustments Approved by President This Period:	(138)
Total Cumulative Adjustments Approved by President:	<u><u>\$ 15,902</u></u>
Adjusted Budget:	<u><u>\$ 1,044,734</u></u>

TRUST

Original Budget:	<u><u>\$ -</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>
Requested Budget Adjustments:	
None	<u>-</u>
Total Requested Budget Adjustments This Period:	<u><u>\$ -</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	<u>-</u>
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u><u>\$ -</u></u>
Adjusted Budget:	<u><u>\$ -</u></u>

RESTRICTED

Original Budget:	<u><u>\$ -</u></u>
Previously Approved by Board:	<u><u>\$ -</u></u>
Requested Budget Adjustments:	
None	<u>-</u>
Total Requested Budget Adjustments This Period:	<u><u>\$ -</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	\$ -
Approved This Period:	
None	<u>-</u>
Total Adjustments Approved by President This Period:	-
Total Cumulative Adjustments Approved by President:	<u><u>\$ -</u></u>
Adjusted Budget:	<u><u>\$ -</u></u>

Fund	Lamar State College Port Arthur Adjustments Requiring Board Approval			Cumulative Adjustments Approved by President	Adjusted Budget
	Original Budget	Previously Approved by Board	Requested Budget Adjustments		
Education & General	\$ 15,206,267		\$ 80,000	\$ 6,000	\$ 15,292,267
Designated	2,431,036		-	-	2,431,036
Auxiliary Enterprises	1,636,672		-	1,000	1,637,672
Total	\$ 19,273,975	\$ -	\$ 80,000	\$ 7,000	\$ 19,360,975

EDUCATION & GENERAL

Original Budget:	<u><u>\$ 15,206,267</u></u>
Previously Approved by Board:	
Requested Budget Adjustments:	
HEAF Funds for Madison Monroe Building	80,000
HEAF Funds for Finance Office Purchases	
HEAF Funds for Infrastructure	
Total Requested Budget Adjustments This Period:	<u><u>80,000</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
HEAF Funds for Finance Office Purchases	6,000
Total Adjustments Approved by President This Period:	<u><u>6,000</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>6,000</u></u>
Adjusted Budget:	<u><u>\$ 15,292,267</u></u>

DESIGNATED

Original Budget:	<u><u>\$ 2,431,036</u></u>
Previously Approved by Board:	
Requested Budget Adjustments:	
Information Technology Software Expense	
Total Requested Budget Adjustments This Period:	<u><u>-</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	
Approved This Period:	
Budget for purchase of Centennial Books	
Total Adjustments Approved by President This Period:	<u><u>-</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>-</u></u>
Adjusted Budget:	<u><u>\$ 2,431,036</u></u>

Lamar State College Port Arthur

AUXILIARY ENTERPRISES

Original Budget:	<u><u>\$ 1,636,672</u></u>
Previously Approved by Board:	_____
Requested Budget Adjustments:	_____
Student Services - Equipment, Services, and Adv	_____
Total Requested Budget Adjustments This Period:	<u><u>-</u></u>
Cumulative Adjustments Approved by President:	
Previously Reported:	_____
Approved This Period:	_____
Increase Budget based on Increase in Fees Collected	<u>1,000</u>
Total Adjustments Approved by President This Period:	<u><u>1,000</u></u>
Total Cumulative Adjustments Approved by President:	<u><u>1,000</u></u>
Adjusted Budget:	<u><u>\$ 1,637,672</u></u>

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
STATUS OF IMPLEMENTATION OF AUDIT RECOMMENDATIONS FOR REPORTS ISSUED THROUGH DECEMBER 2012**

Audit Report	Recommendations	Management’s Most Current Response	Status (*)
LAMAR INSTITUTE OF TECHNOLOGY			
IT Physical Environment & Organization, July 2011	Wiring closets: remove janitorial and other non-IT equipment, separate network hardware from physical hazards (such as water pipes and sinks), and restrict access to authorized Technology Services personnel.	Update: 01/03/13 Areas requested for review by the Office of Internal Audit and Analysis are: <ul style="list-style-type: none"> • TA-4 • TA-5 Management Response: <ul style="list-style-type: none"> • The network equipment in TA-4 and TA-5 have been enclosed in lockable cabinets with controlled access. • This entire IT project was completed in December 2012. Person responsible for this implementation is Isaac Barbosa. 	Implemented
Procurement, November 2012	1. Several users, including some in the Finance Department, had access to Banner forms that compromised segregation of duties	LIT has performed the necessary review and made the required security changes to correct the segregation of duties conflicts in the security for these positions to ensure adequate internal controls. LIT will perform annual reviews on all accounting positions in the future to ensure conflicts do not exist.	Implemented
	2. Of 30 invoices tested, four (13%) totaling \$41,928.80 had purchase orders (PO) issued after the date of the invoice.	The Finance Department provides training to all departments to ensure compliance with purchasing policies and procedures. Lamar Institute of Technology will strictly enforce purchasing policy and procedures and require additional approvals of management to process any payments where non-compliance with policies is detected.	Implemented
Banner Security, November 2012	1. Server Account Passwords LIT should ensure that administrators’ account passwords are changed periodically for all Banner servers administered by Lamar University support personnel.	Update: 11/27/12 As of 11/14/12, LU data center staff have implemented the appropriate password policy on all servers in the LU data center. Users will be forced to change their password every 90 days as identified in the LU password policy.	Implemented
	2. Powerful Banner Default Accounts	Update: 1/3/13	In Progress

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>All pre-named default logins (except BANSECR, which cannot be locked) should be locked when not in use by the DBA or other personnel. Activating such accounts should be through approved change control.</p> <p>Daily monitoring of the user and DBA accounts delivered as part of the Banner system should be performed to ensure that only authorized activity is occurring and that locked accounts are not inadvertently or deliberately activated.</p>	<p>NOTES: Two actions required:</p> <ul style="list-style-type: none"> • Lock Banner delivered accounts (where applicable) • Monitor elevated accounts daily <p>Other:</p> <ul style="list-style-type: none"> • Get with Lamar University DBA on their report that is running already (Non-Standard Login Report). • We also need support from the DBAs to identify accounts that can be locked. 	
	<p>3. Data Owner Access Review</p> <p>Designated data owners are not performing reviews of Banner access on a regular basis.</p>	<p>Update: 1/3/13</p> <p>NOTES:</p> <ul style="list-style-type: none"> • This is to be combined with the Direct Object Access audit point. • LIT Technology Services will send out an email to data owners • LIT Technology Services will schedule two training sessions • LIT Technology Services will schedule two security review workshops 	<p>In Progress</p>
	<p>4. Termination Process for Lamar University Employees</p> <p>The Terminated Employee Alert process does not include those who are LU employees and who have an account in LIT’s Banner system (as a result of the shared services agreement with LU).</p>	<p>Update: 1/3/13</p> <p>NOTES: Proposed Solution:</p> <ul style="list-style-type: none"> • All LU employees in the LIT Banner system have been identified, and their “L” number is contained in a memo field. • The LU technical team will implement the Terminated Employee Alert (TEA) process that was developed by LIT technical team. • The LIT technical team will provide the LU 	<p>In Progress</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		<p>technical team with a current list of LU employee “L” numbers that are active in the LIT Banner system on a regular basis.</p> <ul style="list-style-type: none"> • The LIT/LU technical team will develop a process that will compare the LIT provided list of “L” numbers to the LU TEA process output. • The LU technical team will schedule this process to run on a daily basis. • The LU technical team will notify the LIT Technical team when an identified LU employee has been terminated. 	
	<p>5. Direct Object Access</p> <p>The specification of direct screen access on user accounts should be reduced by using the class structure whenever possible. Where direct access to objects is functionally useful, it is recommended that a specific class be established to group the objects together in order to better facilitate administration of access</p>	<p>Update: 1/3/13</p> <p>NOTES: LIT Technology Services will:</p> <ul style="list-style-type: none"> • begin with the finance folks and go room by room implementing job specific classes. • begin by having data owners approve the user’s existing classes. • combine the approved specific user’s classes to a single new class that clearly identifies their duties and responsibilities. • add the direct access screens to the new job specific class. • If there is duplication of screens, the direct access will be used. • add any missing direct access screens to the user classes. • begin creating job specific classes • conduct data owner training and workshop sessions to review the new classes. Data owners will approve the new classes in these sessions. • repeat the process to the next department. • Most users will have similar user access. • consider deleting classes that will no longer be 	<p>In Progress</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		used that were created in the Banner implementation process which are based on LU users.	
	6. Banner Application Monitoring Unsuccessful attempts to access the Banner application and forms are not being monitoring for trends or patterns.	Update: 1/3/13 NOTES: <ul style="list-style-type: none"> • LIT Technology Services will get with LU on their process. • LIT Technology Services will review the reports that LU Security Operations Center has provided and identify if they are appropriate for LIT needs. <ul style="list-style-type: none"> • Report Contents: <ul style="list-style-type: none"> • Failed Banner Login Report • Non-Standard Login Report • Violations Report 	In Progress
	7. Eliminate Generic Accounts There are five generic accounts used by user departments to modify Banner data. Generic accounts do not provide individual accountability within the Banner application.	Update: 1/3/13 NOTES: <ul style="list-style-type: none"> • LIT Technology Services will work with the users of those five accounts. • Specifically, the Finance and Financial Aid accounts. The other three have been disabled or deleted. 	In Progress
LAMAR STATE COLLEGE- ORANGE			
IT Banner Security, October 2012	1. Server Account Passwords Powerful accounts, including those used by system administrators and DBAs, and internal system accounts on the LSC-O Banner servers (including production, test, and development) have passwords that are never changed.	LSC-O requested that password expirations be placed on these accounts. Per response from LU IT this request has been completed. Completed – 11/13/2012	Implemented
	2. Powerful Banner Default Accounts	The non-standard banner login report has been created to run against the LSC-O banner instance, is scheduled to run	Implemented

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>Ensure that all pre-named default logins (except BANSECR, which cannot be locked) are locked when not in use by the DBA or other personnel. Activating such accounts should be through approved change control.</p> <p>Establish daily monitoring of the Banner-delivered user and DBA accounts, and other personnel with elevated permissions to LSC-O Banner resources to ensure that only authorized activity is occurring and that locked accounts are not inadvertently or deliberately activated for use.</p>	<p>daily and is monitored daily by security personnel. If activity is seen on any of the non-standard banner accounts the Director of Information services will contact the LU DBA team for information related to why access has occurred and by whom. Completed – 11/29/2012</p>	
	<p>3. Reduce Number of Accounts with Direct Access</p> <p>Nine users each had direct access to between four and 21 screens outside the security Class structure. The use of direct access should be reduced wherever reasonable in order to simplify security administration.</p>	<p>Gathering information to send to data owners with the December/January submission of banner security review. Based on this review by data owners forms will either be moved to a specific role or justification given for direct access. Planned Completion: February 28, 2013. Responsible: Linda Burnett, Director of Information Services.</p>	<p>In Progress</p>
	<p>4. Lamer University Access</p> <p>Although LSC-O data owners are reviewing data access by LSC-O users, necessary access by the Lamar University support personnel is not reviewed.</p>	<p>Currently working to create security report to select banner accounts for non-LSC-O individuals. This report when complete will be reviewed by LSC-O data owners with input from LU to verify LU personnel. Planned Completion: February 28, 2013. Responsible: Linda Burnett, Director of Information Services.</p>	<p>In Progress</p>
	<p>5. Banner Application Monitoring</p> <p>Because of a miscommunication, LSC-O was unaware that Lamar University support personnel were not performing on-going monitoring of Banner activity.</p>	<p>The ‘Failed Banner Login’ and ‘Banner Violations’ reports have been created to run against the LSC-O banner instance, and they are scheduled to run on weekly every Wednesday and are monitored for by security personnel. Completed – 12/07/2012</p>	<p>Implemented</p>

LAMAR STATE COLLEGE – PORT ARTHUR

<p>IT Banner Security, November 2012</p>	<p>1. Powerful Banner Default Accounts</p> <p>Certain pre-named default accounts for DBA and system functions exist within Banner. These accounts, which are not associated with, or assigned to, specific individuals,</p>		
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(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>3. Limit Management Access</p> <p>When Banner access security was originally set up, one individual was given access to two classes of screens for general and financial access. As a result, this individual can initiate and approve transactions, creating a lack of segregation of duties. However, the only access required for the position is for purchasing requisition approval and for queries. Excessive access potentially enables the individual to act alone.</p>	<p>The individual’s access to Banner forms and classes has been modified accordingly.</p>	<p align="center">Implemented</p>
LAMAR UNIVERSITY			
<p>Montagne Center Audit, August 2011</p>	<p>Regarding rentals of the Montagne Center to Third Parties (e.g., concerts):</p> <ol style="list-style-type: none"> 1. Written policies should be developed. 2. A formal invoice should be provided to renters. 3. An Account Receivable should be set up in the Banner accounting system to ensure collection. 4. A checklist should be developed of documents to be obtained and filed. 5. Certain supporting documentation should be filed at a minimum. 6. Routine follow-up should be performed to ensure complete and proper accounting entries in the Banner accounting system. 7. A standard profit and loss account should be developed. 	<ol style="list-style-type: none"> 1. Written procedures will be implemented. Policies are in place and posted on www.montagnecenter.com. 2. Invoices are being provided to lessee. 3. Accounts receivable will be set up in the Banner system. Must be set up by Finance and Accounting Department. Completion: 6/1/13. 4. A checklist has been developed and being utilized. 5. Each event has a file with all documents pertaining to event. 6. Routine follow-up will be conducted to ensure proper accounting. Monthly department reconcile spreadsheets have been developed. 7. A standard settlement sheet has been implemented for each billable event. <p>Responsibility: Lenny Caballero</p>	<ol style="list-style-type: none"> 1. Implemented 2. Implemented 3. Planned 4. Implemented 5. Implemented 6. Implemented 7. Implemented
	<p>Ensure air handlers shut down in the event of fire to prevent hazardous smoke being circulated into the arena.</p>	<p>The professional services of Dabhi Engineering have been obtained to assist the University with design and construction administration of the upgrade to the fire alarm system. Design will be complete by January 31, 2013. Bids will be obtained and improvements will be implemented by May 31, 2013. Responsibility: Gerald</p>	<p align="center">Planned</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	Standard contract clauses should be improved by the addition of clauses for jurisdictional location and severability.	McCaig A request to improve and evaluate the lease agreement contract has been submitted to the TSUS legal department. Completion: 6/1/13 Responsibility: Lenny Caballero	In Progress
Student Affairs Advisory Review, August 2012	1. Implement on-going measures to emphasize fiscal stewardship and accountability.	Continuous review of purchasing behavior is being conducted, with remedial action taken as necessary.	In Progress
	2. Make organizational improvements: a. Create departmental policies & procedures b. Update job descriptions c. Review personnel qualifications & skill sets d. Evaluate salary equity following staff reassignments e. Conduct open, transparent, policy-compliant searches when filling vacancies.	Organizational improvements in Student Affairs have begun with the temporary reassignment of Dr. Kevin Smith as Interim Vice President for Student Engagement. He is conducting a review of the department, and reorganizing existing personnel as necessary.	In Progress
	3. Provide training in purchasing policies & procedures for all employees.	The position of Assistant Vice President for Administration has been filled by Diane Thibodeaux. She has begun identifying the most critical individuals to receive direct training and working with them directly. Additional, more general training has been begun in the form of reminder notices to all budget managers of proper procedures. More formal training will follow.	In Progress
	4. Develop & deploy a formalized annual departmental budget-setting process, including consideration of actual expenditures and future operational plans, and with participation by all budget-accountable managers.	Associate Vice President Ward is preparing for a formal process in the Spring. Currently, she is meeting with deans and department chairs on an individual basis.	In Progress
	5. Improve contracting procedures to ensure compliance with established policies & laws.	Proactive analysis of contractual obligations is underway with changes being made to existing agreements as needed. Budget managers are engaged when behavior needs correction. Reminder notifications as to policies and procedures have been sent.	In Progress
IT Physical	1. A strategy should be developed for regaining control	<ul style="list-style-type: none"> • Adopt and distribute new key control policy. 	In Progress

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
Environment, October 2012	over the University’s mechanical key locks. The physical key locks across campus have become unmanageable due to the number of keys that are no longer accounted for. This increases the risk of unauthorized access into sensitive areas.	<ul style="list-style-type: none"> • Continue conversion of locks to new patented key system. • Issue keys and record distribution of key to only individuals who have provided proper key request documents with appropriate level of authorization. • Review the possibility of incorporating electronic key control in specific locations. • Identify budgetary allocation in future budgets for the continuation of lock changes, until all campus building are under control of new keying system Person Responsible: Gerald McCaig, VP Facilities Management. Completion Date: 8/31/2015	
	2. A policy for control of keys should be approved and published.	<ul style="list-style-type: none"> • Draft a new comprehensive key control policy • Present new policy to university administration • Review and revise new policy • Approve final version of policy • Distribute and post new policy on web site Person Responsible: Gerald McCaig, VP Facilities Management. Completion Date: 9/1/2013	In Progress
	3. The termination process is neither efficient nor effective in the timely removal of computer access rights for employees who have voluntarily terminated their employment with Lamar University.	Determine ways to improve the separation process by using automation to provide advance notification to IT and other key areas when employees tender their resignation. This advance notification works to ensure accounts and other activities for separating employees are ended in a timely manner. The process was augmented to allow IT service desk to be notified by the terminating employee’s department upon exit. The service desk notification will trigger pre-existing IT workflows for account de-provisioning.	Implemented
	4. Thirteen network communication closets across the University campus required housekeeping, improved cable management, or additional security measures	Walkthrough of areas is scheduled for February to determine which can accommodate equipment cages or alternative locations. A mitigation plan will be drafted by March 1 and submitted for funding approval. Responsible: Priscilla Parsons / Patrick Stewart Date: August 2013	In Progress

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	5. Computer equipment should be moved to an area in the data center that is not exposed to the risk of water damage from the second floor restrooms above.	Server equipment has been relocated to the opposite end of the room out from under the restrooms. The network equipment cannot be moved due to cabling. The campus master plan that is currently underway is expected to recommend data center alternatives.	In Progress
	6. The data center dock door should be reinforced to remain closed during adverse weather conditions.	Installation of a catch basin has been completed that will reduce the effect of water coming into the data center from the rear dock doors. The main data center loading door issue still exists. We have rearranged the data center racks that would facilitate building an interior wall to protect the equipment from an environmental breach. The data center door and location hinge on campus master planning that is currently underway. Once approved, work will be scheduled out based on campus priorities. Responsible: Patrick Stewart, Director of Infrastructure	In Progress
	7. Job descriptions should be updated to reflect the current staff responsibilities.	Personnel changes have reduced the number of personnel with misaligned job descriptions. Two remain for completion. Responsible person: Priscilla Parsons. Date: March 2013.	In Progress
	<p>8. Generic Privileged Logons:</p> <p>8.1. Ensure that individual, rather than generic, logons are established and used to the greatest extent possible, especially for logons with privileged functions.</p> <p>8.2. Lock all privileged logons (i.e. those that cannot be assigned individually) and ensure their use only in conjunction with an approved Change Control Request.</p>	<p>8.1 The move to individual accounts has been accomplished to the greatest extent possible. Ellucian programmer access is now via individual accounts. Exceptions are the Database Oracle and System Root accounts; those are required for routine Oracle DBA and Linux System administrator support. Those exception accounts are highly restricted and controlled to Linux System Administrators and Banner Oracle DBA’s.</p> <p>8.2 This has been completed with respect to the privileged Banner Application System accounts that are not required to be unlocked for day to day system operation. The accounts that are required to be unlocked for Banner Application maintenance are scripted for locking and where required unlocking within an approved Change Control Request via standard procedure.</p>	<p>Implemented</p> <p>Implemented</p>

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	<p>8.3. Monitor these privileged logons daily and investigate any anomalies immediately.</p> <p>8.4. Secure access from the Lifeline VPN device located at SunGard by locking the access port on the Lamar router until it is needed to execute an approved Change Control Request.</p>	<p>8.3 We have developed and implemented control reports that are used to review all privileged account access. Those reports are being reviewed by Enterprise Services leadership staff on a daily basis looking for anomalies.</p> <p>8.4 Locking the access port is not feasible as Lifeline VPN is used by SunGard DBAs for work in the development and test environments as well as production. The access port will be disabled at the termination of the services contract. Responsible: Srinivas Varadaraj Date: October 1, 2013.</p>	<p>Implemented</p> <p>In Progress</p>
<p>Cash Collection Functions, December 2012</p>	<p>1. There were several issues adversely impacting the security of sports box offices:</p> <ol style="list-style-type: none"> 1. Responsibility for assessing security measures, including the CCTV system, should be clearly assigned in a documented policy. 2. Security precautions should be reviewed for effectiveness, including rectification of the problems identified regarding the CCTV system and panic alarm buttons. 3. Continuing functioning of equipment should be properly monitored and maintained. 4. A security mirror should be installed in the hallway outside the primary box office. 5. Peepholes or viewing windows should be installed in all doors for all box offices. 	<ol style="list-style-type: none"> 1. A policy will be developed & implemented by March 1, 2013. Responsible: Jason Goodrich, Chief of Police. 2. Security reviews will be performed by March 1, 2013. Responsible: James Tate, Police Captain 3. Problems with the CCTV system will be resolved by July 1, 2013. Responsible: Jason Goodrich, Chief of Police. 4. The mirror will be installed by March 1, 2013. Responsible: Jason Henderson, Athletics Director. 5. All peepholes have been installed. 	<p>In Progress</p> <p>In Progress</p> <p>In Progress</p> <p>In Progress</p> <p>Implemented</p>
	<p>2. Regarding procedures for the return of unused travel cash advances by coaches:</p> <ol style="list-style-type: none"> 1. Procedures specify the permitted time frame for reporting lost or stolen cash. 2. Receipts for returned cash advances should be issued immediately upon return of the cash. 3. Cash shortages should be reported as required to the Director of Cash Management, the police department 	<ol style="list-style-type: none"> 1. Implemented 2. Implemented. 3. Implemented. 	<p>Implemented</p> <p>Implemented</p> <p>Implemented</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	and the internal audit department.		
	3. Timely Deposit of cash: 1. All payments received by the Montagne Center Box Office should be deposited promptly. 2. Payments for ancillary activities (e.g. camps) should be addressed to the Box Office rather than the coaches	1. Payments received are now being deposited timely. 2. Procedures for camps will be improved by March 1, 2013. Responsible: Jason Henderson, Athletic Director.	Implemented In Progress
	4. Policies & Procedures: 1. Procedures for cashiering were not current. 2. Simplified procedures should be developed for the various locations on campus where cash is collected and training should be provided.	Procedures will be developed by February 28, 2013 and implemented.	In Progress
	5. Ticket control: 1. Tickets for sports events should not be pre-printed. 2. The <i>Ticket Return</i> software should be used as designed to control ticket sales.	1. Tickets are no longer preprinted. 2. Tickets are printed as purchased.	Implemented Implemented
	6. Police escorts: Police escorts should always be utilized by all individuals transporting funds on campus regardless of the dollar value.	1. The requirement that all cash movements be escorted by a police office has been communicated to all campus staff. 2. Cash Management procedures will be updated and posted to the website by February 28, 2013. Responsible: Donna Quebedeaux, Associate Controller.	Implemented In Progress
	7. Speech Clinic reconciliation: Deposit reports should be reconciled to billing information and the clinician log.	<ul style="list-style-type: none"> • A spreadsheet has been developed and has been used to reconcile cash deposits with patient visits since mid-December 2012. • Reconciliations of the earlier periods, September through December, will be completed by February 28, 2013. 	In Progress
	8. Promotional Tickets: Requests for free promotional tickets should be authorized in writing (e.g. email).	Implemented.	Implemented

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	9.Check Endorsement: Checks should be restrictively endorsed as soon as they are received in the Montagne Center box office.	Implemented.	Implemented
SAM HOUSTON STATE UNIVERSITY			
State Office of Risk Management (SORM) Risk Management Program Review, May 2010 (External Audit)	Business Continuity Plan (BCP) – The University needs to develop a business continuity plan which addresses the preparation for, response to, and recovery from a disaster affecting the administrative functions of the University.	<p>SHSU is moving toward implementation of KUALI, a BCP program developed for Higher Education. Thanks to the fine efforts of individuals at Texas State University-San Marcos who are pioneering implementation of KUALI for TSUS campuses, we are able to acquire this product at low cost. We have developed and signed our Agreement with KUALI and are awaiting their signature. Upon finalizing the Agreement, we will begin implementation at SHSU. We have begun selecting individuals on campus to serve on an implementation team. Once the implementation team has met, they will develop an implementation time frame, which will be reported when available. Our review of KUALI for BCP shows that it cannot only be implemented for developing BCP across the entire campus, it allows for easy access during times of crisis, and provides a mechanism for continued maintenance of BCPs over the long term.</p> <p><i>Auditor Note: According to management, this process is still ongoing.</i></p> <p>Person Responsible: Al Hooten, VPFO Estimated Implementation: To be determined</p>	<p>Implemented</p> <p><i>Note: The State Office of Risk Management listed this recommendation as closed in an On-Site Consultation report dated 11/12/2012</i></p>
Texas Higher Education Coordinating Board (THECB) Facilities Space Audit, April 2010	While not clear in the institution's policy manual, the institution demonstratively has a feedback process in place. We recommend documenting this process to solidify its use. Facilities Management relies on the users of the space for accurate data. It is essential that all departments fully participate at all levels when completing space surveys to ensure Facilities Management accurately communicates	In coordination with IT@SAM we are developing an online site for space management with information to include building/room information, procedures on how to report changes in facilities space, where to locate floor plans, space utilization reports, and updates about changes in space or new buildings coming online. In the meantime, departments will be directed to the THECB site	External Audit/Status is pending verification by external auditor

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<i>(External Audit)</i>	facilities data to the THECB.	<p>to view space status and for an explanation of codes to assist in understanding how their space is coded and used in THECB calculations for funding. The Facilities Department will send out an annual email in February after we receive our reports from THECB. An active IT@SAM work order outlines the progress for the online site. The target implementation date is January 1, 2013.</p> <p>Person(s) Responsible: Doug Greening, AVP Facilities Management & Mary Holland, Campus Space Planner</p> <p>Estimated Implementation: January 1, 2013</p>	
<p>State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster of Federal Programs (A-133) for the Fiscal Year Ended August 31, 2010, February 2011, (External Audit)</p>	<p><u>Special Tests and Provisions - Disbursements To or On Behalf of Students</u></p> <p>The University should strengthen controls to ensure that it establishes a process to correct Pell disbursement data in the COD System after the University updates estimated disbursement dates with actual disbursement dates.</p>	<p><u>Pell Grant Reporting – Financial Aid Department</u></p> <p><i>Use of Actual Disbursement Dates for Pell in COD: (Current Response for August 2012 report)</i> With the 2012 – 2013 Academic Year, the Financial Aid & Scholarships Office will implement the Advance Pay for Pell functionality within the Banner software, thereby, the accuracy of disbursement date reporting to COD ensured. The configurations have been set within Banner on form ROAUSIO that will be used when REROR13 is run for extraction of Pell origination and disbursement records.</p> <p>Person Responsible: Lisa Tatom, Director Financial Aid</p> <p>Estimated Implementation: Fall Semester 2012 (August 2012)</p>	<p>External Audit/Status is pending verification by external auditor</p>
<p>Review of Certain Components of the SHSU Athletics Department Compliance Program, April 2011</p>	<p>Per the Athletic Equipment Coordinator job description, the Athletic Equipment Coordinator has the responsibility of managing all aspects of equipment and apparel for ALL sports within the Athletic Department. At this point, the Athletic Equipment Coordinator is being paid in this capacity, but is only overseeing football apparel and equipment. The Athletics Department should make a decision as to whether it will decentralize its equipment and apparel function or centralize it and place the responsibility for oversight of all sports with the Athletic Equipment</p>	<p>Based upon past performance of equipment coordinators and the potential for the radio frequency identification (RFID) tracking system, the department has determined that it will decentralize its equipment and apparel process. The RFID process is in a test phase to determine if the tags will be effective after being used during play and laundered. In the interim, inventory processes will adhere to the new policy in place (above recommendation response), however, verification of implementation will need to be after a full cycle of inventory management.</p>	<p>In Progress</p>

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	Coordinator as the job description is currently written.	<p>The Athletic Equipment Coordinator position is now vacant. Due to the amount of football equipment, the Athletic Equipment Coordinator job description/title was revised to Assistant Football Coach with equipment responsibilities. All other sports designated an equipment liaison who will report directly to each sport head coach. The head coach will have primary equipment oversight and will provide inventory reports directly to the Associate Athletic Director for Finance. The revised target date for implementation is January 1, 2013.</p> <p>Person Responsible: Bobby Williams, Athletics Director</p> <p>Estimated Implementation: January 1, 2013</p>	
	Procedures and protocols should be established for inventory record maintenance, including order and receipt, checkout, check- in and disposal. When equipment is checked out to student athletes and/or coaches, it should be documented in a record that reflects the type of equipment/apparel checked out, date of checkout, and signature of student athlete or coach documenting it was received. When equipment/apparel is checked back in, the check-out records could be used to document the equipment/apparel being checked in, date it was checked in, signature of student athlete or coach returning the equipment/apparel along with the Equipment Coordinator’s signature acknowledging the return of the equipment/apparel. It is the Equipment Coordinator’s responsibility to maintain adequate inventory controls and records.	<p>The department began researching potential software packages prior to October 1, 2011. During the research process, the College of Business Administration professors Dr. Pamela Zelbst and Dr. Jeremy Bellah made a presentation of their radio frequency identification (RFID) tracking system. The system was well received by athletic administration and it was decided to continue exploring the system’s athletic applications. The department is optimistic that this system will be an asset based upon preliminary demonstrations. The system is in a test phase currently to determine if the tags will remain effective after being used during game play and laundered. The revised target date for implementation is April 1, 2013.</p> <p>Person Responsible: Bobby Williams, Athletics Director</p> <p>Estimated Implementation: April 1, 2013</p>	In Progress
<p>Study Abroad Program (Switzerland) Trip Accounting, November 2011</p>	<p>1. Management should immediately discontinue the current practice of allowing faculty to collect payments from students, and implement new procedures to avoid continued noncompliance with TSUS Rules.</p> <p>2. As more of a long-term solution, management should consider allowing the faculty-led programs to be</p>	<p>The Office of International Programs has written a handbook for faculty-led Study Abroad Programs, which is still in under review and pending approvals by the Council of Academic Deans (CAD).</p> <p>A board motion was approved by the TSUS Board of Regents in November 2011 allowing SHSU to collect a</p>	In Progress

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	<p>coordinated and managed for oversight by the Office of International Programs. This would provide a consistent method of managing and accounting for the trips, rather than various tracking programs used by faculty. In addition, it would provide a segregation of duties between the faculty being allowed to collect, track, and deposit student money without oversight (in addition to being against TSUS Rules). This will protect the student as well as faculty.</p> <p>3. Formal policies and procedures should be established for faculty-led study abroad programs to address at a minimum: provisions for refunds, provisions for non-payments, and applying fees to student accounts in a manner that would allow them to apply for aid if appropriate, et. al.</p>	<p>Study Abroad Fee that includes all costs incurred by the student. This will allow the University to collect funds centrally through the standard fee process, removing all responsibilities for such from the faculty, departments, and colleges. The revised implementation date for these recommendations is January 1, 2013.</p> <p>Person Responsible: Richard Porter, Director of Office of International Programs</p> <p>Estimated Implementation: January 1, 2013</p>	
	<p>As mentioned in the recommendation for Observation 1, having a centrally coordinated function (Office of International Programs) for managing and accounting for faculty-led study abroad trips would provide much better oversight and consistent methods of accounting, budgeting, completion of required forms, etc.</p>	<p>The Office of International Programs has written a handbook for faculty-led Study Abroad Programs, which is still in under review and pending approvals by the Council of Academic Deans (CAD). The revised target implementation: January 1, 2013</p> <p>Person Responsible: Richard Porter, Director of Office of International Programs</p> <p>Estimated Implementation: January 1, 2013</p>	In Progress
	<p>As described in the recommendation for Observation 1 to centrally coordinate faculty-led study abroad programs, this is also a way to better ensure that all the appropriate forms are completed consistently between all programs before travel occurs. Segmented, decentralized oversight by various faculty members increases the risk of noncompliance with travel requirements, TSUS Rules, and University policy due to lack of training and differing organizational procedures.</p>	<p>The procedural guidelines referenced in Management Response 1 incorporate deadlines for completing all paperwork. Students not meeting those deadlines will be removed from the rosters and refunded the appropriate fees (as presented in the draft handbook). The draft handbook is currently under review and pending approval by the Council of Academic Deans (CAD). The revised target implementation date for these recommendations is January 1, 2013.</p> <p>Person Responsible: Richard Porter, Director of Office of International Programs</p>	In Progress

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<p>State Auditor’s Office Follow-up Audit on Selected Financial Processes at Sam Houston State University, November 2012 (External Audit) <i>Note: This was a follow-up to the original recommendations made in June 2010</i></p>	<p>The University should continue to strengthen key financial processes by documenting its risk-based approach to asset inventory management. In addition, it should update its policies and procedures to reflect the current process for determining which departments are selected for inventory review.</p>	<p>Estimated Implementation: January 1, 2013</p> <p>The University agrees with the recommendation and revised SHSU’s Internal Policies and Procedures for Risk Assessment of University Assets to include the following: “As part of Property’s Risk Assessment, Property will review each department’s annual inventory.” The Property Office developed an automated report to show each department’s percentage of missing and stolen inventory and the dollar amount(s). From this report, Property can document the ten departments who disclose the highest percentage of inventory missing or stolen. Property will then conduct a spot audit on each of these departments documenting the results. The recommendation is considered implemented.</p> <p>Person Responsible: Wayne Frosch, Property Coordinator</p>	<p>Substantially Implemented (per SAO)</p>
	<p>The University should continue to strengthen key financial processes by ensuring departments implement proper segregation of duties over the asset inventory process.</p>	<p>The University agrees with the recommendation and now requires review and approval by both the person conducting the inventory and the Director or Custodian. This segregation is evidenced by dual signatures on the Annual Inventory Report. Instructions are included in the inventory packet stating that property inventories should be conducted by individuals (verifiers) who are not responsible for the property being inventoried or accounted for on a day-to-day basis. Property will be responsible for checking to ensure the Director or Custodian and Inventory Conductor are two separate individuals. The recommendation is considered implemented.</p> <p>Person Responsible: Wayne Frosch, Property Coordinator</p>	<p>Incomplete/Ongoing (per SAO)</p>
	<p>The University should continue to strengthen key financial processes by obtaining sufficient detail on its specific investments holdings from its investment firm.</p>	<p>The University agrees with the recommendation and hired a new investment consultant along with changing the investment firm managing investments in September 2012. Additionally, the University established an investment committee to oversee compliance with statutory and regulation requirements. The new firm will be able to provide the proper detail needed to the University to</p>	<p>Substantially Implemented (per SAO)</p>

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		analyze specific investment holdings. The recommendation is considered implemented. Person Responsible: Al Hooten, VPFO	
	The University should continue to strengthen key financial processes by ensuring that the notes to its annual financial report accurately reflect the valuation of all investments.	The University agrees with the recommendation and added a verification process to the annual financial report preparation to ensure that notes match the investment activities. This process includes comparing the August 31st investment statements to the annual financial report before finalizing the report. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Substantially Implemented (per SAO)
	The University should continue to strengthen key financial processes by documenting the results of its review of its annual financial report.	The University agrees with the recommendation and has multiple reviews that occur for the production of the annual financial report. This includes each person that prepares a part of the annual financial report submitting a final copy to the Associate Controller for Reporting. Once all the documents are consolidated into a draft report, the report is reviewed by multiple staff members that provide their corrections for review and integration into the final report. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Substantially Implemented (per SAO)
	The University should continue to strengthen key financial processes by ensuring that departments comply with its cash deposit policies.	The University agrees with the recommendation and continues to identify and train departments that handle cash to ensure departments follow cash policies. The University provides formal training to departments and uses department deposit software that allows for departments to electronically record the receipt of cash. The amount can then be reconciled when the cash is received in the Bursar’s Office. This system also allows the Bursar’s Office to know if a department is retaining funds for extended periods of time. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Incomplete/Ongoing (per SAO)
	The University should continue to strengthen key financial	The University agrees with the recommendation and	Incomplete/Ongoing

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	processes by ensuring that its new cash receipts application has sufficient controls to ensure proper segregation of duties over receiving, recording, and depositing cash.	implemented a department deposit system that segregates duties over cash. This system allows for the person receiving the cash to document the receipt, and for that receipt to be verified by a second staff member before the cash is delivered to the Bursar’s Office. When the cash is delivered to the Bursar’s Office, cash is deposited after reconciling the receipts and system records to the cash brought for deposit. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	(per SAO)
	The University should continue to improve controls over grants management by obtaining required grant approvals prior to accessing grant funds.	The University corrected all processes in 2012. From the SAO follow-up audit, the SAO did not find any missing approvals in 2012. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Substantially Implemented (per SAO)
	The University should continue to improve controls over grants management by obtaining required conflict of interest forms prior to approving grants.	The University corrected all processes in 2012. From the SAO follow-up audit, the SAO did not find any missing conflict of interest forms in 2012. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Substantially Implemented (per SAO)
	The University should continue to improve controls over grants management by ensuring that expenditures associated with grants are valid and have supporting documentation prior to paying for those expenditures.	The University agrees with the recommendation and reviews every expenditure on all grants of the University. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Incomplete/Ongoing (per SAO)
	The University should continue to improve controls over grants management by ensuring that its indirect cost calculations are accurate and that it consistently complies with its policy if it uses an indirect cost rate that is lower than the federally approved indirect cost rate.	The University corrected all processes in 2012. From the SAO follow-up audit, the SAO did not find any incorrect use of indirect cost rates in 2012. The recommendation is considered implemented. Person Responsible: C. Aaron LeMay, Controller	Substantially Implemented (per SAO)
	The University should continue to improve controls over grants management by ensuring that the information in its annual financial report describing how indirect cost funds were used and how they support research activities is	The University agrees with the recommendation and will review its processes and policies to determine the best practice for oversight of indirect cost recovery. The review will occur and a new process or policy will be	Substantially Implemented (per SAO)

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	accurate and complete.	implemented prior to August 31, 2013. Person Responsible: Dr. Jerry Cook, AVP for Research & Sponsored Programs Estimated Implementation: August 31, 2013	
	The University should continue to strengthen information technology controls by documenting its review of user access to key financial applications.	The University agrees with the recommendation and now IT documents requests for changes to ERP system access including Banner Finance via the SHSU Work Order System. The history of these requests document regular changes are occurring to the levels of access within the ERP System. In October, IT management requested a review of ERP access in conjunction with Cyber Security Awareness month. Responses to this process are being recorded for documented backup. This process will occur annually. The recommendation is considered implemented. Person Responsible: Jacob Chandler, Director of Enterprise Services	Incomplete/Ongoing (per SAO)
	The University should continue to strengthen information technology controls by confirming that all business units conduct periodic reviews of user access, as required in its policies and procedures.	The University agrees with the recommendation and now IT documents requests for changes to ERP system access including Banner Finance via the SHSU Work Order System. The history of these requests document regular changes that are occurring to the levels of access within the ERP System. In October, IT management requested a review of ERP access in conjunction with Cyber Security Awareness month. Responses to this process are being recorded for documented backup. This process will occur annually. The recommendation is considered implemented. Person Responsible: Jacob Chandler, Director of Enterprise Services	Incomplete/Ongoing (per SAO)
	The University should continue to strengthen information technology controls by ensuring that its programmers do not have access to application code in the production environment.	The University agrees with the recommendation. As a general rule, programmers/analysts do not have modify access to production code. In this instance, a staff member transitioned from a DBA role to an analyst role. Currently, he may serve as a backup for the remaining DBAs in an emergency situation until we have hired a replacement.	Substantially Implemented (per SAO)

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		<p>The recommendation is considered implemented.</p> <p>Person Responsible: Jacob Chandler, Director of Enterprise Services</p>	
<p>Texas Comptroller of Public Accounts Post Payment Audit, November 2012 (External Audit)</p>	<p>SHSU must ensure that all payroll and personnel transactions are reported to the Human Resource Information System (HRIS) in a timely manner:</p> <ul style="list-style-type: none"> • Personnel transactions are timely when they are successfully reported to HRIS on or before the seventh day of the month following their effective date. • Payroll transactions are timely when they are reported and posted by the seventh day of the month following their payment date. 	<p>SHSU agrees with the recommendation and has taken many steps to submit both personnel and payroll transactions timely. SHSU was part of the initial test group for the HRIS and historically submitted all required information timely. In fiscal year 2011, SHSU converted to a new human resource and payroll system and worked closely with SHSU’s liaisons at the Comptroller’s Office for reporting to prevent a delay in report submission. However, there were numerous errors with data submission to the HRIS that were not foreseen by either party. For fiscal year 2013, both personnel and payroll files are current through October 31, 2012. SHSU is working to keep fiscal year 2013 up-to-date while catching up on prior year data submissions. This function had been traditionally handled by a single staff member in the SHSU Payroll Office. Subsequent to the audit, the Comptroller’s Office has provided onsite training to additional staff in the Human Resources Office to assist with personnel submissions. This change will assist SHSU in being timely with submitting the HRIS information.</p> <p>Persons Responsible: C. Aaron LeMay, Controller and Karyl Horn, Director of Payroll and Tax Specialization</p> <p>Estimated Implementation: August 31, 2013</p>	<p>In Progress</p>
	<p>SHSU must determine whether it has specific or implied statutory authority to purchase goods or service before procuring the good or service.</p>	<p>SHSU agrees with the recommendation and will transfer these specific purchases to local funding sources that are allowed to purchase these items. Additionally, SHSU has determined CMIT (Correctional Management Institute of Texas) retains implied statutory authority for purchases relating to their training operations. This specific purchase identified by the auditors should not have been allowed since it related to non-training operations and SHSU will correct them accordingly.</p>	<p>In Progress</p>

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		<p>Person Responsible: C. Aaron LeMay, Controller</p> <p>Estimated Implementation: March 31, 2013.</p>	
	<p>We recommend that SHSU continue to review all vouchers submitted into USAS for reimbursement to local funds to ensure that only expenditures that comply with state laws and rules are included in the entries. SHSU should seek reimbursement for the excessive amount unless it determines it is not cost effective to do so.</p>	<p>SHSU agrees with the recommendation and does review all vouchers submitted into USAS (Uniform Statewide Accounting System) for reimbursement. SHSU will transfer this purchase from the appropriated funding source and reimburse the state for the expenditure from SHSU local funds.</p> <p>Person Responsible: C. Aaron LeMay, Controller</p> <p>Estimated Implementation: Fully Implemented, November 30, 2012</p>	<p>External Audit/Status is pending verification by external auditor</p>
	<p>SHSU must review its procedures to ensure that it submits payment information for processing as well as releasing the payment in a timely manner to avoid incurring interest liabilities. In addition, SHSU must verify that proper due dates are entered to ensure that if interest is due, it is paid correctly to the vendors.</p> <p>To minimize the loss of earned interest to the state treasury, SHSU must schedule all payments that are greater than \$5,000 for the latest possible distribution and in accordance with its purchasing agreements as described in the Comptroller's <i>Prompt Payment and Scheduling Guide</i>. SHSU can pay according to the terms on the invoice only if those terms are included in the purchase agreement.</p>	<p>SHSU agrees with the recommendation and works to make sure all payments are properly scheduled and paid. These errors were caused by data being entered incorrectly into SHSU’s new financial system and feeding to USAS. SHSU has conducted training with all staff entering invoice information to ensure data is entered correctly and payments are made timely.</p> <p>Person Responsible: C. Aaron LeMay, Controller</p> <p>Estimated Implementation: Fully Implemented, August 31, 2012</p>	<p>External Audit/Status is pending verification by external auditor</p>
	<p>SHSU should review the controls over expenditure processing and segregate each task to the extent possible to ensure that no individual is able to process payments without oversight.</p> <p><i>Fiscal Policies and Procedures</i> B.OO5 discusses the following tools that are available in USAS for agencies to use to strengthen internal controls and reduce risks to state funds:</p> <ul style="list-style-type: none"> • SHSU may request that a preventative control be enforced 	<p>SHSU agrees with the recommendation and is reviewing operations to establish an approval hierarchy in USAS that matches that within SHSU’s accounting operations. SHSU’s internal operations meet or exceed the controls the Comptroller is requesting for USAS operations. Therefore, we can now duplicate current internal operations into USAS operations at minimal added time and effort.</p> <p>Person Responsible: C. Aaron LeMay, Controller</p>	<p>In Progress</p>

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	<p>for all of their transactions in USAS. If an agency requests the control, an edit will prevent the release of a document that the same user entered or altered, or warn when this is about to occur.</p> <ul style="list-style-type: none"> • SHSU may request to receive the Risky Documents Report (DAFR9840) to identify documents that the same user entered or altered and then released for processing. This report enables agency management or internal and external auditors to easily identify and review these documents. 	<p>Estimated Implementation: March 31, 2013</p>	
<p>State Office of Risk Management On-Site Consultation (OSC), November 2012 (External Audit)</p>	<p>During the survey, it was noted that the otherwise excellent fleet safety program is missing a policy on distracted driving. SORM recommends that SHSU add a distracted driving policy to address the usage of cell phones (talking, texting and Internet usage), radios (walkie-talkies), and other equipment. The policy should make clear that the rules extend to all campus vehicles, including golf carts, ATVs, and farm equipment such as tractors, etc.</p>	<p>SHSU agrees with the recommendation and we are using much of the language contained in the template to amend our current Vehicle Fleet Management Policy, Finance & Operations Policy FO-45. Our Safety Coordinator is working with Physical Plant Department management to make these recommended changes which will be forwarded to the Texas State University System legal team for review and ultimately the SHSU President’s Cabinet.</p> <p>Person Responsible: David Hammonds, AVP for Human Resources & Risk Management</p> <p>Estimated Implementation: April 2013</p>	<p>In Progress</p>
	<p>During the survey, it was noted that the vehicle maintenance shop was unaware of the manufacturer’s recommendations for the preventative maintenance servicing schedule of their two vehicle lifts. SORM recommends that SHSU locate or obtain the owner’s manual for these lifts and follow the servicing schedule recommended by the manufacturer.</p>	<p>SHSU agrees with the recommendation and we have located the applicable owner’s manuals for the two vehicle lifts in our vehicle maintenance area and will follow the manufacturers recommended maintenance servicing schedule for both devices. Maintenance personnel will document the servicing of these two (2) devices.</p> <p>Person Responsible: David Hammonds, AVP for Human Resources & Risk Management</p> <p>Estimated Implementation: April 2013</p>	<p>In Progress</p>
	<p>During the survey, it was noted that an emergency eyewash station was missing from the spray booth area. SORM recommends that SHSU install an ANSI-compliant eyewash station in this area.</p>	<p>SHSU agrees with the recommendation and installation of an ANSI-compliant eyewash station located in the spray booth area has been requested. The equipment has arrived and will be installed.</p>	<p>In Progress</p>

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		Person Responsible: David Hammonds, AVP for Human Resources & Risk Management Estimated Implementation: January 2013	
SUL ROSS STATE UNIVERSITY			
Purchasing and Procurement, October 2009	Develop a policy to limit the use of the reimbursement process to instances where the purchase process is not feasible. Also included in this policy should be a requirement that purchases be delivered to SRSU receiving.	A policy has been developed and sent to VP for approval and submission to Executive Committee. VP sent back to Director of Purchasing and Controller for further review and update. The policy is being reviewed, and updates will be made. Policy revisions were sent to VP for approval. Person Responsible: Noe Hernandez, Purchasing Director Estimated Date of Completion: March 30, 2013	In Progress
Rio Grande College-Performance Measures, March 2010	Regarding the maintenance of files containing PII on the hard drive of the computer in the office of the Director of IRE, or any other computer on any campus of SRSU or RGC, management should develop procedures and guidelines that conform in all respects with the requirements of TAC 202, TSUS IT.01.01, TSUS.02.01 and TSUS IT.03.01. The discovery of PII on computers throughout the campuses is not adequate until there is a current policy in place to address the security of that information.	The new confidential data policy has been approved by EC. Here is the link to it: http://www.sulross.edu/docs/policy/apm709.pdf . This policy also identifies Data owners and measures to be taken to protect information. Identity finder is part of our base image and is being deployed as part of the computer refresh. During the initial purchase, the central management console was not purchased, which will be requested as part of the upcoming HEAF request. We have added a new email anti-spam filter that is blocking sending of SSN data at the perimeter. As part of HEAF 2013, we have procured a central console for Identity finder that will centrally scan all University computers for PII data and secure them. This system will be implemented by July, 2013. Person Responsible: Chandragupta Gudena, CIO Estimated Date of Completion: July 31, 2013	In Progress

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Accountability for State Assets, June 2008	<p>Work towards as complete of a reconciliation of the results of the inventory to the SPA report as possible with the missing paperwork, resolving discrepancies as they are identified and updating the SPA and accounting records accordingly.</p>	<p>Percent of completion remains at 85% complete. Property and Inventory Accounting Assistance responsible for RGC inventory review resigned. A new Accounting Assistant has been hired, and will resume RGC inventory research. During the RFID implementation tagging of Alpine equipment, RGC missing equipment has been discovered. The researching of missing equipment will continue as the RFID implementation continues. RFID implementation involves going from room to room in search of all property with asset numbers to associate a RFID tag to all equipment. This process has resulted in the locating of some RGC missing equipment. Property and inventory records will be updated.</p> <p>The audit had 1398 discrepancies. As of 01/10/2013 955 items have been resolved.</p> <p>Forty four items have been resolved this quarter. There are 327 Alpine items left pending to solve, and 116 Rio Grande College items, as of 01/10/2013. Project ongoing.</p> <p>Person Responsible: Oscar Jimenez, Controller and Jesus Spencer, Property and Inventory Coordinator Estimated Date of Completion: May 31, 2013</p>	<p>In Progress</p>
	<p>Based on results of the evaluation and process-flow model, RGC should establish formal practices and procedures of their own that accommodate any specialized circumstances or problematic communication/coordination identified, but should remain consistent with the established policies of SRSU.</p>	<p>RGC process will change with the new addition of RFID System. The RFID system will be implemented. RFID System was implemented November 2010 starting with the Alpine campus. RGC RFID training held on July 25-26, 2011, Del Rio, Eagle Pass, and Uvalde Campus represented.</p> <p>RFID tagging of new equipment has been implemented at the Del Rio campus and Alpine campus.</p> <p>The Property and Inventory Department plans to conduct another RFID training session this spring. The process-flow model is 50% complete.</p>	<p>In Progress</p>

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		<p>Person Responsible: Oscar Jimenez, Controller, Jesus Spencer, Property and Inventory Coordinator, Delia Ramirez, RGC Business Services Director Estimated Date of Completion: May 31, 2013</p>	
	Based on the results of the evaluation and process-flow model, SRSU should establish formal practices and procedures that facilitate, emphasize, prioritize, and reinforce the established policies.	<p>Process-flow will be complete in October 2010. Process flow model is being developed with RFID procedures and will be used to train RGC personnel in April 2011. Process-flow will be completed in August 2011 with the addition of the RFID system. RGC procedures are being developed to accommodate the RFID system.</p> <p>Person Responsible: Oscar Jimenez, Controller, Jesus Spencer, Property and Inventory Coordinator, Delia Ramirez, RGC Business Services Director Estimated Date of Completion: May 31, 2013</p>	In Progress
	Formal practices and procedures on both campuses should delineate the existing roles and responsibilities of the various personnel and departments involved in the identified process-flow from asset requisition to asset retirement.	<p>Existing roles and responsibilities for personnel on each campus will be formally documented and delineated. RFID manual is being developed to document new procedures. An RFID manual for Alpine campus is being developed and will be used to develop the RGC RFID manual.</p> <p>Person Responsible: Oscar Jimenez, Controller, Jesus Spencer, Property and Inventory Coordinator, Delia Ramirez, RGC Business Services Director Estimated Date of Completion: May 31, 2013</p>	In Progress
	Consider using the planned fiscal year 2009 property management audit and continuous/follow-up auditing practices by the Internal Auditor to monitor, correct, and reinforce the existing policies and control framework prior to any consideration given to the complete restructuring of the control environment or use of Service Level Agreements to address the immediate and significant breakdown in control activities.	<p>Once the RFID system is implemented, physical inventories will be more efficient to conduct wall to wall audits. The first buildings converted to RFID were sample inventoried with much success.</p> <p>The FY 2011 physical inventory was done using the RFID system for the Academic Computer Resource Center, and Ferguson Hall Buildings.</p> <p>The FY 2012 physical inventory was done using the RFID system for the Controller, Human Resource and VP for</p>	In Progress

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		<p>Finance and Operations Department.</p> <p>Property and Inventory Department plans to utilize the RFID system to conduct the FY 2013 physical inventory for additional departments.</p> <p>Person Responsible: Oscar Jimenez, Controller, Jesus Spencer, Property and Inventory Coordinator, Delia Ramirez, RGC Business Services Director Estimated Date of Completion: May 30, 2013</p>	
<p>SRSU Inventory Follow-Up, November 2009</p>	<p>Consider the feasibility of leveraging technology to provide additional controls over those items that are easily and/or frequently transported/transferred between departments (example: computers, cameras, video equipment).</p>	<p>The Computer Resource, Ferguson, University Center Building, Lawrence Hall, Lobo 1 Dorm, Lobo 2 Dorm, Museum , Residential Living, Art Annex, President’s Home, Brick 1,2,3, Child Care, Wildenthal Memorial Library, Briscoe Administration Building, and Fleet Vehicle Inventory have been completed. The Fine Arts Building and Mountainside Building are 99% complete.</p> <p>All new capital and trackable items received at Central Receiving have been tagged with RFID tags.</p> <p>RFID tagging of new equipment has been implemented at the Del Rio campus and Alpine campus.</p> <p>Person Responsible: Oscar Jimenez, Controller, Jesus Spencer, Property and Inventory Coordinator, Delia Ramirez, RGC Business Services Director Estimated Date of Completion: May 31, 2013</p>	<p>In Progress</p>
<p>Comptroller’s Post Payment Audit, August 2010 (External Audit)</p>	<p>Enhance internal controls to prevent incorrect longevity payments and review the files of those employees whose beginning date is any other than the first of the month to verify that the longevity service credit is correct.</p>	<p>Sul Ross State University Payroll Staff has conducted an audit of all active employees with a begin date that is not on the first day of the month who receive longevity to verify correct longevity dates. The University has also implemented a new practice of using the online program Calendarhome.com to aide in the accuracy of calculating prior state service when other state agencies provide service dates. Using the Calendarhome.com site has eliminated the manual process for calculating prior state service months. CalendarHome.com is also used when</p>	<p>External Audit/Status as “Implemented” is pending verification by external auditor)</p>

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		<p>calculating prior state service with the University. The Payroll and Human Resources office has coordinated with the Rio Grande Campus to insure continuity of longevity calculations between the two campuses.</p> <p>Person Responsible: Judy Perry, Human Resources Director</p>	
	<p>Properly review and compare the purchase order to the invoice to ensure that the payments do not exceed the amounts authorized in the purchase order. Document any amendments to the purchase order.</p> <p>Document freight terms on each purchase order. In instances where the final amount of freight cannot be determined, may use estimated amounts. Document the limit that may not be exceeded for any freight amount. If it is determined that the upper limit for a freight amount will be exceeded, then the vendor should obtain approval for the higher amount. Any approvals for higher amounts should be documented.</p>	<p>The accounting office has implemented processing state vouchers directly from USAS this year, which will decrease the number of manual reimbursements, and errors on data entry to USAS.</p> <p>The University will review procedures in place to ensure we are paying or reimbursing the proper amounts. We will be more diligent in our preparation and review of payments and reimbursements to ensure that the three-way match requirement is performed before a payment is generated. The University will estimate and document freight terms on a "not to exceed" basis for each purchase order. We will enforce our procedures of not paying any freight amount unless the purchase order states that freight will be charged. The tolerances on purchase orders have been changed to zero. If the amount invoice is different from the order amount, a change order is issued before payment. We have also added a statement in all of our purchase orders to our vendors that state in part “If freight charges are not included on the PO, then the charges are not owed by the University and will not be paid.”</p> <p>The university has implemented a -0- tolerance.</p> <p>Person Responsible: Noe Hernandez, Purchasing Director</p>	External Audit/Status as “Implemented” is pending verification by external auditor)
	Take steps to adequately review all vouchers submitted into USAS for reimbursement to local funds to ensure that only expenditures that comply with state laws and rules are included in the entries. The University should refund the excessive amounts to the treasury.	The University currently reviews all travel vouchers before payments are made. The University will revise payment procedures to review all travel vouchers submitted for reimbursement into USAS, to ensure payments comply with state laws and rules. It should be noted that the amounts paid to the employees for each of	External Audit/Status as “Implemented” is pending verification by external auditor)

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		these vouchers were correct and proper. In addition, the Controller or Assistant Controller must review all USAS reimbursements before releasing a batch for posting.	
	The University must ensure that all travel reimbursements are properly reviewed at the time of payment to ensure compliance with all applicable regulations and limitations. The University must seek a reimbursement for the amount in error unless the University determines that it is not cost effective to do so.	The University will review all travel reimbursements to ensure compliance with state regulations, and that all proper forms are completed. Payments in excess of the state rate will not be paid, unless the "Request to Increase Maximum Lodging Rate" form is completed with proper authorizations. Because the University believes that the proper authorization was received prior to the reimbursement, a reimbursement from the employee will not be requested. Person Responsible: Oscar Jimenez, Controller Estimated Date of Completion: February 29, 2012	External Audit/Status as “Implemented” is pending verification by external auditor)
	The University should periodically review the controls over expenditure processing and segregate each task to the extent practical to ensure that no individual is able to process payments without oversight.	The University conducts a State Government Accounting (SGA) system semiannual Verification of Users' Security Access level. The university will be diligent in removing USAS access for employee change in job duties, terminations, and ensure separation of duties. The individual with complete access in question is still employed. This complete access was in place for training purposes only and has since been removed. We will utilize one or both of these available controls/reports and continue routine monitoring of all system accessibility. Person Responsible: Oscar Jimenez, Controller Date of Completion: December 31, 2011	External Audit/Status as “Implemented” is pending verification by external auditor)
	The Comptroller's formal rule pertaining to designating individuals to approve agency expenditures and revoking those designations was in effect during the audit period. Whenever a designated employee terminates employment with an agency, the Comptroller must receive notification of the employee's termination no later than the fifth day after the effective date of the employee's termination. See 34 Tex. Admin. Code sec. 5.61 (2010). Any officer or employee may send the Comptroller that notification. See Section 5.61 (k)(3)(B).	The University will review its current procedures for terminated employees and will notify the Comptroller to remove USAS access timely. The security coordinator will ensure that employees sign the CTIA forms before USAS access is granted. We will also give the Human Resources department a list of all employees with signature card authority and request a review of this list with each employee checkout. Person Responsible: Oscar Jimenez, Controller	External Audit/Status as “Implemented” is pending verification by external auditor)

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	<p>When a new user needs access to the Comptroller systems, the first step that the agency's security coordinator takes is to have the person read and sign the CTIA (Confidential Tax/Vendor Information Agreement) form. A reviewing official also signs the agreement, which the agency's security coordinator keeps on file for as long as the user has access to the systems plus five years.</p> <p>The University must ensure compliance with the preceding requirements. To accomplish this, the University should evaluate its current procedures for notifying the Comptroller about terminated employees who are designated to approve expenditures and correct any existing weaknesses in those procedures. In addition, the University must enhance its controls to ensure the CTIA forms are completed in a timely manner.</p>	<p>Date of Completion: December 31, 2011</p>	
<p>Upward Bound Grant, January 2012</p>	<p>Enhance controls over journal entry posting. Prevention controls should be established as well as detection controls. For entries that are posted regularly, establish a list of entries that can be checked off for each time period (monthly, annually, etc.) This preventive control will ensure that each entry is posted (or at least considered) in the appropriate time frame and that none are double-posted.</p> <p>Monthly review of financial information by the Project Director would provide detection of errors in posting. The Project Director should receive adequate training on the Banner system to increase proficiency in evaluating this level of financial information.</p>	<p>The Budget Specialist provided additional training on the Banner System to the Project Director on the Banner System in an effort to expand her ability to evaluate expenditures timely. The training was held in February 2012.</p> <p>The Controller’s Office prepared a monthly journal entry check list to be used as a preventive control over journal entry posting starting with July 2012.</p> <p>Person Responsible: Oscar Jimenez, Controller / Barbara Vega, Project Director Estimated Date of Completion: September 30, 2012</p>	<p>Verification In Progress</p>
	<p>Increase training of Purchasing staff and Controller’s Office staff regarding appropriate use of the account structure. The level of supervision over this process should be increased as appropriate until staff is proficient in account selection.</p>	<p>Provide training to Purchasing staff regarding appropriate use of the account structure. The Purchasing staff has not received formal training from the Comptroller of Public Account regarding the appropriate use of the account structure. We will seek formal training from the Comptroller of Public Accounts. All purchase orders and journal entries will be reviewed for proper and consistent account use. Joint training will be held periodically for</p>	<p>In Progress</p>

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	<p data-bbox="327 399 974 490">Update phone line records to assure the correct number of lines is charged departmentally. Also, the grant should be reimbursed for the \$144 overcharge.</p> <p data-bbox="327 799 974 889">Include travel documentation (travel applications, travel vouchers, supporting receipts, etc.) in the ImageNow software system.</p>	<p data-bbox="999 250 1625 396">Purchasing and Controller’s Staff. Person Responsible: Noe Hernandez, Purchasing Director / Oscar Jimenez, Controller Estimated Date of Completion: February 28, 2013</p> <p data-bbox="999 402 1625 672">Controller’s Office will request the Office of Information Technology (OIT) to provide a list of phone numbers, and the number of lines to the financial managers for approval before OIT is done. The \$144 correction will be posted in January 2012. Still pending: updating the phone line records. Project to update phone line records was completed on January 16, 2013. Person Responsible: Oscar Jimenez, Controller / Mark Land, Director of Infrastructure Services Estimated Date of Completion: January 16, 2013</p> <p data-bbox="999 799 1625 1159">The Controller’s Office will pursue the scanning of all travel documents in ImageNow system. The Controllers’ staff will design and set up separate attributes in ImageNow for all travel documents. Travel documents for fiscal year 2013 are scanned in the ImageNow System. Person Responsible: Oscar Jimenez, Controller / Barbara Vega, Project Director Estimated Date of Completion: September 1, 2012</p>	<p data-bbox="1650 399 1961 431">Implemented</p> <p data-bbox="1650 799 1961 831">Implemented</p>
<p data-bbox="109 1172 302 1391">A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance</p>	<p data-bbox="327 1172 974 1221">The University should establish controls over manual adjustments it uses in determining financial need.</p>	<p data-bbox="999 1172 1625 1398">A minimum of two staff members are required to process a budget adjustment, one to document and calculate the requested adjustment and another to review, approve, and enter the adjustment in the management computer system (BANNER). The Budget Adjustment Worksheet must be completed and signed by both staff members and, in some cases, approved by the Executive Director of Enrollment Services. The Budget Adjustment Worksheet and all</p>	<p data-bbox="1650 1172 1961 1253">External Audit/Status as “Implemented” confirmed by the State Auditor’s Office</p>

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<p>Cluster of Federal Programs for the Fiscal Year Ended August 31, 2011, February 2012 (External Audit)</p>		<p>supporting documents will be scanned into the electronic imaging system.</p> <p>Person Responsible: Michael Corbett Estimated Date of Completion: June 30, 2012</p>	
<p>Management Advisory Letter, Travel Advance Funds, March 2012</p>	<p>The revised travel policy should specifically address accounting leadership approval of travel applications and travel advance requests separate from the travel clerk function. Accounting leadership should assure that appropriate supervisory approval was obtained on both the travel application and travel advance request, that the amount of the travel advance is in compliance with policy, and that the requesting employee does not have any outstanding advance balances. Approval should be documented with the approver’s initials or signature on the original documents.</p>	<p>The Accounting Supervisor will review all travel applications, travel advance requests for compliance with travel policy. Approval will be documented with initials.</p> <p>Person Responsible: Oscar Jimenez, Controller Estimated Date of Completion: April 9, 2012</p> <p>Auditor’s Note: Follow up conducted yielded exceptions. Will conduct additional follow up next quarter.</p>	<p>Verification In Progress</p>
	<p>Management should establish, document, and communicate to departmental managers a procedure requiring periodic review of departmental employees’ access rights to computer systems and data to ensure that such rights are limited to those necessary to perform required job functions.</p>	<p>Administrator-privilege access to computers and servers is limited to OIT staff only who have a need to know. End users, faculty, staff and students are not provided administrator access to computer systems. We are in the process of establishing protocols to provision and disable access when OIT is notified by Human Resources of personnel changes.</p> <p>The Data Owners section of the Confidential Data Policy outlines that data owners are to review access rights every 90 days. This is a policy that recently was approved by the Executive Cabinet and we hope to have this enforced by September of 2012. Policy has been approved by EC and we have begun enforcing the policy in terms of referring the requests to data owners when data are requested.</p> <p>Person Responsible: Chandragupta Gudena, CIO Estimated Date of Completion: September 30, 2012</p>	<p>Verification In Progress</p>

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Endowment Fund Investments and Related Scholarships, June 2012	To comply with the TSUS Investment Policy – Endowment Funds, we recommend the documentation of an annual review of written procedures with a copy of the reviewed procedures sent to the Vice Chancellor for Finance annually.	Although this review of procedures is generally performed during the investment policy review each year, we will document this review and resulting communication to the Vice Chancellor for Finance. The review has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated Date of completion: October 15, 2012, in conjunction with the annual review of TSUS investment policies.	Implemented
	The SRSU written procedures should be modified to be consistent with the System’s asset class allocation ranges. The written procedures should incorporate sub-allocations within these asset class ranges so that no one security or class of securities will have an excessive impact on the portfolio’s growth or earnings. The portfolio should be rebalanced at least annually, as per the TSUS Investment Policy – Endowment Funds, to assure compliance with the target allocation ranges and the target sub-allocation ranges.	The SRSU written procedures will be modified to be consistent with the system asset class allocation ranges in compliance with TSUS policy. The review has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: October 15, 2012, in conjunction with the annual review of TSUS investment policies.	No longer applicable - A policy change adopted by the Board of Regents in November 2012 resolved the recommendation.
	To comply with the TSUS Investment Policy – Endowment Funds, we recommend the documentation of an annual review of asset allocations followed by rebalancing when necessary.	We will establish procedures for the documentation of this review and rebalancing as necessary. The review has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: October 15, 2012, in conjunction with the annual review of TSUS investment policies.	Verification In Progress
	To comply with the TSUS Investment Policy – Endowment Funds, we recommend the documentation of performance reviews be conducted quarterly. The documented reviews should, at a minimum, address the elements specified in the Policy.	We will develop procedures to conduct and document quarterly performance reviews as specified in the TSUS policy. The review has been performed with Asset Strategy Consultants prior to the August Board submission. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Verification In Progress

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	To comply with the TSUS Investment Policy – Endowment Funds, we recommend the preparation and review of monthly reports, prepared in accordance with Section XII of the Policy.	The TSUS has entered into an agreement with Asset Strategy Consultants whereby they will prepare the investment reports quarterly. We will ask that reports be prepared in compliance with TSUS policy and in particular we will request such reports. In addition, we will prepare monthly reports for internal purposes until the new system is producing the monthly reports. The review of policies has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	No longer applicable - A policy change adopted by the Board of Regents in November 2012 resolved the recommendation.
	To comply with the TSUS Investment Policy – Endowment Funds, we recommend the quarterly investment reports be submitted additionally to the component President and to the TSUS Chancellor. Auditor’s Note: The TSUS Investment Policy – Endowment Funds was revised in November 2012 to require submission of the quarterly investment reports to the CFO for System Administration and the respective component President (Section XIII.A).	We will implement procedures to submit the required investment reports according to policy. The review of policies has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Verification In Progress
	The Quarterly Investment Report should be revised to separate types of funds (e.g. endowment versus operating fund investments) and the asset classes within the different types of funds.	The quarterly report for the period ending 2/28/2012 was adjusted to separately reflect funds as recommended. We believe the new agreement with Asset Strategy Consultants calls for this separation. The reports submitted in August include this separation. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Implemented
	The quarterly reports should be reviewed by management personnel prior to submission to the System Office. This includes review of appropriate supporting documentation, such as market value statements supporting the reported market value of the securities.	We have implemented procedures to ensure that this type of error is minimized. The VPFO reviews supporting documentation for this information before the reports are finalized. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Verification In Progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
	The Quarterly Investment Report should be modified to include a column to reflect realized and unrealized gains and losses.	We believe this requirement is incorporated into the TSUS agreement with Asset Strategy Consultants. The report submitted in August included this information. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Implemented
	The Compliance Statement (contained within the Quarterly Investment Report) should be modified to include the appropriate references and statements of compliance as per the Policy.	We modified the previously modified statement to specifically refer to this policy. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: August 31, 2012	Implemented
	We recommend appropriate benchmark rates be chosen for each asset class at a minimum. Performance of the entire portfolio should be compared to a weighted average of the selected asset class benchmark rates, as per TSUS Investment Policy – Endowment Funds. We also recommend requesting clarification from the System Office regarding the methodology to be used in calculation rates of return.	We will work with the TSUS Vice Chancellor for Finance to implement appropriate benchmarks and returns calculations in conjunction with Asset Strategy Consultants. Recommended benchmarks by Asset Strategy Consultants were used for the August submission. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: December 31, 2012	Implemented
	We recommend documentation of benchmark rates chosen for each asset class.	This will be included in the procedures documentation referred to in Finding #1 above (documentation of an annual review of written procedures). The review of policies has been performed and changes submitted to Dr. Roland Smith on 10/8/2012. Person Responsible: Cesario Valenzuela, VPFO Estimated date of completion: December 31, 2012	Implemented
	The scholarship process should be documented. The entire flow, from receipt of the funds from donors, through the final award of scholarships and monitoring of recipient performance, should be included in the process documentation, with clear assignment of responsibility and accountability. Ownership of each part of the process, including managing of both endowment and scholarship accounts, should be clearly stated and communicated to all departments participating in the process. The processes at Rio Grande College should be included in this governance structure.	Management agrees with the recommendation to document the scholarship process. Since the funds are received from donations the Advancement and University Relations department should be the point of contact. The Advancement department receives the donation and generates the endowment agreement. Based on the endowment agreement, the criteria and all information should be entered into a centralized data base. The criteria can be communicated to all departments involved. The controller’s department will continue to be	In Progress

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

**TEXAS STATE UNIVERSITY SYSTEM – QUARTERLY STATUS REPORT – FEBRUARY 2013 BOARD MEETING
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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		<p>responsible to forecast scholarship balances available with the approval of the Vice President for Finance and Operations.</p> <p>We will assign this project to the division of Enrollment Management the responsibility to be the point of contact for scholarship documentation from receipt of funds, through the final award of scholarships and monitoring of receipt performance.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management. Estimated date of completion: May 1, 2013</p>	
	We recommend remediation of the negative scholarship accounts. Action plans should be developed and implemented to bring these accounts back into good standing.	<p>Regarding the deficit balances, available General Scholarship funds will be evaluated to supplement the scholarship funds that have a deficit balances.</p> <p>Person Responsible: Cesario Valenzuela, VPFO. Estimated date of completion: February 28, 2013</p>	In Progress
	Management should provide a conservative estimate of amounts available for scholarship awards. Any projected earning rates used should be based on an analysis of the current investments, the current earnings rate, historic performance, and should provide for a conservative cushion in the case of a market downturn. The most conservative option for preventing an overstatement of projected earnings is not to include projected earnings in the amount made available for scholarships.	<p>We will develop and implement a written process for projecting funds available for scholarships incorporating the recommendations above.</p> <p>We have contracted with Asset Strategy Consultants to serve as our Investment Advisor. As a part of these services, a more accurate estimate of projected earnings and funds available to be used for scholarships will be possible. These procedures will be documented as we move forward.</p> <p>Person Responsible: Cesario Valenzuela, VPFO; Oscar Jimenez, Controller, John Young, Accountant Estimated date of completion: February 28, 2013</p>	In Progress
	We recommend modifying the calculation of amounts available for award to more adequately reserve for future award periods, specific to each scholarship fund.	<p>This will be incorporated into the written process for projecting funds available for scholarships.</p> <p>We have contracted with Asset Strategy Consultants to serve as our Investment Advisor. As a part of these</p>	In Progress

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		<p>services, a more accurate estimate of projected earnings and funds available to be used for scholarships will be possible. These procedures will be documented as we move forward.</p> <p>Person Responsible: Cesario Valenzuela, VPFO; Oscar Jimenez, Controller, John Young, Accountant Estimated date of completion: February 28, 2013</p>	
	<p>We recommend discontinuing use of the term “recommendation” by Finance. This term implies that the amount calculated is optional, as it is only recommended. This recommendation was already discussed with Finance, resulting in the issuance of a revised communication to the Committee. In this revised communication, the amounts are referred to as “maximum available.”</p> <p>We also recommend increased communication with the Committee regarding the use of “surplus” funds. The Committee should understand that the “surplus” funds are not available. In the above-described revised communication, the “surplus” amount is omitted from the communication.</p>	<p>This will be incorporated into the written process for projecting funds available for scholarships.</p> <p>Person Responsible: Cesario Valenzuela, VPFO; Oscar Jimenez, Controller, John Young, Accountant Estimated date of completion: February 28, 2013</p>	In Progress
	<p>The amount communicated to the Committee as available for award should be net of any existing commitments.</p>	<p>This will be incorporated into the written process for projecting funds available for scholarships.</p> <p>Person Responsible: Cesario Valenzuela, VPFO; Oscar Jimenez, Controller, John Young, Accountant, Denise Groves, VPEM Estimated date of completion: February 28, 2013</p>	In Progress
	<p>Communication between the Finance Department and Student Financial Aid should be enhanced to assure that postings are accurate. Similar coding of accounts could be used, or a mapping of accounts maintained that would show which account name in Finance equates to which account name in Student Financial Aid, and vice versa.</p>	<p>Document the naming convention for scholarships, excellence funds.</p> <p>Financial Aid departmental reorganization underway will enhance communication to improve accurate records.</p> <p>A more efficient process is being developed with new personnel in place.</p>	In Progress

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	<p>A full review should be conducted of the endowment contracts to assure the appropriate selection criteria are both published and also implemented in the scholarship selection process.</p>	<p>Person Responsible: Oscar Jimenez, Controller Estimated date of completion: May 31, 2013</p> <p>Scholarship administration has been assigned to a different staff member. The Alpine Campus Scholarship Brochure has been updated to include all selection criteria as stated in the endowments. The RGC campus Scholarship listings are still being updated.</p> <p>This process has been completed.</p> <p>Person Responsible: Clerk of the Scholarship Committee Estimated date of completion: January 15, 2013</p>	<p>Verification In Progress</p>
	<p>We recommend obtaining the student applicants’ qualifications before the selections are made. This would prevent the selection of nonqualifying students.</p>	<p>The new scholarship committee clerk understands the importance of reviewing all applicants’ data and posting that data on a spreadsheet for the committee to review before making their selections.</p> <p>Person Responsible: Clerk of the Scholarship Committee Estimated date of completion: December 14, 2012</p>	<p>Implemented</p>
	<p>As a part of the endowment contract review recommended above, we recommend notation of any required ongoing student performance requirements and any specifics as to how the scholarship should be funded. A monitoring of student performance, enrollment, etc., should then be conducted before further awards (e.g. spring semester) are funded.</p>	<p>Administration policy will be developed addressing the designation and cancellation policy regarding mid-term scholarship awards. The university is currently working on a retention plan and this policy will be reflective of the plan.</p> <p>Person Responsible: Denise Groves, Vice President for Enrollment Management Estimated date of completion: June 1, 2013</p>	<p>In Progress</p>
	<p>The written scholarship processes previously recommended should incorporate not only retention guidelines, but also the method of retention and location of the documents. The processes should be sufficiently detailed so that in the event of employee turnover (planned or unplanned), there is enough information available for new/existing employees to perform the activities necessary to ensure the scholarship processes function as prescribed by management.</p>	<p>A standard operating procedures manual will be written outlining the scholarship awarding process and document retention schedule. Completion of manual is pending. Awaiting formal written guidelines from Finance concerning who their contact person is and procedure to determine available funds for scholarships.</p> <p>Person Responsible: Mickey Corbett Estimated date of completion: February 28, 2013</p>	<p>In Progress</p>

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	<p>We recommend an increased level of scrutiny during the review and approval process. Related expenditure policies, such as the Travel Policy and the Purchasing Policy, should be revised to clearly assign accountability for the accuracy of the requisitions or other requests for payment submitted into the Purchase and Requisition process in Banner or otherwise submitted to the Controller’s Office for payment.</p>	<p>The Purchasing and Controller’s Office will increase their level of scrutiny during the review and approval process of all reimbursements. In situations such as this, the Controller will require a copy of the signed Endowment/Excellence agreement indicating that the expenditure is allowed under the agreement. Any questionable items must be resolved before payment. The Controller’s Office will require a copy of the written contract which supports the expenses to be reimbursed for speakers. In addition, the Travel and Purchasing policies will be modified to reflect this requirement.</p> <p>The Controller’s Office requires a copy of all service contracts, before payment is approved. The travel policy modification is still in progress.</p> <p>Person Responsible: Kim Lewis, Accounting Supervisor, Oscar P. Jimenez, Controller, Noe Hernandez, Purchasing Director. Estimated date of completion: May 31, 2013</p>	<p>In Progress</p>
	<p>Communication with donors should be ongoing and proactive. Planned and strategic communication can result in increased donor satisfaction, particularly where defined objectives (such as scholarships) are embedded in the donation. We recommend establishing a program of donor communication and cultivation which would automate periodic communications to each donor.</p>	<p>We recognize the need to implement a comprehensive donor management program (from identification and cultivation to solicitation and stewardship) and have begun implementation of the following steps to move us towards that goal:</p> <ol style="list-style-type: none"> <u>Donor and Alumni data consolidation/updating:</u> Consolidate donor, alumni, and prospective donor records into University’s Banner system. Address and wealth screen all available data records once in Banner system. Code donor records (according to wealth screen and other available criteria) in order to segment donors/approaches. Document donor contacts within system and track stewardship ‘touches.’ <p>A Programmer was hired and the data consolidation from the Plus system is complete. Continued steps to move records into Banner are in process.</p>	<p>Verification in Progress</p>

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		<p>The consolidating and updating of donor and alumni data was completed in December, 2012.</p> <p>2. <u>Giving and Alumni Pages of SRSU Website:</u> Design Giving Page and redesign Alumni Affairs web pages. Estimated date of completion: February, 2013. Additional functions may be rolled out throughout 2013.</p> <p>3. <u>Alumni/Donor Publications:</u> Develop sustainable budget-plan for the development and distribution of annual publications to include:</p> <ul style="list-style-type: none"> • one hard-copy publication to all SRSU Alumni/Donors (to be supplemented by electronic news); • two additional publications for Alumni Association members and Donors • Additionally, all alumni not currently donating to SRSU will receive annual appeal for general or designated donation, along with option to join alumni association. Estimated date of completion: June – ongoing. <p>A hard-copy annual fund campaign was released to all donors and alumni included in the newly consolidated database in December, 2012.</p> <p>We are investigating possible electronic publication avenues like “Constant Contact” to keep our Alumni/donors updated with SRSU news, in the place of more costly hard-copy publications.</p> <p>Person Responsible: Leo Dominguez, Associate Vice President for Advancement</p>	<p align="center">In Progress</p> <p align="center">In Progress</p>
	We recommend reviewing the spreadsheet used to allocate earnings to assure appropriate methodology, including	Management agrees with the recommendation to review the endowment interest allocation process. The	In Progress

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	excluding Historical Treasures from the endowment values for allocation purposes.	endowment spreadsheet will be reviewed. Project has been extended to May 31, 2013. Person Responsible: Oscar P. Jimenez, Controller, Cesario Valenzuela, Vice President for Finance and Operations, and Nieves Kolesar, Accountant. Estimated date of completion: May 31, 2013	
McNair Grant, August 2012	Train McNair Project staff on the Purchasing Card policies, including processes regarding the Purchasing Card Transaction Log and reconciliation of the log to the credit card statement. Using the electronic version of the Log may provide easier use and easier reconciliation, as well as providing additional columns (on the Excel spreadsheet) which could be used as reminders to obtain invoices and packing slips, and to inform vendors of SRSU’s tax exempt status. Columns could also be used to track expenditures by student for comparison to the student’s project budget.	Management agrees with this recommendation. On September 21, 2012, the McNair Data Tracking Specialist met with Upward Bound staff to benchmark on Purchasing Card documentation and reconciliation processes. The Purchasing Department will also provide training for McNair staff for Purchasing Card reconciliation. Person Responsible: Noe Hernandez, Purchasing Director, and Mary Bennett, McNair Director Estimated date of completion: February 28, 2013	In Progress
	The logs should be monitored by McNair staff to assure the monthly charges do not exceed \$5,000. Additionally, the Purchasing Department should review total credit card charges for each cardholder monthly to detect any such policy violations.	The McNair Director will monitor the Purchasing Card Transaction logs to assure monthly charges do not exceed \$5,000. The Purchasing Director will review total credit card charges monthly for compliance. Person Responsible: Noe Hernandez, Purchasing Director, and Mary Bennett, McNair Director Estimated date of completion: February 28, 2013	In Progress
	Provide training to McNair staff, faculty and travelling students regarding the Travel Policy requirements. Documentation should be maintained, such as a sign-in sheet, to verify that all students and faculty participating in the McNair Project have been trained prior to travelling.	Management agrees with this recommendation. The Controller’s Office will provide training for McNair staff. Students will receive travel training in order to process their advances. Students will sign a statement verifying responsibility for reimbursing the university in the absence of required receipts, acknowledging personal credit-debit card statements will not substitute for actual receipts. Recommendation has been implemented.	Verification In Progress

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		Person Responsible: Oscar Jimenez, Controller, Kim Lewis, Accounting Supervisor, and Irma Ramirez, Accounting Assistant Estimated date of completion: December 30, 2012	
	The McNair Student Travel Policy should be reviewed for consistency with the January 2012 version of the Travel Regulations. The purpose and allowable costs of the grant should be considered when determining policy provisions, such as the type of travel performed by the students and the support provided the students by the grant.	The McNair Student Travel Policy will be reviewed for consistency with the current SRSU Travel Regulations. The allowable cost of the grant will be considered in determining policy revisions. Person Responsible: Oscar Jimenez, Controller Estimated date of completion: May 31, 2013	In Progress
	Include travel documentation (travel applications, travel vouchers, supporting receipts, etc.) in the ImageNow software system.	Travel documents will be scanned in ImageNow software system. Recommendation has been implemented. Person Responsible: Oscar Jimenez, Controller, Kim Lewis, Accounting Supervisor, and Irma Ramirez, Accounting Assistant Estimated date of completion: September 1, 2012	Verification In Progress
	The amounts that were submitted in error should be returned to the grant funds. The University should consider seeking restitution from the individuals who received the erroneous reimbursements.	Restitution for the purchase of the personal item (T-shirt) will be requested from the faculty member. Reimbursement was made by the faculty member on January 15, 2013. Person Responsible: Oscar Jimenez, Controller Estimated date of completion: January 15, 2013	Implemented
	Available applications of the Banner system should be developed to act as preventive controls, preventing inappropriate combinations of Funds with Organizations. Additionally, and until Banner is so developed, detection controls should be implemented to assist in detecting and correcting such errors in a timely fashion.	Management agrees with the recommendation. In addition to the controls currently in use, management plans to implement online Travel applications, which would put in place an additional layer of controls for account managers to detect and disapprove items not valid for their fund. The incorrect posting of the \$405.78 has been corrected with JE # FL001972 on August 17, 2012.	In Progress

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
		Person Responsible: Oscar P. Jimenez, Controller, Kim Lewis, Accounting Supervisor, Tanya Romero, Budget Specialist Estimated date of completion: May 31, 2013	
Upward Bound Grant, September 2012	Increase training of Purchasing staff and Controller’s Office staff regarding appropriate use of the account structure. The level of supervision over this process should be increased as appropriate until staff is proficient in account selection. Also, the Compass testing entry should be reclassified and indirect costs corrected by \$36.40.	Management agrees with this recommendation. The Controller’s and Purchasing Department will provide training for staff from available sources, including that offered by the State of Texas Fiscal Management Division. The Compass testing entry and indirect costs have been corrected. Person Responsible: Noe Hernandez, Purchasing Director, and Oscar P. Jimenez, Controller. Estimated date of completion: February 28, 2013	In Progress
	The account structure and the method of posting excludable costs should be revised to simplify data capture. The grant’s objectives and expenditures should be reviewed to note which activities or expenditures should be excluded from the indirect cost calculation. Accounts to be utilized for each noted activity or expense should be pre-determined and configured as excluded from the indirect cost calculation by the Banner financial system. Also, the Upward Bound grant funds should be reimbursed for the indirect costs taken in error. The Grants Accountant was made aware of this issue during the audit, and as of this report date, he had completed a substantial amount of these corrections.	Management agrees with this recommendation and will review and revise the account codes that should be excluded from the indirect cost calculation. Recommendation has been implemented. Person Responsible: John Young, Grant Accountant. Oscar P. Jimenez, Controller Estimated date of completion: September 30, 2012	Verification In Progress
	Travel documentation should be coordinated so that payment is appropriate and all required documentation is submitted. Ideally, the travel clerk would accumulate the documentation to assure compliance with the travel policy. The Upward Bound grant fund should also be reimbursed for the double-payment of the occupancy tax. The	Management agrees with this recommendation and will coordinate with the Purchasing Department to obtain documentation on lodging payments paid through the purchasing system. The travel clerk will review all other travel documents to ensure that appropriate documentation has been filed. The Controller’s Office will seek reimbursement from the employee who was overpaid.	Verification In Progress

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	<p>University should seek restitution from the employee for the incorrect reimbursement of the \$48.33.</p> <p>Training should be provided to the Upward Bound staff regarding the revised travel policy (effective January 2012), especially as it applies to the situations encountered by these employees. The type of travel conducted when these exceptions occurred is typical for this grant project, and the staff should be prepared to document the travel appropriately.</p>	<p>Recommendation has been implemented.</p> <p>Person Responsible: Kim Lewis, Accounting Supervisor, Irma Ramirez, Accounting Assistant. Oscar Jimenez, Controller</p> <p>Estimated date of completion: November 1, 2012</p> <p>Management agrees with this recommendation and will provide training to the Upward Bound staff.</p> <p>Recommendation has been implemented.</p> <p>Person Responsible: Oscar P. Jimenez, Controller, Kim Lewis Accounting Supervisor, Irma Ramirez, Accounting Assistant.</p> <p>Estimated date of completion: November 1, 2012</p>	<p>Verification In Progress</p>
TEXAS STATE UNIVERSITY-SAN MARCOS			
<p>The Texas Prompt Payment Act Audit, June 2009</p>	<p>Texas State management has determined that it is in the best interest of the University to operate a student bookstore. However, both the University Bookstore point-of-sale and the Alkek Library system require double entry to maintain receiving systems. Financial Services should jointly work with the Library and Bookstore to determine if there is a method of interfacing their independent systems with SAP to eliminate the double entry process.</p>	<p>10/15/2012 – Phase 2 integration of the Library’s accounting system with SAP is underway. Project requirements have been developed and reviewed. Development will begin very shortly, with completion expected by March 29, 2013. The Library staff has received information from Sierra that the migration of their system is planned for May 2013.</p> <p>Responsible Person: Lori Hughes, Administrative Librarian, Alkek Library, James Webb, Director of Accounting, Accounts Payable, Joyce Muñoz, Competency Center Lead, FI Competency Center</p> <p>Implementation Date: May 2013</p>	<p>In Progress</p>
<p>Research and Development</p>	<p>Ensure that employees complete time and effort certifications within the time frames established in its</p>	<p>11/2/11 – The following statement was provided to the SAO per their request for management response regarding</p>	<p>External Audit/ Status as “Implemented” is pending</p>

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<p>Cluster Award years – Award year – Multiple Award number – Multiple, February 2011, #10-075 (External Audit) Allowable Costs/Cost Principles</p>	<p>policy.</p>	<p>the A-133 follow-up audit work.</p> <p>Following discussion and recommendation by the Effort Reporting Guidance Committee the University changed the approach it was taking to deliver an appropriate effort reporting solution to the campus. The University’s Effort Reporting Guidance committee has made numerous recommendations on the business process workflow and front end appearance of the solution and technical system configuration is in process. Expect completion of project in 2012.</p> <p>Responsible Person: W. Scott Erwin</p> <p>Implementation Date: In Process</p>	<p>verification by external auditor)</p>
<p>Procurement and Suspension and Debarment, February 2011, #10-077 (External Audit) Procurement and Suspension and Debarment</p>	<p>The University should:</p> <ul style="list-style-type: none"> • Implement policies and procedures to document the basis for contractor selection. • Ensure that employees complete and retain the required justification forms for all non-competitive procurements. • Maintain sufficient documentation to prove that it made suspension and debarment determinations at the time of procurement. 	<p>11/2/11 - The following statement was provided to the SAO per their request for management response regarding the A-133 follow-up audit work.</p> <ol style="list-style-type: none"> 1. We have updated our bid tabulation sheet so that the end user does include more information as to why a vendor is chosen. 2. All sole source or proprietary purchase forms are clearly filled out and attached to the requisition electronically. Texas State will modify the required Documentation for the Purchase of Goods or Non Professional or Non-Consultant Services to include mandatory sole source or proprietary forms is attached to any personal service contract over \$5k. 3. Procedures are in place for suspension and debarment, reported daily. All documents are on file. While procedures were well documented, the process was not followed as intended. Corrections have been made and additional steps have been implemented to ensure compliance. 4. The purchasing personnel have completed additional 	<p>External Audit/ Status as “Implemented” is pending verification by external auditor)</p>

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		<p>training this year including both basic and advances purchasing classes. (Completed July 1, 2011)</p> <p>5. The College of Science personnel have completed purchasing classes; both basic and advanced. They are required to take the test and pass it by March 31, 2012. (UPPS 05.02.04) (Completed October 2, 2011)</p> <p>Responsible Person: Jacque Allbright</p> <p>Implementation Date: January 2, 2012</p>	
<p>Procurement and Suspension and Debarment, February 2011, #10-077 <i>(External Audit)</i> Procurement and Suspension and Debarment</p>	<p>Implement segregation of duties between the roles associated with initiating requisitions and approving requisitions in its accounting system.</p>	<p>12/15/10 - 10-77 As of Monday Dec 13, 2010 there are no Financial Services employees with dual roles.</p> <p>Responsible Person: Jacque Allbright Management has indicated this recommendation is implemented; however, the SAO has not removed it from the report at this time.</p> <p>Implementation Date: Dec 13, 2010</p>	<p>External Audit/ Status as “Implemented” is pending verification by external auditor)</p>
<p>State wide Single Audit for Fiscal Year Ended August 31, 2011, February 2012, # 12-131 <i>(External Audit)</i> Eligibility (Prior Audit Issues 11-129, 10-70, and 09-65)</p>	<p>The University should calculate each student’s COA based on the student’s actual or expected enrollment status.</p>	<p>This issue was addressed with the implementation of a new financial aid system (Banner) in fall 2011.</p> <p>Responsible Person: Dr. Christopher Murr</p> <p>Implementation Date: September 2011</p>	<p>External Audit/ Status as “Implemented” is pending verification by external auditor)</p>
<p>State wide Single Audit for Fiscal Year Ended August 31, 2011, February 2012,</p>	<p>The University should implement controls to ensure that it initiates and sends disbursement notifications within required time frames.</p>	<p>To reduce the potential for such manual error, the disbursement rules and disbursement notification processes have been linked programmatically to ensure that the disbursement process will only go live once the disbursement notification process has been activated. In</p>	<p>External Audit/ Status as “Implemented” is pending verification by external auditor)</p>

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Audit Report	Recommendations	Management’s Most Current Response	Status (*)
#12-132 <i>(External Audit)</i> Special Tests and Provisions – Disbursements To or On Behalf of Students		addition, a quality assurance plan is in place that samples student records at the beginning of each semester to verify the disbursement notification process is activated and sending out notifications within the prescribed timeframe. Responsible Person: Dr. Christopher Murr Implementation Date: September 2011	
State wide Single Audit for Fiscal Year Ended August 31, 2011, February 2012, #12-133 <i>(External Audit)</i> Special Tests and Provisions – Return of Title IV Funds (Prior Audit Issues 11-131, 10-72, and 09-68)	The University should: <ul style="list-style-type: none"> ▪ Ensure that it uses an accurate number of days for spring break in its calculation of the number of days in a semester when determining the amount of Title IV funds to return. ▪ Begin its withdrawal date determination period for students with unofficial withdrawals on the last day of final exams. 	The university will ensure that it uses an accurate number of days for spring break (i.e. exclude the Saturday before the break due to some classes meeting on that day) when determining the amount of Title IV funds to return. Also, the unofficial withdrawal policy has been revised to begin its withdrawal date determination period, for students with unofficial withdrawals on the 1st day of final exams (as opposed to the date on which semester grades are posted). Responsible Person: Dr. Christopher Murr Implementation Date: Fall 2011	External Audit/ Status as “Implemented” is pending verification by external auditor)

(*) Status Categories: Implemented; Planned; In Progress; Factors Delay Implementation; Management Does Not Plan to Implement Recommendation; Verification in Progress; SAO audit/follow-up in progress

Texas State University System Consolidated Institutions OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
<u>Operating Funds</u>				
Lamar University	\$ 40,329,022.98	\$ 38,108,680.52	\$ 7,054.67	0.04%
Sam Houston State University	\$ 70,789,809.72	\$ 51,248,475.94	\$ 6,062.85	0.04%
Sul Ross State University	\$ 17,560,315.96	\$ 16,495,146.41	\$ 5,296.08	0.04%
Texas State University - San Marcos	\$ 249,788,146.05	\$ 201,833,684.75	\$ 71,584.03	0.04%
Lamar Institute of Technology	\$ 6,069,765.67	\$ 5,823,512.95	\$ 1,529.03	0.04%
Lamar State College - Orange	\$ 15,085,641.87	\$ 14,945,089.45	\$ 4,874.01	0.04%
Lamar State College - Port Arthur	\$ 4,510,519.91	\$ 5,043,983.23	\$ 1,455.81	0.05%
Texas State University System Office	\$ 3,524,465.15	\$ 3,048,502.43	\$ 1,284.56	0.04%
<u>Operating Funds Total</u>	\$ 407,657,687.31	\$ 336,547,075.68	\$ 99,141.04	0.04%
			6 Month T-Bill	0.04%
<u>Non-Operating Funds</u>				
Lamar University	\$ 685,795.46	\$ 5,428,778.90	-	-
Sam Houston State University	\$ 70,592,283.72	\$ 69,702,159.95	\$ 43,125.89	0.06%
Sul Ross State University	\$ -	\$ -	-	-
Texas State University - San Marcos	\$ 130,214,381.91	\$ 116,414,704.79	\$ 314,444.57	0.04%
Lamar Institute of Technology	\$ -	\$ -	-	-
Lamar State College - Orange	\$ 1,352,525.81	\$ 1,353,583.33	\$ 524.39	0.04%
Lamar State College - Port Arthur	\$ 943,704.49	\$ 944,173.12	\$ 468.64	0.05%
Texas State University System Office	\$ 2,747,623.36	\$ 2,747,439.10	\$ 1,315.74	0.05%
<u>Non-Operating Funds Total</u>	\$ 206,536,314.75	\$ 196,590,839.19	\$ 359,879.23	0.05%
			- 1-3 Year Treasury	0.04%
<u>Grand Total</u>	\$ 614,194,002.06	\$ 533,137,914.87	\$ 459,020.27	0.04%

**Texas State University System Consolidated Institutions
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)**

Allocation Current	Endowment	Market Value	Quarter Return	FYTD Return
100%	Total System Return	\$ 140,757,420	1.20%	1.20%
17.2%	Lamar University <i>26% Russell 3000, 4.6% BC Credit, 69.4% at 0% Annual Return.</i>	24,256,982	0.74% 0.51%	0.74% 0.51%
41.2%	Sam Houston State University <i>*Currently the policy benchmark is a blend of 27% S&P 500, 12% Russell Mid, 12% Russell 2000, 14% MSCI ACWI ex US, 26.33% BC Aggregate, 5.66% BC Muni Bond, and 3% ML 6 Month T-Bill.</i>	57,927,151	0.42% 1.38%	0.42% 3.04%
10.2%	Sul Ross State University <i>10.7% Russell 3000, 7% BC Int. Gov't, 13.3% BC Credit, 0.1% BC 3 Yr Muni, 69% at 0% Annual Return.</i>	14,381,328	0.49% 0.42%	0.49% 0.42%
30.9%	Texas State University - San Marcos <i>*Currently the Total Blended Index consists of 23.1% S&P 500, 22.2% Russell 2500, 9.2% MSCI EAFE, 5.5% S&P Developed SC Ex-US, 5% ML All Invest ex Man V0A1, 27% BC Aggregate, 3% 91-Day T-Bill, and 5% ML 6 Month T-Bill.</i>	43,468,037	2.73% 1.57%	2.73% 3.45%
	Lamar Institute of Technology N/A	0	- N/A	- N/A
	Lamar State College - Orange N/A	0	- N/A	- N/A
	Lamar State College - Port Arthur N/A	0	- N/A	- N/A
0.5%	Texas State University System Office <i>59.3% BC 3 Yr Muni, 40.5% 91-Day T-Bill, 0.2% at 0% Annual Return.</i>	723,921	0.66% 0.17%	0.66% 0.17%

Index Listing	Quarter	FYTD
Russell 3000 - Domestic Equity	1.64%	1.64%
S&P 500 - Domestic Equity	1.27%	1.27%
MSCI EAFE - International Equity	-1.85%	-1.85%
FTSE NAREIT - Real Estate	0.19%	0.19%
BC Int. Gov't - Fixed Income Government / Agency	1.73%	1.73%
BC Credit - Fixed Income Corporates	0.27%	0.27%
BC 3 Yr Muni - Fixed Income Municipal Bonds	0.04%	0.04%
91-Day T-Bill - Fixed Income Money Market	2.51%	2.51%
ML All Invest ex Man V0A1 - Convertibles	1.27%	1.27%
ML 1-3 Year Treasury - Fixed Income Money Market	0.04%	0.04%
6 Month T-Bill - Fixed Income Money Market	0.04%	0.04%

Lamar University OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
<u>Operating Funds</u>							
Cash in Bank				\$ 4,976,421.08	\$ 12,827,340.22	-	-
Cash in Treasury				\$ 7,845,097.86	\$ 7,813,243.91	-	-
TexPool Series 0001	General Fund			\$ 27,507,504.04	\$ 17,468,096.39	\$ 7,054.67	0.04%
<u>Totals</u>				\$ 40,329,022.98	\$ 38,108,680.52	\$ 7,054.67	0.04%
						6 Month T-Bill	0.04%

<u>Non-Operating Funds</u>							
Cash in Bank				\$ 685,795.46	\$ 5,428,778.90	-	-
<u>Totals</u>				\$ 685,795.46	\$ 5,428,778.90	-	-
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Lamar University Investment Policy.

Name of Signee
Title

Lamar University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 24,256,982	0.74%	0.74%
	<i>Total Blended Index</i>		<i>0.51%</i>	<i>0.51%</i>
26.0 %	Domestic Equity	\$ 6,310,898	2.62%	2.62%
	<i>Russell 3000</i>		<i>1.64%</i>	<i>1.64%</i>
26.0	Commonfund MS Equity Fund	6,310,898	2.62%	2.62%
4.6 %	Total Fixed Income	\$ 1,123,027	0.77%	0.77%
4.6 %	Corporates	\$ 1,123,027	0.77%	0.77%
	<i>BC Credit</i>		<i>1.73%</i>	<i>1.73%</i>
4.6	Commonfund MS Bond Fund	1,123,027	0.77%	0.77%
69.4 %	Other	\$ 16,823,057	0.04%	0.04%
0.0	Cash in Bank	6,110	0.00%	0.00%
69.3	Texpool Series 0001	16,816,947	0.04%	0.04%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.
Currently the Total Blended Index is: 26% Russell 3000, 4.6% BC Credit, 69.4% at 0% Annual Return.

Lamar University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$24,027,995	\$99,692	-\$4,985,725	\$36,724	\$5,480	\$5,072,815	\$24,256,982
<i>Total Blended Index</i>							
All Equity Composite	\$6,149,882	\$0	\$0	\$20,655	\$4,810	\$135,552	\$6,310,898
<i>Blended Equity Index</i>							
Domestic Equity	\$6,149,882	\$0	\$0	\$20,655	\$4,810	\$135,552	\$6,310,898
<i>Russell 3000</i>							
Commonfund MS Equity Fund	\$6,149,882			\$20,655	\$4,810	\$135,552	\$6,310,898
Total Fixed Income	\$1,114,393	\$0	\$0	\$9,305	\$671	-\$1,341	\$1,123,027
<i>Blended Fixed Index</i>							
Corporates	\$1,114,393	\$0	\$0	\$9,305	\$671	-\$1,341	\$1,123,027
<i>BC Credit</i>							
Commonfund MS Bond Fund	\$1,114,393			\$9,305	\$671	-\$1,341	\$1,123,027
Other	\$16,763,720	\$99,692	-\$4,985,725	\$6,765	\$0	\$4,938,605	\$16,823,057
Cash in Bank		\$99,692	-\$93,582				\$6,110
Texpool Series 0001	\$16,763,720		-\$4,892,143	\$6,765		\$4,938,605	\$16,816,947

Sam Houston State University
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income Return	
Operating Funds							
Cash in Bank				\$ 26,988,589.66	\$ 12,242,696.39	-	-
Cash in Treasury				\$ 21,219,026.02	\$ 31,417,522.66	-	-
TexPool Series 0004	Non Educational			\$ 15,943,985.81	\$ 947,403.24	\$ 3,417.43	0.04%
TexPool Series 0005	Educational & General			\$ 854,157.49	\$ 854,497.85	\$ 340.36	0.04%
TexPool Series 0006	Auxiliary			\$ 3,646,145.12	\$ 3,647,598.10	\$ 1,452.98	0.04%
TexPool Series 0012	Student Loan Fund			\$ 1,553,345.49	\$ 1,553,964.59	\$ 619.10	0.04%
TexPool Series 0013	Short Term Student Loan			\$ 584,560.13	\$ 584,793.11	\$ 232.98	0.04%
Totals				\$ 70,789,809.72	\$ 51,248,475.94	\$ 6,062.85	0.04%
						6 Month T-Bill	0.04%

Non-Operating Funds							
Cash in Bank				\$ 2,591,503.75	\$ 2,239,279.87	-	-
TexPool Series 0007	Pledged Revenue			\$ 7,208,676.99	\$ 7,211,549.63	\$ 2,872.64	0.04%
TexPool Series 0008	Designated Funds			\$ 14,357,129.72	\$ 14,362,851.04	\$ 5,721.32	0.04%
TexPool Series 0009	Restricted Funds			\$ 1,955,676.20	\$ 1,956,455.57	\$ 779.37	0.04%
TexPool Series 0010	Quasi Endowments			\$ 250,760.51	\$ 250,860.38	\$ 99.87	0.04%
TexPool Series 0011	Endowments			\$ 1,489,245.17	\$ 1,489,838.63	\$ 593.46	0.04%
TexPool Series 0023	Non-Ed Plant			\$ 366,719.13	\$ 366,865.22	\$ 146.09	0.04%
TexPool Series 0025	Performing Arts Center			\$ 1,001,307.67	\$ 1,001,706.69	\$ 399.02	0.04%
TexPool Series 0026	Dining Facility 09			\$ 218,218.22	\$ 218,305.23	\$ 87.01	0.04%
TexPool Series 0028	Residence Hall Bonds 2010			\$ 1,409,171.06	\$ 1,409,732.63	\$ 561.57	0.04%
TexPool Series 0030	University Center			\$ 3,322,072.79	\$ 3,323,396.65	\$ 1,323.86	0.04%
TexPool Series 0031	Pritchett Field			\$ 1,000,669.95	\$ 401,029.02	\$ 359.07	0.04%
Ridgeworth Ultra Short - SI				\$ -	\$ 8,826,448.19	\$ 12,120.53	0.09%
iShares Barclays 1-3 yr - SI				\$ -	\$ 17,634,534.04	\$ 9,197.56	0.05%
Vanguard Short Term - VG				\$ -	\$ 9,009,307.16	\$ 8,864.52	0.11%
Totals				\$ 70,592,283.72	\$ 69,702,159.95	\$ 43,125.89	0.06%
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Sam Houston State University Investment Policy.

Name of Signee
Title

Sam Houston State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
 PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 57,927,151	0.42%	0.42%
	<i>Total Blended Index</i>		1.38%	3.04%
64.9 %	All Equity Composite	\$ 37,620,783	0.42%	0.42%
	<i>Blended Equity Index</i>		2.68%	2.68%
50.7 %	Domestic Equity	\$ 29,347,810	0.11%	0.11%
	<i>Russell 3000</i>		1.64%	1.64%
0.6	Vanguard Prime Cap Fund - VPMAX	352,385	2.58%	2.58%
26.3	Vanguard Instl Index - VINIX	15,259,730	1.04%	1.04%
11.9	iShares Russell MC Index - IWR	6,906,557	0.36%	0.36%
11.8	iShares Russell 2000 - IWM	6,829,138	-2.37%	-2.37%
	Commonfund MS Equity Fund	0	-	2.76%
14.3 %	International Equity	\$ 8,272,973	1.53%	1.53%
	<i>MSCI EAFE</i>		6.38%	6.38%
14.3	iShares MSCI ACWI ex US - ACWX	8,272,973	1.53%	1.53%
14.9 %	Real Estate	\$ 8,613,135	-0.21%	-0.21%
	<i>FTSE NAREIT</i>		-1.85%	-1.85%
14.9	Rems RE Income - RREIX	8,613,135	-0.21%	-0.21%
20.2 %	Total Fixed Income	\$ 11,693,234	0.91%	0.91%
	<i>Blended Fixed Index</i>		1.07%	1.07%
11.4 %	Corporates	\$ 6,604,074	0.50%	0.50%
	<i>BC Credit</i>		1.73%	1.73%
5.6	iShares Core Total - AGG	3,255,587	0.25%	0.25%
5.8	Wasatch-Hoisington - WHOSX	3,348,487	0.74%	0.74%
	Commonfund MS Bond Fund	0	-	0.91%
5.7 %	Municipal Bonds	\$ 3,314,874	2.26%	2.26%
	<i>BC 3 Yr Muni</i>		0.27%	0.27%
5.7	iShares S&P Natl Muni - MUB	3,314,874	2.26%	2.26%
3.0 %	Money Markets	\$ 1,718,651	-0.13%	-0.13%
	<i>91-Day T-Bill</i>		0.04%	0.04%
	State Street Inst US Govt MMKT	0	-	0.00%
3.0	Ridgeworth Ultra Short- SIGVX	1,718,651	-0.13%	-0.13%
0.1 %	Convertibles	\$ 55,635	1.65%	1.65%
	<i>ML All Invest ex Man VOA1</i>		2.51%	2.51%
0.1	Invesco Convertibles - Class A	55,635	1.65%	1.65%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.

*Currently the policy benchmark is a blend of 27% S&P 500, 12% Russell Mid, 12% Russell 2000, 14% MSCI ACWI ex US, 26.33% BC Aggregate, 5.66% BC Muni Bond, and 3% ML 6 Month T-Bill.

Sam Houston State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$59,902,654	\$57,662,242	-\$60,660,727	\$73,091	-\$8,855	\$958,746	\$57,927,151
<i>Total Blended Index</i>							
All Equity Composite	\$34,626,306	\$37,511,297	-\$35,229,498	\$0	-\$6,143	\$718,821	\$37,620,783
<i>Blended Equity Index</i>							
Domestic Equity	\$34,626,306	\$29,371,518	-\$35,229,498	\$0	-\$5,987	\$585,472	\$29,347,810
<i>Russell 3000</i>							
Vanguard Prime Cap Fund - VPMAX	\$343,525					\$8,860	\$352,385
Vanguard Instl Index - VINIX		\$15,504,000			-\$155	-\$244,115	\$15,259,730
iShares Russell MC Index - IWR		\$6,881,803			-\$155	\$24,909	\$6,906,557
iShares Russell 2000 - IWM		\$6,985,715			-\$155	-\$156,422	\$6,829,138
Commonfund MS Equity Fund	\$34,282,781		-\$35,229,498		-\$5,522	\$952,239	
International Equity	\$0	\$8,139,779	\$0	\$0	-\$155	\$133,349	\$8,272,973
<i>MSCI EAFE</i>							
iShares MSCI ACWI ex US - ACWX		\$8,139,779			-\$155	\$133,349	\$8,272,973
Real Estate	\$0	\$8,607,069	\$0	\$57,137	-\$155	-\$50,916	\$8,613,135
<i>FTSE NAREIT</i>							
Rems RE Income - RREIX		\$8,607,069		\$57,137	-\$155	-\$50,916	\$8,613,135
Total Fixed Income	\$25,276,349	\$11,543,876	-\$25,431,228	\$15,954	-\$2,557	\$290,841	\$11,693,234
<i>Blended Fixed Index</i>							
Corporates	\$22,541,239	\$6,575,400	-\$22,743,789	\$7,037	-\$2,247	\$226,434	\$6,604,074
<i>BC Credit</i>							
iShares Core Total - AGG		\$3,254,400	-\$7,037	\$7,037	-\$155	\$1,342	\$3,255,587
Wasatch-Hoisington - WHOSX		\$3,321,000			-\$155	\$27,642	\$3,348,487
Commonfund MS Bond Fund	\$22,541,239		-\$22,736,752		-\$1,937	\$197,450	
Municipal Bonds	\$0	\$3,248,477	-\$7,059	\$7,059	-\$155	\$66,553	\$3,314,874
<i>BC 3 Yr Muni</i>							
iShares S&P Natl Muni - MUB		\$3,248,477	-\$7,059	\$7,059	-\$155	\$66,553	\$3,314,874
Money Markets	\$2,680,069	\$1,720,000	-\$2,680,069	\$1,858	-\$155	-\$3,053	\$1,718,651
<i>91-Day T-Bill</i>							
State Street Inst US Govt MMKT	\$2,680,069		-\$2,680,069			\$0	
Ridgeworth Ultra Short- SIGVX		\$1,720,000		\$1,858	-\$155	-\$3,053	\$1,718,651
Convertibles	\$55,041	\$0	-\$312	\$0	\$0	\$907	\$55,635
<i>ML All Invest ex Man VOA1</i>							
Invesco Convertibles - Class A	\$55,041		-\$312			\$907	\$55,635

Sul Ross State University OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)
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Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
<u>Operating Funds</u>							
Cash in Bank				\$ 3,299,762.61	\$ 3,367,677.25	-	-
Cash in Treasury				\$ 480,175.63	\$ 1,463,476.33	-	-
TexPool Series 0001	General Fund			\$ 13,777,801.80	\$ 11,661,435.32	\$ 5,246.75	0.04%
MBS FNMA (CMO)	31358TRG			\$ 2,575.92	\$ 2,557.51	\$ 49.33	7.22%
Totals				\$ 17,560,315.96	\$ 16,495,146.41	\$ 5,296.08	0.04%
						6 Month T-Bill	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Sul Ross State University Investment Policy.

Name of Signee
Title

Sul Ross State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 14,381,328	0.49%	0.49%
	<i>Total Blended Index</i>		0.42%	0.42%
10.7 %	Domestic Equity	\$ 1,535,256	2.95%	2.95%
	<i>Russell 3000</i>		1.64%	1.64%
10.7	Commonfund MS Equity Fund	1,535,256	2.95%	2.95%
20.3 %	Total Fixed Income	\$ 2,921,968	0.73%	0.73%
	<i>Blended Fixed Index</i>		1.19%	1.19%
7.0 %	Agency/Government	\$ 1,005,195	1.71%	1.71%
	<i>BC Int. Gov't</i>		0.19%	0.19%
	FHLB 03/07/2019	0	-	0.99%
	FHLB 10/11/2017	0	-	0.93%
3.5	FFCB 04/18/2019	502,340	1.71%	1.71%
3.5	FFCB 05/02/2019	502,855	1.71%	1.71%
	FHLB 11/09/2017	0	-	0.00%
13.3 %	Corporates	\$ 1,906,746	0.22%	0.22%
	<i>BC Credit</i>		1.73%	1.73%
2.8	FHLB 05/16/2019	402,144	1.63%	1.63%
3.5	FHLMC 01/03/2018	505,151	-0.31%	-0.31%
3.5	FNMA 08/22/2019	498,556	-0.26%	-0.26%
0.1 %	Municipal Bonds	\$ 10,027	0.01%	0.01%
	<i>BC 3 Yr Muni</i>		0.27%	0.27%
0.1	Tampa FI, Alleghany Health	10,027	0.01%	0.01%
69.0 %	Other	\$ 9,924,105	0.04%	0.04%
66.6	TexPool Series 0001	9,573,525	0.04%	0.04%
0.7	CD- MNB	99,000	0.31%	0.31%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.
Currently the Total Blended Index is: 10.7% Russell 3000, 7% BC Int. Gov't, 13.3% BC Credit, 0.1% BC 3 Yr Muni, 69% at 0% Annual Return.

Sul Ross State University
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market					Gains / Losses	Ending Market Value
	Value	Deposits	Withdrawals	Income	Fees		
Total Plan Composite	\$14,189,967	\$2,480,104	-\$3,762,149	\$26,381	\$1,170	\$1,463,086	\$14,381,328
Domestic Equity	\$1,496,085	\$0	-\$5,025	\$5,025	\$1,170	\$38,001	\$1,535,256
Commonfund MS Equity Fund	\$1,496,085		-\$5,025	\$5,025	\$1,170	\$38,001	\$1,535,256
Total Fixed Income	\$3,727,263	\$0	-\$817,230	\$17,230	\$0	\$11,935	\$2,921,968
Agency/Government	\$1,807,547	\$0	-\$813,650	\$13,650	\$0	\$11,298	\$1,005,195
FHLB 03/07/2019	\$400,028		-\$402,000	\$2,000		\$1,972	
FHLB 10/11/2017	\$400,284		-\$402,000	\$2,000		\$1,716	
FFCB 04/18/2019	\$503,355		-\$4,825	\$4,825		\$3,810	\$502,340
FFCB 05/02/2019	\$503,880		-\$4,825	\$4,825		\$3,800	\$502,855
FHLB 11/09/2017							
Corporates	\$1,909,690	\$0	-\$3,580	\$3,580	\$0	\$636	\$1,906,746
FHLB 05/16/2019	\$402,720		-\$3,580	\$3,580		\$3,004	\$402,144
FHLMC 01/03/2018	\$506,737					-\$1,586	\$505,151
FNMA 08/22/2019	\$499,833					-\$1,277	\$498,556
Municipal Bonds	\$10,026	\$0	\$0	\$0	\$0	\$1	\$10,027
Tampa FI, Alleghany Health	\$10,026					\$1	\$10,027
Other	\$8,966,618	\$2,480,104	-\$2,939,895	\$4,126	\$0	\$1,413,151	\$9,924,105
TexPool Series 0001	\$8,867,618	\$2,228,524	-\$2,939,584	\$3,815		\$1,413,151	\$9,573,525
CD- MNB	\$99,000		-\$311	\$311		\$0	\$99,000

Texas State University - San Marcos
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
<u>Operating Funds</u>							
Cash In Bank				\$ 15,529,824.73	\$ 16,575,602.57	-	-
Cash In State Treasury				\$ 20,587,436.67	\$ 13,633,650.76	-	-
TexPool Series 0007	Housing System Reserve			\$ 2,894,823.70	\$ 2,895,977.29	\$ 1,153.59	0.04%
TexPool Series 0009	General Account			\$ 210,633,804.61	\$ 168,586,141.11	\$ 70,373.76	0.04%
TexPool Series 0011	Property Deposits			\$ 142,256.34	\$ 142,313.02	\$ 56.68	0.04%
Totals				\$ 249,788,146.05	\$ 201,833,684.75	\$ 71,584.03	0.04%
						6 Month T-Bill	0.04%

<u>Non-Operating Funds</u>							
Cash in Bank				\$ 1,894,273.95	\$ 669,987.47	-	-
TexPool Series 0001	Housing I&S			\$ 181,995.28	\$ 181,754.62	\$ 72.45	0.04%
TexPool Series 0002	Housing Reserve			\$ 1,579,411.48	\$ 1,405,026.69	\$ 577.10	0.04%
TexPool Series 0025	TRB-08			\$ 9,891,356.92	\$ 9,195,120.34	\$ 3,763.42	0.04%
TexPool Series 0026	TSUS-08			\$ 1,670,062.12	\$ 1,220,589.29	\$ 527.17	0.04%
TexPool Series 0028	North Housing			\$ 8,918,136.32	\$ 7,883,602.90	\$ 3,237.42	0.04%
TexPool Series 0029	TSUS-11			\$ 19,344,045.19	\$ 9,693,186.85	\$ 5,598.04	0.03%
TexPool Series 0073	TSUS-12			\$ 7,979,233.32	\$ 7,373,675.55	\$ 3,078.43	0.04%
Sage Non-Operating	*Holdings Report Attached			\$ 78,755,867.33	\$ 78,791,761.08	\$ 297,590.54	0.05%
Totals				\$ 130,214,381.91	\$ 116,414,704.79	\$ 314,444.57	0.04%
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act.

Name of Signee
Title

Texas State University - San Marcos
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 43,468,037	2.73%	2.73%
	<i>Total Blended Index</i>		1.57%	3.45%
63.0 %	All Equity Composite	\$ 27,376,785	3.72%	3.72%
	<i>Blended Equity Index</i>		2.63%	2.63%
49.9 %	Domestic Equity	\$ 21,682,705	2.02%	2.02%
	<i>Russell 3000</i>		1.64%	1.64%
7.9	Rushmore LCG - SA	3,418,563	-0.25%	-0.25%
7.7	Seizert LCV - SA	3,328,458	2.73%	2.73%
9.4	Vanguard S&P 500 Index - VIFSX	4,086,940	1.27%	1.27%
13.1	APEX SMIDG - SA	5,672,826	3.54%	3.54%
11.9	Systematic SMIDV - SA	5,175,917	2.81%	2.81%
13.1 %	International Equity	\$ 5,694,080	10.76%	10.76%
	<i>MSCI EAFE</i>		6.38%	6.38%
8.1	Hancock Horizon Div - HHDTX	3,535,013	9.73%	9.73%
5.0	Franklin Intl SCG - FKSCX	2,159,067	12.44%	12.44%
5.4 %	Real Estate	\$ 2,328,173	1.21%	1.21%
	<i>FTSE NAREIT</i>		-1.85%	-1.85%
5.4	REMS RE Income - RREIX	2,328,173	1.21%	1.21%
29.8 %	Total Fixed Income	\$ 12,970,569	0.50%	0.50%
	<i>Blended Fixed Index</i>		1.16%	1.16%
12.9 %	Corporates	\$ 5,604,740	0.75%	0.75%
	<i>BC Credit</i>		1.73%	1.73%
12.9	Sage FI	5,604,740	0.75%	0.75%
5.9 %	Municipal Bonds	\$ 2,579,675	0.64%	0.64%
	<i>BC 3 Yr Muni</i>		0.27%	0.27%
5.9	Vanguard Ltd Term Muni - VMLUX	2,579,675	0.64%	0.64%
6.8 %	Money Markets	\$ 2,956,209	0.15%	0.15%
	<i>91-Day T-Bill</i>		0.04%	0.04%
1.0	Endowment Cash Account	439,282	0.00%	0.00%
5.8	RidgeWorth UltraShort - SIGVX	2,516,927	0.18%	0.18%
4.2 %	Convertibles	\$ 1,829,945	0.04%	0.04%
	<i>ML All Invest ex Man VOA1</i>		2.51%	2.51%
4.2	Cypress-Cutler Converts - SA	1,829,945	0.04%	0.04%
1.8 %	Other	\$ 792,511	0.04%	0.04%
0.0	Cash in Bank	3,872	0.00%	0.00%
1.8	TexPool Series 0023	788,116	0.04%	0.04%
0.0	Cash in Clearing	523	0.00%	0.00%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.

*Currently the Total Blended Index consists of 23.1% S&P 500, 22.2% Russell 2500, 9.2% MSCI EAFE, 5.5% S&P Developed SC Ex-US, 5% ML All Invest ex Man VOA1, 27% BC Aggregate, 3% 91-Day T-Bill, and 5% ML 6 Month T-Bill.

Texas State University - San Marcos
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$43,152,285	\$44,251	-\$638,289	\$177,398	-\$42,933	\$775,324	\$43,468,037
All Equity Composite	\$26,355,002	\$32,427	-\$29,514	\$99,488	-\$34,601	\$953,983	\$27,376,785
Domestic Equity	\$21,213,251	\$32,427	-\$29,514	\$99,488	-\$34,180	\$401,232	\$21,682,705
Rushmore LCG - SA	\$3,423,466	\$5,341	-\$1,774	\$17,443	-\$5,824	-\$20,089	\$3,418,563
Seizert LCV - SA	\$3,240,465	\$4,250	-\$4,662	\$15,308	-\$4,828	\$77,924	\$3,328,458
Vanguard S&P 500 Index - VIFSX	\$4,035,682			\$21,399	-\$211	\$30,070	\$4,086,940
APEX SMIDG - SA	\$5,479,355	\$11,806	-\$12,080	\$20,106	-\$12,358	\$185,997	\$5,672,826
Systematic SMIDV - SA	\$5,034,283	\$11,030	-\$10,998	\$25,232	-\$10,959	\$127,330	\$5,175,917
International Equity	\$5,141,751	\$0	\$0	\$0	-\$421	\$552,750	\$5,694,080
Hancock Horizon Div - HHDTX	\$3,221,581				-\$211	\$313,642	\$3,535,013
Franklin Intl SCG - FKSCX	\$1,920,169				-\$211	\$239,108	\$2,159,067
Real Estate	\$2,300,402	\$0	\$0	\$32,985	-\$211	-\$5,004	\$2,328,173
REMS RE Income - RREIX	\$2,300,402			\$32,985	-\$211	-\$5,004	\$2,328,173
Total Fixed Income	\$13,010,688	\$11,825	-\$29,250	\$44,442	-\$8,122	-\$59,014	\$12,970,569
Corporates	\$5,558,970	\$10,371	-\$6,242	\$24,864	-\$2,923	\$19,701	\$5,604,740
Sage FI	\$5,558,970	\$10,371	-\$6,242	\$24,864	-\$2,923	\$19,701	\$5,604,740
Municipal Bonds	\$2,563,329	\$0	\$0	\$11,753	-\$211	\$4,803	\$2,579,675
Vanguard Ltd Term Muni - VMLUX	\$2,563,329			\$11,753	-\$211	\$4,803	\$2,579,675
Money Markets	\$2,970,269	\$0	-\$18,563	\$4,503	-\$461	\$461	\$2,956,209
Endowment Cash Account	\$457,837		-\$18,563	\$2	-\$250	\$257	\$439,282
RidgeWorth UltraShort - SIGVX	\$2,512,432			\$4,501	-\$211	\$205	\$2,516,927
Convertibles	\$1,918,120	\$1,454	-\$4,444	\$3,322	-\$4,528	-\$83,979	\$1,829,945
Cypress-Cutler Converts - SA	\$1,918,120	\$1,454	-\$4,444	\$3,322	-\$4,528	-\$83,979	\$1,829,945
Other	\$1,486,193	\$0	-\$579,525	\$484	\$0	-\$114,641	\$792,511
Cash in Bank	\$119,175					-\$115,303	\$3,872
TexPool Series 0023	\$1,366,732		-\$579,099	\$484		\$0	\$788,116
Cash in Clearing	\$286		-\$426			\$662	\$523

Lamar Institute of Technology OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)
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Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income Return	
<u>Operating Funds</u>							
Cash in Bank				\$ 1,218,746.37	\$ 1,567,665.04	-	-
Cash in Treasury				\$ 1,014,068.09	\$ 417,367.67	-	-
TexPool Series 0001	General Fund			\$ 3,836,951.21	\$ 3,838,480.24	\$ 1,529.03	0.04%
<u>Totals</u>				\$ 6,069,765.67	\$ 5,823,512.95	\$ 1,529.03	0.04%
						6 Month T-Bill	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Lamar Institute of Technology Investment Policy.

Name of Signee
Title

Lamar State College - Orange OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
<u>Operating Funds</u>							
Cash in Bank				\$ 627,014.69	\$ 503,228.75	-	-
Cash in Treasury				\$ 2,228,624.11	\$ 2,205,191.44	-	-
TexPool Series 0001	General Funds			\$ 12,230,003.07	\$ 12,236,669.26	\$ 4,874.01	0.04%
<u>Totals</u>				\$ 15,085,641.87	\$ 14,945,089.45	\$ 4,874.01	0.04%
						6 Month T-Bill	0.04%

<u>Non-Operating Funds</u>							
Cash in Bank	Non-Operating			\$ 35,917.21	\$ 38,242.52	-	-
TexPool Series 0003	TexPool Series Non-			\$ 1,316,608.60	\$ 1,315,340.81	\$ 524.39	0.04%
<u>Totals</u>				\$ 1,352,525.81	\$ 1,353,583.33	\$ 524.39	0.04%
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Lamar State College - Orange Investment Policy.

Name of Signee
Title

Lamar State College - Port Arthur
OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income Return	
<u>Operating Funds</u>							
Cash in Bank**5508	PayRoll Account			\$ 1,023,072.74	\$ 1,074,390.38	-	-
Cash in Bank**7246	Clearing Account			\$ 57,549.93	\$ 79,276.42	-	-
Cash in Bank**7253	Clearing Account			\$ 675,832.94	\$ 270,669.00	-	-
Cash in Bank**7287	Fin Aid Refd Disb			\$ 37,533.62	\$ 37,103.28	-	-
Cash in Bank**7295	E&G State Sweep			\$ 188.25	\$ 198.10	-	-
Cash in Treasury				\$ 449,505.45	\$ 975,914.83	-	-
TexPool Series 0001	Cash Mgmt Acct			\$ 86,676.08	\$ 86,710.62	\$ 34.53	0.04%
TexPool Prime 0001	TexPool Prime			\$ 2,180,160.90	\$ 2,519,720.60	\$ 1,421.28	0.05%
Totals				\$ 4,510,519.91	\$ 5,043,983.23	\$ 1,455.81	0.05%
						6 Month T-Bill	0.04%

<u>Non-Operating Funds</u>							
TexPool Series 0001	Cash Mgmt Acct			\$ 38,331.29	\$ 38,346.55	\$ 15.27	0.04%
TexPool Prime 0001	TexPool Prime			\$ 905,373.20	\$ 905,826.57	\$ 453.37	0.05%
Totals				\$ 943,704.49	\$ 944,173.12	\$ 468.64	0.05%
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Lamar State College - Port Arthur Investment Policy.

Name of Signee
Title

Texas State University System Office OPERATING & NON OPERATING QUARTERLY INVESTMENT REPORT PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Agency/Description	Cusip Number	Purchase Date	Maturity Date	Beginning Market Value As of 08/31/12	Ending Market Value As of 11/30/12	Quarter Ending 11/30/12 Investment Income	Return
Operating Funds							
Cash in Bank				\$ -	\$ -	-	-
Cash in Treasury				\$ -	\$ -	-	-
TexPool Series 0002	Designated Fund			\$ 3,451,537.10	\$ 3,011,794.47	\$ 1,284.56	0.04%
Wells Fargo	Designated Fund			\$ 72,928.05	\$ 36,707.96	\$ -	0.00%
Totals				\$ 3,524,465.15	\$ 3,048,502.43	\$ 1,284.56	0.04%
						6 Month T-Bill	0.04%

Non-Operating Funds							
Cash in Bank (Wells Fargo)				\$ 5.06	\$ 5.06	-	-
TexPool Series 0001	Interest & Sinking			\$ 2,747,618.30	\$ 2,747,434.04	\$ 1,315.74	0.05%
Totals				\$ 2,747,623.36	\$ 2,747,439.10	\$ 1,315.74	0.05%
						ML 1-3 Year Treasury	0.04%

This report is prepared in conformity with (a) The Texas State University Investment Policy, (b) State Statutes and Guidelines including the Texas Public Funds Investment Act and (c) the Texas State University System Office Investment Policy.

Name of Signee		
Title		

Texas State University System Office
ENDOWMENT QUARTERLY INVESTMENT REPORT
PERIODS ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Allocation Current	Mutual Fund / Manager	Market Value	Quarter Return	FYTD Return
100.0 %	Total Plan Composite	\$ 723,921	0.66%	0.66%
	<i>Total Blended Index</i>		<i>0.17%</i>	<i>0.17%</i>
99.8 %	Total Fixed Income	\$ 722,230	0.66%	0.66%
	<i>Blended Fixed Index</i>		<i>0.17%</i>	<i>0.17%</i>
59.3 %	Municipal Bonds	\$ 429,232	1.00%	1.00%
	<i>BC 3 Yr Muni</i>		<i>0.27%</i>	<i>0.27%</i>
29.4	Vanguard Ltd Term Muni - VMLTX	213,134	0.61%	0.61%
29.9	Thornburg Ltd Term Muni - LTMIX	216,098	1.37%	1.37%
40.5 %	Money Markets	\$ 292,998	0.18%	0.18%
	<i>91-Day T-Bill</i>		<i>0.04%</i>	<i>0.04%</i>
40.5	Ridgeworth Insttitl - SIGVX	292,998	0.18%	0.18%
0.2 %	Other	\$ 1,690	0.00%	0.00%
0.2	Cash in Bank (Trustmark)	1,690	0.00%	0.00%

Blended Indexes are based on the current weights of each asset class and their respective benchmarks.
 Currently the Total Blended Index is: 59.3% BC 3 Yr Muni, 40.5% 91-Day T-Bill, 0.2% at 0% Annual Return.

Texas State University System Office
ENDOWMENT QUARTERLY INVESTMENT REPORT
 PERIOD ENDING 11/30/2012 (TRADE DATE FULL ACCRUAL BASIS)

Mutual Fund / Manager	Beginning Market Value	Deposits	Withdrawals	Income	Fees	Gains / Losses	Ending Market Value
Total Plan Composite	\$719,475	\$12	-\$313	\$2,619	-\$313	\$2,442	\$723,921
Total Fixed Income	\$717,471	\$12	\$0	\$2,619	-\$313	\$2,442	\$722,230
Municipal Bonds	\$424,996	\$12	\$0	\$2,095	-\$209	\$2,338	\$429,232
Vanguard Ltd Term Muni - VMLTX	\$211,826	\$12		\$917	-\$104	\$484	\$213,134
Thornburg Ltd Term Muni - LTMIX	\$213,170			\$1,178	-\$104	\$1,854	\$216,098
Money Markets	\$292,475	\$0	\$0	\$524	-\$104	\$104	\$292,998
Ridgeworth Institl - SIGVX	\$292,475			\$524	-\$104	\$104	\$292,998
Other	\$2,003	\$0	-\$313	\$0	\$0	\$0	\$1,690
Cash in Bank (Trustmark)	\$2,003		-\$313			\$0	\$1,690

TSUS: Acknowledgement of Gifts and Gifts-in-Kind

Recommendation

The Board of Regents acknowledged and approved receipt of the gifts and gifts-in-kind received by the Texas State University System components.

Background

In accordance with the System Rules and Regulations, Chapter III, Section 1.(12) Gift Acceptance, Subsection 1.(12)3 The President of each Component will report all gifts with a value of at least \$5,000 (including cash, personal property, and intellectual property) to the Chancellor for reporting publicly to the Board. Upon written request of the donor, the Board report and minutes shall not state the donor's name and/o.r the gift's value

Lamar University

The following gifts of \$5,000 or more were made payable to Lamar University.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
09/05/2012	The Sidney & Charline Dauphin Foundation	\$9,850.00	Department of Athletics – Men’s Football
09/05/2012	Entergy Charitable Foundation	\$8,500.00	College of Arts & Sciences and College of Fine Arts & Communication
09/05/2012	The Krist Foundation	\$5,000.00	President’s Auxiliary Fund
09/05/2012	Anonymous	\$5,000,000.00	Honors College
09/10/2012	Mr. and Mrs. Jerry Reese	\$5,000.00	President’s Auxiliary Fund
09/11/2012	Motiva Enterprises LLC	\$5,000.00	College of Engineering
09/11/2012	Babe Didrikson Zaharias Foundation, Inc.	\$6,795.00	Bob West-Babe Didrikson Zaharias Scholarship in Athletics
09/12/2012	ExxonMobil Corporation	\$15,000.00	College of Engineering –Departments of Chemical, Mechanical, Electrical and Civil Engineering
09/17/2012	W.J. & Lela Budwine Foundation, Inc.	\$15,000.00	W.J. & Lela Budwine Charitable Foundation Scholarship
09/26/2012	Sasol North America	\$15,000.00	College of Engineering – Department of Chemical Engineering
10/09/2012	Southland Conference	\$14,280.00	Department of Athletics
10/09/2012	Alpha Tau Omega Alumni Association	\$5,250.00	Department of Athletics
10/11/2012	Southeast Texas Arts Council	\$10,000.00	KVLU Public Radio
10/11/2012	Capital One	\$10,000.00	KVLU Public Radio
10/15/2012	T.S.P.E./Sabine Chapter	\$50,000.00	T.S.P.E. Sabine Chapter Scholarship in Engineering
10/15/2012	KPMG Foundation	\$5,000.00	Lamar Accounting Department Excellence Fund

10/18/2012	Mr. and Mrs. Mike Fuljenz	\$6,250.00	President's Auxiliary Fund
10/18/2012	Estate of Weldon B. Drennan, Sr.	\$97,034.45	President's Discretionary Fund
10/22/2012	Entergy Texas, Inc.	\$8,462.00	Southeast Texas Area Needs Assessment
10/26/2012	Sovran Acquisition, LP	\$7,900.00	Department of Athletics
10/26/2012	Cotton Cargo	\$6,500.00	Department of Athletics
10/26/2012	DuPont Goodrich Federal Credit Union	\$9,470.00	Department of Athletics
10/31/2012	The Humphreys Foundation	\$10,000.00	College of Fine Arts and Communication – Theater Department
11/13/2012	J Walker Enterprises	\$5,500.00	Department of Athletics
11/14/2012	FivePoint Credit Union	\$32,450.00	Department of Athletics
11/14/2012	MCT Credit Union	\$62,250.00	Department of Athletics
11/19/2012	McDonald's Restaurant	\$18,860.00	Department of Athletics
11/19/2012	INVISTA	\$6,000.00	Department of Athletics
11/27/2012	Citgo Petroleum Corporation	\$10,000.00	College of Engineering
11/27/2012	Gas Processors Association of Houston	\$6,000.00	Gas Processors Association of Houston Scholarship
11/27/2012	INEOS Olefins and Polymers USA	\$5,000.00	College of Engineering
11/27/2012	ExxonMobil Foundation	\$45,000.00	Cardinal Connection
11/27/2012	ExxonMobil Corporation	\$99,000.00	College of Engineering; College of Business; College of Arts & Sciences; College of Education & Human Development; College of Fine Arts & Communication; Mary & John Gray Library; and Gladys City Museum
11/29/2012	Anonymous	\$5,000,000.00	Administration Building
		\$10,615,351.45	

Lamar University Foundation

The following gifts of \$5,000 or more were made payable to Lamar University Foundation.

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
09/05/2012	Mr. and Mrs. Greg Thompson	\$7,500.00	Howard Perkins Memorial Scholarship
09/06/2012	Mr. and Mrs. Bill Mitchell	\$10,000.00	William B. & Mary G. Mitchell Endowed Scholarship in Engineering; William B. & Mary G. Mitchell Endowed Scholarship in Fine Arts
09/06/2012	H. A. Butler Memorial Scholar Athlete Award, Inc.	\$63,534.89	Coach H. A. Butler Presidential Scholarship
10/02/2012	Dr. Grace and Mr. Bill England	\$20,000.00	Anna England Crow Memorial Scholarship in Nursing
10/26/2012	Stallion Oilfield Holdings, Ltd.	\$8,800.00	Dishman Art Museum
10/31/2012	The Modern Group	\$10,000.00	Dishman Art Museum
11/21/2012	Mr. and Mrs. Mike Bonura	\$13,750.00	Alicia Bonura Memorial Scholarship in Engineering
11/21/2012	Mr. and Mrs. Joe L. Williams, Jr.	\$15,000.00	Becky & Joe Williams Scholarship in Audiology
11/29/2012	Mr. and Mrs. Mike Jenkins	\$5,000.00	J.C. & Theresa Giglio Scholarship in Business
11/29/2012	Mr. and Mrs. Phil Drayer	\$100,000.00	Mary Katherine Bell Regents Scholarship in Mathematics
		\$253,584.89	

Explanations

The following gifts of \$5,000 or more were made to Lamar University.

- The Sidney and Charline Dauphin Foundation gave \$9,850.00 to Men's Football.
- The Entergy Charitable Foundation gave two gifts totaling \$8,500.00: \$5,000.00 to the College of Arts and Sciences for the Teaching Environmental Science Summer Institute and \$3,500.00 to the College of Fine Arts and Communication for Lamarissimo.
- The Krist Foundation gave \$5,000.00 to the President's Auxiliary Fund.
- An anonymous donor pledged \$5,000,000.00 to support the Honors College.
- Mr. and Mrs. Jerry Reese gave \$5,000.00 to the President's Auxiliary Fund.
- Motiva Enterprises, LLC gave \$5,000.00 to the College of Engineering for scholarships.
- Babe Didrikson Zaharias Foundation, Inc. gave \$6,795.00: \$5,000.00 to the Bob West-Babe Didrikson Zaharias Endowed Scholarship in Athletics and \$1,795.00 to the Bob West-Babe Didrikson Zaharias Annually Funded Scholarship in Athletics.
- ExxonMobil Corporation gave the College of Engineering a departmental grant totaling \$15,000: \$8,000.00 to the Department of Mechanical Engineering, \$3,000.00 to the Department of Chemical Engineering, \$3,000 to the Department of Electrical Engineering and \$1,000.00 to the Department of Civil Engineering.
- The W.J. and Lela Budwine Foundation, Inc. gave \$15,000.00 to the W.J. and Lela Budwine Charitable Foundation Scholarship.
- Sasol North America gave \$15,000.00 to the Department of Chemical Engineering for the Unit Operation Laboratory renovation.
- The Southland Conference gave \$14,280.00 to the Department of Athletics for a 2011-2012 corporate sponsorship.
- Alpha Tau Omega Alumni Association gave \$5,250.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- The Southeast Texas Arts Council gave \$10,000.00 to KVLU Public Radio.
- Capital One gave \$10,000.00 to KVLU Public Radio.
- The Sabine Chapter of the Texas Society for Professional Engineers gave \$50,000.00 to the TSPE Sabine Chapter Scholarship in Engineering.
- The KPMG Foundation gave \$5,000.00 to the Accounting Department.
- Mr. and Mrs. Mike Fuljenz gave \$6,250.00 to the President's Auxiliary Fund.

- The estate of Weldon B. Drennan, Sr. gave \$97,034.45 to the President's Discretionary Fund.
- Entergy Texas, Inc. gave \$8,462.00 to the Southeast Texas Area Needs Assessment.
- Uncle Bob's Self Storage gave \$7,900.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- Cotton Cargo gave \$6,500.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- DuPont Goodrich Federal Credit Union gave \$9,470.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- The Humphreys Foundation gave \$10,000.00 to the Theater Department for scholarships.
- J Walker Enterprises gave \$5,500.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- FivePoint Credit Union pledged \$32,450.00 to the Department of Athletics for a 2012-2014 corporate sponsorship.
- MCT Credit Union pledged \$62,250.00 to the Department of Athletics for a 2012-2015 corporate sponsorship.
- McDonald's Restaurant pledged \$18,860.00 to the Department of Athletics for a 2012-2014 corporate sponsorship.
- INVISTA gave \$6,000.00 to the Department of Athletics for a 2012-2013 corporate sponsorship.
- Citgo Petroleum Corporation gave the College of Engineering two gifts totaling \$10,000.00: \$5,000 for chemical engineering scholarships and \$5,000.00 for mechanical engineering scholarships.
- Gas Processors Association of Houston gave \$6,000.00 to the Gas Processors Association of Houston Scholarship.
- INEOS USA gave \$5,000.00 to the College of Engineering for senior engineering projects.
- ExxonMobil Foundation gave \$45,000.00 to the Cardinal Connection Mentor Reading Program.
- ExxonMobil gave departmental grants totaling \$102,500.00: College of Engineering -- \$25,000.00 to Dynamics Simulation and ADV Process Control and \$10,000.00 to the Ambassadors Program; College of Business -- \$5,000.00 to the Executive in Residence Program; College of Arts and Sciences -- \$12,000.00 to Integrated Science for Educators, \$12,000.00 to the Learn-to-Learn Camp, \$5,000.00 to the Teaching Environmental Science Summer Institute, and \$5,500.00 to the University Writing Center; College of Education and Human Development -- \$14,500.00 to the Scholar-in-Residence Program; College of Fine Arts and Communication -- \$3,500.00 to Lamarissimo!; Mary and John Gray Library -- \$5,000.00 for electronic resources; Gladys City Museum -- \$5,000.00.

- An anonymous donor gave \$5,000,000.00 to support the Administration Building construction and renovation.

The following gifts of \$5,000 or more were made to the Lamar University Foundation.

- Mr. and Mrs. Greg Thompson gave \$7,500.00 to the Howard Perkins Memorial Scholarship.
- Mr. and Mrs. Bill Mitchell gave two gifts totaling \$10,000.00: \$5,000.00 to the William B. & Mary G. Mitchell Endowed Scholarship in Engineering and \$5,000.00 to the William B. & Mary G. Mitchell Endowed Scholarship in Fine Arts.
- The H. A. Butler Memorial Scholar Athlete Award Inc. gave \$63,534.89 to establish the Coach H. A. Butler Presidential Scholarship.
- Dr. Grace and Mr. Bill England gave \$20,000.00 to establish the Anna England Crow Memorial Scholarship in Nursing.
- Stallion Oilfield Holdings gave \$8,800.00 to the Dishman Art Museum to underwrite Pairings 2013.
- The Modern Group gave \$10,000.00 to the Dishman Art Museum in support of Pairings 2013.
- Mr. and Mrs. Mike Bonura gave \$13,750.00 to the Alicia Bonura Memorial Scholarship in Engineering.
- Mr. and Mrs. Joe L. Williams, Jr. pledged \$15,000.00 to establish the Becky & Joe Williams Scholarship in Audiology.
- Mr. and Mrs. Mike Jenkins gave \$5,000.00 to the J.C. & Theresa Giglio Scholarship in Business.
- Mr. and Mrs. Phil Drayer gave \$100,000.00 to establish the Mary Katherine Bell Regents Scholarship in Mathematics.

Sam Houston State University

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
9/27/2012	Tommy and Mary Ann Metcalf	\$ 5,000.00	Tommy Metcalf Scholarship Endowment
9/28/2012	Stanley J. and Katherine R. Blinka	\$ 5,000.00	Track Enrichment
10/1/2012	Earl C. and Sanette H. Austin	\$ 10,000.00	Houston Bearkat Reception Sponsor
10/1/2012	Houston Livestock Show and Rodeo	\$ 37,800.00	Agricultural Sciences Development
10/4/2012	Lee E. and Elizabeth B. Olm	\$ 10,000.00	Lee E. Olm and Elizabeth Schofer Olm Endowment
10/12/2012	John R. and Judith A. Ragsdale	\$ 10,100.00	Mary Ellen Ragsdale Nursing Endowed Scholarship/Winnie Laurene Ragsdale Endowed Scholarship/NCAA Academic Advisor Account
10/12/2012	Daniel T. Barnes	\$ 17,990.00	Dr. Sam Barnes Kaplan Review Scholarship
10/17/2012	Sonepar Management US, Inc.	\$ 21,000.00	Baseball Enrichment
10/17/2012	Clayton and Kay Pluchek	\$ 21,000.00	Baseball Enrichment
10/22/2012	Murphy Payne Charitable Trust	\$ 25,000.00	Frank and Mary McAdams Payne Banking Endowment
10/24/2012	Mundy Service Corporation	\$ 6,000.00	Agricultural Sciences Development
10/24/2012	Robert S. and Erin M. Steele	\$ 207,500.00	Robert and Erin Steele Education Scholarship Endowment; Robert and Erin Steele Sciences Scholarship Endowment/Houston Bearkat Reception Sponsor/Let's Talk - President's Office Expense Account
10/25/2012	Doug Gray	\$ 10,000.00	Baseball Enrichment
10/26/2012	City of Huntsville	\$ 7,000.00	Friends of Music

10/29/2012	The Humphreys Foundation	\$ 10,000.00	Humphreys Foundation-Drama Scholarship
11/2/2012	Walter L. and Janice L. Fitzgerald	\$ 7,750.00	Alumni Life Member Endowment/Dixie Meyers Accounting Endowed Scholarship/Alumni Enrichment; President's Discretionary Fund
11/5/2012	Charles L. and Wanda Beckner	\$ 7,875.00	Annual Fund for Excellence - Dallas Morning News Advertising/Tennis Enrichment
11/6/2012	James B. and Elsie Bexley	\$ 7,000.00	James B. and Elsie M. Bexley Banking/Finance Scholarship Endowment; Bearkat Champions Enrichment Fund
11/12/2012	Charles W. and Mary Lynn DeShazo	\$ 6,000.00	Friends of Chemistry
11/15/2012	Maggie M. and George E. Parker	\$ 10,000.00	Erma Keefer Farris Endowment
11/16/2012	Dennis D. Ritter, Jr.	\$ 26,000.00	Dr. Carol Laing Ritter Educational Leadership Fellowship Endowment/Dr. Carol Laing Ritter Educational Leadership Endowed Fellowship
11/20/2012	Miles L. and Gaynelle B. Schulze	\$ 5,000.00	Michael Schulze Endowment
11/28/2012	Patrick and Eugenie Hoggard	\$ 5,000.00	Maura de Souza Scholarship Endowment
11/30/2012	Wilfred O. Dietrich	\$ 5,000.00	Drs. Wilfred and Bobbie Dietrich Scholarship Endowment
12/4/2012	Earl H. Burrough Trust	\$ 25,187.00	Earl H. Burrough Scholarship
12/5/2012	Southwest Business Corporation Foundation	\$ 500,000.00	COBA Dean's Chair
12/5/2012	M. Howard and Diane B. Payne	\$ 5,000.00	Let's Talk - President's Office Expense Account
12/5/2012	Texas Barge & Boat, Inc.	\$ 15,000.00	President's Discretionary Fund
12/6/2012	Shane Detwiler Foundation	\$ 10,000.00	Shane Detwiler Criminal Justice Scholarship Endowment/Shane Detwiler Criminal Justice Scholarship
12/6/2012	CenterPoint Energy	\$ 5,000.00	President's Discretionary Fund

12/6/2012	Benjamin R. and Susan S. Lenamon	\$ 40,470.00	Susan Smith Lenamon Scholarship Endowment/Houston Bearkat Reception/ Alumni Enrichment/University Advancement Scholarship Luncheon Sponsor
12/10/2012	The Bakewell Family Foundation	\$ 10,000.00	Ruth A. De Blanc Scholarship Endowment
12/11/2012	San Antonio Livestock Exposition, Inc.	\$ 8,000.00	Scholarships and Graduate Assistant Stipends.
12/12/2012	Gary M. and Paula Wyatt	\$ 5,000.00	Baseball Enrichment
12/13/2012	John F. and Teresa Harris	\$ 5,000.00	Drs. Robert (Bob) and Mary Barnes Harris Endowment
12/13/2012	Tommy and Bettye Hill Knox	\$ 10,000.00	Mathematics Scholarship
12/14/2012	HGS Self Storage	\$ 50,000.00	Nancy Lundgren Hoyt Memorial Scholarship
12/17/2012	Eleanor S. and Charles L. Garrett	\$1,000,000.00	Eleanor and Charles Garrett Endowed Chair for Special Education
GIFT-IN-KIND			
11/1/2012	Teklynx	\$ 7,975.00	Five full packages of CODESOFT10 Enterprise software
11/7/2012	Therm-Omega-Tech, Inc.	\$ 13,950.00	1984 BMW Electric Car and Barbeque Pit

Explanations

Mr. and Mrs. Tommy Metcalf gave \$5,000 to the Tommy Metcalf Scholarship Endowment.

Mr. and Mrs. Stanley Blinka contributed \$5,000 to Track enrichment.

Mr. and Mrs. Austin gave \$10,000 to sponsor the Houston Bearkat Reception.

The Houston Livestock Show and Rodeo gave \$37,800 to provide Graduate Student Assistantships in the Agricultural Sciences Department for 2012-2013.

Dr. and Mrs. Lee E. Olm contributed \$8,000 to the Lee E. and Elizabeth Olm Endowment for the support of the Department of History.

Mr. and Mrs. John R. Ragsdale contributed \$5,000 to the Marry Ellen Ragsdale Nursing Endowed Scholarship and \$5,000 to the Winnie Laurene Ragsdale Endowed Scholarship. They also gave \$100 to the NCAA Academic Advisor Account.

Mr. Daniel T. Barnes gave \$17,990 to the Dr. Sam Barnes Kaplan Review Scholarship in support of the Allied Health Program.

Sonepar Management US, Inc. contributed \$21,000 to the Baseball program.

Mr. and Mr. Clayton Pluchek contributed \$21,000 to the Baseball program.

The Murphy Payne Charitable Trust added \$25,000 to the Frank and Mary McAdams Payne Endowment which provides scholarship assistance to students majoring in banking.

Mundy Service Corporation gave \$6,000 to Agricultural Sciences Development to sponsor the SHSU Rodeo Team.

Mr. and Mrs. Robert S. Steele contributed \$100,000 to the Robert and Erin Steele Education Scholarship and \$100,000 to the Robert and Erin Steele Sciences Scholarship Endowment. They also gave \$5,000 to sponsor the Houston Bearkat Reception and \$2,500 to sponsor the Let's Talk Event.

Mr. Doug Gray gave \$10,000 to Baseball Enrichment.

The City of Huntsville contributed \$7,000 to the School of Music.

The Humphreys Foundation contributed \$1,000 to the Humphreys Foundation Drama Scholarship.

Mr. and Mr. Walter Fitzgerald contributed \$5,000 toward a Joint Life Endowment Membership in the Alumni Association. They also gave \$1,000 to the Dixie Meyers Accounting Endowed Scholarship and \$750 to the President's Discretionary Fund. They also sponsored the Alumni Associations' Life Member Celebration and the Playoff Game Tailgate event with a contribution of \$500 and \$250, respectively.

Mr. and Mr. Charles L. Beckner gave \$5,375 the Annual Fund for Excellence in support of an announcement in the Dallas newspaper promoting our university being listed among The Chronicle of Higher Education's "Best Colleges to Work For." They also contributed \$2,500 to support the Tennis program.

Mr. and Mr. James B. Bexley contributed \$5,000 to the James B. and Elsie M. Bexley Banking/Finance Scholarship Endowment and \$2,000 to the Bearkat Champions Enrichment Fund.

Mr. and Mrs. Charles DeShazo gave \$6,000 to the Department of Chemistry.

Mr. and Mrs. George E. Parker gave \$10,000 to the Erma Keefer Farris Endowment which provides scholarship assistance to undergraduate student's enrolled in the teacher education program.

Dennis D. Ritter, Jr. contributed \$25,000 to establish the Dr. Carol Laing Ritter Education Leadership Fellowship Endowment and \$1,000 to the Dr. Carol Laing Ritter Education Leadership Endowed Fellowship in memory of his wife.

Miles and Gaynelle Schulze contributed \$5,000 to the Michael Schulze Endowment. This endowment provides assistance to students majoring in Criminal Justice.

Mr. and Mrs. Patrick Hoggard contributed \$5,000 to the Maura de Souza Scholarship Endowment.

Wilfred O. Dietrich gave \$5,000 to the Drs. Wilfred and Bobbie Dietrich Endowment in memory of his wife. This endowment provides financial assistance to students majoring in English, drama or speech.

The Earl H. Burrough Trust gave \$25,187 toward the Earl H. Burrough Scholarship which provides support to students majoring in Chemistry or Physics.

The Southwest Business Corporation Foundation generously gave \$500,000 toward their pledge to the College of Business Administration Dean's Chair Endowment.

Mr. and Mrs. M. Howard Payne contributed \$5,000 to sponsor the Let's Talk Event.

Texas Barge & Boat, Inc. contributed \$15,000 to the Presidents Discretionary Fund.

The Shane Detwiler Foundation contributed \$10,000 to the Shane Detwiler Criminal Justice Scholarship.

CenterPoint Energy contributed \$5,000 to support the Presidents' Discretionary Fund.

Mr. and Mrs. Benjamin R. Lenamon contributed \$31,850 to complete their \$50,000 pledge to the Susan Smith Lenamon Scholarship Endowment. They also contributed \$5,000 to sponsor the Houston Bearkat Reception and \$70 to sponsor the student tickets for the University Advancement Scholarship Luncheon. In addition, they sponsored the following Alumni Association events: \$1,500 to the Life Member Celebration, \$1,000 to the Calendar Project, \$500 to the Walker County Club Event, \$300 to the Bay Area Club event and \$250 to the Playoff tailgate event.

Mr. Michael D. Bakewell through the Bakewell Family Foundation generously contributed \$10,000 to the Ruth A. De Blanc Scholarship which provides an annual scholarship to a student majoring in sociology.

San Antonio Livestock Exposition, Inc. supported agricultural graduate students stipends with a generous gift of \$5,000. They also contributed \$3,000 to the San Antonio Livestock Exposition Scholarship Program for undergraduate students.

Mr. and Mrs. Gary M. Wyatt gave \$5,000 to the Baseball Program.

Mr. and Mrs. John F. Harris contributed \$5,000 to the Drs. Robert (Bob) and Mary Barnes Harris Endowment which provides financial assistance to undergraduate students majoring in Family and Consumer Sciences Teaching Certification.

Tommy and Bettye Hill Knox contributed \$10,000 to support scholarships in mathematics.

HGS Self Storage generously contributed \$50,000 to establish the Nancy Lundgren Hoyt Memorial Scholarship.

Eleanor S. and Charles L. Garrett generously contributed \$1,000,000 to the Eleanor and Charles Garrett Endowed Chair for Special Education.

Gifts-in-kind:

Teklynx contributed five full packages of CODESOFT10 Enterprise software valued at \$7,975 to the College of Business Administration for use in the Sower Business Technology Lab.

Therm-Omega-Tech, Inc. contributed a 1984 BMW Electric car valued at \$10,050 and a Barbeque Grill valued at \$3,900.

Sul Ross State University

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
10/03/12 and 12/21/12	Wayne and JoAnn Moore Charitable Foundation	\$50,000.00	Trans Pecos Archaeological Program; Borderlands Research Institute Outreach Services Program
11/27/12	JB Bar Ranch Holdings LP, Mr. John Nau, III	\$25,000.00	Borderlands Research Institute - Bighorn Sheep Restoration Project
12/05/12	Beal Foundation, Mr. Spencer E. Beal	\$5,000.00	Borderlands Research Institute Fund
12/05/12	Semmes Foundation, Inc., Mr. Thomas R. Semmes	\$10,000.00	Trans Pecos Archaeological Program
12/07/12	The M. S. Doss Foundation	\$110,000.00	The M. S. and Meek Lane Doss Scholarship Endowment
12/10/12	Thompson Family Foundation, Kelly R. Thompson	\$5,000.00	Borderlands Research Institute Stewardship Program
12/14/12	Mr. and Mrs. Jack K. Mayfield	\$8,000.00	Museum Operations Campaign 2012
12/18/12	Gay D. and William F. Scott Family Foundation, Mr. and Mrs. William F. Scott	\$10,000.00	Regents' Endowed Scholarship
12/18/12	Mr. John M. 'Marty' Davis, Jr.	\$10,000.00	Museum Operations Campaign 2012
12/21/12	Alvin A. Klein and Roberta T. Klein Trust	\$10,000.00	Klein Trust Botanical Research
12/21/12	Mr. Charles B. David (Estate)	\$35,396.48	Charles B. David Scholarship Endowment
12/21/12	Permian Basin Area Foundation	\$10,000.00	Center for Big Bend Studies
12/31/12	Mr. Rodolfo T. Urby	\$10,000.00	Rodolfo T. & Sylvia M. Urby Scholarship Endowment
12/31/12	Texas Parks & Wildlife Foundation	\$75,000.00	Borderlands Research Institute Pronghorn Habitat and Restoration Project
12/31/12	The William H. Pitt Foundation, Inc.	\$15,000.00	William H. Pitt/Charles Mallory Scholarship Fund

Explanation

Sul Ross State University:

Wayne and JoAnn Moore Charitable Foundation, Mr. James T. Moore of Midland, TX donated \$25,000 to the Trans Pecos Archaeological Program and \$25,000 to the Borderlands Research Institute Outreach Services Program. The Trans Pecos Archaeological Program supports archaeological investigations and other programs of the Center for Big Bend Studies aimed at recovering and preserving data in archaeological and historical resources in the Trans-Pecos and Big Bend Regions. The Outreach Services Program bridges the gap between research, teaching, and outreach by getting pertinent information regarding practical solutions to natural resource management problems in the hands of private landowners and other resource managers. This is accomplished through Research Highlights publications, newsletters, and regional landowner meetings and conferences.

JB Bar Ranch Holdings, LP, Mr. John Nau, III of Houston, TX donated \$25,000 to the Borderlands Research Institute's Bighorn Sheep Restoration Project in support of year one of the Borderlands three year study about the Bighorn Sheep productivity and survival in the Trans Pecos area.

Beal Foundation, Mr. Spencer E. Beal of Midland, TX donated \$5,000 to the Borderlands Research Institute Fund. The Borderlands Research Institute provides leadership in science based land stewardship. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

Semmes Foundation, Inc., Mr. Thomas R. Semmes of San Antonio, TX donated \$10,000 to the Trans Pecos Archaeological Program. This program supports archaeological investigations and other programs of the Center for Big Bend Studies aimed at recovering and preserving data in archaeological and historical resources in the Trans-Pecos and Big Bend Regions.

The M. S. Doss Foundation, Inc. Mr. Joe K. McGill, President of Seminole, TX donated \$110,000 to the M. S. and Meek Lane Doss Scholarship Endowment. This endowment supports student scholarships for high school graduates west of a line from Wichita Falls, TX to Laredo, TX to eastern New Mexico.

Thompson Family Foundation, Mr. Kelly R. Thompson of Fort Worth, TX donated \$5,000 to the Borderlands Research Institute Stewardship Program to help with the Borderlands operating costs. This donation helps to strengthen the Borderlands programs by funding innovative research, collaboration and experiential learning opportunities at Sul Ross.

Mr. and Mrs. Jack K. Mayfield of Alpine, TX donated \$8,000 to the Museum Operations Campaign 2012 in support of the Museum of the Big Bend's 10 for 10 fund raising campaign. This campaign encourages supporters to be 'counted in' to 'Tell the Story' for another 10 years and will enable the museum to continue to preserve an important part of our Texas heritage for future generations.

Gay D. and William F. Scott Family Foundation, Mr. and Mrs. William F. Scott of Nederland, TX donated \$10,000 to the Regents' Endowed Scholarship. This scholarship endowment provides for the opportunity to reach more students, to educate, to mentor and to inspire them to achieve success in their lives.

Mr. John M. 'Marty' Davis, Jr. of Dallas, TX donated \$10,000 to the Museum Operations Campaign 2012 in support of the Museum of the Big Bend's 10 for 10 fund raising campaign. This campaign encourages supporters to be 'counted in' to 'Tell the Story' for another 10 years and will enable the museum to continue to preserve an important part of our Texas heritage for future generations.

Alvin A. Klein and Roberta T. Klein Trust of Klein, TX donated \$10,000 to the Klein Trust Botanical Research. This donation will strengthen research in the botanical sciences and supports the herbarium data-basing project directed by Dr. Martin Terry.

Mr. Charles B. David (Estate), Mr. Larry David of Alpine, TX donated \$35,396.48 to the Charles B. David Scholarship Endowment. Mr. Charles B. David left this bequest in his will for the university. This endowment supports scholarships for students at Sul Ross and will increase the opportunities available to them.

Permian Basin Area Foundation, Mr. Guy McCrary, President and CEO of Midland, TX donated \$10,000 to the Center for Big Bend Studies. These funds support the programs of the Center for Big Bend Studies aimed at recovering and preserving significant data in archaeological and historical resources in the Trans Pecos and Big Bend areas.

Mr. Rodolfo T. Urby of Del Rio, TX donated \$10,000 to the Rodolfo T. and Sylvia M. Urby Scholarship Endowment. This is a new endowment and supports scholarships for students with financial need giving preference to students of Del Rio High School.

Texas Parks & Wildlife Foundation of Dallas, TX donated \$75,000 to the Borderlands Research Institute Pronghorn habitat and restoration project. This project helps to enhance the knowledge of Pronghorn antelope population and habitats and is an important step in the study and vital preservation of these animals.

The William H. Pitt Foundation, Inc. of Palm Beach, FL donated \$15,000 to the William H. Pitt/Charles Mallory Scholarship Fund for student scholarships. These scholarships will provide benefits to students at Sul Ross and will increase the opportunities available to them.

Texas State University-San Marcos

The following gifts of \$5,000 or more were made payable to Texas State University-San Marcos.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
9/19/2012	\$8,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$8,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$7,600	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$12,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$50,000	College of Liberal Arts – Meso-American Archeological Research Fund
9/19/2012	\$5,750	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$6,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$5,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$5,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/19/2012	\$5,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
9/24/2012	\$5,000	McCoy College of Business Administration – Professional Selling Partners’ Program
9/24/2012	\$5,000	McCoy College of Business Administration – Professional Selling Partners’ Program
9/28/2012	\$5,000	Department of Athletics – Men’s Basketball
10/01/2012	\$5,000	McCoy College of Business Administration – Professional Selling Partners’ Program
10/05/2012	\$10,000	College of Applied Arts – Lady Bird Johnson Early Childhood Education Symposium
10/08/2012	\$22,000	College of Liberal Arts – Gault School Archaeology Project
10/08/2012	\$123,000	College of Health Professions – Long Term Care Administration Certificate Program – Research

10/09/2012	\$8,000	College of Science and Engineering – Computer Science Department and McCoy College of Business Administration – CIS and Quantitative Methods
10/10/2012	\$200,000	Division of Information Technology – Library and Research Repository Gifts
10/15/2012	\$6,500	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
10/15/2012	\$15,000	College of Liberal Arts – Center for Ancient Arts and Symbolism
10/18/2012	\$5,000	Department of Athletics – Bobcat Club Annual Fund
10/19/2012	\$15,000	Department of Athletics – Athletic Suite Donations
10/19/2012	\$24,375	Department of Athletics – Athletic Suite Donations
10/19/2012	\$15,000	Department of Athletics – Baseball
10/19/2012	\$15,000	Department of Athletics – Baseball
10/24/2012	\$5,000	McCoy College of Business Administration - Professional Selling Partners' Program
10/24/2012	\$30,000	College of Science and Engineering – Concrete Industry Management (CIM) Program Support
10/24/2012	\$6,000	Office of the Provost and the Division of Academic Affairs – Outside Scholarships
10/30/2012	\$9,000	School of Journalism and Mass Communication - Gunn Scholarship for Journalism
11/05/2012	\$8,000	Department of Athletics – Athletic Club Seat Donations and Bobcat Club Annual Fund
11/08/2012	\$7,000	College of Fine Arts and Communication – Theatre Excellence Fund
11/12/2012	\$6,075	College of Science and Engineering – Department of Physics and McCoy College of Business Administration – Accounting Department Gift Funds
11/12/2012	\$5,000	McCoy College of Business Administration – Professional Selling Partners' Program
11/13/2012	\$5,000	College of Fine Arts and Communication – Theatre Excellence Fund
11/19/2012	\$1,000,000	College of Health Professions – Nursing Program - Discretionary

11/21/2012	\$12,000	College of Liberal Arts – Center for Texas Music History - Operating
11/30/2012	\$15,000	College of Science and Engineering – Women in Science and Engineering Conference
12/04/2012	\$6,000	College of Health Professions – Physical Therapy
12/06/2012	\$10,000	McCoy College of Business Administration – Professional Selling Partners' Program
TOTAL:	<u>\$1,715,300.00</u>	

The following Gifts-in-Kind valued at \$5,000 or more were made to Texas State University-San Marcos.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
11/30/2012	\$7,000	Division of Academic Affairs – GIK – University College
TOTAL:	<u>\$7,000.00</u>	

The following gifts of \$5,000 or more were made payable to the Texas State University-San Marcos Development Foundation.

<u>Date(s) of Gift</u>	<u>Gift Amount</u>	<u>Beneficiary(ies)</u>
10/15/2012	\$50,000	Office of the Provost and the Division of Academic Affairs – Callaway Foundation Endowed Presidential Scholarship
10/23/2012	\$5,000	College of Health Professions – The Texas State University Endowed Scholarship in Radiation Therapy
11/06/2012	\$100,000	College of Science and Engineering – Sarah and Ernest Butler Endowed Scholarship in Mathworks
11/06/2012	\$50,000	College of Education – The Preston T. Bowling Scholarship Fund
11/09/2012	\$11,943	College of Science and Engineering – Sally Ann Karnau Biology Scholarship and College of Liberal Arts – Sally Ann Karnau Geography Scholarship and Sally Ann Karnau Geography Dissertation Research Scholarship
11/30/2012	\$5,000	College of Education – William T. “Bill” Tilton Scholarship
11/30/2012	\$5,000	College of Science and Engineering – Mathworks

11/30/2012	\$16,000	Division of University Advancement – Celia Berwin Memorial Foundation Endowed Scholarship
11/30/2012	\$10,000	Office of the President – President’s Excellence Fund
TOTAL:	<u>\$252,943.00</u>	

The following gifts of \$5,000 or more were made payable to the Emmett and Miriam McCoy College of Business Administration Development Foundation. These gifts benefit scholarships, programs, or initiatives at the McCoy College of Business Administration at Texas State University-San Marcos.

10/16/2012	\$50,000	McCoy College of Business Administration – The Dan and Cindee Diepenhorst Family Endowed Scholarship
11/06/2012	\$15,000	McCoy College of Business Administration – Latham J. Kerley Endowed Undergraduate Scholarship
TOTAL:	<u>\$65,000.00</u>	

Explanation

The following gifts of \$5,000 or more were made to Texas State University-San Marcos.

- A company in Austin, Texas, donated \$8,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- A company in Charlotte, North Carolina, donated \$8,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- An alumnus and wife in Cypress, Texas, donated \$7,600 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- An alumnus and wife in San Antonio, Texas, donated \$12,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- An individual in Ormond Beach, Florida, donated \$50,000 to the Meso-American Archeological Research Fund account in the College of Liberal Arts.
- An alumnus in Austin, Texas, donated \$5,750 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- A company in Austin, Texas, donated \$6,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- An company in Austin, Texas, donated \$5,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- An alumni couple in San Marcos, Texas, donated \$5,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.

- An alumnus in Pflugerville, Texas, donated \$5,000 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- A company in Melville, New York, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- A company in Dover, New Hampshire, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- A couple in Kingsbury, Texas, donated \$5,000 to the Men's Basketball account in the Department of Athletics.
- A company in Franklin, Tennessee, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- A foundation in San Marcos, Texas, donated \$10,000 to the Lady Bird Johnson Early Childhood Education Symposium account in the College of Applied Arts.
- A couple in Kingwood, Texas, donated \$22,000 to the Gault School Archaeology Project account in the College of Liberal Arts.
- A foundation in Dallas, Texas, donated \$123,000 to the Long Term Care Administration Certificate Program – Research account in the College of Health Professions.
- A company in Irving, Texas, donated \$8,000 to the Computer Science Department account in the College of Science and Engineering and the CIS and Quantitative Method account in the McCoy College of Business Administration.
- A foundation in Houston, Texas, donated \$200,000 to the Library and Research Repository Gifts account in the Division of Information Technology.
- An alumnus in Manitou Springs, Colorado, donated \$6,500 to the Athletic Club Seat Donations and Bobcat Club Annual Fund accounts in the Department of Athletics.
- A foundation in Santa Fe, New Mexico, donated \$15,000 to the Center for Ancient Arts and Symbolism account in the College of Liberal Arts.
- An alumnus and wife in Poth, Texas, donated \$5,000 to the Bobcat Club Annual Fund account in the Department of Athletics.
- A company in Georgetown, Texas, donated \$15,000 to the Athletic Suite Donations account in the Department of Athletics.
- An alumna and husband in New Braunfels, Texas, donated \$24,375 to the Athletic Suite Donations account in the Department of Athletics.
- A company in Houston, Texas, donated \$15,000 to the Baseball account in the Department of Athletics.
- An alumnus and wife in Victoria, Texas, donated \$15,000 to the Baseball account in the Department of Athletics.
- A company in Dallas, Texas, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.

- An organization in Ponte Vedra Beach, Florida, donated \$30,000 to the Concrete Industry Management (CIM) Program Support account in the College of Science and Engineering.
- An organization in Beeville, Texas, donated \$6,000 to the Outside Scholarships account in the Office of the Provost and the Division of Academic Affairs.
- A company in Grand Prairie, Texas, donated \$9,000 to the Gunn Scholarship for Journalism account in the School of Journalism and Mass Communication.
- An alumni couple in New Braunfels, Texas, donated \$8,000 to the Athletic Club Seat Donations and the Bobcat Club Annual Fund accounts in the Department of Athletics.
- A foundation in Las Cruces, New Mexico, donated \$7,000 to the Theatre Excellence Fund account in the College of Fine Arts and Communication.
- A foundation in Wilton, Connecticut, donated \$6,075 to the Department of Physics account in the College of Science and Engineering and the Accounting Department Gift Funds account in the McCoy College of Business Administration.
- A company in Roswell, Georgia, donated \$5,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.
- An alumni couple in San Marcos, Texas, donated \$5,000 to the Theatre Excellence Fund account in the College of Fine Arts and Communication.
- A foundation in Austin, Texas, donated \$1,000,000 to the Nursing Program – Discretionary account in the College of Health professions.
- An individual in Boston, Massachusetts, donated \$12,000 to the Center for Texas Music History – Operating account in the College of Liberal Arts.
- A company in Duncan, Oklahoma, donated \$15,000 to the Women in Science and Engineering Conference account in the College of Science and Engineering.
- A company in Park City, Utah, donated \$6,000 to the Physical Therapy account in the College of Health Professions.
- A company in Bloomington, Illinois, donated \$10,000 to the Professional Selling Partners' Program account in the McCoy College of Business Administration.

The following Gifts-in-Kind valued at \$5,000 or more were made to Texas State University-San Marcos.

- A foundation in Austin, Texas, donated eight Dell laptops worth \$7,000 to the GIK – University College account in the Division of Academic Affairs.

The following gifts of \$5,000 or more were made payable to the Texas State University-San Marcos Development Foundation. These gifts benefit scholarships, programs, or initiatives at Texas State University-San Marcos.

- A foundation in Temple, Texas, donated \$50,000 to the Callaway Foundation Endowed Presidential Scholarship account in the Office of the Provost and the Division of Academic Affairs.
- An alumni couple in San Antonio, Texas, donated \$5,000 to The Texas State University Endowed Scholarship in Radiation Therapy account in the College of Health Professions.

- A couple in Austin, Texas, donated \$100,000 to the Sarah and Ernest Butler Endowed Scholarship in Mathworks account in the College of Science and Engineering.
- An individual in Golden Eagle, Illinois, donated \$50,000 to The Preston T. Bowling Scholarship Fund account in the College of Education.
- An organization in New Braunfels, Texas, donated \$11,943 to the Sally Ann Karnau Geography Scholarship and the Sally Ann Karnau Geography Dissertation Research Scholarship accounts in the College of Liberal Arts and the Sally Ann Karnau Biology Scholarship account in the College of Science and Engineering.
- A company in Wilmington, Delaware, donated \$5,000 to the William T. "Bill" Tilton Scholarship account in the College of Education.
- An individual in Atlanta, Georgia, donated \$5,000 to the Mathworks account in the College of Science and Engineering.
- A foundation in Bigfoot, Texas, donated \$16,000 to the Celia Berwin Memorial Foundation Endowed Scholarship account in the Division of University Advancement.
- An alumni couple in Houston, Texas, donated \$10,000 to the President's Excellence Fund account in the Office of the President.

The following gifts of \$5,000 or more were made payable to the Emmett and Miriam McCoy College of Business Administration Development Foundation. These gifts benefit scholarships, programs, or initiatives at the McCoy College of Business Administration at Texas State University-San Marcos.

- An alumnus and wife in San Antonio, Texas, donated \$50,000 to The Dan and Cindee Diepenhorst Family Endowed Scholarship account in the McCoy College of Business Administration.
- An alumnus in San Antonio, Texas, donated \$15,000 to the Latham J. Kerley Endowed Undergraduate Scholarship account in the McCoy College of Business Administration.

LAMAR STATE COLLEGE-PORT ARTHUR

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
10/12/2012	Flint Hills – Koch Companies	\$14,588	PAIG Scholarship Fund
11/16/2012	TOTAL Port Arthur Refinery	\$5,000	Sponsorship of The Southeast Texas Regional Citizen Bee Competition
12/14/2012	Chevron Phillips Chemical Company	\$14,588	PAIG Scholarship Fund
12/19/2012	Southeast Texas Plant Managers' Forum	\$5,831	PAIG Scholarship Fund
12/19/2012	Southeast Texas Plant Managers' Forum	\$30,000	PAIG Co-enrollment
12/27/2012	Marlene & Roosevelt Petry, Jr.	\$10,000	Marlene & Roosevelt Petry, Jr. Scholarship Fund
TOTAL		\$80,007	

Gifts-in-kind valued at \$5,000 or more made to Lamar State College-Port Arthur

DATE	DONOR	AMOUNT	BENEFICIARY(IES)
1/17/2013	Swagelok Southeast Texas	\$17,880.03	Instrumentation Technology Department
TOTAL		\$17,880.03	

EXPLANATION

Port Arthur Higher Education Foundation

The Port Arthur Industrial Group (PAIG) is composed of the area industries and work together to build better relations within the community in a number of ways. One such effort is to provide scholarships for graduating Memorial High School students to attend College. The individual companies make pro-rata donations:

\$14,588	Flint Hills – Koch Companies Public Sector, LLC
\$14,588	Chevron Phillips Chemical Company
\$5,831	Southeast Texas Plant Managers' Forum (Veolia ES Technical)

\$5,000 was received as a donation from TOTAL Port Arthur Refinery to support the 23rd Annual Southeast Texas Regional Citizen Bee Competition.

\$30,000 was received from the Southeast Texas Plant Managers' Forum to provide scholarships for Memorial High School students to attend co-enrollment classes on the campus of Lamar State College-Port Arthur through the Port Arthur Industrial Group (PAIG).

\$10,000 was received from Marlene and Roosevelt Petry, Jr. for an addition to the Marlene and Roosevelt Petry, Jr. Endowed Scholarship Fund.

Gifts-in-Kind valued at \$5,000 or more made to Lamar State College-Port Arthur

Swagelok Southeast Texas donated assorted brass and stainless steel parts and connectors valued at \$17,880.03 that are used in industry to the Instrumentation Technology Department (Principles of Automatic Controls & Unit Operations) courses.

TSUS: Personnel Actions

Recommendation

The proposed Personnel Actions for the Texas State University System components are approved.

Background

In accordance with the System *Rules and Regulations, Chapter III, Section 1.2 Personnel*, the following actions shall be submitted to the Board of Regents for approval.

PERSONNEL REPORT - LAMAR UNIVERSITY

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. Pape, Theresa; Associate Professor, Nursing, effective December 31, 2012
2. Walker, Carlo; Assistant Professor, C & SP, effective December 15, 2012

SEPARATION

1. Carey, Holly; Instructor, English/M Lang, effective December 31, 2012
2. Adames, Luz; Instructor/Grant Coordinator, Deaf Stud/Ed, effective December 12, 2012

TERMINAL CONTRACT

1. Raizada, Himanshi; Assistant Professor, Political Sci, effective May 31, 2013

LEAVE OF ABSENCE

1. Andreev, Valentin; Associate Professor, Math, awarded Fac. Dev. Leave, effective Spring 2014
2. Bridges-Esser Christine; Professor, English/M Lang, Fac. Dev. Leave, Fall 2012
3. Carlson, Nancy; Associate Professor, C & SP, begin FMLA effective November 12, 2012
4. Curl, Eileen; Professor, Nursing, began FMLA effective October 2, 2012
5. Curl, Eileen; Professor, Nursing, returned from FMLA effective November 1, 2012
6. El-Houbi, Ashraf; Associate Professor, Info Sys & Anal, awarded Fac. Dev. Leave, effective Fall 2013-Spring 2014
7. Esser, James; Professor, Psychology, returned from Fac. Dev. Leave, effective January 16, 2013
8. Forret, Jeff; Associate Professor, History, awarded Fac. Dev. Leave, effective Fall 2013
9. Godkin, Lynn; Professor, Math, awarded Fac. Dev. Leave, effective Fall 2013
10. Goulas, Fara; Associate Professor, C & SP, began VME effective Fall 2012
11. Hamza, Mohammad; Professor, C & SP, awarded Fac. Dev. Leave, effective Fall 2013-Spring 2014
12. Heitzelman, Patricia; Instructor, English/M Lang, began FMLA effective October 15, 2012
13. Hoch, Matt; Associate Professor, Biology, awarded Fac. Dev. Leave, effective Summer 2013
14. Kennedy, Judy; Professor, Math, awarded Leave Without Pay, effective Spring 2014
15. Lin, Cheng-Hsien; Associate Professor, Soc/SW/CJ, began Fac. Dev. Leave, effective January 16, 2013
16. Makki, Kami; Associate Professor, Computer Sci, awarded Fac. Dev. Leave, effective Fall 2013
17. Pickering, Angela; Instructor, Music, began FMLA, effective September 12, 2012
18. Pickering, Angela; Instructor, Music, returned from FMLA, effective October 1, 2012
19. Rios, Cristina; Associate Professor, Prof Pedg, returned from Fac. Dev. Leave, effective January 16, 2013
20. Smith, Amy; Assistant Professor, English/M Lang, Fac. Dev. Leave, Fall 2012
21. Srinivasan, Malur; Professor, Mech Engr, returned from Fac. Dev. Leave, effective January 16, 2013
22. Thomas, Prince; Associate Professor, Art, awarded Fac. Dev. Leave, effective Fall 2013

The following faculty received a salary supplement for teaching one course during the 2012 Winter Mini session.

	Name	Deg	Rank	Department	%FTE	Salary	Period
COLLEGE OF ARTS & SCIENCES							
R	Alasti, Sanaz	PhD	Assist Prof	Soc/SW/CJ	1.0	\$3,797	WM 2012
R	Autrey, Estus	MA	Instructor	History	1.0	\$3,797	WM 2012
R	Brockman, Jennifer	MA	Instructor	English/M Lang	1.0	\$3,797	WM 2012
R	Bronson, Eric	PhD	Assoc Prof	Soc/SW/CJ	1.0	\$3,797	WM 2012
R	Carey, Donald	MA	Instructor	English/M Lang	1.0	\$3,797	WM 2012
R	Clanahan, Carolyn	MA	Instructor	Soc/SW/CJ	1.0	\$3,797	WM 2012
R	Davis, Terri	PhD	Assoc Prof	Political Sci	1.0	\$3,797	WM 2012
R	Harden, Garrick	PhD	Assist Prof	Soc/SW/CJ	1.0	\$3,797	WM 2012
R	Lindley, Neil	DD	Adjunct	English/M Lang	1.0	\$3,797	WM 2012
R	Rioux, Theresa	MA	Adjunct	History	1.0	\$3,797	WM 2012
R	Sowers, Thomas	PhD	Assoc Prof	Political Sci	1.0	\$3,797	WM 2012
R	Sullivan, Michael	PhD	Assoc Prof	Soc/SW/CJ	1.0	\$3,797	WM 2012

COLLEGE OF EDUCATION & HUMAN DEVELOPMENT

R	Msengi, Clementine	EdD	Visit Prof	Health & Kine	1.0	\$3,797	WM 2012
R	Msengi, Israel	EdD	Assist Prof	Health & Kine	1.0	\$3,797	WM 2012
R	Shows, Amy	PhD	Professor	Fam & Con Sci	1.0	\$3,797	WM 2012
R	Strickland, George	PhD	Assoc Prof	Health & Kine	1.0	\$3,797	WM 2012

COLLEGE OF ENGINEERING

R	Sayil, Selahattin	PhD	Assoc Prof	Elect Engr	1.0	\$3,797	WM 2012
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COLLEGE OF FINE ARTS & COMMUNICATION

R	Katz, Dawn	MA	Instructor	Communication	1.0	\$3,797	WM 2012
R	Michalski, Nicki	PhD	Assist Prof	Communication	1.0	\$3,797	WM 2012
R	Stanley, O'Brien	MFA	Assoc Prof	Communication	1.0	\$3,797	WM 2012
R	Varner, Justin	MFA	Adjunct	Art	1.0	\$3,797	WM 2012

FACULTY APPOINTMENTS, New (N) and Renewal (R)

	Name	Deg	Rank	Department	%FTE	Salary	Period
COLLEGE OF ARTS & SCIENCES							
R	Addison, Mary	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2012
N	Avery, Angeliqueca	MA	Instructor	Soc/SW/CJ	1.0	\$24,000	SP 2013
R	Burkle, Jessie	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2012
R	DeLuca, Lorraine	PhD	Adjunct	English/M Lang	.80	\$11,200	Fall 2012
R	DeLuca, Lorraine	PhD	Adjunct	English/M Lang	.40	\$5,600	Fall 2012
R	Frederick, Lynn	PhD	Adjunct	Soc/SW/CJ	1.0	\$10,320	SP 2013
R	Garza, Andrew	MS	Adjunct	Psych	.60	\$9,530	Fall 2012
R	Harlan, Shelley	MS	Adjunct	Nursing	.37	\$5,600	Fall 2012
N	Hays, Jaqueline	MA	Adjunct	English/M Lang	1.20	\$14,000	Fall 2012
R	LaRue, Dana	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2012
R	Lindley, Neil	PhD	Adjunct	English/M Lang	.50	\$6,522	Fall 2012

R	Love, James	JD	Adjunct	Soc/SW/CJ	.20	\$5,000	SP 2013
N	Mahan, Janice	MS	Adjunct	Nursing	.37	\$3,050	Fall 2012
R	Peterman, Keili	MSN	Adjunct	Nursing	.37	\$5,600	SP 2013
R	Price, Ruby	PhD	Adjunct	English/M Lang	.20	\$12,400	Fall 2012
R	Ravey, Jennifer	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2012
R	Read, Billy	MS	Adjunct	Math	.40	\$10,000	Fall 2012
R	Sellers, Shana	MS	Adjunct	Soc/SW/CJ	.20	\$2,580	SP 2013
R	Sizemore, William	MA	Adjunct	English/M Lang	.60	\$8,400	Fall 2012
R	Smith, Benjamin	PhD	Adjunct	Psych	.60	\$4,500	Fall 2012
R	Stelly, Karen	MS	Adjunct	Earth/Space Sci	.20	\$3,500	Fall 2012
R	Thompson, Wayln	JD	Adjunct	Soc/SW/CJ	.20	\$2,580	SP 2013
N	White, Melody	MA	Adjunct	English/M Lang	.20	\$2,800	Fall 2012
R	Williams, Beverly	MA	Adjunct	English/M Lang	.20	\$1,080	Fall 2012

COLLEGE OF BUSINESS

R	Arnold, Lauri	MA	Adjunct	Info Sys & Anal	.20	\$3,797	SP 2013
R	Eger, Mary	MBA	Adjunct	Info Sys & Anal	.40	\$7,594	SP 2013
R	Escamilla, Craig	MBA	Adjunct	Mgmt & Mktg	.20	\$3,797	SP 2013
R	Glasscock, Harold	JD	Adjunct	Acct & Bus Law	.50	\$9,047	SP 2013
R	Isaac, Peter	MBA	Adjunct	Mgmt & Mktg	.20	\$5,250	SP 2013
R	Large, Jennifer	MBA	Visit Instr	Info Sys & Anal	.40	\$31,000	SP 2013
R	Muzzillo, Ralph	JD	Adjunct	Econ & Finance	.20	\$3,797	SP 2013

COLLEGE OF EDUCATION & HUMAN DEVELOPMENT

N	Ammons, Rachel	PhD	Adjunct	Ac Partnership	.49	\$5,000	Fall 2012
N	Becerra, Sarah	PhD	Adjunct	Ac Partnership	.49	\$3,500	Fall 2012
R	Cavness, Rodney	EdD	Adjunct	Ed Leadership	.20	\$2,531	Fall 2012
N	Cortez-Rucker, S.	MEd	Adjunct	C & SP	.49	\$5,000	Fall 2012
N	Debes, Brian J.	BS	Adjunct	Health & Kine	.14	\$1,750	SP 2013
R	Gauthreaux, Kim	MS	Adjunct	Health & Kine	.29	\$4,530	SP 2013
R	Henderson, Kristyn	BA	Adjunct	Health & Kine	.42	\$5,100	SP 2013
N	Hudak, Dawn	PhD	Adjunct	Ac Partnership	.49	\$4,000	Fall 2012
N	Jarrell, Susan	MEd	Adjunct	Prof Pedg	.20	\$2,530	Fall 2012
R	Keen, Donald	Cert	Adjunct	Health & Kine	.42	\$6,600	SP 2013
R	Long, Debra	MS	Adjunct	Health & Kine	.40	\$5,060	SP 2013
R	Mullican, Jeanne	MS	Adjunct	Health & Kine	.28	\$5,060	SP 2013
R	Ray, Scott	Cert	Adjunct	Health & Kine	.48	\$7,000	SP 2013
R	Reeves, Scott	MS	Adjunct	Health & Kine	.14	\$1,500	SP 2013
R	Wong, David	BAS	Adjunct	Health & Kine	.28	\$3,500	SP 2013

COLLEGE OF ENGINEERING

R	Majdalani, Joseph	PhD	Adjunct	Civil Engr	.50	\$5,560	SP 2013
R	Moore, Deas	MA	Adjunct	Civil Engr	.20	\$6,000	SP 2013

COLLEGE OF FINE ARTS & COMMUNICATION

N	Beck, Douglas	AuD	Adjunct	Spch/Hearing	.25	\$4,000	SP 2013
R	Cobb, Joshua	MA	Adjunct	Communication	.20	\$2,530	Fall 2012
N	Freeman, Barry	PhD	Adjunct	Spch/Hearing	.25	\$4,000	Fall 2012
R	Gachot, Richard	MA	Assoc Prof	Art	.20	\$2,530	Fall 2012
N	Rollins, Donald	BM	Adjunct	Music	.40	\$15,000	SP 2013

CENTER FOR COLLEGE READINESS

N	Gillespie, Brian	MS	Adjunct	Coll.Readiness	.40	\$6,000	Fall 2012
N	Titus, Freddie	PhD	Instructor	Coll.Readiness	.20	\$3,000	Fall 2012

CHANGE OF STATUS

Name	Department	Change of Status	Period
Andrei, Stefan	Computer Sci	From Associate Professor to Associate Professor/Chair	January 2013
Bumstead, Stacey	Prof Pedg	From Instructor to Visiting Assistant Professor	Spring 2013
Hudler, Melissa	English/M Lang	From full time Instructor to halftime faculty and halftime Director of QEP	2012-13
Osborne, Lawrence	Computer Sci	From Professor/Chair to Professor	January 2013
Sanderson, James	Engilsh/M Lang	From Professor to Professor/Chair	January 2013
Zani, Steven	English/M Lang	From Professor/Chair to halftime faculty and halftime Director of CTLE	2012-13

SALARY STIPEND

Abernathy, Lucy	Ac Partnership	Received \$10,500 for course instruction	Fall 2012
Abshire, Sheryl	Ac Partnership	Received \$10,500 for course instruction	Fall 2012
Adams, Nancy	Ac Partnership	Received \$7,000 for course instruction	Fall 2012
Andrei, Stefan	Computer Sci	Receiving \$1,000 for duties as Chair	October and November 2012
Arterbury, Elvis	Ac Partnership	Received \$500 for course instruction	Fall 2012
Atmar, Carol	History	Received \$2,000 for coordinating event	SP 2013
Attaway, Kathy	Ac Partnership	Received \$5,000 for course instruction	Fall 2012
Baker, Blanche	Math	Received \$1,600 as Director of Undergraduate Programs	Fall 2012
Bartlett, Daniel	Ac Partnership	Received \$5,000 for course instruction	Fall 2012
Bartlett, Karen	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Bean, Christy	Ac Partnership	Received \$6,000 for course instruction	Fall 2012
Beard, Michael	Ac Partnership	Received \$3,000 for course instruction	Fall 2012
Benavides, Roy	Ac Partnership	Received \$4,000 for course instruction	Fall 2012
Benson, Jack	Ac Partnership	Received \$3,000 for course instruction	Fall 2012

Blume, Nancy	Nursing	Received \$4,500 as Director of Graduate Nursing Studies	2012-13
Borel, Daryl	Ac Partnership	Received \$4,000 for course instruction	Fall 2012
Boudeaux, Kyle	Ac Partnership	Received \$2,000 for course instruction	Fall 2012
Bullock, Melanie	Ac Partnership	Received \$7,000 for course instruction	Fall 2012
Burns, Clare	Acct & Bus Law	Received \$2,500 for coordinating ACCT 2301 for the Acct & Bus Law Department	Fall 2012
Chang, Chiung-Fang	Ac Partnership	Received \$1,500 for course instruction	Fall 2012
Choiu, Peen	Ac Partnership	Received \$3,000 for course instruction	Fall 2012
Chisholm, LeAnn	Ac Partnership	Received \$500 for course instruction	Fall 2012
Cortez-Rucker, Vance	Ac Partnership	Received \$8,500 for course instruction	Fall 2012
Crawford, Carolyn	Ac Partnership	Received \$2,000 for course instruction	Fall 2012
Creel, Jimmy	Ac Partnership	Received \$4,000 for course instruction	Fall 2012
Cummings, Cynthia	Ac Partnership	Received \$8,500 for course instruction	Fall 2012
Davis, Randy	Ac Partnership	Received \$5,000 for course instruction	Fall 2012
Davis, Terry	Ac Partnership	Received \$500 for course instruction	Fall 2012
Dawkins, Paul	Math	Received \$1,600 as Director of Mathematics Learning Lab	Fall 2012
Ervin, Mel	Ac Partnership	Received \$1,000 for course instruction	Fall 2012
Frels, Rebecca	Ac Partnership	Received \$9,000 for course instruction	Fall 2012
Hall, Iva	Nursing	Received \$6,750 as Director of Undergraduate Nursing Studies	2012-13
Harden, Brad	Ac Partnership	Received \$500 for course instruction	Fall 2012
Harris, Patricia	Ac Partnership	Received \$5,500 for course instruction	Fall 2012
Hunt, Kristyn	Ac Partnership	Received \$6,500 for course instruction	Fall 2012
Jarrell, Johnny	Ac Partnership	Received \$3,000 for course instruction	Fall 2012
Jaycox, Linda	Ac Partnership	Received \$9,000 for course instruction	Fall 2012
Jenkins, Steven	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Jenkins, Steven	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Jones, Quincy	Ac Partnership	Received \$4,000 for	Fall 2012

Kang, Kye Hong	Ac Partnership	course instruction Received \$4,000 for	Fall 2012
Lanier, Boyd	Political Sci	course instruction Received \$1,800 serving	Fall 2012
Lin, Cheng-Hsien	Ac Partnership	as Undergraduate Advisor Received \$3,500 for	Fall 2012
Lindley, Neil	Ac Partnership	course instruction Received \$4,000 for	Fall 2012
Loges, Max	Ac Partnership	course instruction Received \$500 for course	Fall 2012
Lopez, Belinda	Ac Partnership	instruction Received \$2,000 for	Fall 2012
Love, James	Ac Affairs	course instruction Received \$5,000 for	Fall 2012
Love, James	Ac Affairs	handbook revision Received \$5,000 for	Spring 2013
Makki, Kami	Computer Sci	course instruction Received \$800 for grant	Fall 2012
Mann, Jim	Ac Partnership	work Received \$3,500 for	Fall 2012
Martin, Gary	Ac Partnership	course instruction Received \$10,500 for	Fall 2012
Mason, Diane	Ac Partnership	course instruction Received \$1,500 for	Fall 2012
Mayper, Theresa	Ac Partnership	course instruction Received \$1,000 for	Fall 2012
McAfee, Nancye	Ac Partnership	course instruction Received \$500 for course	Fall 2012
Monk, Pamela	Ac Partnership	instruction Received \$3,500 for	Fall 2012
Mulvaney, Antoinette	Ac Partnership	course instruction Received \$500 for course	Fall 2012
Nicks, Robert	Ac Partnership	instruction Received \$1,000 for	Fall 2012
Nichols, Luke	Ac Partnership	course instruction Received \$3,000 for	Fall 2012
Owen, Cissie	Ac Partnership	course instruction Received \$10,000 for	Fall 2012
Pape, Theresa	Ac Partnership	course instruction Received \$500 for course	Fall 2012
Pape, Theresa	Nursing	instruction Received \$1,000 as	Fall 2012
Pearce, Gloria	Ac Partnership	Coordinator of RN-BSN Articulation Track Received \$3,000 for	Fall 2012
Popp, Charles	Ac Partnership	course instruction Received \$1,000 for	Fall 2012
Poston, Ken	Ac Partnership	course instruction Received \$7,000 for	Fall 2012
Raizada, Himanshi	Ac Partnership	course instruction Received \$500 for course	Fall 2012
Robertson, Ray	Ac Partnership	instruction Received \$1,500 for	Fall 2012
Ruiz, Connie	Ac Partnership	course instruction Received \$1,500 for	Fall 2012
		course instruction	

Sanderson, James	English/M Lang	Received \$2,250 for duties as Chair in September	October and December 2012
Sheperis, Carl	Ac Partnership	Received \$12,000 for course instruction	Fall 2012
Sisk, Dorothy	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Smith, Ben	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Smith, Tad	Ac Partnership	Received \$3,000 for course instruction	Fall 2012
Stephens, Lu	Ac Partnership	Received \$4,000 for course instruction	Fall 2012
Stinson, Cynthia	Nursing	Received \$4,500 as Dir. of Academic Excellence and Coordinator of Continuing Education	2012-13
Swerdlow, Marleen	Ac Partnership	Received \$2,800 for administration	Fall 2012
Troxclair, Debra	Ac Partnership	Received \$3,500 for course instruction	Fall 2012
Ubenda, Chukwuemeka	Ac Partnership	Received \$3,000 for course instruction	Fall 2012

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

RESIGNATIONS

1. Land, Melissa, Director of Records, Academic Partnerships, effective November 29, 2012

PROMOTIONS

1. Burns, Erin, Director, Spch/Hearing Clinic, effective September 1, 2012

LEAVE OF ABSENCE

1. Bellard, Norman, Senior Associate Vice President of Residence Life, FMLA leave with pay starting September 6, 2012
2. Bellard, Norman, Senior Associate Vice President of Residence Life, return from FMLA leave on October 1, 2012
3. Broussard, Willie, Associate Director, Academic Partnerships, began FMLA November 5, 2012 returned December 3, 2012
4. Taylor, Samantha, Assistant Director of Admissions, Strategic Enrollment Management, return from FMLA leave on August 27, 2012
5. Taylor, Samantha, Assistant Director of Admissions, Strategic Enrollment Management, FMLA leave with pay starting September 26, 2012
6. Taylor, Samantha, Assistant Director of Admissions, Strategic Enrollment Management, return from FMLA leave October 29, 2012
7. Trahan, Callie, Director of Services for Students with Disabilities, FMLA leave with pay starting September 17, 2012

TRANSFERS

1. Mayer, Deidra, from Associate Director of Career & Testing to Director of Engineering Marketing, at a 12-month rate of \$54,516, effective September 4, 2012

2. Rutman, Dan, from Research Associate to MIC Director Marketing Research Chemist, effective October 1, 2012

SALARY CHANGE

1. Trahan, Callie, Director of Services for Students with Disabilities, increase to a 12-month rate of \$57,500, effective January 1, 2013

ADDITIONS

1. Stuberfield, Ted, Director of Texas Academy for Leadership in the Humanities, at a 12-month rate of \$92,000, effective September 1, 2012
2. Thomas, Angela, Associate Director of Career and Testing, at a 12-month rate of \$50,000, effective November 1, 2012
3. Latiolais, Paul; Director of LCICO, at a 12-month rate of \$82,500, effective September 1, 2012.

Sam Houston State University

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. Heineke, Sally, Assistant Professor, Language, Literacy and Special Populations, effective November 30, 2012.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF BUSINESS ADMINISTRATION						
R Grant, Marsie	M.A.T.	Lect.-Pool	Eco. & Intl. Bus.	0.50	7,317	S 2013
R Horne, Susan	Ph.D.	Lect.-Pool	Eco. & Intl. Bus.	0.75	24,003	S 2013
COLLEGE OF CRIMINAL JUSTICE						
N del Carmen, R	J.S.D.	Lect.-Pool	Criminal Justice	0.25	9,999	F 2012
N Hughes-Stamm, S	Ph.D.	Cln.Ast.Pro	Criminal Justice	1.00	70,002	FY 2013
N Lunsford, Kevin	B.S.	Lect.-Pool	Criminal Justice	0.25	2,999	F 2012
COLLEGE OF FINE ARTS AND MASS COMMUNICATION						
N Cho, Rami	M.M.	Lect.-Pool	Music	0.50	10,008	FY 2013
N Gundersen, John	D.M.A.	Lect.-Spec.	Theatre	0.25	3,002	F 2012
COLLEGE OF SCIENCES						
N Al-Kofahy, Lilibeth	Ph.D.	Assoc.Prof	Nursing	1.00	73,008	FY 2013
N Anderson, Mark	Ph.D.	Asst.Prof.	Ag. & Indus. Sci.	1.00	32,508	S 2013
R Antley, Amy	M.S.N.	Cln.Ast.Pro	Nursing	1.00	59,004	FY 2013
R Benke, Dale	M.Ed.	Lect.-Pool	Ag. & Indus. Sci.	1.00	12,006	S 2013
R Bullion, Alisha	M.S.	Lect.-Pool	Ag. & Indus. Sci.	1.00	15,003	S 2013
R Coogler, Keith	M.A.	Lect.-Pool	Ag. & Indus. Sci.	1.00	17,316	S 2013
R Garey, Shannon	M.S.	Lect.-Pool	Ag. & Indus. Sci.	1.00	12,006	S 2013
N Lucia, Jessica	M.S.	Asst.Prof.	Ag. & Indus. Sci.	1.00	32,508	S 2013
R Moore, Michael	D.V.M.	Lect.-Pool	Ag. & Indus. Sci.	0.25	3,501	S 2013
R Waugh, Terrence	Ph.D.	Lect.-Pool	Ag. & Indus. Sci.	1.00	22,500	S 2013
R Wilson, Marsha	M.B.A.	Lect.-Pool	Ag. & Indus. Sci.	1.00	12,006	S 2013
NEWTON GRESHAM LIBRARY						
N Williamson, James	M.L.I.S.	Asst.Prof.	N. Gresham Lib.	1.00	44,016	FY 2013

SAM HOUSTON STATE UNIVERSITY

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Correa, Gonzalo, Information Technology Project Manager, Information Technology Enterprise Services, at a 12-month rate of \$60,000, on a full-time basis effective November 1, 2012.
2. Cralle, Christopher, Coach Associate, Athletics, at a 12-month rate of \$15,924, on a half-time basis effective October 16, 2012.
3. Curtis, Stacie, Accountant I, Payroll, at a 12-month rate of \$36,000, on a full-time basis effective October 16, 2012.
4. Effinger, Robert, Contract Research Administrator, Research, at a 12-month rate of \$55,000, on a full-time basis effective October 1, 2012.
5. Freeman, Lorie, Assistant Director of Graduate Recruitment and Retention, Graduate Studies Office, at a 12-month rate of \$43,000, on a full-time basis effective October 16, 2012.
6. Jeter, Garrett, Information Technology Project Manager, Information Technology Enterprise Services, at a 12-month rate of \$52,224, on a full-time basis effective November 1, 2012.
7. Jones, Robert, System Administrator IV, Infrastructure and Support, at a 12-month rate of \$69,552, on a full-time basis effective November 1, 2012.
8. Jones, Sherry, Programmer Analyst III, College of Criminal Justice, at 12-month rate of \$67,008, on a full-time basis effective November 16, 2012.
9. Nieto, Dustin, Admissions Counselor, Undergraduate Admissions, at a 12-month rate of \$32,964, on a full-time basis effective October 16, 2012.
10. Oldfield, Ronald, Postdoctoral Research Intern, Texas Research Institute for Environmental Studies, at a 12-month rate of \$42,500, on a full-time basis effective November 16, 2012.
11. Pfeil, Amy, Assistant Director for Alumni Clubs and Regional Programs, Alumni Relations, at a 12-month rate of \$42,000, on a full-time basis effective October 16, 2012.
12. Phillips, James, Assistant Director of Project Management, Information Technology Enterprise Services, at a 12-month rate of \$84,000, on a full-time basis effective December 1, 2012.
13. Stokes, Terry, Executive Director for Research Park, Finance and Operations, at a 12-month rate of \$150,000, on a full-time basis effective November 1, 2012.
14. Vattikonda, Varun, Enterprise Resource Planning Analyst I, Information Technology Enterprise Resource Planning System-Administration, at a 12-month rate of \$40,008, on a full-time basis effective October 1, 2012.

CHANGES IN STATUS

1. Bryan, Amanda, Human Resources Specialist to Human Resources Manager Senior, Human Resources, at a 12-month rate of \$46,152, effective October 16, 2012.
2. Campbell, Julie, Human Resources Specialist to College of Business Administration Program Coordinator, College of Business Administration Office of the Dean, at a 12-month rate of \$48,000, effective October 1, 2012.
3. Chandler, Jacob, Director of Enterprise Services to Associate Vice President for Enterprise Services, Information Technology, no change in pay, effective December 1, 2012.
4. Davis, Dan, Associate Vice President for Research Administration and Technology Commercialization to Director of Commercialization and Intellectual Property, Office of Research Administration, no change in pay, effective December 1, 2012.
5. Davis, Katherine, Assistant Vice President and Director of Infrastructure and Support Services to Associate Vice President for Infrastructure and Support Services, Information Technology, no change in pay, effective December 1, 2012.
6. Ferguson, Margaret, Associate Academic Mentor to Associate Director of Academic Support Programs, Student Advising and Mentoring Center, at a 12-month rate of \$42,192, effective December 1, 2012.
7. Gallinaro, Delia, Director of Research and Sponsored Programs to Executive Director of Research and Sponsored Programs, Office of Research and Sponsored Programs, at a 12-month rate of \$94,488, effective December 1, 2012.

SAM HOUSTON STATE UNIVERSITY

8. Gonzalez, Carlos, SHSU Online Programs Coordinator to SHSU Online Programs and Admissions Coordinator, Distance Education and Learning Technologies for Academics, at a 12-month rate of \$46,152, effective December 1, 2012.
9. Hensons, Charles, Video Producer to Video Production Coordinator, Distance Education and Learning Technologies for Academics, at a 12-month rate of \$46,152, effective December 1, 2012.
10. Huff, Chad, Facility Architect to Assistant Director of Facilities Planning and Construction-Special Projects, Facilities Management, at a 12-month rate of \$67,992, effective December 1, 2012.
11. Myall, Larry, Accountant I to Proposal Administrator, Office of Research Administration, at a 12-month rate of \$55,000, effective October 16, 2012.
12. Ohr, Richard, Staff Associate I to Assistant Athletic Director for Development, Athletics, at a 12-month rate of \$43,008, effective December 1, 2012.
13. Perez, Michael, Director of Continuing Education and Correspondence to Executive Director for Distance Education and Learning Technologies for Academics, Distance Education and Learning Technologies for Academics, at a 12-month rate of \$92,340, effective December 1, 2012.
14. Pfeil, Amy, Assistant Director for Alumni Clubs and Regional Programs to Assistant Director for Club and Constituent Programs, Alumni Relations, no change in pay, effective December 1, 2012.
15. Plunkett, Kaley, Staff Associate II to Director of Graduate Admissions and Operations, Graduate Studies Office, at a 12-month rate of \$50,000, effective December 1, 2012.
16. Steele, Cheronda, Mental Health Counselor Postgraduate to Mental Health Counselor Postgraduate Resident, Counseling Services, no change in pay, effective December 1, 2012.
17. Whinery, Donald, Programmer Analyst II to Programmer Analyst III, College of Criminal Justice Crimes, at a 12-month rate of \$70,416, effective December 1, 2012.

DISMISSALS

1. None.

RESIGNATIONS

1. Charrier, John, Psychologist-Counseling Center, Counseling Services, effective October 31, 2012.
2. Green, Jordan, Admissions Counselor, Undergraduate Admissions, effective November 30, 2012.
3. Greene, Megan, Admissions Counselor, Undergraduate Admissions, effective November 9, 2012.
4. Ice, Erin, Accountant I, Student Financial Services, effective October 31, 2012.
5. Sloan, Roberta, Senior Special Assistant to the Provost, College of Fine Arts and Mass Communication Office of the Dean, effective September 1, 2012.
6. Sturrock, Charles, Director of Environmental Health and Safety and Risk Management, Risk Management, effective September 30, 2012.

RETIREMENTS

1. Young, Cary, Project Coordinator, Law Enforcement Management Institute of Texas, effective October 31, 2012.

DEATH

1. None

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. O'Rear, David, effective December 16, 2012.

**Sul Ross State University
Alpine and Rio Grande College**

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. None to Report

RETIREMENTS

1. None to Report

DEATH

1. None to Report

NON-REAPPOINTMENTS

1. None to Report

CHANGES IN STATUS

1. None to Report

PROMOTION AND TENURE

1. None to Report

**Sul Ross State University
Alpine and Rio Grande College**

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF AG & NATURAL RES SCIENCES						
COLLEGE OF ARTS & SCIENCES						
N Faghihi, Usef	Ph.D.	Asst. Professor	Computer Sci./ Mathematics	100%	\$30,000	Spring 2013
COLLEGE OF PROFESSIONAL STUDIES						
RIO GRANDE COLLEGE						

**Sul Ross State University
Alpine and Rio Grande College**

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Oesper, David Technology Support Specialist II/Date Analyst, Title V Post Bacc Grant, at a twelve months' salary rate of \$48,000, effective December 10 2012.

CHANGES IN STATUS

1. Bogus, Sandra, Integration Analyst to Graduate Center Developer, Post Bacc Grant, at a twelve months' salary rate of \$49,342, effective November 1, 2012.
2. Clingman, Patrick, Outreach Coordinator to Interim Director Gear Up Grant, at a twelve months' salary rate of \$37,549, effective November 1, 2012.
3. Crane, Helen, Title V Project Director Lobo Den to Computer Science Initiative, at a twelve months' salary rate of \$62,000, effective December 1, 2012.
4. Ramirez, Corina, Assistant Controller to Accounts Payable Supervisor, in Accounting Services, at a twelve months' salary rate of \$34,000, rate change phased in from January 1 to June 30, 2013.

RESIGNATIONS

1. Baade, Ryan, Director of Recreational Sports, effective December 31, 2012.
2. Sanchez, Juan, Special Assistant to the Associate Provost, effective December 31, 2012.

SEPARATIONS

1. Trevino, Aster, Director of Gear Up, effective October 31, 2012.

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. None to Report

Texas State University-San Marcos

FACULTY PERSONNEL CHANGES

FACULTY DEVELOPMENT LEAVES

1. Agwuele, Augustine, Associate Professor, Anthropology, Faculty Development Leave, Fall 2013.
2. Allison, Elizabeth A., Professor, English, Faculty Development Leave, Fall 2013.
3. Asiabanpour, Bahram, Associate Professor, Engineering, Faculty Development Leave, Fall 2013.
4. Balzhiser, Deborah A., Associate Professor, English, Faculty Development Leave, Spring 2014.
5. Bell-Metereau, Rebecca L., Professor, English, Faculty Development Leave, half-time, 2013-2014 academic year.
6. Bible, Jon D., Professor, Finance and Economics, Faculty Development Leave, Fall 2013.
7. Brinckmeyer, Lynn, Associate Professor, Music, Faculty Development Leave, Spring 2014.
8. Brown, Brock J., Professor, Geography, Faculty Development Leave, Fall 2013.
9. Cade, Tina M., Professor, Agriculture, Faculty Development Leave, Spring 2014.
10. Ceballos, Natalie A., Associate Professor, Psychology, Faculty Development Leave, Fall 2013.
11. Chavkin, Allan R., Professor, English, Faculty Development Leave, Fall 2013.
12. Chee, Kyong H., Associate Professor, Sociology, Faculty Development Leave, Fall 2013.
13. Chen, Xiao, Associate Professor, Computer Science, Faculty Development Leave, Fall 2013.
14. Colombik, Roger B., Professor, Art and Design, Faculty Development Leave, Fall 2013.
15. Davis, Jeffery G., Professor, Art and Design, Faculty Development Leave, Fall 2013.
16. De la Colina, Maria G., Associate Professor, Curriculum and Instruction, Faculty Development Leave, Fall 2013.
17. DeSoto, William H. Associate Professor, Political Science, Faculty Development Leave, Fall 2013.
18. Duganne, Erina D., Associate Professor, Art and Design, Faculty Development Leave, Fall 2013.
19. Dunn, Dennis J., Professor, History, Faculty Development Leave, Fall 2013.
20. Durrett, H. John, Associate Professor, Computer Science, Faculty Development Leave, Spring 2014.
21. Erickson, Mark C., Associate Professor, Music, Faculty Development Leave, Spring 2014.
22. Etherton, Joseph L., Associate Professor, Psychology, Faculty Development Leave, half-time 2013-2014 academic year.
23. Garcia, Dana M., Professor, Biology, Faculty Development Leave, Spring 2014.
24. Gordon, Stephen P., Professor, Counseling, Leadership, Adult Education, and School Psychology, Faculty Development Leave, Spring 2014.
25. Gu, Qijun, Associate Professor, Computer Science, Faculty Development Leave, Fall 2013.
26. Hart, Paul, Associate Professor, History, Faculty Development Leave, Spring 2014.
27. Hartman, Gary A., Professor, History, Faculty Development Leave, Fall 2013.
28. Kens, Paul A., Professor, Political Science, Faculty Development Leave, Spring 2014.
29. Larrotta, Clarena, Associate Professor, Counseling, Leadership, Adult Education, and School Psychology, Faculty Development Leave, Fall 2013.
30. Makowski, Elizabeth M., Professor, History, Faculty Development Leave, Spring 2014.
31. Mandziuk, Roseann M., Professor, Communication Studies, Faculty Development Leave, Spring 2014.
32. Mijares, Tomas C., Professor, Criminal Justice, Faculty Development Leave, Fall 2013.
33. Monroe, Debra F., Professor, English, Faculty Development Leave, Fall 2013.
34. Murphy, Angela F., Associate Professor, History, Faculty Development Leave, Fall 2013.
35. Nelson, Sarah W., Associate Professor, Counseling, Leadership, Adult Education, and School Psychology, Faculty Development Leave, Fall 2013.
36. Pankey, Robert B., Professor, Health and Human Performance, Faculty Development Leave, Fall 2013.
37. Pimentel, Octavio, Associate Professor, English, Faculty Development Leave, Spring 2014.
38. Pino, Nathan W., Professor, Sociology, Faculty Development Leave, Fall 2013.
39. Reilly, F. Kent, Professor, Anthropology, Faculty Development Leave, Fall 2013.
40. Ross-Gordon, Jovita M., Professor, Counseling, Leadership, Adult Education, and School Psychology, Faculty Development Leave, Spring 2014.

41. Royal, Cindy L., Associate Professor, Journalism and Mass Communication, Faculty Development Leave, Fall 2013.
42. Rutledge, Robert W., Professor, Accounting, Faculty Development Leave, Fall 2013.
43. Selber, Katherine, Professor, Social Work, Faculty Development Leave, Fall 2013.
44. Shen, Jian, Professor, Mathematics, Faculty Development Leave, Fall 2013.
45. Shields, Patricia M., Professor, Political Science, Faculty Development Leave, Spring 2014.
46. Soddors, Richard R., Professor, Theatre and Dance, Faculty Development Leave, Fall 2013.
47. Sorto, Maria Alejandra, Associate Professor, Mathematics, Faculty Development Leave, Fall 2013.
48. Stokes, Alexis, Associate Professor, Finance and Economics, Faculty Development Leave, Spring 2014.
49. Stone, William E., Professor, Criminal Justice, Faculty Development Leave, Spring 2014.
50. Tally, Robert T., Associate Professor, English, Faculty Development Leave, Spring 2014.
51. Taylor, Ruth A., Professor, Marketing, Faculty Development Leave, Fall 2013.
52. Waite, Duncan, Professor, Counseling, Leadership, Adult Education, and School Psychology, Faculty Development Leave, Spring 2014.
53. Waite, Susan F., Assistant Professor, Curriculum and Instruction, Faculty Development Leave, Spring 2014.
54. Watson, Dwight D., Associate Professor, History, Faculty Development Leave, Spring 2014.
55. White, Alexander, Associate Professor, Mathematics, Faculty Development Leave, Spring 2014.
56. White, Garry, Associate Professor, Computer Information Systems and Quantitative Methods, Faculty Development Leave, Fall 2013.
57. Williams, Sue W., Professor, Family and Consumer Sciences, Faculty Development Leave, Fall 2013.
58. Zank, Gail M. Associate Professor, Marketing, Faculty Development Leave, Spring 2014.
59. Zhu, Pinfan, Associate Professor, English, Faculty Development Leave, Spring 2014.

RESIGNATIONS

1. Nauert, Richard F., Jr., Associate Professor, Health Administration, effective January 15, 2013.
2. Robillard, Rachel W., Assistant Professor, Counseling, Leadership, Adult Education, and School Psychology, effective January 15, 2013.

RETIREMENTS

1. Stutts, Mary Ann, Professor, Marketing, effective July 15, 2013.
2. Singh, Sukhjit, Professor, Mathematics, effective January 15, 2013.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

	NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF APPLIED ARTS							
N	Miller, Carl S.	Ph.D.	Lecturer	Social Work	.20	4,000.00	Fall 2012
N	Sherron, Todd	Ph.D.	Lecturer	Occupational, Workforce, and Leadership Studies	.40	6,600.00	Fall 2012
MCCOY COLLEGE OF BUSINESS ADMINISTRATION							
R	Aroian, Mihran A.	M.B.A.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Biemer, David J.	Ph.D.	Lecturer	Management	.40	7,000.00	Spring 2013
N	Cameron, David B.	Ph.D.	Lecturer	Management	.20	3,500.00	Spring 2013
R	D'Amelio, Michael J.	J.D.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Flynn, Steven T.	M.B.A.	Lecturer	Finance and Economics	.40	7,000.00	Spring 2013
R	Fregger, Bradley M.	M.A.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Guerrero, Elizabeth R.	M.B.A.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Hall, Christopher E.	Ed.D.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Jacobvitz, Kristen B.	J.D.	Lecturer	Finance and Economics	.40	7,000.00	Spring 2013
R	Jacobvitz, Martin L.	M.B.A.	Lecturer	Finance and Economics	.20	3,500.00	Spring 2013
R	Jacobvitz, Martin L.	M.B.A.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Jones, Andrew M.	Ph.D.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Martin, Annie L.	M.Ed.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Martin, Stephen C.	J.D.	Lecturer	Accounting	.40	7,000.00	Fall 2012

R	McNabb, Monica M.	M.B.A.	Lecturer	Finance and Economics	.20	4,000.00	Spring 2013
R	Morgan, Byron L.	Ph.D.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Niemiec, Jerome	M.B.A.	Lecturer	Finance and Economics	.40	7,000.00	Spring 2013
R	Obdyke, Lou	J.D.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Pomeroy, Deborah I.	J.D.	Lecturer	Finance and Economics	.40	7,000.00	Spring 2013
R	Pryor, Tommy D.	Ed.D.	Lecturer	Management	.40	8,750.00	Spring 2013
R	Riggs, Kristin	M.A.	Lecturer	Management	.20	5,250.00	Spring 2013
R	Shook, Daniel S.	M.B.A.	Lecturer	Accounting	.40	12,500.00	Fall 2012
R	Walters, Jerel B.	M.B.A.	Lecturer	Management	.20	3,500.00	Spring 2013
R	Zigrossi, Christopher J.	M.B.A.	Lecturer	Management	.40	7,000.00	Spring 2013
R	Zigrossi, Sam J.	M.B.A.	Lecturer	Management	.40	7,000.00	Spring 2013

COLLEGE OF EDUCATION

R	Alexander, Lonny	B.A.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Allcorn, Jerrod R.	B.S.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Averyt, Beverly	M.Ed.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Bahney, Jean S.	Ed.D.	Lecturer	Curriculum and Instruction	.40	7,500.00	Fall 2012
R	Baker, Jayne	Ph.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2012
R	Berglund, Rose M.	M.Ed.	Lecturer	Health and Human Performance	.40	7,000.00	Fall 2012
N	Burns, Marla E.	M.A.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Dennis, Cheryl I.	Ph.D.	Lecturer	Curriculum and Instruction	.40	8,000.00	Fall 2012
R	Fisher, Diane C.	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
R	Garcia, Michael I.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
N	Gear, Maria G.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
R	Gilbert, Mary B.	B.S.Ed.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Gonzales, Marcus R.	B.S.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Grimaldo, Leticia R.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
R	Halinski, Katherine H.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2012
R	Hess, Blake	B.F.A.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Hock, Stephen G.	M.Ed.	Lecturer	Curriculum and Instruction	.40	7,000.00	Fall 2012
R	Kane, Ruth A.	Ph.D.	Lecturer	Curriculum and Instruction	.40	8,000.00	Fall 2012
R	Kennedy, Mark D.	J.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2012
R	Kraft, Michelle	B.S.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Krieg, Karen A.	M.Ed.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2012
R	Lepine, Sherry A.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
R	Lord, Michael A.	Ph.D.	Lecturer	Health and Human Performance	.20	3,500.00	Fall 2012
R	McDill, Leah W.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2012
N	Murphy, Jennifer G.	B.S.	Lecturer	Health and Human Performance	.20	2,000.00	Fall 2012
N	Procknow, Hillary C.	Ph.D.	Lecturer	Curriculum and Instruction	.75	15,426.32	Fall 2012
R	San Miguel, Trinidad	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2012
R	Simmons, David L.	Ed.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.20	4,000.00	Fall 2012
R	Turner, Peter	B.B.A.	Lecturer	Health and Human Performance	.20	1,500.00	Fall 2012
R	Valdez, Monica M.	Ph.D.	Lecturer	Counseling, Leadership, Adult Education, and School Psychology	.40	8,000.00	Fall 2012
R	Warren, Bobby L.	B.S.Ed.	Lecturer	Health and Human Performance	.0	0.00	Fall 2012
R	Wiswell, Christina L.	Ed.D.	Lecturer	Curriculum and Instruction	.20	4,000.00	Fall 2012

COLLEGE OF FINE ARTS AND COMMUNICATION

R	Bertling, Teresa S.	M.A.	Lecturer	Journalism and Mass Communication	.20	3,500.00	Fall 2012
R	Buschhorn, Jennifer L.	M.A.	Lecturer	Journalism and Mass Communication	1.00	48,000.06	2012-2013

R	Cannon, Robert V.	D.M.A.	Lecturer	Music	.20	4,050.00	Fall 2012
R	Hatt, George D.	M.A.	Lecturer	Journalism and Mass Communication	.20	3,000.00	Fall 2012
R	McBride, Michael H.	Ed.D.	Professor Emeritus	Journalism and Mass Communication	.40	8,000.00	Fall 2012
R	Nelsen, Leah C.	M.M.	Lecturer	Music	.20	3,000.00	Fall 2012
R	Quintero, Michelle A.	M.M.	Lecturer	Music	.20	5,400.00	Fall 2012
R	Renfro, Paula C.	Ph.D.	Distinguished Professor Emeritus	Journalism and Mass Communication	.20	4,000.00	Fall 2012
N	Richardson, Susan E.	M.P.A.	Lecturer	Journalism and Mass Communication	.20	3,500.00	Fall 2012
N	Scharlach, Jennifer M.	M.A.	Lecturer	Journalism and Mass Communication	.20	3,500.00	Fall 2012
R	Short, David M.	M.A.	Lecturer	Journalism and Mass Communication	.20	3,500.00	Fall 2012
R	Zimmerman, Randall E.	M.Mus.	Lecturer	Music	.20	2,000.00	Fall 2012
R	Zmikly, Jonathan T.	M.A.	Sr. Lecturer	Journalism and Mass Communication	1.00	45,000.00	2012-2013

COLLEGE OF HEALTH PROFESSIONS

R	Alcala, Sylvia G.	M.A.	Lecturer	Nursing	.40	20,000.00	2012-2013
R	Farmer, Timothy A.	B.S.R.C.	Lecturer	Respiratory Care	.40	13,500.00	Fall 2012
R	Hess, Cathy M.	B.S.M.R.A.	Lecturer	Health Information Management	.40	7,000.00	Fall 2012
R	Hess, Cathy M.	B.S.M.R.A.	Lecturer	Health Information Management	.40	7,000.00	Spring 2013
N	Love, Karen E.	M.S.N.	Clinical Asst. Professor	Nursing	1.00	32,500.04	Spring 2013
N	Mcllwain, Amber S.	M.S.	Assistant Professor	Health Administration	1.00	30,014.00	Spring 2013
N	Mileski, Michael	D.C.	Assistant Professor	Health Administration	1.00	30,014.00	Spring 2013
N	Pais, Peggy A.	M.S.N.	Lecturer	Nursing	.20	4,500.00	Fall 2012
N	Sanders, Cecile M.	M.Ed.	Lecturer	Clinical Laboratory Science	.40	7,000.00	Fall 2012

COLLEGE OF LIBERAL ARTS

N	Bitney, Catherine S.	M.A.	Lecturer	Psychology	.20	3,500.00	Fall 2012
R	Booker, Shiloh E.	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Boyd, Andi, M.	M.F.A.	Lecturer	English	1.00	12,280.50	Spring 2013
N	Champion, James J.	Ph.D.	Professor Emeritus	Modern Languages	.50	18,475.25	Spring 2013
R	Collins, Michael B.	Ph.D.	Lecturer	Anthropology	.20	4,000.00	Spring 2013
R	Drescher, Julia M.	M.F.A.	Lecturer	English	1.00	13,284.09	Spring 2013
R	Easton, Judith A.	M.A.	Lecturer	Psychology	1.00	38,000.07	2012-2013
R	Fong, Carlton J.	M.A.	Lecturer	Psychology	.40	7,000.00	Spring 2013
N	Gomez, Carla P.	M.A.	Lecturer	Modern Languages	1.00	14,777.77	Fall 2012
R	Griffin, Valery D.	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Hammon, Linda L.	M.Ed.	Lecturer	Geography	.20	4,000.00	Fall 2012
N	Hanselmann, Frederick	M.A.	Lecturer	History	.20	4,000.00	Fall 2012
R	Jensen, William	M.F.A.	Lecturer	English	1.00	12,280.50	Spring 2013
R	Johnson, Russell S.	J.D.	Lecturer	Geography	.20	4,500.00	Fall 2012
R	Keltner, Daniel	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Knippen, James H., III	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Laird, Edgar S.	Ph.D.	Distinguished Professor Emeritus	English	.50	41,340.69	2012-2013
R	Margrave, Christopher	M.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Martin, Christopher J.	M.F.A.	Lecturer	English	1.00	12,517.02	Spring 2013
R	Moore, John D.	J.D.	Lecturer	Political Science	.20	3,000.00	Fall 2012
R	Netzer, Jaime	M.F.A.	Lecturer	English	.50	6,075.00	Fall 2012
R	Netzer, Jaime	M.F.A.	Lecturer	English	.50	6,075.00	Spring 2013
R	Noll, Michael T.	M.F.A.	Lecturer	English	1.00	12,648.50	Spring 2013
R	O'Connor, Robert R.	Ph.D.	Lecturer	Philosophy	1.00	32,825.34	2012-2013

R	Ortega, Agustin J.	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Pilkington, Francine A.	M.F.A.	Lecturer	English	1.00	16,257.60	Spring 2013
R	Raffeld, Paul C.	Ph.D.	Prof. Emeritus	Psychology	.40	8,000.00	Spring 2013
R	Rocha, Jason R.	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Salazar, Marta L.	M.A.	Lecturer	Anthropology	.20	3,500.00	Spring 2013
R	Schaefer, Edward A.	M.A.	Lecturer	English	1.00	12,280.50	Spring 2013
R	Sims, Laura	M.A.	Lecturer	English	1.00	12,283.34	Spring 2013
R	Smith, Richard C.	M.F.A.	Lecturer	English	.25	3,534.84	Spring 2013
N	Springs, Lauren C.	M.A.	Lecturer	Anthropology	.40	7,000.00	Fall 2012
R	Stevens, Jeffrey C.	M.A.	Lecturer	English	.75	9,112.50	Spring 2013
R	Stimmel, D. Theron	Ph.D.	Distinguished Prof. Emeritus	Psychology	.20	4,000.00	Spring 2013
R	Taylor, Lesley E.	M.A.	Lecturer	English	1.00	12,150.00	
R	Turner, George M.	Ph.D.	Lecturer	Psychology	.20	3,500.00	Spring 2013
R	Walls, Jared B.	M.F.A.	Lecturer	English	1.00	12,150.00	Spring 2013
R	Watson, Rachel E.	M.A.	Lecturer	Psychology	.20	3,500.00	Spring 2013
R	Williams, Robert L.	Ph.D.	Lecturer	Anthropology	.20	4,000.00	Spring 2013
R	Winchell, Anne E.	M.F.A.	Lecturer	English	1.00	12,488.99	Spring 2013

COLLEGE OF SCIENCE AND ENGINEERING

R	DasGupta, Sumit	Ph.D.	Lecturer	Computer Science	.20	5,000.00	Fall 2012
R	Friedrichsen, James E., III	Ph.D.	Lecturer	Physics	.20	5,000.00	Spring 2013
R	Gompert, Zachariah	Ph.D.	Lecturer	Biology	.0	0.00	Fall 2012
R	Gregory, Carl R.	M.S.	Lecturer	Computer Science	.20	4,300.00	Fall 2012
R	Hossain, Ashfaq	Ph.D.	Lecturer	Computer Science	.20	4,550.00	Fall 2012
N	Hudson, Fred W.	Ph.D.	Lecturer	Engineering	.20	6,250.00	Fall 2012
R	Londa, Michelle	Ph.D.	Lecturer	Engineering	.40	9,000.00	Fall 2012
R	McCracken, Shawn F.	Ph.D.	Lecturer	Biology	.0	0.00	Spring 2013

HONORS COLLEGE

R	Chiles, Elizabeth	M.F.A.	Lecturer	Honors College	.20	3,500.00	Fall 2012
R	Ellis-Lai, Laura L.	M.Ed.	Lecturer	Honors College	.25	3,500.00	Fall 2012

Texas State University-San Marcos

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Dawley, Leslie F., M.S., Academic Advisor I, Education Advising Center, at a 12-month rate of \$32,000, on a full-time basis, effective October 8, 2012.
2. Duffy, Clare M., Ph.D., Psychologist, Counseling Center, at a 12-month rate of \$53,004, on a full-time basis, effective October 1, 2012.
3. Frayser, Stephen G., M.B.S., Director, STAR Park, STAR Park, at a 12-month rate of \$150,200, on a full-time basis, effective November 5, 2012.
4. Ibanez, Herminio, M.A., Grant Coordinator, University College, at a 12-month rate of \$72,000, on a full-time basis, effective December 17, 2012.
5. McDougall, Dawne C., M.S., Assistant Director, Disability Services, Office of Disability Services, at a 12-month rate of \$53,078, on a full-time basis, effective December 1, 2012.
6. Perry, Daniel S., M.A., Assistant VP University Advancement, VP for University Advancement, at a 12-month rate of \$115,000, on a full-time basis, effective October 15, 2012.
7. Soto, Eli, H.S., User Services Consultant I, Client Services, at a 12-month rate of \$46,800, on a full-time basis, effective November 5, 2012.
8. Trione, Lindsey M., M.S., Student Development Specialist II, Dean of Students, at a 12-month rate of \$39,960, on a full-time basis, effective November 5, 2012.
9. Wallace, Ryan W., M.B.A., Major Gift Officer, VP for University Advancement, at a 12-month rate of \$75,604, on a full-time basis, effective November 26, 2012.

RESIGNATIONS

1. Bandyopadhyay, Anup K., Research Scientist, Physics, effective December 1, 2012.
2. Carranco de Teuttli, Ana L., Buyer III, Purchasing, effective December 18, 2012.
3. Cornish, Jocelyn M., Undergraduate Admissions Counselor, Office of Undergraduate Admissions, effective December 8, 2012.
4. French, Darlene O., Academic Advisor I, Fine Arts & Communication Academic Advising Center, effective October 25, 2012.
5. Jan, Joseph A., Grant Specialist, ALERRT Center, effective October 6, 2012.
6. Keyes, Jessica N., Program Specialist, Client Services, effective October 6, 2012.
7. Robinson, Rhonda M., Grant Specialist, Educational Talent Search, effective October 6, 2012.
8. Ruthstrom, Anne E., Grant Specialist, Meadows Center for Water and the Environment, effective November 1, 2012.
9. Saenz, Marissa, Supervisor, College Academic Advisor, Education Advising Center, effective October 20, 2012.
10. Way, Haley D., Career Counselor, Career Services, effective November 28, 2012.

RETIREMENTS

1. Schafer Jr., Curtis P., Director, Career Services, Career Services, effective October 1, 2012.

TERMINATIONS – NONE

PROMOTIONS

1. Bynum, Douglas L., B.A.A.S., Supervisor, Technical Services to Manager, Operations and Energy, Utility Operations, at a 12-month rate of \$72,000, on a full-time basis, effective November 4, 2012.
2. Gaier, Norma G., B.A., Associate Director, Career Services to Director, Career Services, Career Services, at a 12-month rate of \$100,008, on a full-time basis, effective October 1, 2012.
3. Garcia, Carlos M., H.S., Systems Support Specialist I to User Services Consultant I, Client Services, at a 12-month rate of \$40,000, on a full-time basis, effective October 21, 2012.
4. Konieczny, Joya G., B.A., Academic Advisor II to Supervisor, College Academic Advising, Education Advising Center, at a 12-month rate of \$55,000, on a full-time basis, effective October 22, 2012.
5. Naper, Sarah L., M.S., Librarian to Director, Research and Learning Services, University Library, at a 12-month rate of \$93,000, on a full-time basis, effective October 1, 2012.
6. Palermo, Joseph S., B.F.A., Financial Aid and Scholarships Assistant to Systems Support Analyst, Office of Financial Aid and Scholarships, at a 12-month rate of \$50,000, on a full-time basis, effective September 30, 2012.
7. Thompson, Dustin L., M.S., Academic Advisor I to Academic Advisor II, Education Advising Center, at a 12-month rate of \$43,000, on a full-time basis, effective December 17, 2012.
8. Troxel, Kristina K., B.A., Senior Undergraduate Admissions Counselor to Associate Director, Alumni Affairs, Alumni Relations, at a 12-month rate of \$69,852, on a full-time basis, effective October 15, 2012.

RECLASSIFICATION

1. Brandenberger, Angela H., B.B.A., from Accountant II to Accountant III, Accounting Office, at a 12-month rate of \$51,277, on a full-time basis, effective October 1, 2012.
2. Elam, Jeffrey L., H.S., from Facilities Operations Assistant to Contract Specialist, Facilities Management, at a 12-month rate of \$42,000, on a full-time basis, effective December 1, 2012.
3. Garza, Pedro, H.S., from Undergraduate Admissions Counselor to Senior Undergraduate Admissions Counselor, Office of Undergraduate Admissions, at a 12-month rate of \$36,600, on a full-time basis, effective October 1, 2012.
4. Gonzales, Irene, H.S., from Procurement Specialist to Buyer III, Facilities Management, at a 12-month rate of \$44,467, on a full-time basis, effective December 1, 2012.
5. Heilbrun, Patricia F., B.S., from Undergraduate Admissions Counselor to Senior Undergraduate Admissions Counselor, Office of Undergraduate Admissions, at a 12-month rate of \$39,500, on a full-time basis, effective October 1, 2012.
6. Howard, Craig E., B.A., from Undergraduate Admissions Counselor to Senior Undergraduate Admissions Counselor, Office of Undergraduate Admissions, at a 12-month rate of \$36,600, on a full-time basis, effective September 1, 2012.
7. Hyatt, Melissa J., B.S., from Assistant Registrar to Associate Registrar, Registrar, at a 12-month rate of \$68,806, on a full-time basis, effective October 1, 2012.
8. Menn, Casey J., B.S., from Recruiting Coordinator to Coordinator, Employer Outreach, Career Services, at a 12-month rate of \$38,904, on a full-time basis, effective October 1, 2012.
9. Mitchell, Clark V., M.Ed., from Undergraduate Admissions Counselor to Senior Undergraduate Admissions Counselor, Office of Undergraduate Admissions, at a 12-month rate of \$39,500, on a full-time basis, effective October 1, 2012.
10. Nichols, Sheila F., H.S., from Procurement Specialist to Buyer III, Facilities Management, at a 12-month rate of \$43,000, on a full-time basis, effective December 1, 2012.

11. Northcut, Susan R., B.A., from Sponsored Programs Compliance Specialist to Coordinator, Research Integrity Compliance, Office of the Associate VP for Research, at a 12-month rate of \$60,636, on a full-time basis, effective October 1, 2012.
12. Tobias, Gloria C., H.S., from Procurement Specialist to Buyer III, Facilities Management, at a 12-month rate of \$46,000, on a full-time basis, effective December 1, 2012.
13. Toews, Jason T., B.B.A., from Accountant II to Accountant III, Student Business Services and Bursars, at a 12-month rate of \$49,938, on a full-time basis, effective December 1, 2012.
14. Wahl, Jerry R., B.B.A., from Accountant II to Accountant III, Student Business Services and Bursars, at a 12-month rate of \$65,576, on a full-time basis, effective December 1, 2012.

**LAMAR INSTITUTE OF TECHNOLOGY
FEBRUARY 2013**

FACULTY PERSONNEL CHANGES

RESIGNATIONS

1. None

RETIREMENTS

1. DeLong, Leslie, M.H.A., Instructor IV, Dental Hygiene, effective May 31, 2013

LEAVE OF ABSENSE

1. None

NON-REAPPOINTMENTS

- 1.

CHANGES IN STATUS/TITLE

1. Davis, Michelle, Department Chair for General Education & Developmental Studies, effective January 1, 2013

WITH TENURE

1. None

FACULTY APPOINTMENTS, New (N) and Renewal (R)

Name	Degree	Rank	Program	%FTE	Salary	Period
ALLIED HEALTH AND SCIENCE						
R Albritton, Carroll	D.D.S.	Adjunct	Dental Hygiene	.04	\$990	Spr. 2013
R Alford, Nathaniel	M.D.	Med. Dir.	Dental Hygiene	Stipend	\$3,000	Spr. 2013
R Anderson, Allen	M.P.A.	Adjunct	Respiratory Care	.49	\$5,600	Spr. 2013
R Armentor, Melissa	M.S.	Instr. IV	Radiology Tech	Stipend	\$2,000	Spr. 2013
R Asbury-Scott, Debora	B.S.	Adjunct	Occup Safety	.20	\$2,100	Spr. 2013
R Blanchard, Ruth	A.A.S.	Adjunct	Health Info Tech	.25	\$2,625	Spr. 2013
R Bland, Lisa	A.A.S.	Instr. I	Radiology Tech	.03	\$569	Spr. 2013
R Boland, Deena	A.A.S.	Adjunct	Sonography	.60	\$9,225	Spr. 2013
R Bostwick, Phillip	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,450	Spr. 2013
R Browning, Tami	A.A.S.	Adjunct	Dental Hygiene	.21	\$2,940	Spr. 2013
R Burke, Shannon	D.C.	Adjunct	Biology	.33	\$5,250	Spr. 2013
R Carpenter, David	D.D.S.	Adjunct	Dental Hygiene	.06	\$1,320	Spr. 2013
R Cobb, Tena	A.A.S.	Instr. I	Health Info Tech	.24	\$4,200	Spr. 2013
R Cole, Joy	A.A.S.	Adjunct	Respiratory Care	.49	\$5,600	Spr. 2013
R Colston, Mary	B.S.	Adjunct	Dental Hygiene	.48	\$5,985	Spr. 2013
R Cross, Deborah	B.S.	Adjunct	Health Info Tech	.32	\$3,325	Spr. 2013
R Cruz, Tiffanie	A.A.S.	Adjunct	Child Care & Dev	.20	\$2,100	Spr. 2013
R Cummings, Barbara	B.S.	Instr. I	Respiratory Care	1.00	\$40,883	2012-2013

R	Delgado, Candace	B.S.	Adjunct	Health Info Tech	.13	\$1,400	Spr. 2013
R	Dorsey, Monica	A.A.S.	Adjunct	Dental Hygiene	.10	\$1,260	Spr. 2013
R	Duplechin, Joe	M.S.	Adjunct	Occup Safety	.20	\$2,100	Spr. 2013
R	Facey, Barrington	M.B.A.	Adjunct	Respiratory Care	.21	\$2,880	Spr. 2013
R	Fisher, Marsha	B.S.	Adjunct	Chemistry	.38	\$4,200	Spr. 2013
R	Giglio, Sam	D.D.S.	Adjunct	Dental Hygiene	.30	\$7,175	Spr. 2013
R	Harris, Regina	A.A.S.	Adjunct	Respiratory Care	.49	\$5,600	Spr. 2013
N	Holman, Sentiya	A.A.S.	Adjunct	Pharmacy Tech	.14	\$1,600	Spr. 2013
R	Hunt, Angela	Cert.	Adjunct	Sonography	.21	\$3,038	Spr. 2013
R	Landry, Cynthia	A.A.S.	Adjunct	Child Care & Dev	.48	\$8,400	Spr. 2013
R	Lewis, Shunetta	B.G.S.	Adjunct	Pharmacy Tech	.37	\$5,625	Spr. 2013
R	Mauer, C. J.	B.A.A.S.	Inst. III	Respiratory Care	.19	\$3,325	Spr. 2013
R	Morgan, Harry	M.S.	Adjunct	Biology	.33	\$5,250	Spr. 2013
R	Nance, Sheryl	B.A.A.S.	Instr. IV	Radiology Tech	.03	\$569	Spr. 2013
R	Nantz, William	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,450	Spr. 2013
R	Nwankwo, Ngozi	B.S./MBA	Adjunct	Respiratory Care	.11	\$1,440	Spr. 2013
R	Porter, Jackson	D.D.S.	Adjunct	Dental Hygiene	.04	\$1,050	Spr. 2013
R	Reeves, Debhra	Certificate	Adjunct	Sonography	.25	\$3,438	Spr. 2013
R	Roach, Thomas	B.S.	Adjunct	Physics	.38	\$4,200	Spr. 2013
R	Rowlett, Vicki	B.S.	Instr. III	Chemistry/Physics	.50	\$5,250	Spr. 2013
R	Sandusky, Renee	A.A.S.	Adjunct	Dental Hygiene	.22	\$2,730	Spr. 2013
R	Seale, John	D.D.S.	Adjunct	Dental Hygiene	.05	\$1,155	Spr. 2013
R	Shaw, Arthur	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,475	Spr. 2013
R	Smith, April	A.A.S.	Adjunct	Radiology Tech	.84	\$9,600	Spr. 2013
R	Smith, Robert	D.D.S.	Adjunct	Dental Hygiene	.12	\$2,475	Spr. 2013
R	Summers, Donna	Certificate	Adjunct	Pharmacy Tech	.26	\$2,800	Spr. 2013
R	Templain, Nancy	B.S.	Adjunct	Nurse Aid	.36	\$4,725	Spr. 2013
R	Waldrep, Staci	M.S.	Instr. II	Health Information	.17	\$1,750	Spr. 2013
R	Wiggins, Robert	D.D.S.	Adjunct	Dental Hygiene	.11	\$2,450	Spr. 2013
R	Williams, Gail	M.S.	Instr. IV	Child Care & Dev	.07	\$315	Spr. 2013

BUSINESS TECHNOLOGIES

R	Adams, Mike	A.A.S.	Adjunct	Comp. Networking	.76	\$7,000	Spr. 2013
R	Arnold, Lauri	M.Ed.	Instr. II	Comp. Networking	.80	\$8,400	Spr. 2013
R	Baker, Kara	M.B.A.	Instr. I	Management	.53	\$5,600	Spr. 2013
R	Bourgeois, Luke	B.A.A.S.	Instr. II	Comp. Networking	.67	\$7,000	Spr. 2013
N	Champagne, Adriane	B.A.A.S.	Adjunct	Office Technology	.07	\$700	Spr. 2013
R	Cobb, Bonnie	B.S.	Adjunct	Comp. Networking	.67	\$7,000	Spr. 2013
R	English, Jesse	J.D.	Adjunct	Business	.20	\$2,100	Spr. 2013
R	Hill, Angela	M.E.	Instr. I	Comp. Networking	.07	\$700	Spr. 2013
R	McNamara, Josh	A.A.S.	Instr. I	Bus Comp Info	.30	\$3,150	Spr. 2013
R	Miller, Stephen	M.B.A.	Instr. II	Accounting	.27	\$2,800	Spr. 2013
R	Peyton, Carol	M.A.	Adjunct	Management	.20	\$2,100	Spr. 2013
R	Pinson, Thomas	M.B.A.	Instr. II	Comp. Networking	.67	\$7,000	Spr. 2013
R	Pratt, Jonathan	B.B.A.	Adjunct	Accounting	1.07	\$11,200	Spr. 2013
R	Spencer, James	L.L.D./J.D.	Adjunct	Management	.20	\$2,100	Spr. 2013
R	Spencer, Tracy	M.A.	Instr. II	Office Technology	.20	\$2,100	Spr. 2013
R	Stewart, Sean	B.B.A.	Adjunct	Comp. Networking	.67	\$7,000	Spr. 2013
R	Storbeck, Tim	B.A.A.S.	Instr. II	Comp. Networking	.33	\$3,500	Spr. 2013

R	Stoudemayer, Linda	M.S.	Instr. II	Bus Comp Info	.40	\$4,200	Spr. 2013
R	Wilsker, Ira	M.B.A.	Instr. IV	Management	.20	\$2,100	Spr. 2013

GENERAL EDUCATION & DEVELOPMENT STUDIES

R	Abedelwahab, Widad	M.Ed.	Adjunct	Dev Math	.20	\$2,100	Spr. 2013
R	Allen, Carol	M.Ed.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Atmar, Richard	M.A.	Adjunct	Humanities	.40	\$4,200	Spr. 2013
R	Baker, Mary	M.P.A.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Banks, Johnetta	M.S.	Adjunct	Sociology	.20	\$2,100	Spr. 2013
R	Brown, Mary Lyn	M.Ed.	Instr. II	English	.33	\$3,500	Spr. 2013
R	Childs, William	M.A.	Adjunct	English	.20	\$2,100	Spr. 2013
R	Comer, Stephen	B.S.	Adjunct	Dev Math	.20	\$2,100	Spr. 2013
R	Courmier, Sharon	B.S.	Adjunct	History	.40	\$4,200	Spr. 2013
R	Dailey, Natasha	M.A.	Adjunct	Humanities	.20	\$2,100	Spr. 2013
R	Davis, Michelle	M.S.	Instr. I	Speech	.20	\$2,100	Spr. 2013
R	De la Rosa, Alfred	M.S.	Instr. II	Mathematics	.20	\$2,100	Spr. 2013
R	Drake, Regina	M.A.	Adjunct	Sociology	.20	\$2,100	Spr. 2013
R	Flosi, Alicen	Ph.D.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Garza, Andrew	M.S.	Adjunct	Psychology	.40	\$4,200	Spr. 2013
R	Hayes, Mary	M.A.	Adjunct	Humanities	.20	\$2,100	Spr. 2013
R	Henry, Bradd	M.Ed.	Instr. I	Math	.50	\$21,879	Spr. 2013
R	Hooker, David	M.A.	Instr. III	Eng/Humanities	.40	\$4,200	Spr. 2013
R	Jacobs, Sharon	M.Ed.	Instr. II	College Success	.17	\$1,750	Spr. 2013
R	Jones, Lakeysha	M.A.	Adjunct	Speech	.80	\$8,400	Spr. 2013
N	Katz, Dawn	M.A.	Adjunct	Speech	.20	\$2,100	Spr. 2013
R	Linn, Mary	M.A.	Adjunct	Speech	.40	\$4,200	Spr. 2013
R	Miller, Beverly	M.A.	Adjunct	Sociology	.40	\$4,200	Spr. 2013
R	Mitchell, Lakendra	M.Ed.	Adjunct	Dev Reading	.33	\$3,500	Spr. 2013
R	Mosley, David	B.B.A.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Moulton, Irma	M.S.	Adjunct	Mathematics	.20	\$2,100	Spr. 2013
R	Nelson, Cheryl	Ed.D.	Adjunct	College Success	.20	\$2,100	Spr. 2013
R	Partain, Trudie	B.A.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Placette, Amber	B.A.	Adjunct	Dev Writing	.20	\$2,100	Spr. 2013
R	Richard, Kimberly	M.S.	Adjunct	Dev Math	.20	\$2,100	Spr. 2013
R	Rueda, Emily	M.S.	Instr. II	Mathematics	.20	\$2,100	Spr. 2013
N	Sams, Christopher	B.S.	Adjunct	Dev Math	.40	\$4,200	Spr. 2013
R	Sizemore, William	M.A.	Instr. I	English	.40	\$4,200	Spr. 2013
R	Smith, Leigh	M.Ed.	Instr. II	College Success	.05	\$700	Spr. 2013
R	Spencer, Tracy	M.A.	Instr. II	Humanities	.20	\$2,100	Spr. 2013
R	Stevenson, Delinah	B.S.	Adjunct	Sociology	.20	\$2,100	Spr. 2013
R	Swiniarski, Wayne	M.S.	Adjunct	Dev Math	.20	\$2,100	Spr. 2013
R	Templain-Kuehn, A.	M.Ed.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	Tillie, John	B.B.A.	Adjunct	Dev Math	.40	\$4,200	Spr. 2013
R	Toups, Melanie	M.Ed.	Adjunct	Dev Reading	.20	\$2,100	Spr. 2013
R	VanMarion, Leonard	M.E.M.	Adjunct	Dev Math	.40	\$4,200	Spr. 2013
R	Vessel, Bonnie	M.Ed.	Adjunct	College Success	.13	\$1,400	Spr. 2013
R	White, Dennis	M.S.	Adjunct	Sociology	.20	\$2,100	Spr. 2013

PUBLIC SERVICE & SAFETY

R	Doane, James	B.S.	Instr. II	Homeland Sec	.20	\$2,100	Spr. 2013
R	Duncan, Gary	M.P.A.	Adjunct	Criminal Justice	.20	\$2,100	Spr. 2013
R	Ellis, Cecelia	B.A.	Adjunct	Homeland Sec.	.40	\$4,200	Spr. 2013
R	Lyons, Wilburn	A.A.S.	Instr. III	Fire Technology	.40	\$4,200	Spr. 2013
R	Wilsker, Ira	M.B.A.	Instr. IV	Homeland Sec	.20	\$2,100	Spr. 2013

TECHNOLOGY

R	Banks, Baron	B.A.	Instr. II	Process Operate	.60	\$6,300	Spr. 2013
R	Bertin, George	B.S.	Adjunct	Process Operate	.40	\$4,200	Spr. 2013
R	Bingham, Jason	A.A.S.	Instr. I	Welding	.27	\$2,800	Spr. 2013
R	Bradley, Clyde	N/A	Adjunct	Industrial Mech	.46	\$4,900	Spr. 2013
R	Champagne, Steve	A.A.S.	Instr. I	Instrumentation	.40	\$4,200	Spr. 2013
R	DeYoung, David	A.A.S.	Adjunct	Instrumentation	.53	\$5,600	Spr. 2013
R	Dry, Randle	N/A	Adjunct	Industrial Mech	.23	\$2,100	Spr. 2013
R	Dugar, Umed	B.S.	Adjunct	Process Operate	.60	\$6,300	Spr. 2013
R	Eldred, Deanna	M.S.	Adjunct	Comp Drafting	.33	\$3,500	Spr. 2013
R	Fontaine, Jason	N/A	Adjunct	Construction	.50	\$5,250	Spr. 2013
R	Gaus, Henry	A.A.S.	Instr. II	Heat, Vent, Air	.06	\$700	Spr. 2013
R	Griffin, Thomas	A.A.S.	Adjunct	Industrial Mech	.47	\$4,900	Spr. 2013
R	Hurlbut, Norris	B.S.	Adjunct	Comp Drafting	.33	\$3,500	Spr. 2013
R	Jacobs, Weldon	B.A.A.S.	Instr. II	Instrumentation	.40	\$4,200	Spr. 2013
R	Judkins, Steve	A.A.S.	Adjunct	Process Operate	.60	\$6,300	Spr. 2013
R	Khatri, Vinod	B.S.	Instr. I	Inst. Food Mgmt	.40	\$4,200	Spr. 2013
R	Koenig, Russell	A.A.S.	Instr. II	Utility Line Tech	.40	\$4,200	Spr. 2013
R	LeBlanc, James	N/A	Adjunct	Process Operate	.50	\$5,250	Spr. 2013
R	LeBlanc, Weldon	A.A.S.	Adjunct	Process Operate	.50	\$5,250	Spr. 2013
N	Lewis, Alex	Cert.	Adjunct	Welding	.40	\$4,200	Spr. 2013
R	Matak, Pete	A.A.S.	Instr. IV	Adv. Engine	.33	\$3,500	Spr. 2013
R	McAnally, Richard	A.A.S.	Instr. I	Welding	.27	\$2,800	Spr. 2013
R	McKeehan, John	A.A.S.	Instr. I	Welding	.47	\$4,900	Spr. 2013
R	Moore, Bobby	N/A	Adjunct	Construction	.70	\$7,350	Spr. 2013
R	Neely, Edgar	A.A.S.	Instr. II	Instrumentation	.33	\$3,500	Spr. 2013
R	O'Connor, Patrick	B.S.	Instr. II	Comp Drafting	.33	\$3,500	Spr. 2013
R	Perry, Ronald	A.A.S.	Instr. IV	Instrumentation	.20	\$2,100	Spr. 2013
R	Rodriguez, Pablo	B.S.I.T.	Instr. II	Process Operate	.60	\$6,300	Spr. 2013
R	Storbeck, Tim	B.A.A.S.	Instr. II	Instrumentation	.27	\$2,800	Spr. 2013
R	Tucker, Walter	A.A.S.	Instr. II	Process Operate	.47	\$4,900	Spr. 2013
R	Waidley, Michael	B.S.	Adjunct	Construction	.53	\$5,600	Spr. 2013
R	Willis, James	M.S.	Adjunct	Process Operate	.40	\$4,200	Spr. 2013
R	Worry, Valerie	Ed.D.	Instr. I	Process Operate	.50	\$5,250	Spr. 2013

ADMINISTRATIVE AND UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Rasnick, Joseph, M.Ed., Program Developer, Workforce Training, \$35,328, effective January 1, 2013.
2. Woodall, Jason, Instructional Designer, Distance Education, \$41,800, effective January 1, 2013.

RETIREMENTS

1. Prejean, Lee, A.A.S., Technology Services, effective January 31, 2013.
2. Williams, Sam, M.Ed., Vice President Workforce Development, effective January 31, 2013.

RESIGNATIONS

1. Rasnick, Joseph, Program Developer, Workforce Training, effective January 25, 2013.

CHANGES IN STATUS/TITLE

1. Jordan, Venecia, Accounting Associate to Accountant II, effective February 1, 2013.

Lamar State College-Orange

FACULTY PERSONNEL CHANGES

ADDITIONS

1. Lumpkin, Denise, M.S.N., Instructor Upward Mobility
2. Garrison, Shon, B.S., Adjunct Information Technology

RETIREMENTS

1. None to Report

LEAVE OF ABSENCE

1. None to Report

NON-REAPPOINTMENTS

1. Adair, Colleen

CHANGES IN STATUS

1. Trotter, Jennifer, adjunct to full-time instructor. Certified Sim Lab Instructor.
2. Meloncon, Janet, M.S.N. C.N.E.

WITH TENURE

1. None to Report

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
ALLIED HEALTH/FULL-TME OVERLOADS AND ADJUNCT FACULTY						
R Andrews, Jo	A.A.S.	Adjunct	Vocational Nur	106	12768	Spring 2013
R Antoon, Melody	M.S.	Instructor	Upward Mobility	54	4336	Spring 2013
R Baker, Suzanne	A.A.S.	Instructor	Vocational Nur	43	5104	Spring 2013
R Carroll, Linda	A.S.	Instructor	Vocational Nur	21	2544	Spring 2013
R Cole, Angela	B.S.N.	Instructor	Vocational Nur	40	4784	Spring 2013
R Daniel, Martha	B.S.N.	Instructor	Vocational Nur	30	3624	Spring 2013
R Fears, Dixie	A.D.N.	Instructor	Vocational Nur	33	3968	Spring 2013
R Flippen, Carolyn	Cert.	Instructor	Dental Assisting	150	6400	Spring 2013
R Flippen, James	D.D.S.	Adjunct	Dental Assisting	113	13584	Spring 2013
R Ford, Randy	Cert.	Instructor	Pharmacy Tech	102	9218	Spring 2013
R Foreman, Sherri	A.A.S.	Instructor	Vocational Nur	40	4824	Spring 2013
R Granger, Thera	Cert.	Instructor	Pharmacy Tech	100	8404	Spring 2013
R Judice, Karen	A.A.S.	Adjunct	Vocational Nur	137	16416	Spring 2013
R Keogh, Dru	A.A.S.	Instructor	Vocational Nur	129	15504	Spring 2013

R	Land, Richard	Cert.	Adjunct	Emergency Med	68	8104	Spring 2013
R	LeBlanc, Lorrie	B.S.N.	Instructor	Vocational Nur	45	5360	Spring 2013
N	Lumpkin, Denise	M.S.N.	Instructor	Upward Mobility	54	4336	Spring 2013
R	Meloncon, Janet	M.S.N.	Instructor	Upward Mobility	46	5512	Spring 2013
R	Moore, Jessica	A.A.S.	Adjunct	Vocational Nur	130	15624	Spring 2013
R	Paulk, Charlene	A.D.N.	Instructor	Vocational Nur	48	5792	Spring 2013
R	Procella, Terri	A.S.	Adjunct	Vocational Nur	119	14264	Spring 2013
R	Simar, Gina	M.Ed.	Instructor	Vocational Nur	6	800	Spring 2013
R	Switzer, Janice	A.A.S.	Adjunct	Vocational Nur	48	5760	Spring 2013
R	Thibodeaux, Stephenie	M.S.N.	Instructor	Upward Mobility	48	5736	Spring 2013
R	Trotter, Jennifer	M.S.N.	Instructor	Upward Mobility	38	2736	Spring 2013
R	Tucker, Mandee	A.A.S.	Adjunct	Vocational Nur	111	13344	Spring 2013
N	Staff		Adjunct	Vocational Nur	91	8208	Spring 2013

BUSINESS AND TECHNOLOGY/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Barnwell, Thomas	M.S.	Adjunct	Process Tech	41	4298	Spring 2013
R	Bryant, Christy	B.B.A.	Instructor	Information Tech	20	2400	Spring 2013
R	Burns, Edgar	B.S.	Instructor	Process Tech	41	4912	Spring 2013
R	Dorman, Bonnie	M.Ed.	Instructor	Medical Office	31	3768	Spring 2013
R	Dotson, Diane	B.B.A.	Instructor	Information Tech	51	5220	Spring 2013
R	Ellis, Jim	M.B.A.	Instructor	Business Mgt	54	7600	Spring 2013
R	Fetchins, Mary	M.S.	Adjunct	Business Mgt	20	2400	Spring 2013
R	Garib, Lara	M.S.	Adjunct	Business Mgt	40	4200	Spring 2013
N	Garrison, Shon	B.S.	Adjunct	Information Tech	21	1884	Spring 2013
R	Kirk, Charles	B.S.	Adjunct	Process Tech	55	4968	Spring 2013
R	Geis, Earl	B.S.	Adjunct	Process Tech	53	5654	Spring 2013
R	Jinks, Julie	M.S.	Instructor	Information Tech	5	568	Spring 2013
R	Lundquist, Gary	Ph.D.	Adjunct	Process Tech	28	3312	Spring 2013
R	Mott, Keith	M.S.	Adjunct	Information Tech	20	2400	Spring 2013
R	Phillips, Catherine	M.S.	Instructor	Information Tech	9	1136	Spring 2013
R	Reeder, Michael	B.S.	Adjunct	Process Tech	77	9240	Spring 2013
R	Smith, Claire	B.S.	Adjunct	Information Tech	21	2198	Spring 2013
R	Spears, Jackie	M.Ed.	Adjunct	Business Mgt	20	2400	Spring 2013
R	Stewts, Chris	M.S.	Adjunct	Process Tech	55	6624	Spring 2013
R	Turner, Carol	A.S.	Instructor	Medical Office	40	4784	Spring 2013
N	Zimmerman, Ellen	M.S.	Adjunct	Information Tech	25	2226	Spring 2013
N	STAFF			Information Tech	40	4800	Spring 2013

LIBERAL ARTS/FULL-TIME OVERLOADS AND
ADJUNCT FACULTY

R	Ball, Donald	D.M.	Adjunct	Music	47	3000	Spring 2013
R	Coratti, John	J.D.	Assoc Prof	Criminal Justice	40	4800	Spring 2013
R	Doss, Kevin	M.A.	Instructor	Speech	40	7200	Spring 2013
R	Harmon, Don	Cert.	Adjunct	Criminal Justice	20	1200	Spring 2013
R	Lindsey, Richard	M.A.	Adjunct	Government	20	2400	Spring 2013
R	Little, Meredith	M.A.	Adjunct	History	80	8400	Spring 2013
R	Matt, Nancy	M.A.	Adjunct	Sociology	20	2100	Spring 2013
R	Miller, Roberta	M.S.	Instructor	Sociology	40	4800	Spring 2013
R	Mulvaney, Katy	M.F.A.	Adjunct	Drama	40	3600	Spring 2013
R	Owens, Eric	M.A.	Instructor	History	40	4800	Spring 2013

R	Preslar, Andrew	M.A.	Instructor	English	40	4800	Spring 2013
R	Rather, Michael	M.A.	Instructor	English	40	4800	Spring 2013
R	Rector, Marcus	M.S.	Adjunct	History	40	4800	Spring 2013
R	Sizemore, Mary	M.A.	Lecturer	English	20	2400	Spring 2013
R	Tate, Jennifer	M.A.	Adjunct	Government	60	5400	Spring 2013
R	Thrasher, Shelley	Ph.D.	Prof Emer	Humanities	20	4320	Spring 2013
R	Varner, Justin	M.A.	Adjunct	Art	20	1800	Spring 2013
R	Wernig, Kenneth	M.Ed.	Adjunct	English	20	2400	Spring 2013
R	Whitehead, Gwen	Ph.D.	Asst Prof	English	20	2400	Spring 2013
R	Williams, Kathryn	M.A.	Instructor	Speech	40	4800	Spring 2013
R	Williams, Kristi	Cert.	Adjunct	Criminal Justice	20	2400	Spring 2013

MATHEMATICS & SCIENCE/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Abedelwahab, Widad	M.S.	Adjunct	Dev Math	40	4800	Spring 2013
R	Ahearn, Frances	M.S.	Adjunct	Psychology	40	4800	Spring 2013
R	Foreman, Jason	B.S.	Adjunct	Biology	46	4140	Spring 2013
R	Hodges, Lisette	M.S.	Instructor	Psychology	20	2400	Spring 2013
R	Jureidini, Elias	M.S.	Asst Prof	Mathematics	40	4800	Spring 2013
R	Keeney, Hunter	M.Ed.	Instructor	Biology	33	4016	Spring 2013
R	Lundquist, Gary	Ph.D.	Adjunct	Chemistry	35	3168	Spring 2013
R	McClure, Matthew	Ph.D.	Professor	Biology	26	3080	Spring 2013
R	Mallett, Lane	M.A.	Adjunct	Physical Ed	27	2400	Spring 2013
R	Marshall, Donald	Ph. D.	Adjunct	Chemistry	35	4224	Spring 2013
R	Moreau, Dallas	M.A.	Instructor	Psychology	13	1600	Spring 2013
R	Mott, Brenda	M.Ed.	Adjunct	Mathematics	20	2400	Spring 2013
R	Peebles, Robert	Ph.D.	Prof Emer	History	40	7200	Spring 2013
R	Peveto, Barbara	M.Ed.	Instructor	Mathematics	20	2400	Spring 2013
R	Sanford, Jerry	Ph.D.	Instructor	Biology	30	3648	Spring 2013
R	Smith, Amanda	MFA	Adjunct	English	40	3600	Spring 2013
R	Smith, Jerry	Ph.D	Adjunct	Criminal Justice	27	2484	Spring 2013
R	Song, Ni	Ph.D	Asst Prof	Biology	18	2144	Spring 2013
R	Taylor, Ronald	Ph.D	Adjunct	Geology	55	4968	Spring 2013
R	Thomas, Donald	M.S.	Instructor	Physical Ed	33	4200	Spring 2013
R	Whalen, Samantha	M.Ed.	Adjunct	Biology	40	4200	Spring 2013
R	Wilmore, Larry	M.S.	Asst Prof	Biology	28	3312	Spring 2013
R	Wilson, Theresa	M.Ed.	Adjunct	Psychology	20	2400	Spring 2013

COLLEGE SUCCESS/COLLEGE PREP/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Kim, Jongchul	Ph. D.	Asst Prof	Mathematics	20	2400	Spring 2013
R	Pederson, Jan	M.Ed.	Adjunct	English	40	4800	Spring 2013
R	Sethna, Bishar	Ed.D.	Adjunct	Mathematics	20	2400	Spring 2013

COLLEGE SUCCESS INITIATIVE/FULL-TIME
OVERLOADS AND ADJUNCT FACULTY

R	Babcock, Dorraine	M.Ed.	Adjunct	Education	40	4800	Spring 2013
R	Crockett, Suzonne	M.Ed.	Instructor	Dev Math	47	5600	Spring 2013
R	Moreau, Brianne	B.S.	Adjunct	CSI	13	1200	Spring 2013
R	Smith, Amanda	MFA	Adjunct	English	40	3600	Spring 2013

Lamar State College-Orange

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Rather, Kathryn, MS, Director of Institutional Effectiveness, effective October 22, 2012, at a salary of \$54,000.

CHANGES IN STATUS

1. Simmons, Jamie, BS, Director of Finance, effective October 19, 2012, at a salary of \$56,500.

TERMINATIONS

1. None to Report

RESIGNATIONS

1. None to Report

RETIREMENTS

1. McKinney, Edward, Program Director, effective November 30, 2012.

COMMISSIONING AND BONDING OF UNIVERSITY POLICE OFFICERS

1. None to Report

Lamar State College – Port Arthur

FACULTY PERSONNEL CHANGES

NEW HIRES

1. LaGrone, Toni, A.A.S., VN Instructor, Allied Health, at a 12 month rate of \$46,000.

RESIGNATIONS

1. Hamilton, Charlotte effective February 1, 2013.

RETIREMENTS

1. Knight, Peggy effective January 31, 2013.

LEAVE OF ABSENCE

1. Hamilton, Janet effective May 1, 2012.
2. Byrd, Otis effective January 14, 2013.

NON-REAPPOINTMENTS

1. None to report.

CHANGES IN STATUS

1. None to report.

WITH TENURE

1. None to report.

FACULTY APPOINTMENTS, New (N) and Renewal (R)

NAME	DEG	RANK	DEPARTMENT	%FTE	SALARY	PERIOD
COLLEGE OF ACADEMICS						
R Armstrong, Step	M.A.	Instructor	English	.20	2,122	Sp 2013
R Ashcraft, Jim	M.S.	Adjunct	Biology	.35	3,739	Sp 2013
R Askew, Michelle	M.S.	Inst. III	Math	.20	2,122	Sp 2013
R Barbay, Carol	Ph.D.	Asst.Prof.	Education	.20	2,122	Sp 2013
R Belyeu, Chad	M.A.	Adjunct	English	.20	2,122	Sp 2013
R Bertin, Darren	M.A.	Adjunct	Philosophy	.20	2,122	Sp 2013
R Borne, Wanda	M.S.	Adjunct	Math	.40	4,244	Sp 2013
R Brooks, Chandra	M.Ed.	Adjunct	English	.40	4,244	Sp 2013
R Brown, Lawanda	M.A.	Adjunct	Sociology	.20	2,122	Sp 2013
R Byrd, Sally	M.A.	Inst. II	English	.20	2,122	Sp 2013
N Capeles, Tina	M.P.A.	Adjunct	Government	.20	2,122	Sp 2013
R Carona, Ken	M.S.	Adjunct	PSYC	.40	4,244	Sp 2013
R Cockrell, Keith	Ph.D.	Professor	Drama	.20	2,122	Sp 2013
R Commings,C.	M.A.	Adjunct	History	.40	4,244	Sp 2013
R Faggard, Albert	M.F.A.	Adjunct	Arts	.80	8,488	Sp 2013
R Fischenich, Beth	M.A.	Adjunct	Government	.20	2,122	Sp 2013
R Gengo, Damon	M.S.	Instructor	Drama	.30	3,233	Sp 2013
R Gongre, Charles	Ph.D.	Adjunct	English	.20	2,122	Sp 2013
R Goodman, Casey	B.S.	Adjunct	PHED	.18	1,322	Sp 2013
R Gregory, Susan	M.S.	Adjunct	Math	.40	4,244	Sp 2013
N Hayes, Jimmy	B.S.	Adjunct	Physics	.31	3,334	Sp 2013
R Holley, Kimberly	M.A./M.S.	Adjunct	Speech	.20	2,122	Sp 2013
R James, Caitlin	M.A.	Adjunct	English	.80	8,488	Sp 2013
R Jordan, Percy	Ph.D.	Asst.Prof.	Biology	.23	8,791	Sp 2013
R Jordan, Sue	M.S.	Adjunct	Chemistry	.35	3,739	Sp 2013
R Jones, Kim	M.Ed.	Instructor	Math	.20	2,122	Sp 2013
R Judice, Michelle	M.A.	Instructor	English	.20	2,122	Sp 2013
R Kish, Charles	M.A.	Adjunct	Kinesiology	.20	2,122	Sp 2013
R Knowles, Mark	M.S.	Instructor	Math	.40	4,244	Sp 2013
N Lanning, Nick	B.S.	Adjunct	Physics	.43	4,547	Sp 2013
R Lindley, Neil	Ph.D.	Adjunct	Govt/Phil	.40	4,244	Sp 2013
R Longlet, Nancy	Ph.D.	Asst.Prof.	Biology	.23	2,425	Sp 2013
R Lowe, Zebulon	M.A.	Adjunct	English	.40	4,244	Sp 2013
R Martinez, Mary	M.S.	Adjunct	Math	.40	4,244	Sp 2013
R McIntire, Darren	M.B.A.	Adjunct	Math	.40	4,244	Sp 2013
R Megnet, Grace	M.F.A.	Asst.Prof.	Art	.43	4,547	Sp 2013
N Ned, Kayla	M.S.	Adjunct	BCIS	.55	5,861	Sp 2013
R Nelson, Alice	M.A.	Adjunct	English	.40	4,244	Sp 2013
R Osborne, Angela	M.F.A.	Adjunct	Art	.80	8,488	Sp 2013
R Owen, Cissie	M.A.	Adjunct	Government	.20	2,122	Sp 2013
R Pitre, James	B.S.	Adjunct	Math	.40	4,244	Sp 2013

R	Pollock, Richard	M.S.	Instructor	Biology	.43	4,649	Sp 2013
R	Stafford, Laura	Ph.D.	Professor	Speech	.20	2,122	Sp 2013
N	Stahl, Kevin	D.O.	Adjunct	Physics	.43	4,547	Sp 2013
R	Steele, Sherry	M.Ed.	Instructor	Math	.40	4,244	Sp 2013
R	Taylor, Ron	Ph.D.	Adjunct	Geology	.28	2,930	Sp 2013
R	Triebel, Mavis	M.P.A.	Instructor	Government	.40	4,244	Sp 2013
R	Trevey, Diane	M.A.	Adjunct	Educ/English	.15	1,617	Sp 2013
R	Trevey, Robert	M.A.	Adjunct	Education	.40	4,244	Sp 2013
R	Turkel, Richard	J.D.	Adjunct	Criminal Justice	.40	4,244	Sp 2013
R	Wilbur, Christina	M.A.	Adjunct	History	.60	6,366	Sp 2013
R	Woodard, Amber	M.S.	Adjunct	Nutrition	.60	6,366	Sp 2013

COLLEGE OF BUSINESS AND TECHNICAL

R	Andress, William	A.A.S.	Instructor	INTC	.08	909	Sp 2013
R	Bryant, Jennifer	M.B.A.	Instructor	ECON	.20	2,122	Sp 2013
R	Cammack, Nancy	Ed.D.	Adjunct	ITSC	.28	2,930	Sp 2013
R	Carlin, Ronald	M.B.A.	Adjunct	ACCNT	.60	6,366	Sp 2013
R	Cox, Thomas	B.S.	Instructor	ITSC	.47	5,052	Sp 2013
R	Doan, Marianne	B.S.	Adjunct	Nursing	.11	3,637	Sp 2013
N	Fonteno, Helen	A.A.S.	Adjunct	CSME	1.00	7,918	Sp 2013
R	Fontenot, Dale	B.S.	Adjunct	ITSC	.47	5,052	Sp 2013
R	Freyermuth, John	M.F.A.	Instructor	Music	.11	1,000	Sp 2013
R	Guidry, Kenneth	A.A.S.	Adjunct	ITCC	.50	5,254	Sp 2013
R	Guillot, Sheila	M.Ed.	Instr. IV	POFT	.39	4,141	Sp 2013
R	Granger, Diane	M.B.A.	Instr. IV	ITSC	.23	2,425	Sp 2013
R	Granger, Patricia	M.B.A.	Instructor	POFT	.32	3,365	Sp 2013
R	Harriman, Dan	Cert.	Instructor	AUMT	.07	808	Sp 2013
R	Harrison, Ginger	License	Adjunct	CSME	1.00	15,428	Sp 2013
R	Horne, Aaron	B.A.	Instructor	Music	.08	202	Sp 2013
R	Howard, Michael	-	Adjunct	Music	.13	1,307	Sp 2013
R	Key, Renee	M.S.N.	Adjunct	Nursing	.11	3,637	Sp 2013
N	Leger, Joshua	B.M.	Adjunct	Music	.18	1,807	Sp 2013
R	McGee, Linda	BAAS	Adjunct	HRPO	.20	2,122	Sp 2013
R	McPherson, M.	J.D.	Adjunct	LGLA	.28	2,930	Sp 2013
R	McQueen, William	B.A.	Adjunct	Music	.13	1,399	Sp 2013
R	Patton, Amanda	A.A.S.	Adjunct	Nursing	.11	3,637	Sp 2013
R	Powell, James	-	Instructor	PTAC	.44	4,649	Sp 2013
R	Quist, Ed	J.D.	Instructor	LGLA	.20	2,122	Sp 2013
R	Richardson, Carl	B.A.	Instructor	Music	.01	10	Sp 2013
R	Sweat, Ray	M.S.	Adjunct	HRPO	1.07	11,317	Sp 2013
R	Warner, Heaven	B.B.A.	Instructor	POFT	.35	3,738	Sp 2013
R	Watson, Michelle	M.S.N.	Adjunct	Nursing	.11	3,637	Sp 2013
R	Vercher, Bryan	M.M.	Adjunct	Music	.13	1,399	Sp 2013
R	Wallace, Jon	B.S.	Instructor	Music	.10	1,111	Sp 2013

INMATE EDUCATION

R	Alexander, Joyce	M.Ed.	Adjunct	Math	.13	1,415	Sp 2013
R	Awoyefa, I	M.S.	Adjunct	Speech	.20	2,122	Sp 2013
R	Bourque, Brenda	B.B.A.	Adjunct	SSC	.13	1,415	Sp 2013
R	Brown, Lawanda	M.A.	Adjunct	Sociology	.20	2,122	Sp 2013
R	Copple, Monteel	M.Ed.	Adjunct	History	.20	2,122	Sp 2013
R	Decker, William	B.A.A.S.	Adjunct	Math	.20	2,122	Sp 2013
R	Doiron, Jesse	M.B.A.	Adjunct	English	.20	2,122	Sp 2013
R	Faggard, Albert	M.A.F.A.	Adjunct	Arts	.20	2,122	Sp 2013
R	Fischenich, Beth	M.A.	Adjunct	SSC	.20	2,122	Sp 2013
R	Jeanise, Phyllis	B.S.	Adjunct	Learning Center	.13	1,415	Sp 2013
R	Pegues, Tony	M.B.A.	Adjunct	BUSI	.20	2,122	Sp 2013
R	Taylor, Ron	Ph.D.	Adjunct	Geology	.28	2,930	Sp 2013
R	Thigpen, Albert	J.D.	Adjunct	Economics	.20	2,122	Sp 2013

ADMINISTRATIVE and UNCLASSIFIED PERSONNEL CHANGES

ADDITIONS

1. Arceneaux, Aron, B.S., Frontline Coordinator, Financial Aid at a 12 month full time rate of \$31,000, effective January 7, 2013.
2. Fetterhoff, Kristi, A.A.S., Assistant to Director ITS User Service, Information Technology, at a 12 month full-time rate of \$43,600, effective December 10, 2012.
3. Beard, David, M.S., Director for the Museum of the Gulf Coast, at a 12 month full-time rate of \$60,000, effective March 1, 2013.

CHANGES IN STATUS

1. None to Report

DISMISSALS

1. None to report.

RESIGNATIONS

1. Harris, Shannon, effective January 3, 2013.

RETIREMENTS

1. None to report.

APPENDIX

**SEVENTEENTH SUPPLEMENTAL RESOLUTION TO THE MASTER RESOLUTION
AUTHORIZING THE ISSUANCE, SALE, AND DELIVERY OF BOARD OF REGENTS,
TEXAS STATE UNIVERSITY SYSTEM REVENUE FINANCING SYSTEM REVENUE
BONDS; AND APPROVING AND AUTHORIZING INSTRUMENTS AND
PROCEDURES RELATING THERETO**

Adopted February __, 2013

**SEVENTEENTH SUPPLEMENTAL RESOLUTION TO THE MASTER RESOLUTION
AUTHORIZING THE ISSUANCE, SALE, AND DELIVERY OF BOARD OF REGENTS,
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**SEVENTEENTH SUPPLEMENTAL RESOLUTION TO THE MASTER RESOLUTION
AUTHORIZING THE ISSUANCE, SALE, AND DELIVERY OF BOARD OF REGENTS,
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BONDS; AND APPROVING AND AUTHORIZING INSTRUMENTS AND
PROCEDURES RELATING THERETO**

WHEREAS, on August 13, 1998, the Board of Regents of Texas State University System adopted the "*Master Resolution Establishing The Texas State University System Revenue Financing System*" ("Original Master Resolution") and on June 19, 2008 the Board adopted a "Resolution Amending the Master Resolution Establishing the Texas State University System Revenue Financing System" (the "Amending Resolution" together with the "Original Master Resolution" collectively, referred to as the "Master Resolution"); and

WHEREAS, unless otherwise defined herein, capitalized terms used herein shall have the meaning given in the Master Resolution; and

WHEREAS, the Master Resolution establishes the Revenue Financing System and pledges the Pledged Revenues to the payment of Parity Debt to be outstanding under the Master Resolution; and

WHEREAS, the Board has outstanding its Prior Encumbered Obligations, and the Board has provided in the Master Resolution that it will no longer issue bonds on a parity with the Prior Encumbered Obligations, except that in the Master Resolution the Board reserves the right to issue obligations to refund any Prior Encumbered Obligations and to secure the refunding obligations with the same source or sources securing the Prior Encumbered Obligations being refunded; and

WHEREAS, the Board has implemented the Revenue Financing System in order to establish a system of financing improvements for Members of the Financing System in a manner consistent with State law; and

WHEREAS, the Board has previously adopted the First through Sixteenth Supplemental Resolutions authorizing Parity Debt, all of which supplement the Master Resolution; and

WHEREAS, the Board has determined that it is in the best interest of the System to proceed with issuing bonds in one or more series to refinance outstanding bonds and to finance the cost of facilities and improvements for Members of the Revenue Financing System and to authorize the potential refunding of a portion of its Outstanding Parity Debt as described in the definition of Potential Refunded Bonds herein; and

WHEREAS, the bonds authorized to be issued in one or more series by this Seventeenth Supplemental Resolution (the "Seventeenth Supplement") are to be issued and delivered pursuant to laws of the State of Texas, including Chapters 54 and 55 of the Code, Chapter 1371, Texas Government Code, as amended, and other applicable laws, including Chapter 1207, Texas

Government Code, as amended, insofar as it may be required in connection with the refunding of any of the Potential Refunded Bonds, and constitute Parity Debt pursuant to the Master Resolution.

NOW THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS, TEXAS STATE UNIVERSITY SYSTEM THAT:

Section 1. DEFINITIONS. In addition to the definitions set forth in the preamble of this Seventeenth Supplement, the terms used in this Seventeenth Supplement (except in the FORM OF BOND) and not otherwise defined shall have the meanings given in the Master Resolution or in Exhibit "A" to this Seventeenth Supplement attached hereto and made a part hereof.

Section 2. AMOUNT, PURPOSE AND DESIGNATION OF THE BONDS. (a) *Amount and Designation.* The Board's bonds each entitled "BOARD OF REGENTS, TEXAS STATE UNIVERSITY SYSTEM REVENUE FINANCING SYSTEM REVENUE BOND," are hereby authorized to be issued in one or more series and delivered in an aggregate maximum principal amount (determined without regard to premium or discount affecting the sale price) of \$602,040,000. The title of the Bonds shall be designated by the year in which each Series is awarded pursuant to Section 3 below and in the event that another series of bonds is issued by the Board within a calendar year each Series within that year shall have a letter designation following the year. Any Series of Bonds which is issued for the purpose of refunding all or a portion of the Potential Refunded Bonds may include the refunding designation in the title as set forth in the applicable Award Certificate. The authority of the System Representative to execute and deliver an Award Certificate for each Series shall expire at 5:00 p.m. on February 15, 2014, such date being one year from the date of adoption of this Seventeenth Supplement. Bonds priced on or before February 15, 2014 may close after such date.

(b) *Purpose.* The Bonds of each Series are to be issued for the following purposes: (i) ACQUIRING, PURCHASING, CONSTRUCTING, IMPROVING, RENOVATING, ENLARGING OR EQUIPPING THE PROPERTY, BUILDINGS, STRUCTURES, FACILITIES, ROADS OR RELATED INFRASTRUCTURE FOR THE MEMBERS OF THE REVENUE FINANCING SYSTEM INCLUDING ANY NECESSARY CAPITALIZED INTEREST IN AN AMOUNT NOT TO EXCEED THAT AUTHORIZED BY LAW ALL AS FURTHER PROVIDED IN THE AWARD CERTIFICATE, (ii) REFUNDING ALL OR A PORTION OF THE POTENTIAL REFUNDED BONDS, AND (iii) PAYING THE COSTS OF ISSUANCE OF THE BONDS.

Section 3. DATE, DENOMINATIONS, NUMBERS, MATURITIES AND TERMS OF BONDS. (a) *Terms of Each Series of Bonds.* The Bonds of each Series shall initially be issued, sold, and delivered hereunder as fully registered bonds, without interest coupons, numbered consecutively from R-1 upward (except the initial Bond of each Series delivered to the Attorney General of the State of Texas which shall be numbered T-1), payable to the respective initial registered owners thereof, or to the registered assignee or assignees of said bonds or any portion or portions thereof (in each case, the "Registered Owner"), in the denomination of \$5,000 or any integral multiple thereof (an "Authorized Denomination"), each Series maturing not later than March 15, 2044, serially or otherwise on the dates, in the years and in the principal amounts, respectively, dated and be either Taxable Bonds, or Tax-Exempt as provided in Section 4, all as set forth in the Award Certificate of the System Representative.

(b) *Award Certificate*. As authorized by Chapter 1371, Government Code, as amended, the System Representative is hereby authorized, appointed, and designated to act on behalf of the Board in selling and delivering the Bonds of each Series and carrying out the other procedures specified in this Seventeenth Supplement, including determining and fixing the date of each Series of the Bonds, any additional or different designation or title by which each Series of the Bonds shall be known, the price at which each Series of the Bonds will be sold, the years in which each Series of the Bonds will mature, the principal amount to mature in each of such years, the aggregate principal amount of each Series of the Bonds, whether the Bonds are designated as Tax-Exempt Bonds or Taxable Bonds, the rate or rates of interest to be borne by each maturity, the interest payment periods, the dates, price, and terms upon and at which the Bonds shall be subject to redemption prior to maturity at the option of the Board, as well as any mandatory sinking fund redemption provisions, the amount of capitalized interest, if any, for each Series of Bonds and all other matters relating to the issuance, sale, and delivery of the Bonds, all of which shall be specified in a certificate of the System Representative delivered to the Secretary to the Board (the "Award Certificate"); provided that (i) the price to be paid for each Series of the Bonds shall not be less than 90% of the aggregate original principal amount thereof plus accrued interest, if any, thereon from its date to its delivery, (ii) Bonds shall be issued to refund all or a portion of the Potential Refunded Bonds only if such refunding, assuming that each Series sold and delivered at the same time constitutes one Series, results in a present value savings on the Annual Debt Service Requirements on the Refunded Bonds, provided further, that in the case of Refunded Bonds being advance refunded more than 90 days prior to their maturity or earlier redemption, the present value savings on the Annual Debt Service Requirements must not be less than an amount equal to 3% of the principal amount of such Refunded Bonds being advance refunded, and (iii) each Series of the Bonds shall not bear interest at a rate in excess of the maximum rate allowed by law.

In establishing the aggregate principal amount of a Series of Bonds to be issued to refund Refunded Bonds, the System Representative shall establish an amount, not to exceed the amount authorized in Section 2, sufficient to provide for the refunding of the Refunded Bonds that will result in a reduction in the Annual Debt Service Requirements that otherwise would be payable from the Pledged Revenues with respect to the Refunded Bonds, on a present value basis, provided further, that in establishing the aggregate principal amount of a Series of Bonds to be issued to advance refund Refunded Bonds more than 90 days prior to their maturity or earlier redemption date, the System Representative shall establish an amount, not to exceed the amount authorized in Section 2, sufficient to provide for the advance refunding of such Refunded Bonds that will result in a reduction in the Annual Debt Service Requirements that otherwise would be payable from the Pledged Revenues with respect to the Refunded Bonds, on a present value basis of at least 3%. The amount of savings to be realized from the refunding shall be shown in each Award Certificate. The Award Certificate of each Series that is issued to refund Refunded Bonds shall also identify the Refunded Bonds being refunded by that Series.

It is further provided, however, that, notwithstanding the foregoing provisions, the Bonds shall not be delivered unless prior to delivery of each Series (i) the Award Certificate has been executed and delivered as required by this Seventeenth Supplement and (ii) the particular Series of the Bonds have been rated by a nationally recognized rating agency for municipal securities in one of

the four highest rating categories for long term obligations, as required by Chapter 1371, Government Code, as amended.

The System Representative is authorized and directed to determine which facilities and improvements will be financed with the proceeds of the Bonds taking into account (i) the scheduled completion dates of the improvements and facilities financed with the proceeds of the Bonds, (ii) the economic projections for each such facility and improvement and the Member on whose campus the facility or improvement is located and (iii) the projected budget impact on the Financing System of such financing. The designation of which improvements or facilities are to be financed or refinanced with the proceeds of the Bonds shall be set forth in the Award Certificate. Before the System Representative may determine that any improvement or facility is to be financed or refinanced with the proceeds of the Bonds, (i) the improvement or facility must have been approved for construction and financing by the Board, (ii) the Board must have made the findings required by Section 5 of the Master Resolution with respect to the Parity Debt to be issued for such improvement or facility, and (iii) the project must have received any required approval or review of the Higher Education Coordinating Board to the extent and as required by the provisions of Chapter 61 of the Texas Education Code, including Section 61.058 thereof.

Each Award Certificate is hereby incorporated in and made a part of this Seventeenth Supplement.

(c) *Sale of Each Series of Bonds.* To achieve advantageous borrowing costs for the Members of the Financing System, each Series of the Bonds shall be sold on a negotiated, placement or competitive basis as determined by the System Representative in the Award Certificate. In determining whether to sell each Series of the Bonds by negotiated, placement or competitive sale, the System Representative shall take into account the financial condition of the State, the System, and the Financing System, any material disclosure issues which might exist at the time, the market conditions expected at the time of the sale, the achievement of the HUB goals of the Board, and any other matters which, in the judgment of the System Representative, might affect the net borrowing costs on each Series of the Bonds.

If the System Representative determines that a Series of the Bonds should be sold at a competitive sale, the System Representative shall cause to be prepared a notice of sale and official statement in such manner as the System Representative deems appropriate, to make the notice of sale and official statement available to those institutions and firms wishing to submit a bid for the Bonds, to receive such bids, and to award the sale of the Bonds to the bidder submitting the best bid in accordance with the provisions of the notice of sale.

If the System Representative determines that a Series of the Bonds should be sold by a negotiated sale or placement, the System Representative shall designate the placement purchaser or the senior managing underwriter for the Bonds and such additional investment banking firms as the System Representative deems appropriate to assure that the Bonds are sold on the most advantageous terms to the Revenue Financing System. The System Representative, acting for and on behalf of the Board, is authorized to enter into and carry out a Bond Purchase Contract or other agreement for the Bonds to be sold by negotiated sale or placement, with the Underwriter or placement purchaser at such price, with and subject to such terms as determined by the System Representative pursuant to

Section 3(b) above. Each Bond Purchase Contract or other agreement shall be substantially in the form and substance previously approved by the Board in connection with the authorization of Parity Debt with such changes as are acceptable to the System Representative, including those covered by Section 18 or Section 21 and any provisions determined to be necessary by the System Representative and Bond Counsel in the event that such Series of Bonds is being sold in a forward delivery transaction.

(d) ***In General.*** Each Series of the Bonds (i) may and shall be redeemed prior to the respective scheduled maturity dates, (ii) may be assigned and transferred, (iii) may be exchanged for other Bonds, (iv) shall have the characteristics, (v) shall be Tax-Exempt Bonds or Taxable Bonds and (vi) shall be signed and sealed, and the principal of and interest on the Bonds shall be payable, all as provided, and in the manner required or indicated, in the FORM OF BOND set forth in Exhibit "B" to this Seventeenth Supplement and as determined by the System Representative as provided herein, with such changes and additions as are required to be consistent with the terms and provisions shown in the Award Certificate.

Section 4. INTEREST. Each Series of the Bonds shall bear interest, calculated on the basis of a 360-day year composed of twelve 30-day months, from their date, until maturity or redemption, at the rates set forth in the Award Certificate. Each Series of Bonds shall be designated as Tax-Exempt Bonds or Taxable Bonds as set forth in the Award Certificate. Interest shall be payable to the registered owner of any such Bond in the manner provided and on the dates stated in the FORM OF BOND set forth in this Seventeenth Supplement and the Award Certificate.

Section 5. REGISTRATION, TRANSFER, AND EXCHANGE; AUTHENTICATION; BOOK-ENTRY-ONLY SYSTEM. (a) ***Paying Agent/Registrar.*** The System Representative is authorized to select a Paying Agent/Registrar for the Bonds. The System Representative is also authorized to enter into and carry out a Paying Agent/Registrar Agreement with the Paying Agent/Registrar with respect to the Bonds in substantially the form previously approved by the Board in connection with the authorization of Parity Debt with such changes as are acceptable to the System Representative.

(b) ***Registration Books.*** The Board shall keep or cause to be kept at the designated corporate trust office of the Paying Agent/Registrar (the "Designated Trust Office") books or records for the registration of the transfer, exchange, and replacement of the Bonds (the "Registration Books"), and the Board hereby appoints the Paying Agent/Registrar as its registrar and transfer agent to keep such books or records and make such registrations of transfers, exchanges, and replacements under such reasonable regulations as the Board and Paying Agent/Registrar may prescribe; and the Paying Agent/Registrar shall make such registrations, transfers, exchanges, and replacements as herein provided. The Paying Agent/Registrar shall obtain and record in the Registration Books the address of the registered owner of each Bond to which payments with respect to the Bonds shall be mailed, as herein provided; but it shall be the duty of each registered owner to notify the Paying Agent/Registrar in writing of the address to which payments shall be mailed, and such interest payments shall not be mailed unless such notice has been given. The Board shall have the right to inspect the Registration Books at the Designated Trust Office of the Paying Agent/Registrar during regular business hours, but otherwise the Paying Agent/Registrar shall keep the Registration Books

confidential and, unless otherwise required by law, shall not permit their inspection by any other entity. A copy of the Registration Books shall be maintained in the State of Texas.

(c) **Ownership of Bonds.** The entity in whose name any Bond shall be registered in the Registration Books at any time shall be deemed and treated as the absolute owner thereof for all purposes of this Seventeenth Supplement, whether or not such Bond shall be overdue, and, to the extent permitted by law, the Board and the Paying Agent/Registrar shall not be affected by any notice to the contrary; and payment of, or on account of, the principal of, premium, if any, and interest on any such Bond shall be made only to such registered owner. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

(d) **Payment of Bonds and Interest.** The Paying Agent/Registrar shall further act as the paying agent for paying the principal of, premium, if any, and interest on the Bonds, all as provided in this Seventeenth Supplement. The Paying Agent/ Registrar shall keep proper records of all payments made by the Board and the Paying Agent/Registrar with respect to the Bonds.

(e) **Authentication.** The Bonds initially issued and delivered pursuant to this Seventeenth Supplement shall be authenticated by the Paying Agent/Registrar by execution of the Paying Agent/Registrar's Authentication Certificate unless they have been approved by the Attorney General of the State of Texas and registered by the Comptroller of Public Accounts of the State of Texas, and on each substitute Bond issued in exchange for any Bond or Bonds issued under this Seventeenth Supplement the Paying Agent/Registrar shall execute the PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE (the "Authentication Certificate"). The Authentication Certificate shall be in the form set forth in the FORM OF BOND.

(f) **Transfer, Exchange, or Replacement.** Each Bond issued and delivered pursuant to this Seventeenth Supplement, to the extent of the unpaid or unredeemed principal amount thereof, may, upon surrender of such Bond at the Designated Trust Office of the Paying Agent/Registrar, together with a written request therefor duly executed by the registered owner or the assignee or assignees thereof, or its or their duly authorized attorneys or representatives, with guarantee of signatures satisfactory to the Paying Agent/Registrar, may, at the option of the registered owner or such assignee or assignees, as appropriate, be exchanged for fully registered bonds, without interest coupons, in the appropriate form prescribed in the FORM OF BOND set forth in this Seventeenth Supplement, in any Authorized Denomination (subject to the requirement hereinafter stated that each substitute Bond shall be of the same Series and have a single stated maturity date), as requested in writing by such registered owner or such assignee or assignees, in an aggregate principal amount equal to the unpaid or unredeemed principal amount of any Bond or Bonds so surrendered, and payable to the appropriate registered owner, assignee, or assignees, as the case may be. If a portion of any Bond shall be redeemed prior to its scheduled maturity as provided herein, a substitute Bond or Bonds having the same Series designation and maturity date, bearing interest at the same rate, and payable in the same manner, in Authorized Denominations at the request of the registered owner, and in aggregate principal amount equal to the unredeemed portion thereof, will be issued to the registered owner upon surrender thereof for cancellation. If any Bond or portion thereof is assigned and transferred, each Bond issued in exchange therefor shall have the same Series designation and maturity date and bear interest at the same rate and payable in the same manner as the Bond for

which it is being exchanged. Each substitute Bond shall bear a letter and/or number to distinguish it from each other Bond. The Paying Agent/Registrar shall exchange or replace Bonds as provided herein, and each fully registered bond delivered in exchange for or replacement of any Bond or portion thereof as permitted or required by any provision of this Seventeenth Supplement shall constitute one of the Bonds for all purposes of this Seventeenth Supplement, and may again be exchanged or replaced. On each substitute Bond issued in exchange for or replacement of any Bond or Bonds issued under this Seventeenth Supplement there shall be printed an Authentication Certificate, in the form set forth in Exhibit "B" to this Seventeenth Supplement. An authorized representative of the Paying Agent/Registrar shall, before the delivery of any such Bond, date and manually sign the Authentication Certificate, and, except as provided in (e) above, no such Bond shall be deemed to be issued or outstanding unless the Authentication Certificate is so executed. The Paying Agent/Registrar promptly shall cancel all Bonds surrendered for transfer, exchange, or replacement. No additional orders or resolutions need be passed or adopted by the Board or any other body or person so as to accomplish the foregoing transfer, exchange, or replacement of any Bond or portion thereof, and the Paying Agent/Registrar shall provide for the printing, execution, and delivery of the substitute Bonds in the manner prescribed herein, and said Bonds shall be in typed or printed form as determined by the System Representative. Pursuant to Subtitle D, Texas Government Code and particularly Section 1201.063, thereof, the duty of transfer, exchange, or replacement of Bonds as aforesaid is hereby imposed upon the Paying Agent/Registrar, and, upon the execution of the Authentication Certificate, the exchanged or replaced Bond shall be valid, incontestable, and enforceable in the same manner and with the same effect as the Bonds which were originally issued pursuant to this Seventeenth Supplement. The Board shall pay the Paying Agent/Registrar's standard or customary fees and charges, if any, for transferring, and exchanging any Bond or any portion thereof, but the one requesting any such transfer and exchange shall pay any taxes or governmental charges required to be paid with respect thereto as a condition precedent to the exercise of such privilege. The Paying Agent/Registrar shall not be required to make any such transfer, exchange, or replacement of Bonds or any portion thereof (i) during the period commencing with the close of business on any Record Date and ending with the opening of business on the next following interest payment date, or (ii) with respect to any Bond or portion thereof called for redemption prior to maturity, within 45 days prior to its redemption date. To the extent possible, any new Bond issued in an exchange, replacement, or transfer of a Bond will be delivered to the registered owner or assignee of the registered owner not more than three business days after the receipt of the Bonds to be canceled and the written request as described above.

(g) ***Substitute Paying Agent/Registrar.*** The Board covenants with the registered owners of the Bonds that at all times while the Bonds are outstanding the Board will provide a competent and legally qualified bank, trust company, financial institution, or other agency to act as and perform the services of Paying Agent/Registrar for the Bonds under this Seventeenth Supplement, and that the Paying Agent/Registrar will be one entity. The Board reserves the right to, and may, at its option, change the Paying Agent/Registrar upon not less than 120 days written notice to the Paying Agent/Registrar, to be effective not later than 60 days prior to the next principal or interest payment date after such notice. In the event that the entity at any time acting as Paying Agent/Registrar (or its successor by merger, acquisition, or other method) should resign or otherwise cease to act as such, the Board covenants that promptly it will appoint a competent and legally qualified bank, trust company, financial institution, or other agency to act as Paying Agent/Registrar under this Seventeenth Supplement. Upon any change in the Paying Agent/Registrar, the previous Paying

Agent/Registrar promptly shall transfer and deliver the Registration Books (or a copy thereof), along with all other pertinent books and records relating to the Bonds, to the new Paying Agent/Registrar designated and appointed by the Board. Upon any change in the Paying Agent/Registrar, the Board promptly will cause a written notice thereof to be sent by the new Paying Agent/Registrar to each registered owner of the Bonds, by United States mail, first-class postage prepaid, which notice also shall give the address of the new Paying Agent/Registrar. By accepting the position and performing as such, each Paying Agent/Registrar shall be deemed to have agreed to the provisions of this Seventeenth Supplement, and a certified copy of this Seventeenth Supplement shall be delivered to each Paying Agent/Registrar.

(h) ***Book-Entry-Only System.*** The Bonds issued in exchange for the Bonds initially issued and delivered to the underwriter shall be issued in the form of a separate single fully registered Bond per Series for each of the maturities thereof registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"), and except as provided in subsection (i) hereof, all of the Outstanding Bonds shall be registered in the name of Cede & Co., as nominee of DTC. With respect to Bonds registered in the name of Cede & Co., as nominee of DTC, the Board and the Paying Agent/Registrar shall have no responsibility or obligation to any DTC Participant or to any person on behalf of whom such a DTC Participant holds an interest on the Bonds. Without limiting the immediately preceding sentence, the Board and the Paying Agent/Registrar shall have no responsibility or obligation with respect to (i) the accuracy of the records of DTC, Cede & Co. or any DTC Participant with respect to any ownership interest in the Bonds, (ii) the delivery to any DTC Participant or any other person, other than a Bondholder, as shown on the Registration Books, of any notice with respect to the Bonds, including any notice of redemption, or (iii) the payment to any DTC Participant or any other person, other than a Bondholder, as shown in the Registration Books of any amount with respect to principal of, premium, if any, or interest on the Bonds. Notwithstanding any other provision of this Seventeenth Supplement to the contrary but to the extent permitted by law, the Board and the Paying Agent/Registrar shall be entitled to treat and consider the person in whose name each Bond is registered in the Registration Books as the absolute owner of such Bond for the purpose of payment of principal, premium, if any, and interest, with respect to such Bond, for the purpose of giving notices of redemption and other matters with respect to such Bond, for the purpose of registering transfers with respect to such Bond, and for all other purposes whatsoever. The Paying Agent/Registrar shall pay all principal of, premium, if any, and interest on the Bonds only to or upon the order of the respective owners, as shown in the Registration Books as provided in this Seventeenth Supplement, or their respective attorneys duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the Board's obligations with respect to payment of principal of, premium, if any, and interest on the Bonds to the extent of the sum or sums so paid. No person other than an owner, as shown in the Registration Books, shall receive a Bond certificate evidencing the obligation of the Board to make payments of principal, premium, if any, and interest pursuant to this Seventeenth Supplement. Upon delivery by DTC to the Paying Agent/Registrar of written notice to the effect that DTC has determined to substitute a new nominee in place of Cede & Co., and subject to the provisions in this Seventeenth Supplement with respect to interest checks being mailed to the registered owner at the close of business on the Record Date, the word "Cede & Co." in this Seventeenth Supplement shall refer to such new nominee of DTC.

(i) **Successor Securities Depository; Transfers Outside Book-Entry-Only System.** In the event that the Board or the Paying Agent/Registrar determines that DTC is incapable of discharging its responsibilities described herein and in the representation letter of the Board to DTC (as described in Section 23 of this Seventeenth Supplement) or DTC determines to discontinue providing its services with respect to the Bonds, the Board shall (i) appoint a successor securities depository, qualified to act as such under Section 17A of the Securities and Exchange Act of 1934, as amended, notify DTC and DTC Participants of the appointment of such successor securities depository and transfer one or more separate Bonds to such successor securities depository or (ii) notify DTC and DTC Participants of the availability through DTC of Bonds and transfer one or more separate Bonds to DTC Participants having Bonds credited to their DTC accounts. In such event, the Bonds shall no longer be restricted to being registered in the Registration Books in the name of Cede & Co., as nominee of DTC, but may be registered in the name of the successor securities depository, or its nominee, or in whatever name or names Bondholders transferring or exchanging Bonds shall designate, in accordance with the provisions of this Seventeenth Supplement.

(j) **Payments to Cede & Co.** Notwithstanding any other provision of this Seventeenth Supplement to the contrary, so long as any Bond is registered in the name of Cede & Co., as nominee of DTC, all payments with respect to principal of, premium, if any, and interest on such Bond and all notices with respect to such Bond shall be made and given, respectively, in the manner provided in the representation letter of the Board to DTC.

(k) **Notice of Redemption and Defeasance.** (i) In addition to the Notice of Redemption set forth in the FORM OF BOND, the System Representative shall give notice of redemption or defeasance to the Paying Agent/Registrar at least 45 days prior to a redemption date in the case of a redemption and on the defeasance date in the case of a defeasance and the Paying Agent/Registrar shall give notice of redemption or of defeasance of Bonds by mail, first-class postage prepaid at least thirty (30) days prior to a redemption date and within thirty (30) days after a defeasance date to each registered securities depository and to any national information service that disseminates such notices. In addition, in the event of a redemption caused by an advance refunding of the Bonds, the Paying Agent/Registrar shall send a second notice of redemption to the registered owners of the Bonds to be redeemed at least thirty (30) days but not more than ninety (90) days prior to the actual redemption date. The Paying Agent/Registrar shall also send a notice of prepayment or redemption to the registered owner of any Bond who has not sent the Bonds in for redemption sixty (60) days after the redemption date.

(ii) Each Notice of Redemption or Defeasance, whether required in the FORM OF BOND or in this Section, shall contain a description of the Bonds to be redeemed or defeased including the complete name of the Bonds, the dated date of the Bonds, the interest rate, the maturity date, the CUSIP numbers and amounts of each maturity called for redemption, the mailing date for the notice, the date of redemption or defeasance, the redemption price, if any, the name of the Paying Agent/Registrar and the address at which the Bonds may be redeemed or paid, along with any other applicable contact information of the Paying Agent/Registrar.

(iii) All redemption payments made by the Paying Agent/Registrar to the registered owners of the Bonds shall include a CUSIP number relating to each amount paid to such registered owner.

(iv) If at the time of mailing of notice of any optional redemption in connection with a refunding of the Bonds, the Board shall not have deposited with the Paying Agent/Registrar or an eligible financial institution moneys sufficient to redeem all of the Bonds called for redemption, such notice may state that it is conditional in that it is subject to the deposit of the proceeds of refunding bonds with the Paying Agent/Registrar or an eligible financial institution not later than the redemption date, and such notice shall be of no effect unless such moneys are so deposited.

Section 6. FORM OF BOND. The form of the Bonds, including the form of the Authentication Certificate, the form of Assignment and the form of Registration Certificate of the Comptroller of Public Accounts of the State of Texas, with respect to the Bonds initially issued and delivered pursuant to this Seventeenth Supplement, shall be, respectively, substantially as set forth in Exhibit "B", with such appropriate variations, omissions, or insertions as are permitted or required by this Seventeenth Supplement and any Award Certificate including specifically information relating to payment dates, the date of the Bonds, redemption provisions and the information to be included in the purpose clause.

Section 7. ESTABLISHMENT OF FINANCING SYSTEM AND ISSUANCE OF PARITY DEBT. By adoption of the Master Resolution, the Board has established the Texas State University System Revenue Financing System for the purpose of providing a financing structure for revenue supported indebtedness of components of the Texas State University System which are from time to time included as Members of the Financing System. The Master Resolution is intended to establish a master plan under which revenue supported debt of the Financing System can be incurred. This Seventeenth Supplement provides for the authorization, issuance, sale, delivery, form, characteristics, provisions of payment and redemption, and security of the Bonds as Parity Debt. The Master Resolution is incorporated herein by reference and as such made a part hereof for all purposes, except to the extent modified and supplemented hereby, and the Bonds are hereby declared to be Parity Debt under the Master Resolution. As required by Section 5(a) of the Master Resolution, the Board hereby determines that upon the issuance of the Bonds it will have sufficient funds to meet the financial obligations of the Texas State University System, including sufficient Pledged Revenues to satisfy the Annual Debt Service Requirements of the Financing System and to meet all financial obligations of the Board relating to the Financing System and that the Members on whose behalf the Bonds are to be issued possess the financial capacity to satisfy their Direct Obligations after taking the Bonds into account.

Section 8. SECURITY, PAYMENTS AND PERFECTION. The Bonds are special obligations of the Board payable from and secured solely by the Pledged Revenues pursuant to the Master Resolution and this Seventeenth Supplement. The Pledged Revenues are hereby pledged, subject to the liens securing the Prior Encumbered Obligations, to the payment of the principal of, premium, if any, and interest on the Bonds as the same shall become due and payable. The Board agrees to pay the principal of, premium, if any, and the interest on the Bonds when due, whether by reason of maturity or redemption.

Chapter 1208, Government Code, applies to the issuance of the Bonds and the pledge of the Pledged Revenues granted by the Board under this Section of this Seventeenth Supplement, and such pledge is therefore valid, effective and perfected. If Texas law is amended at any time while the

Bonds are outstanding and unpaid such that the pledge of Pledged Revenues granted by the Board under this Section of this Seventeenth Supplement is to be subject to the filing requirements of Chapter 9, Business & Commerce Code, then in order to preserve to the registered owners of the Bonds the perfection of the security interest in said pledge, the Board agrees to take such measures as it determines are reasonable and necessary under Texas law to comply with the applicable provisions of Chapter 9, Business & Commerce Code and enable a filing to perfect the security interest in said pledge to occur.

Section 9. PAYMENTS. (a) Immediately after the delivery of the Bonds, the Board shall deposit any accrued interest received from the sale and delivery of the Bonds to the credit of a special account to be held to pay interest on such Bonds on the first interest payment date.

(b) Semiannually on or before each principal or interest payment date while any of the Bonds are outstanding and unpaid, commencing on the first interest payment date for the Bonds as provided therein, the Board shall make available to the Paying Agent/Registrar, money sufficient to pay such interest on and such principal of the Bonds as will accrue or mature, or be subject to mandatory redemption prior to maturity, on such principal, redemption, or interest payment date. The Paying Agent/Registrar shall cancel all paid Bonds and shall furnish the Board with an appropriate certificate of cancellation.

Section 10. DAMAGED, MUTILATED, LOST, STOLEN, OR DESTROYED BONDS.

(a) ***Replacement Bonds.*** In the event any outstanding Bond is damaged, mutilated, lost, stolen, or destroyed, the Paying Agent/Registrar shall cause to be printed, executed, and delivered, a new bond of the same Series, principal amount, maturity, and interest rate, and in the same form, as the damaged, mutilated, lost, stolen, or destroyed Bond, in replacement for such Bond in the manner hereinafter provided.

(b) ***Application for Replacement Bonds.*** Application for replacement of damaged, mutilated, lost, stolen, or destroyed Bonds shall be made to the Paying Agent/Registrar. In every case of loss, theft, or destruction of a Bond, the applicant for a replacement bond shall furnish to the Board and to the Paying Agent/Registrar such security or indemnity as may be required by them to save each of them harmless from any loss or damage with respect thereto. Also, in every case of loss, theft, or destruction of a Bond, the applicant shall furnish to the Board and to the Paying Agent/Registrar evidence to their satisfaction of the loss, theft, or destruction of such Bond, as the case may be. In every case of damage or mutilation of a Bond, the applicant shall surrender to the Paying Agent/Registrar for cancellation the Bond so damaged or mutilated.

(c) ***Payment in Lieu of Replacement.*** Notwithstanding the foregoing provisions of this Section, in the event any such Bond shall have matured, and no default has occurred which is then continuing in the payment of the principal of, redemption premium, if any, or interest on the Bond, the Board may authorize the payment of the same (without surrender thereof except in the case of a damaged or mutilated Bond) instead of issuing a replacement Bond, provided security or indemnity is furnished as above provided in this Section.

(d) ***Charge for Issuing Replacement Bonds.*** Prior to the issuance of any replacement bond, the Paying Agent/Registrar shall charge the owner of such Bond with all legal, printing, and other

expenses in connection therewith including any security or indemnity as may be required by the Board. Every replacement bond issued pursuant to the provisions of this Section by virtue of the fact that any Bond is lost, stolen, or destroyed shall constitute a contractual obligation of the Board whether or not the lost, stolen, or destroyed Bond shall be found at any time, or be enforceable by anyone, and shall be entitled to all the benefits of this Seventeenth Supplement equally and proportionately with any and all other Bonds duly issued under this Seventeenth Supplement.

(e) ***Authority for Issuing Replacement Bonds.*** In accordance with Subchapter D of Chapter 1201, Texas Government Code, this Section shall constitute authority for the issuance of any such replacement bond without the necessity of further action by the Board or any other body or person, and the duty of the replacement of such Bonds is hereby authorized and imposed upon the Paying Agent/Registrar, and the Paying Agent/Registrar shall authenticate and deliver such Bonds in the form and manner and with the effect, as provided in Section 5(f) of this Seventeenth Supplement for Bonds issued in exchange and replacement for other Bonds.

Section 11. AMENDMENT OF SUPPLEMENT. (a) ***Amendments Without Consent.*** This Seventeenth Supplement and the rights and obligations of the Board and of the owners of the Bonds may be modified or amended at any time without notice to or the consent of any owner of the Bonds or any other Parity Debt, solely for any one or more of the following purposes:

(i) To add to the covenants and agreements of the Board contained in this Seventeenth Supplement, other covenants and agreements thereafter to be observed, or to surrender any right or power reserved to or conferred upon the Board in this Seventeenth Supplement;

(ii) To cure any ambiguity or inconsistency, or to cure or correct any defective provisions contained in this Seventeenth Supplement, upon receipt by the Board of an Opinion of Counsel, that the same is needed for such purpose, and will more clearly express the intent of this Seventeenth Supplement;

(iii) To supplement the security for the Bonds, replace or provide additional credit facilities, or change the form of the Bonds or make such other changes in the provisions hereof as the Board may deem necessary or desirable and which shall not, in the judgment of the Board, materially adversely affect the interests of the owners of the Outstanding Bonds;

(iv) To make any changes or amendments requested by any bond rating agency then rating or requested to rate the Bonds, as a condition to the issuance or maintenance of a rating, which changes or amendments do not, in the judgment of the Board, materially adversely affect the interests of the owners of the Outstanding Bonds;

(v) To make such changes, modifications or amendments as are permitted by Section 18 (c) (v) of this Seventeenth Supplement; or

(vi) To make such other changes in the provisions hereof as the Board may deem necessary or desirable and which shall not, in the judgment of the Board, materially adversely affect the interests of the owners of the Outstanding Bonds.

(b) **Amendments With Consent.** Subject to the other provisions of this Seventeenth Supplement, the owners of Outstanding Bonds aggregating 51% in Outstanding Principal Amount shall have the right from time to time to approve any amendment, other than amendments described in Subsection (a) of this Section, to this Seventeenth Supplement which may be deemed necessary or desirable by the Board; provided, however, that nothing herein contained shall permit or be construed to permit, without the approval of the owners of all of the Outstanding Bonds, the amendment of the terms and conditions in this Seventeenth Supplement or in the Bonds so as to:

- (1) Make any change in the maturity of the Outstanding Bonds;
- (2) Reduce the rate of interest borne by the Outstanding Bonds;
- (3) Reduce the amount of the principal payable on the Outstanding Bonds;
- (4) Modify the terms of payment of principal of or interest on the Outstanding Bonds, or impose any conditions with respect to such payment;
- (5) Affect the rights of the owners of less than all Bonds then Outstanding; or
- (6) Change the minimum percentage of the Outstanding Principal Amount of Bonds necessary for consent to such amendment.

(c) **Notice.** (i) If at any time the Board shall desire to amend this Seventeenth Supplement other than pursuant to (a) above, the Board shall cause notice of the proposed amendment to be published in a financial newspaper or journal of general circulation in The City of New York, New York once during each calendar week for at least two successive calendar weeks. Such notice shall briefly set forth the nature of the proposed amendment and shall state that a copy thereof is on file at the principal office of the Registrar for inspection by all owners of Bonds. Such publication is not required, however, if the Board gives or causes to be given such notice in writing to each owner of Bonds.

(ii) Copies of any modification or amendment to the Master Resolution or this Seventeenth Supplement shall be sent to Standard & Poor's Ratings Services and Moody's Investors Service, Inc. at least 10 days prior to the effective date thereof.

(d) **Receipt of Consents.** Whenever at any time not less than thirty days, and within one year, from the date of the first publication of said notice or other service of written notice of the proposed amendment the Board shall receive an instrument or instruments executed by all of the owners or the owners of at least 51% in Outstanding Principal Amount of Bonds, as appropriate, which instrument or instruments shall refer to the proposed amendment described in said notice and which specifically consent to and approve such amendment in substantially the form of the copy thereof on file as aforesaid, the Board may adopt the amendatory resolution in substantially the same form.

(e) **Effect of Amendments.** Upon the adoption by the Board of any resolution to amend this Seventeenth Supplement pursuant to the provisions of this Section, this Seventeenth Supplement

shall be deemed to be amended in accordance with the amendatory resolution, and the respective rights, duties, and obligations of the Board and all the owners of then Outstanding Bonds and all future Bonds shall thereafter be determined, exercised, and enforced under the resolution and this Seventeenth Supplement, as amended.

(f) **Consent Irrevocable.** Any consent given by any owner of Bonds pursuant to the provisions of this Section shall be irrevocable for a period of six months from the date of the first publication or other service of the notice provided for in this Section, and shall be conclusive and binding upon all future owners of the same Bonds during such period. Such consent may be revoked at any time after six months from the date of the first publication of such notice by the owner who gave such consent, or by a successor in title, by filing notice thereof with the Registrar and the Board, but such revocation shall not be effective if the owners of 51% in Outstanding Principal Amount of Bonds, prior to the attempted revocation, consented to and approved the amendment.

(g) **Ownership.** For the purpose of this Section, the ownership and other matters relating to all Bonds registered as to ownership shall be determined from the Registration Books kept by the Registrar therefor. The Registrar may conclusively assume that such ownership continues until written notice to the contrary is served upon the Registrar.

(h) **Insurer Consent.** Notwithstanding the foregoing provisions of this Section, so long as any Insurer is not in default under its policy, no amendment or supplement to the Master Resolution or this Seventeenth Supplement may become effective except upon obtaining the prior written consent of any such Insurer, other than a supplement for the issuance of additional debt in accordance with the Master Resolution for which no prior written consent of the Insurer is necessary.

Section 12. COVENANTS REGARDING TAX-EXEMPTION OF INTEREST ON THE TAX-EXEMPT BONDS. (a) **Covenants.** The Board covenants to take any action necessary to assure, or refrain from any action which would adversely affect, the treatment of the Tax-Exempt Bonds as obligations described in section 103 of the Internal Revenue Code of 1986, as amended (the "IRS Code"), the interest on which is not includable in the "gross income" of the holder for purposes of federal income taxation. In furtherance thereof, the Board covenants as follows:

(1) to take any action to assure that no more than 10 percent of the proceeds of the Tax-Exempt Bonds or the projects financed or refinanced therewith (less amounts deposited to a reserve fund, if any) are used for any "private business use," as defined in section 141(b)(6) of the IRS Code or, if more than 10 percent of the proceeds of the Tax-Exempt Bonds or the projects financed or refinanced therewith are so used, such amounts, whether or not received by the Board, with respect to such private business use, do not, under the terms of this Seventeenth Supplement or any underlying arrangement, directly or indirectly, secure or provide for the payment of more than 10 percent of the debt service on the Tax-Exempt Bonds, in contravention of section 141(b)(2) of the IRS Code;

(2) to take any action to assure that in the event that the "private business use" described in subsection (1) hereof exceeds 5 percent of the proceeds of the Tax-Exempt Bonds or the projects financed or refinanced therewith (less amounts deposited into a reserve fund, if any) then the amount in excess of 5 percent is used for a "private business use" which

is "related" and not "disproportionate," within the meaning of section 141(b)(3) of the IRS Code, to the governmental use;

(3) to take any action to assure that no amount which is greater than the lesser of \$5,000,000, or 5 percent of the proceeds of the Tax-Exempt Bonds (less amounts deposited into a reserve fund, if any) is directly or indirectly used to finance loans to persons, other than state or local governmental units, in contravention of section 141(c) of the IRS Code;

(4) to refrain from taking any action which would otherwise result in the Tax-Exempt Bonds being treated as "private activity bonds" within the meaning of section 141(b) of the IRS Code;

(5) to refrain from taking any action that would result in the Tax-Exempt Bonds being "federally guaranteed" within the meaning of section 149(b) of the IRS Code;

(6) to refrain from using any portion of the proceeds of the Tax-Exempt Bonds, directly or indirectly, to acquire or to replace funds which were used, directly or indirectly, to acquire investment property (as defined in section 148(b)(2) of the IRS Code) which produces a materially higher yield over the term of the Tax-Exempt Bonds, other than investment property acquired with:

(A) proceeds of the Tax-Exempt Bonds invested for a reasonable temporary period of 3 years or less or, in the case of a refunding bond, for a period of 30 days or less until such proceeds are needed for the purpose for which the Tax-Exempt Bonds are issued,

(B) amounts invested in a bona fide debt service fund, within the meaning of section 1.148-1(b) of the Treasury Regulations, and

(C) amounts deposited in any reasonably required reserve or replacement fund to the extent such amounts do not exceed 10 percent of the proceeds of the Tax-Exempt Bonds;

(7) to otherwise restrict the use of the proceeds of the Tax-Exempt Bonds or amounts treated as proceeds of the Tax-Exempt Bonds, as may be necessary, so that the Tax-Exempt Bonds do not otherwise contravene the requirements of section 148 of the IRS Code (relating to arbitrage) and, to the extent applicable, section 149(d) of the IRS Code (relating to advance refundings); and

(8) to pay to the United States of America at least once during each five-year period (beginning on the date of delivery of the Bonds) an amount that is at least equal to 90 percent of the "Excess Earnings," within the meaning of section 148(f) of the IRS Code and to pay to the United States of America, not later than 60 days after the Tax-Exempt Bonds have been paid in full, 100 percent of the amount then required to be paid as a result of Excess Earnings under section 148(f) of the IRS Code; and

(9) to assure that the proceeds of the Bonds will be used solely for new money projects.

(b) **Rebate Fund.** With respect to the Tax-Exempt Bonds, in order to facilitate compliance with the above covenant (8), a "Rebate Fund" is hereby established by the Board for the sole benefit of the United States of America, and such fund shall not be subject to the claim of any other person, including without limitation the bondholders. The Rebate Fund is established for the additional purpose of compliance with section 148 of the IRS Code.

(c) **Proceeds.** With respect to the Tax-Exempt Bonds, the Board understands that the term "proceeds" includes "disposition proceeds" as defined in the Treasury Regulations and, in the case of refunding bonds, transferred proceeds (if any) and proceeds of the refunded bonds expended prior to the date of issuance of the Tax-Exempt Bonds. It is the understanding of the Board that the covenants contained herein are intended to assure compliance with the IRS Code and any regulations or rulings promulgated by the U.S. Department of the Treasury pursuant thereto. In the event that regulations or rulings are hereafter promulgated which modify or expand provisions of the IRS Code, as applicable to the Tax-Exempt Bonds, the Board will not be required to comply with any covenant contained herein to the extent that such failure to comply, in the opinion of nationally recognized bond counsel, will not adversely affect the exemption from federal income taxation of interest on the Tax-Exempt Bonds under section 103 of the IRS Code. In the event that regulations or rulings are hereafter promulgated which impose additional requirements which are applicable to the Tax-Exempt Bonds, the Board agrees to comply with the additional requirements to the extent necessary, in the opinion of nationally recognized bond counsel, to preserve the exemption from federal income taxation of interest on the Tax-Exempt Bonds under section 103 of the IRS Code. In furtherance of such intention, the Board hereby authorizes and directs the System Representative to execute any documents, certificates or reports required by the IRS Code and to make such elections, on behalf of the Board, which may be permitted by the IRS Code as are consistent with the purpose for the issuance of the Tax-Exempt Bonds.

(d) **Allocation Of, and Limitation On, Expenditures for the Project.** If the Bonds are issued as Tax-Exempt Bonds, the Board covenants to account for the expenditure of sale proceeds and investment earnings to be used for the purposes described in Section 2 of this Seventeenth Supplement (the "Project") on its books and records in accordance with the requirements of the IRS Code. The Board recognizes that in order for the proceeds to be considered used for the reimbursement of costs, the proceeds must be allocated to expenditures within 18 months of the later of the date that (1) the expenditure is made, or (2) the Project is completed; but in no event later than three years after the date on which the original expenditure is paid. The foregoing notwithstanding, the Board recognizes that in order for proceeds to be expended under the IRS Code, the sale proceeds or investment earnings must be expended no more than 60 days after the earlier of (1) the fifth anniversary of the delivery of the Tax-Exempt Bonds, or (2) the date the Tax-Exempt Bonds are retired. The Board agrees to obtain the advice of nationally-recognized bond counsel if such expenditure fails to comply with the foregoing to assure that such expenditure will not adversely affect the tax-exempt status of the Tax-Exempt Bonds. For purposes of this subsection, the Board shall not be obligated to comply with this covenant if it obtains an opinion of nationally-recognized bond counsel to the effect that such failure to comply will not adversely affect the excludability for federal income tax purposes from gross income of the interest.

(e) **Disposition of Project.** If the Bonds are issued as Tax-Exempt Bonds, the Board covenants that the property constituting the Project or the projects financed by any Refunded Bonds will not be sold or otherwise disposed in a transaction resulting in the receipt by the Board of cash or other compensation, unless the Board obtains an opinion of nationally-recognized bond counsel that such sale or other disposition will not adversely affect the tax-exempt status of the Tax-Exempt Bonds. For purposes of this subsection, the portion of the property comprising personal property and disposed of in the ordinary course shall not be treated as a transaction resulting in the receipt of cash or other compensation. For purposes of this subsection, the Board shall not be obligated to comply with this covenant if it obtains an opinion of nationally-recognized bond counsel to the effect that such failure to comply will not adversely affect the excludability for federal income tax purposes from gross income of the interest.

Section 13. SEVENTEENTH SUPPLEMENT TO CONSTITUTE A CONTRACT; EQUAL SECURITY. In consideration of the acceptance of the Bonds, the issuance of which is authorized hereunder, by those who shall hold the same from time to time, this Seventeenth Supplement shall be deemed to be and shall constitute a contract between the Board and the Holders from time to time of the Bonds and the pledge made in this Seventeenth Supplement by the Board and the covenants and agreements set forth in this Seventeenth Supplement to be performed by the Board shall be for the equal and proportionate benefit, security, and protection of all Holders, without preference, priority, or distinction as to security or otherwise of any of the Bonds authorized hereunder over any of the others by reason of time of issuance, sale, or maturity thereof or otherwise for any cause whatsoever, except as expressly provided in or permitted by this Seventeenth Supplement.

Section 14. SEVERABILITY OF INVALID PROVISIONS. If any one or more of the covenants, agreements, or provisions herein contained shall be held contrary to any express provisions of law or contrary to the policy of express law, though not expressly prohibited, or against public policy, or shall for any reason whatsoever be held invalid, then such covenants, agreements, or provisions shall be null and void and shall be deemed separable from the remaining covenants, agreements, or provisions and shall in no way affect the validity of any of the other provisions hereof or of the Bonds issued hereunder.

Section 15. PAYMENT AND PERFORMANCE ON BUSINESS DAYS. Except as provided to the contrary in the FORM OF BOND, whenever under the terms of this Seventeenth Supplement or the Bonds, the performance date of any provision hereof or thereof, including the payment of principal of or interest on the Bonds, shall occur on a day other than a Business Day, then the performance thereof, including the payment of principal of and interest on the Bonds, need not be made on such day but may be performed or paid, as the case may be, on the next succeeding Business Day with the same force and effect as if made on the date of performance or payment.

Section 16. LIMITATION OF BENEFITS WITH RESPECT TO THE SEVENTEENTH SUPPLEMENT. With the exception of the rights or benefits herein expressly conferred, nothing expressed or contained herein or implied from the provisions of this Seventeenth Supplement or the Bonds is intended or should be construed to confer upon or give to any person other than the Board, the Holders, and the Paying Agent/Registrar, any legal or equitable right,

remedy, or claim under or by reason of or in respect to this Seventeenth Supplement or any covenant, condition, stipulation, promise, agreement, or provision herein contained. This Seventeenth Supplement and all of the covenants, conditions, stipulations, promises, agreements, and provisions hereof are intended to be and shall be for and inure to the sole and exclusive benefit of the Board, the Holders, and the Paying Agent/Registrar as herein and therein provided.

Section 17. CUSTODY, APPROVAL, BOND COUNSEL'S OPINION, CUSIP NUMBERS, PREAMBLE AND INSURANCE. The System Representative is hereby authorized to have control of the Bonds issued hereunder and all necessary records and proceedings pertaining to the Bonds pending their delivery and approval by the Attorney General of the State of Texas. The System Representative is hereby authorized, to the extent deemed necessary or advisable thereby, in the discretion thereof, to request that the Attorney General approve the Bonds as permitted by Chapter 1202, Texas Government Code, in which case the System Representative also is authorized to request the Comptroller of Public Accounts register the Bonds, and to cause an appropriate legend reflecting such approval and registration to appear on the Bonds and the substitute Bonds. The approving legal opinion of the Board's Bond Counsel and the assigned CUSIP numbers may, at the option of the Board, be printed on the Bonds and on any Bonds issued and delivered in exchange or replacement of any Bond, but neither shall have any legal effect, and shall be solely for the convenience and information of the registered owners of the Bonds. The preamble to this Seventeenth Supplement is hereby adopted and made a part of this Seventeenth Supplement for all purposes. If insurance is obtained on any of the Bonds, the Bonds shall bear, as appropriate and applicable, a legend concerning insurance as provided by the municipal bond insurance company issuing any such insurance.

Section 18. CONTINUING DISCLOSURE UNDERTAKING. (a) Annual Reports. To the extent that such financial information and operating data is reasonably obtainable under generally acceptable accounting principles applicable to the Board, as modified by the laws of the State of Texas and the rules and regulations of the Comptroller of Public Accounts of the State of Texas, the Board shall provide annually to the MSRB, in an electronic format as prescribed by the MSRB, within six months after the end of each Fiscal Year, financial information and operating data with respect to Board, as determined by the System Representative at the time the Bonds are sold. The Award Certificate shall specify the financial information and operating data to be provided pursuant to this Section. In the event that financial information and operating data of such general type is not reasonably available, financial information and operating data will be provided as prescribed by the applicable accounting principles and the governing laws, rules, and regulations applicable to the Board. The undertaking of the Board contained in the preceding sentence may be modified by the System Representative in the Award Certificate upon advice of counsel. Any financial statements so to be provided shall be (1) prepared in accordance with the accounting principles described in Exhibit C hereto, as may be modified in the Award Certificate, and (2) audited, if the Board commissions an audit of such statements and the audit is completed within the period during which they must be provided. If audited financial statements are not so provided within the required period, then the Board shall provide unaudited financial statements for the applicable Fiscal Year to the MSRB, in an electronic format as prescribed by the MSRB, and shall file audited financial statements when and if audited financial statements become available. If audited financial statements are not prepared for any Fiscal Year and audited financial statements are prepared with respect to the State of Texas for such Fiscal Year, the Board shall provide, or cause to

be provided, the audited financial statements of the State of Texas for the applicable Fiscal Year to the MSRB, in an electronic format as prescribed by the MSRB, within six months after the end of said Fiscal Year or as soon thereafter as such audited financial statements become available from the State Auditor of the State of Texas. Any such audited financial statements of the State of Texas so provided shall be prepared in accordance with generally accepted accounting principles for state governments, as such principles may be changed from time to time to comply with state law.

If the Board changes the Fiscal Year, the Board will notify the MSRB of the change (and of the date of the new Fiscal Year end) prior to the next date by which the Board otherwise would be required to provide financial information and operating data pursuant to this Section.

The financial information and operating data to be provided pursuant to this subsection may be set forth in full in one or more documents or may be included by specific reference to any document that is available to the public on the MSRB's internet web site or filed with the SEC. All documents provided to the MSRB pursuant to this subsection shall be accompanied by identifying information as prescribed by the MSRB.

(b) Material Event Notices. The Board shall notify the MSRB, in an electronic format as prescribed by the MSRB, in a timely manner not in excess of ten business days after the occurrence of the event, of any of the following events with respect to the Bonds:

- A. Principal and interest payment delinquencies;
- B. Non-payment related defaults, if material within the meaning of the federal securities laws;
- C. Unscheduled draws on debt service reserves reflecting financial difficulties;
- D. Unscheduled draws on credit enhancements reflecting financial difficulties;
- E. Substitution of credit or liquidity providers, or their failure to perform;
- F. Adverse tax opinions, the issuance by the Internal Revenue Service of proposed or final determinations of taxability, Notices of Proposed Issue (IRS Form 5701BTEB) or other material notices or determinations with respect to the tax status of the Bonds, or other events affecting the tax status of the Bonds;
- G. Modifications to rights of holders of the Bonds, if material within the meaning of the federal securities laws;
- H. Bond calls, if material within the meaning of the federal securities laws;
- I. Defeasances;
- J. Release, substitution, or sale of property securing repayment of the Bonds, if material within the meaning of the federal securities laws;

K. Rating changes;

L. Bankruptcy, insolvency, receivership or similar event of the Board;

M. The consummation of a merger, consolidation, or acquisition involving the Board or the sale of all or substantially all of the assets of the Board, other than in the ordinary course of business, the entry into a definitive agreement to undertake such an action or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms, if material within the meaning of the federal securities laws; and

N. Appointment of a successor or additional trustee or the change of name of a trustee, if material within the meaning of the federal securities laws.

The Board shall notify the MSRB, in an electronic format as prescribed by the MSRB, in a timely manner, of any failure by the Board to provide financial information or operating data in accordance with this subsection by the time required. All documents provided to the MSRB pursuant to this subsection shall be accompanied by identifying information as prescribed by the MSRB.

(c) Limitations, Disclaimers, and Amendments. The Board shall be obligated to observe and perform the covenants specified in this Section for so long as, but only for so long as, the Board remains an "obligated person" with respect to the Bonds within the meaning of the Rule, except that the Board in any event will give the notice required by this Seventeenth Supplement of any Bond calls and defeasance that cause the Bonds to be no longer outstanding.

The provisions of this Section are for the sole benefit of the holders and beneficial owners of the Bonds, and nothing in this Section, express or implied, shall give any benefit or any legal or equitable right, remedy, or claim hereunder to any other person. The Board undertakes to provide only the financial information, operating data, financial statements, and notices that it has expressly agreed to provide pursuant to this Section and does not hereby undertake to provide any other information that may be relevant or material to a complete presentation of the Board's financial results, condition, or prospects relating to the Financing System or hereby undertake to update any information provided in accordance with this Section or otherwise, except as expressly provided herein. The Board does not make any representation or warranty concerning such information or its usefulness to a decision to invest in or sell Bonds at any future date.

UNDER NO CIRCUMSTANCES SHALL THE BOARD BE LIABLE TO THE HOLDER OR BENEFICIAL OWNER OF ANY BOND OR ANY OTHER PERSON, IN CONTRACT OR TORT, FOR DAMAGES RESULTING IN WHOLE OR IN PART FROM ANY BREACH BY THE BOARD, WHETHER NEGLIGENT OR WITHOUT FAULT ON ITS PART, OF ANY COVENANT SPECIFIED IN THIS SECTION, BUT EVERY RIGHT AND REMEDY OF ANY SUCH PERSON, IN CONTRACT OR TORT, FOR OR ON ACCOUNT OF ANY SUCH BREACH SHALL BE LIMITED TO AN ACTION FOR *MANDAMUS* OR SPECIFIC PERFORMANCE.

No default by the Board in observing or performing its obligations under this Section shall constitute a breach of or default under this Seventeenth Supplement for purposes of any other provision of this Seventeenth Supplement.

Should the Rule be amended to obligate the Board to make filings with or provide notices to entities other than the MSRB, the Board hereby agrees to undertake such obligation with respect to the Bonds in accordance with the Rule as amended.

Nothing in this Section is intended or shall act to disclaim, waive, or otherwise limit the duties of the Board under federal and state securities laws.

The provisions of this Section may be amended by the Board from time to time to adapt to changed circumstances that arise from a change in legal requirements, a change in law, or a change in the identity, nature, status, or type of operations of the Board, but only if (1) the provisions of this Section, as so amended, would have permitted an underwriter to purchase or sell Bonds in the primary offering of the Bonds in compliance with the Rule, taking into account any amendments or interpretations of the Rule to the date of such amendment, as well as such changed circumstances, and (2) either (A) the holders of a majority in aggregate principal amount (or any greater amount required by any other provision of this Seventeenth Supplement that authorizes such an amendment) of the outstanding Bonds consent to such amendment or (B) a person that is unaffiliated with the Board (such as nationally recognized bond counsel) determines that such amendment will not materially impair the interests of the holders and beneficial owners of the Bonds. If the Board so amends the provisions of this Section, it shall include with any amended financial information or operating data next provided in accordance with this Section an explanation, in narrative form, of the reasons for the amendment and of the impact of any change in the type of financial information or operating data so provided. The Board may also amend or repeal the provisions of this continuing disclosure requirement if the SEC amends or repeals the applicable provisions of the Rule or a court of final jurisdiction enters judgment that such provisions of the Rule are invalid, but only if and to the extent that the provisions of this sentence would not prevent an underwriter from lawfully purchasing or selling the Bonds in the primary offering of the Bonds.

Section 19. APPLICATION OF BOND PROCEEDS. (a) Proceeds from the sale of each Series of the Bonds shall, promptly upon receipt thereof, be applied by the System Representative as follows:

- (i) accrued interest, if any, for each Series of the Bonds shall be deposited as provided in Section 9;
- (ii) an amount sufficient to accomplish the purposes of Section 2 shall be so applied; and
- (iii) any proceeds from the sale of each Series of the Bonds remaining after the deposits provided for in clauses (i) and (ii) above, shall be applied to pay expenses arising in connection with the issuance of the Bonds.

Any sale proceeds of each Series of the Bonds remaining after making all deposits and payments provided for above shall be applied to the payment of interest on the Bonds.

(b) Additional projects may be added to the list of projects included in the Award Certificate pursuant to Section 3(b) and the amount of the proceeds of a Series of Bonds allocated to each project may be reallocated to other projects in the list, and therefore be financed or refinanced with the proceeds of the Bonds upon satisfaction of the following conditions:

(i) the project has received the required approval or review of the Higher Education Coordinating Board to the extent and as required by the provisions of Chapter 61 of the Texas Education Code, including Section 61.058 thereof;

(ii) the Board shall have approved the construction of the project and made the findings required by Section 5 of the Master Resolution relating to the issuance of Parity Debt to finance the cost of the project;

(iii) the Board shall have received an opinion of nationally-recognized bond counsel with respect to the Revenue Financing System, to the effect that the amendment of the exhibit, or the financing or refinancing of the project, and the expenditure of the proceeds of the Bonds to pay the cost of project will not adversely affect the treatment of interest on the Bonds for federal income tax purposes; and

(iv) the System Representative shall execute and deliver a certificate to the Board certifying (a) that the requirements of subsection (b)(i), (ii), and (iii) of this Section have been satisfied and having attached to such certificate copies of the documents referred to in those subsections and (b) that, to the extent that the list of projects set forth in the Award Certificate or the allocation of proceeds set forth in the Award Certificate to finance or refinance improvements and facilities pursuant to Section 55.17 Authorization have been changed, the Board is in compliance with the requirements and limitations of such applicable Sections of the Education Code. A copy of the certificate shall be filed in the minutes of the Board with the Award Certificate.

Section 20. ADDITIONAL DEFEASANCE PROVISIONS. (a) In addition to the defeasance provisions set forth in Section 12 of the Master Resolution, it is hereby provided that, to the extent that the Bonds are treated as Defeased Debt for purposes of Section 12 of the Master Resolution, any determination not to redeem Defeased Debt that is made in conjunction with the payment arrangements specified in Section 12(a)(i) or (ii) of the Master Resolution shall not be irrevocable, provided that: (1) in the proceedings providing for such defeasance, the Board expressly reserves the right to call the Defeased Bonds for redemption; (2) gives notice of the reservation of that right to the owners of the Defeased Bonds immediately following the defeasance; (3) directs that notice of the reservation be included in any defeasance notices that it authorizes; and (4) at or prior to the time of the redemption, satisfies the conditions of subsection (a) of Section 12 of the Master Resolution with respect to such Defeased Debt as though it was being defeased at the time of the exercise of the option to redeem the Defeased Debt, after taking the redemption into account in determining the sufficiency of the provisions made for the payment of the Defeased Debt.

(b) Notwithstanding the provisions of Section 12(c) of the Master Resolution, in connection with the defeasance of the Bonds pursuant to Section 12 of the Master Resolution, the term Government Obligations shall mean (i) direct, noncallable obligations of the United States of

America, including obligations that are unconditionally guaranteed by the United States of America, (ii) noncallable obligations of an agency or instrumentality of the United States of America, including obligations that are unconditionally guaranteed or insured by the agency or instrumentality and that, on the date of the purchase thereof are rated as to investment quality by a nationally recognized investment rating firm not less than AAA or its equivalent, (iii) noncallable obligations of a state or an agency or a county, municipality, or other political subdivision of a state that have been refunded and that, on the date the Board adopts or approves the proceedings authorizing the financial arrangements are rated as to investment quality by a nationally recognized investment rating firm not less than AAA or its equivalent, and (iv) any other then authorized securities or obligations under applicable state law in existence at the time of such defeasance that may be used to defease obligations such as the Bonds.

(c) Notwithstanding the provisions of Section 12 of the Master Resolution, the Board may provide for the irrevocable deposit contemplated by Section 12 of the Master Resolution to be made with the Paying Agent/Registrar or with any other eligible bank or trust company as then authorized by state law.

Section 21. OFFICIAL STATEMENT. The System Representative is authorized and directed to provide for and oversee the preparation of a preliminary and final official statement in connection with the issuance of the Bonds, and to approve such official statement and deem it final in compliance with the Rule and to provide it to the purchasers of the Bonds in compliance with such Rule.

Section 22. FURTHER PROCEDURES. The Chair of the Board, any System Representative, and all other officers, employees, and agents of the Board, and each of them, shall be and they are hereby expressly authorized, empowered, and directed from time to time and at any time to do and perform all such acts and things and to execute, acknowledge, and deliver in the name and under the corporate seal and on behalf of the Board all such instruments, whether or not herein mentioned, as may be necessary or desirable in order to carry out the terms and provisions of this Seventeenth Supplement, the Bonds, the sale and delivery of the Bonds and fixing all details in connection therewith. The System Representative is authorized to make application to obtain all approvals necessary in connection with the issuance of each Series of the Bonds including the Texas Bond Review Board and the Texas Higher Education Coordinating Board, if such approvals are then required by law. The System Representative is authorized to sign this Seventeenth Supplement. Nothing herein shall be construed as a waiver by the Board of its own rules and regulations, policies and procedures. In addition, the System Representative, General Counsel to the Board and Bond Counsel are hereby authorized to approve, subsequent to the date of the adoption of this Seventeenth Supplement, any amendments to the above named documents, and any technical amendments to this Seventeenth Supplement as may be required by any rating agency as a condition to the granting of a rating on the Bonds, as may be required by the office of the Texas Attorney General as a condition to the approval of the Bonds or as may be required to assist an underwriter of the Bonds in complying with the Rule.

Section 23. DTC LETTER OF REPRESENTATION. The Board heretofore has executed and delivered to DTC a "Blanket Letter of Representations" with respect to the utilization by the

Board of DTC's book-entry-only system and the Board intends to utilize such book-entry-only system in connection with each Series of the Bonds.

Section 24. BOND INSURANCE. In connection with the sale of the Bonds, the Board may obtain municipal bond insurance policies from a municipal bond insurer (the "Insurer") to guarantee the full and complete payment required to be made by or on behalf of the Board on some or all of the Bonds as determined by the System Representative. The System Representative is hereby authorized to sign a commitment letter with the Insurer and to pay the premium for the bond insurance policies at the time of the delivery of each Series of the Bonds out of the proceeds of sale of each Series of the Bonds or from other available funds and to execute such other documents and certificates as necessary in connection with the bond insurance policies as he or she may deem appropriate. Printing on Bonds covered by the bond insurance policies a statement describing such insurance, in form and substance satisfactory to the Insurer and the System Representative, is hereby approved and authorized. The Award Certificate may contain provisions related to the bond insurance policies, including payment provisions thereunder, and the rights of the Insurer or Insurers, and any such provisions shall be read and interpreted as an integral part of this Seventeenth Supplement.

Section 25. REFUNDING OF REFUNDED BONDS: ESCROW AGREEMENT; REDEMPTION OF REFUNDED BONDS. Concurrently with the delivery of a Series of Bonds issued to refund Refunded Bonds, the System Representative shall cause to be deposited with the Escrow Agent an amount, from the proceeds from the sale of each Series of the Bonds, sufficient, together with other legally available funds of the Board, to provide for the payment and retirement of the Refunded Bonds. In the event that it is deemed necessary, the System Representative is authorized to enter into one or more escrow agreements in the form and substance previously approved by the Board in connection with the refunding of Debt with such changes as are acceptable to the System Representative. In such event, the System Representative is authorized hereby to take such steps as may be necessary to purchase Escrowed Securities, as defined in the Escrow Agreement, on behalf of the Board, and is authorized to create and fund the Escrow Fund contemplated by the Escrow Agreement through the use of the proceeds of each Series of the Bonds, the monies and investments held in the fund securing the Refunded Bonds and other lawfully available monies of the Board.

Subject to the execution of a Bond Purchase Contract by the System Representative, the Refunded Bonds are hereby called for redemption on the first optional redemption date following the delivery of each Series of the Bonds for which all of the notice requirements for redemption can be reasonably met, at a redemption price of par plus accrued interest, if any, to the date of redemption. The System Representative shall take such actions as are necessary to cause the required notice of such redemption to be given. The System Representative is authorized to select one or more escrow agents with respect to each Series of Bonds issued to refund the Refunded Bonds.

The System Representative is hereby additionally authorized to enter into one or more escrow agreements with an escrow agent and the Texas Tech University System in the form and substance previously utilized by the Board in connection with the refunding by the Texas Tech University System of any Parity Debt issued on behalf of Angelo State University ("ASU Parity Debt"). The System Representative is also authorized to defease any additional Parity Debt of the System with

lawfully available funds of the System as necessary to ensure that the redemption of such ASU Parity Debt occurs in authorized denominations.

Section 26. PUBLIC NOTICE. It is hereby found and determined that each of the officers and members of the Board was duly and sufficiently notified officially and personally, in advance, of the time, place, and purpose of the Meeting at which this Seventeenth Supplement was adopted; that this Seventeenth Supplement would be introduced and considered for adoption at said meeting; and that said meeting was open to the public, and public notice of the time, place, and purpose of said meeting was given, all as required by Chapter 551, Texas Government Code.

Section 27. REIMBURSEMENT. The Board expects to pay expenditures in connection with the purposes set forth in the Section 2 of this Seventeenth Supplement prior to the issuance of the Bonds. The Board finds, considers and declares that the reimbursement of the Board for the payment of such expenditures will be appropriate and consistent with the lawful objectives of the Board and, as such, chooses to declare its intention, in accordance with the provisions of Section 1.150-2 of the Treasury Regulations, to reimburse itself for such payments at such time as it issues Bonds to accomplish the purposes set forth in Section 2 of this Seventeenth Supplement. All costs to be reimbursed pursuant hereto will be capital expenditures. No Tax-Exempt Bonds will be issued by the Board in furtherance of this Seventeenth Supplement after a date which is later than 18 months after the later of (1) the date the expenditures are paid or (2) the date on which the property, with respect to which such expenditures were made, is placed in service. The foregoing notwithstanding, no Tax-Exempt Bonds will be issued pursuant to this Seventeenth Supplement more than three years after the date any expenditure which is to be reimbursed is paid.

Section. 28 DEFEASANCE AND REDEMPTION OF OUTSTANDING DEBT. The Board desires to authorize the use of certain lawfully available funds of the Board, as determined by the System Representative, to defease and/or redeem, from time to time, certain outstanding Prior Encumbered Obligations and outstanding Parity Debt previously issued by the Board in accordance with the applicable defeasance and redemption provisions in the respective resolutions authorizing such debt. The System Representative is hereby authorized to determine and retire, from time to time, the various portions of such outstanding Prior Encumbered Obligations and Parity Debt which are economically advantageous for Board to retire by the defeasance and/or redemption of such debt. The System Representative is authorized to enter into one or more Escrow Agreements in substantially the standard form previously approved by the Board to accomplish such defeasances. In the event of such a defeasance, the System Representative is authorized hereby to take such steps as may be necessary to purchase the escrowed securities identified in such Escrow Agreements on behalf of the Board and is authorized to create and fund the escrow funds contemplated by the Escrow Agreements through the use of the lawfully available funds of the Board. The System Representative is authorized to call for redemption such Prior Encumbered Obligations and Parity Debt to be redeemed pursuant to this section and is hereby authorized to provide and complete an appropriate Notice of Redemption to the paying agent(s) for such Prior Encumbered Obligations and Parity Debt upon the deposit with the Escrow Agent of such available funds and compliance with the conditions set forth in the Escrow Agreements.

Except as provided in the following sentence, the Board hereby (i) expressly reserves the right to call for redemption any Prior Encumbered Obligations and Parity Debt defeased pursuant to

this section in accordance with the applicable redemption provisions contained in the resolution authorizing such debt, (ii) requires that the System Representative give notice of the reservation of such right to the owners of such debt immediately following the making of the firm banking and financial arrangements for such defeasance and (iii) directs that notice of such reservation also be included in any Notice of Redemption authorized pursuant to this section. Notwithstanding the foregoing sentence, the System Representative, upon determining that doing so is in the best interest of the Board, may elect on behalf of the Board not to retain the right to call such Prior Encumbered Obligations and Parity Debt for redemption by choosing not to give the notices required in (ii) and (iii) of the foregoing sentence.

Section 29. PAYMENT OF ATTORNEY GENERAL FEE. The Board hereby authorizes the disbursement of a fee equal to the lesser of (i) one-tenth of one percent of the principal amount of each Series of the Bonds or (ii) \$9,500 per Series, provided that such fee shall not be less than \$750, to the Attorney General of Texas Public Finance Division for payment of the examination fee charged by the State of Texas for the Attorney General's review and approval of public securities and credit agreements, as required by Section 1202.004 of the Texas Government Code. The System Representative is hereby instructed to take the necessary measures to make this payment. The Board is also authorized to reimburse the appropriate funds for such payment from proceeds of the Bonds.

Section 30. NO PERSONAL LIABILITY. No covenant or agreement contained in the Bonds, this Seventeenth Supplement or any corollary instrument shall be deemed to be the covenant or agreement of any member of the Board or any officer, agent, employee or representative of the Board in his individual capacity, and neither the directors, officers, agents, employees or representatives of the Board nor any person executing the Bonds shall be personally liable thereon or be subject to any personal liability for damages or otherwise or accountability by reason of the issuance thereof, or any actions taken or duties performed, whether by virtue of any constitution, statute or rule of law, or by the enforcement of any assessment or penalty, or otherwise, all such liability being expressly released and waived as a condition of and in consideration for the issuance of the Bonds.

Section 31. REPEAL OF CONFLICTING RESOLUTIONS. All resolutions and all parts of any resolutions that are in conflict or are inconsistent with this Seventeenth Supplement are hereby repealed and shall be of no further force or effect to the extent of such conflict or inconsistency.

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The System has caused this Seventeenth Supplement to be executed by a System Representative and its official seal to be impressed hereon.

TEXAS STATE UNIVERSITY SYSTEM

By: _____
System Representative

EXHIBIT A DEFINITIONS

As used in this Seventeenth Supplement the following terms and expressions shall have the meanings set forth below, unless the text hereof specifically indicates otherwise:

The term "*Authorized Denomination*" shall mean an Authorized Denomination as defined in Section 3 of this Seventeenth Supplement.

The term "*Award Certificate*" shall mean each Certificate executed by the System Representative in connection with each Series of Bonds that establishes the terms of the series of Bonds issued pursuant to Section 3 of this Seventeenth Supplement.

The term "*Bonds*" shall mean one or more Series of the Bonds designated in Section 2 of this Seventeenth Supplement, and all substitute bonds exchanged therefor, and all other substitute and replacement bonds issued pursuant to this Seventeenth Supplement; and the term "Bond" means any of the Bonds.

The term "*Business Day*" shall mean any day which is not a Saturday, Sunday, legal holiday, or a day on which banking institutions in The City of New York, New York or in the city where the Designated Trust Office of the Paying Agent/Registrar is located are authorized by law or executive order to close.

The term "*Chancellor*" shall mean the Chancellor of the Texas State University System.

The term "*Designated Trust Office*" shall have the meaning ascribed to said term in Section 5(b) of this Seventeenth Supplement.

The term "*DTC*" shall mean The Depository Trust Company, New York, New York, or any successor securities depository.

The term "*DTC Participant*" shall mean securities brokers and dealers, banks, trust companies, clearing corporations, and certain other organizations on whose behalf DTC was created to hold securities to facilitate the clearance and settlement of securities transactions among DTC Participants.

The term "*Insurance Policy*" shall mean the insurance policy issued by the Insurer guaranteeing the scheduled payment of principal of and interest on a particular Series of the Bonds when due.

The term "*Insurer*" shall mean the company insuring a particular Series of the Bonds, or any successor thereto or assignee thereof as set forth in the Award Certificate.

The term "*IRS Code*" shall mean the Internal Revenue Code of 1986, as amended.

The term "*Master Resolution*" shall mean the "Master Resolution Establishing The Texas State University System Revenue Financing System," adopted by the Board on August 12, 1998 as amended by the "Resolution Amending the Master Resolution Establishing The Texas State University System Revenue Financing System" adopted by the Board on June 19, 2008.

The term "*Maturity*" shall mean the date on which the principal of a Bond becomes due and payable as therein and herein provided, whether at Stated Maturity, by redemption or otherwise.

The term "*MSRB*" shall mean the Municipal Securities Rulemaking Board.

The terms "*Paying Agent/Registrar*," "*Paying Agent*" or "*Registrar*" shall mean the agent appointed pursuant to Section 5 of this Seventeenth Supplement, or any successor to such agent.

The term "*Potential Refunded Bonds*" means outstanding Parity Debt previously issued by the Board.

The term "*Refunded Bonds*" means the Potential Refunded Bonds refunded by each Series of the Bonds.

The term "*Record Date*" shall mean, with respect to each Series of the Bonds, the business day of each month as set forth in the Award Certificate.

The term "*Registration Books*" shall mean the books or records relating to the registration, payment, and transfer or exchange of the Bonds maintained by the Paying Agent/Registrar pursuant to Section 5 of this Seventeenth Supplement.

The term "*Rule*" shall mean SEC Rule 15c2-12, as amended from time to time.

The term "*SEC*" shall mean the United States Securities and Exchange Commission.

The term "*Section 55.17 Authorization*" means Sections 55.1716, 55.1724, 55.1734, 55.1744, 55.1754 of the Education Code or similar provisions hereafter enacted by the Texas Legislature.

The term "*Series*" shall mean any designated series or subseries of Bonds issued pursuant to this Seventeenth Supplement.

The term "*Seventeenth Supplement*" shall mean this amended and restated resolution authorizing each Series of the Bonds.

The term "*Stated Maturity*", shall mean, when used with respect to the Bonds, the scheduled maturity or mandatory sinking fund redemption date of a Series of the Bonds.

The term "*System Representative*" shall mean any one or more of the following officers or employees of the Texas State University System, to wit: the Chair of the Board, the Chancellor, the Vice Chancellor for Finance or such other officer or employees of the Texas State University System, authorized by the Board to act as a System Representative.

The term "*Taxable Bonds*" shall mean each Series of Bonds bearing interest at a taxable rate.

The term "*Tax-Exempt Bonds*" shall mean each Series of Bonds bearing interest which is excludable from gross income for federal taxation purposes pursuant to Section 103 of the IRS Code.

All terms not herein defined shall have the meanings given to said terms by the Master Resolution or as otherwise defined in this Seventeenth Supplement.

EXHIBIT B

FORM OF BOND

**UNITED STATES OF AMERICA
STATE OF TEXAS
BOARD OF REGENTS, TEXAS STATE UNIVERSITY SYSTEM
REVENUE FINANCING SYSTEM REVENUE BOND,
SERIES 20__***

NO. R-__

**PRINCIPAL
AMOUNT
\$ _____**

**INTEREST
RATE***

**MATURITY
DATE***

**[BOND DATE]
[ISSUANCE DATE] ***

CUSIP

REGISTERED OWNER:

PRINCIPAL AMOUNT: DOLLARS

ON THE MATURITY DATE specified above, the BOARD OF REGENTS, TEXAS STATE UNIVERSITY SYSTEM (the "Board"), being an agency and political subdivision of the State of Texas, hereby promises to pay to the Registered Owner, specified above, or the registered assignee hereof (either being hereinafter called the "registered owner") the principal amount, specified above, and to pay interest thereon, calculated on the basis of a 360-day year composed of twelve 30-day months, from the [Bond Date] [Issuance Date], specified above, to the Maturity Date, specified above, or the date of redemption prior to maturity, at the interest rate per annum, specified above; with interest being payable on _____, 20__*, and semiannually on each _____* and _____* thereafter, except that if the date of authentication of this Bond is later than the first Record Date (hereinafter defined), such principal amount shall bear interest from the interest payment date next preceding the date of authentication, unless such date of authentication is after any Record Date but on or before the next following interest payment date, in which case such principal amount shall bear interest from such next following interest payment date. Notwithstanding the foregoing, during any period in which ownership of the Bonds is determined only by a book entry at a securities depository for the Bonds, any payment to the securities

* As provided in the Award Certificate. To the extent that the Award Certificate relating to the Bonds is inconsistent with any provisions in this Form of Bond or contains information to complete missing information in this Form of Bond, the language in the Award Certificate shall be used in the executed Bonds.

depository, or its nominee or registered assigns, shall be made in accordance with existing arrangements between the Board and the securities depository.

THE PRINCIPAL OF AND INTEREST ON this Bond are payable in lawful money of the United States of America, without exchange or collection charges, solely from funds of the Board required by the resolution authorizing the issuance of the Bonds to be on deposit with the Paying Agent/Registrar for such purpose as hereinafter provided. The principal of this Bond shall be paid to the registered owner hereof upon presentation and surrender of this Bond at maturity or upon the date fixed for its redemption prior to maturity, at the designated corporate trust office in _____, Texas (the "Designated Trust Office") of _____, which is the "Paying Agent/Registrar" for this Bond. The payment of interest on this Bond shall be made by the Paying Agent/Registrar to the registered owner hereof on each interest payment date by check, dated as of such interest payment date, and such check shall be sent by the Paying Agent/Registrar by United States mail, first-class postage prepaid, on each such interest payment date, to the registered owner hereof, at the address of the registered owner, as it appeared on the last business day of the month next preceding each such date (the "Record Date") on the Registration Books kept by the Paying Agent/Registrar, as hereinafter described; provided, that upon the written request of any owner of not less than \$1,000,000 in principal amount of Bonds provided to the Paying Agent/Registrar not later than the Record Date immediately preceding an interest payment date, interest due on such Bonds on such interest payment date shall be made by wire transfer to any designated account within the United States of America. In addition, interest may be paid by such other method acceptable to the Paying Agent/Registrar, requested by, and at the risk and expense of, the registered owner hereof. Any accrued interest due upon the redemption of this Bond prior to maturity as provided herein shall be paid to the registered owner upon presentation and surrender of this Bond for redemption and payment at the Designated Trust Office of the Paying Agent/Registrar. The Board covenants with the registered owner of this Bond that on or before each principal payment date and interest payment date for this Bond it will make available to the Paying Agent/Registrar, the amounts required to provide for the payment, in immediately available funds, of all principal of and interest on the Bonds, when due. Notwithstanding the foregoing, during any period in which ownership of the Bonds is determined by a book entry at a securities depository for the Bonds, payments made to the securities depository, or its nominee, shall be made in accordance with arrangements between the Board and the securities depository. Terms used herein and not otherwise defined have the meaning given in the Bond Resolution (hereinafter defined).

DURING ANY PERIOD in which ownership of the Bonds is determined only by a book entry at a securities depository for the Bonds, if fewer than all of the Bonds of the same maturity and bearing the same interest rate are to be redeemed, the particular Bonds of such maturity and bearing such interest rate shall be selected in accordance with the arrangements between the Board and the securities depository.

THIS BOND is one of a series of Bonds, dated as of _____, 201_, authorized in accordance with the Constitution and laws of the State of Texas in the aggregate principal amount of \$ _____*, issued pursuant to a Master Resolution adopted August 13, 1998 as amended on

* As provided in the Award Certificate. To the extent that the Award Certificate relating to the Bonds is inconsistent with any provisions in this Form of Bond or contains information to complete missing information in this Form of Bond, the language in the Award Certificate shall

June 19, 2008 by a "Resolution Amending the Master Resolution Establishing the Texas State University System Revenue Financing System" (the "Amending Resolution" together with the "Original Master Resolution" collectively, referred to as the "Master Resolution"), and pursuant to a Seventeenth Supplement Resolution adopted on February __, 2013 referred therein (collectively, the "Bond Resolution"), FOR THE PURPOSE OF (i) ACQUIRING, PURCHASING, CONSTRUCTING, IMPROVING, RENOVATING, ENLARGING OR EQUIPPING THE PROPERTY, BUILDINGS, STRUCTURES, FACILITIES, ROADS OR RELATED INFRASTRUCTURE FOR THE MEMBERS OF THE REVENUE FINANCING SYSTEM INCLUDING ANY NECESSARY CAPITALIZED INTEREST IN AN AMOUNT NOT TO EXCEED THAT AUTHORIZED BY LAW ALL AS FURTHER PROVIDED IN THE AWARD CERTIFICATE, (ii) REFUNDING ALL OR A PORTION OF THE POTENTIAL REFUNDED BONDS, AND (iii) PAYING THE COSTS OF ISSUANCE OF THE BONDS.*

ON _____, 20____*, or on any date thereafter, the Bonds of this series maturing on and after _____, 20____* may be redeemed prior to their scheduled maturities, at the option of the Board, with funds derived from any available and lawful source, as a whole, or in part, and, if in part, the particular Bonds, or portions thereof, to be redeemed shall be selected and designated by the Board (provided that a portion of a Bond may be redeemed only in an integral multiple of \$5,000), at par and accrued interest to the date fixed for redemption; provided, that during any period in which ownership of the Bonds is determined only by a book entry at a securities depository for the Bonds, if fewer than all of the Bonds of the same maturity and bearing the same interest rate are to be redeemed, the particular Bonds of such maturity and bearing such interest rate shall be selected in accordance with the arrangements between the Board and the securities depository.

THE BONDS maturing on _____, 20____* are subject to mandatory sinking fund redemption by lot prior to maturity in the following amounts, on the following dates and at a price of par plus accrued interest to the redemption date.

Bonds Maturing _____, 20____*	
Redemption Date	Principal Amount
_____, 20____	\$ _____
_____, 20____H	_____H

HFinal Maturity

THE PRINCIPAL AMOUNT of the Term Bonds required to be redeemed pursuant to the operation of the mandatory sinking fund redemption provisions shall be reduced, at the option of the Board by the principal amount of any Term Bonds of the stated maturity which, at least 50 days prior

be used in the executed Bonds.

* As provided in the Award Certificate. To the extent that the Award Certificate relating to the Bonds is inconsistent with any provisions in this Form of Bond or contains information to complete missing information in this Form of Bond, the language in the Award Certificate shall be used in the executed Bonds.

to a mandatory redemption date, (1) shall have been acquired by the Board, at a price not exceeding the principal amount of such Term Bonds plus accrued interest to the date of purchase thereof, and delivered to the Paying Agent/Registrar for cancellation, (2) shall have been purchased and canceled by the Paying Agent/Registrar at the request of the Board at a price not exceeding the principal amount of the Term Bonds plus accrued interest to the date of purchase thereof, or (3) shall have been redeemed pursuant to the optional redemption provisions and not theretofore credited against a mandatory sinking fund redemption requirement.

AT LEAST 30 days prior to the date fixed for any redemption of Bonds or portions thereof prior to maturity a written notice of such redemption shall be sent by the Paying Agent/Registrar by United States mail, first-class postage prepaid, not less than 30 days prior to the date fixed for any such redemption, to the registered owner of each Bond to be redeemed at its address as it appeared on the 45th day prior to such redemption date; provided, however, that the failure to receive such notice, or any defect therein shall not affect the validity or effectiveness of the proceedings for the redemption of any Bond. By the date fixed for any such redemption due provision shall be made with the Paying Agent/Registrar for the payment of the required redemption price for the Bonds or portions thereof which are to be so redeemed. If such written notice of redemption is sent and if due provision for such payment is made, all as provided above, the Bonds or portions thereof which are to be so redeemed thereby automatically shall be treated as redeemed prior to their scheduled maturities, and they shall not bear interest after the date fixed for redemption, and they shall not be regarded as being outstanding except for the right of the registered owner to receive the redemption price from the Paying Agent/Registrar out of the funds provided for such payment. If a portion of any Bond shall be redeemed, a substitute Bond or Bonds having the same maturity date, bearing interest at the same rate, payable in the same manner, in any authorized denomination at the written request of the registered owner, and in aggregate principal amount equal to the unredeemed portion thereof, will be issued to the registered owner upon the surrender thereof for cancellation, at the expense of the Board, all as provided in the Bond Resolution.

IF THE DATE for the payment of the principal of or interest on this Bond shall be a Saturday, Sunday, a legal holiday, or a day on which banking institutions in The City of New York, New York, or in the city where the Designated Trust Office of the Paying Agent/Registrar is located are authorized by law or executive order to close, then the date for such payment shall be the next succeeding day which is not such a Saturday, Sunday, legal holiday, or day on which banking institutions are authorized to close; and payment on such date shall have the same force and effect as if made on the original date payment was due.

THIS BOND OR ANY PORTION OR PORTIONS HEREOF IN ANY AUTHORIZED DENOMINATION may be assigned and shall be transferred only in the Registration Books of the Board kept by the Paying Agent/Registrar acting in the capacity of registrar for the Bonds, upon the terms and conditions set forth in the Bond Resolution. Among other requirements for such assignment and transfer, this Bond must be presented and surrendered to the Paying Agent/Registrar, together with proper instruments of assignment, in form and with guarantee of signatures satisfactory to the Paying Agent/Registrar, evidencing assignment of this Bond or any portion or portions hereof in any authorized denomination to the assignee or assignees in whose name or names this Bond or any such portion or portions hereof is or are to be transferred and registered. The form of Assignment printed or endorsed on this Bond shall be executed by the registered owner or its duly authorized

attorney or representative, to evidence the assignment hereof. A new Bond or Bonds payable to such assignee or assignees (which then will be the new registered owner or owners of such new Bond or Bonds), or to the previous registered owner in the case of the assignment and transfer of only a portion of this Bond, may be delivered by the Paying Agent/Registrar in exchange for this Bond, all in the form and manner as provided in the next paragraph hereof for the exchange of other Bonds. The Board shall pay the Paying Agent/Registrar's fees and charges, if any, for making such transfer or exchange as provided below, but the one requesting such transfer or exchange shall pay any taxes or other governmental charges required to be paid with respect thereto. The Paying Agent/Registrar shall not be required to make transfers of registration or exchange of this Bond or any portion hereof (i) during the period commencing with the close of business on any Record Date and ending with the opening of business on the next following principal or interest payment date, or, (ii) with respect to any Bond or any portion thereof called for redemption prior to maturity, within 45 days prior to its redemption date. The registered owner of this Bond shall be deemed and treated by the Board and the Paying Agent/Registrar as the absolute owner hereof for all purposes, including payment and discharge of liability upon this Bond to the extent of such payment, and, to the extent permitted by law, the Board and the Paying Agent/Registrar shall not be affected by any notice to the contrary.

ALL BONDS OF THIS SERIES are issuable solely as fully registered bonds, without interest coupons in the denomination of any integral multiple of \$5,000 (an "Authorized Denomination"). As provided in the Bond Resolution, this Bond, or any unredeemed portion hereof, may, at the request of the registered owner or the assignee or assignees hereof, be exchanged for a like aggregate principal amount of fully registered bonds, without interest coupons, payable to the appropriate registered owner, assignee, or assignees, as the case may be, having the same maturity date, in the same form, and bearing interest at the same rate, in any Authorized Denomination as requested in writing by the appropriate registered owner, assignee, or assignees, as the case may be, upon surrender of this Bond to the Paying Agent/Registrar for cancellation, all in accordance with the form and procedures set forth in the Bond Resolution.

WHENEVER the beneficial ownership of this Bond is determined by a book entry at a securities depository for the Bonds, the foregoing requirements of holding, delivering, or transferring this Bond shall be modified to require the appropriate person or entity to meet the requirements of the securities depository as to registering or transferring the book entry to produce the same effect.

IN THE EVENT any Paying Agent/Registrar for the Bonds is changed by the Board, resigns, or otherwise ceases to act as such, the Board has covenanted in the Bond Resolution that it promptly will appoint a competent and legally qualified substitute therefor, and promptly will cause written notice thereof to be mailed to the registered owners of the Bonds.

IT IS HEREBY certified, recited, and covenanted that this Bond has been duly and validly authorized, issued, and delivered; that all acts, conditions, and things required or proper to be performed, exist, and be done precedent to or in the authorization, issuance, and delivery of this Bond have been performed, existed, and been done in accordance with law; that the series of Bonds of which this Bond is one constitute Parity Debt under the Master Resolution; and that the interest on and principal of this Bond, together with the other Bonds of this series and the other outstanding Parity Debt are equally and ratably secured by and payable from a lien on and pledge of the Pledged

Revenues, subject only to the provisions of, and the lien on and pledge of certain Pledged Revenues to, the Prior Encumbered Obligations.

THE BOARD has reserved the right, subject to the restrictions referred to in the Bond Resolution,(i) to issue additional Parity Debt which also may be secured by and made payable from a lien on and pledge of the aforesaid Pledged Revenues, in the same manner and to the same extent as this Bond and (ii) to amend the provisions of the Bond Resolution under the conditions provided in the Bond Resolution.

THE REGISTERED OWNER hereof shall never have the right to demand payment of this Bond or the interest hereon out of any funds raised or to be raised by taxation or from any source whatsoever other than specified in the Bond Resolution.

BY BECOMING the registered owner of this Bond, the registered owner thereby acknowledges all of the terms and provisions of the Bond Resolution, agrees to be bound by such terms and provisions, acknowledges that the Bond Resolution is duly recorded and available for inspection in the official minutes and records of the Board, and agrees that the terms and provisions of this Bond and the Bond Resolution constitute a contract between each registered owner hereof and the Board.

IN WITNESS WHEREOF, the Board has caused this Bond to be signed with the manual or facsimile signature of the Chairman of the Board and countersigned with the manual or facsimile signature of the Secretary of the Board, and has caused the official seal of the Board to be duly impressed, or placed in facsimile, on this Bond.

Chairman, Board of Regents

Chancellor, Secretary of the Board

(SEAL)

FORM OF PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE:

PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE

It is hereby certified that this Bond has been issued under the provisions of the Bond Resolution described in this Bond; and that this Bond has been issued in conversion of and exchange for or replacement of a bond, bonds, or a portion of a bond or bonds of an issue which originally was approved by the Attorney General of the State of Texas and registered by the Comptroller of Public Accounts of the State of Texas.

Paying Agent/Registrar

Dated

TSUS\17thSupp\2013: Res

B-6

Authorized Representative

FORM OF ASSIGNMENT:

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto

Please insert Social Security or Taxpayer
Identification Number of Transferee

(Please print or typewrite name and address,
including zip code of Transferee)

the within Bond and all rights thereunder, and hereby irrevocably constitutes and
appoints _____, attorney, to
register the transfer of the within Bond on the books kept for registration thereof, with full power of
substitution in the premises.

Dated: _____

Signature Guaranteed: _____

NOTICE: Signature(s) must be guaranteed by
an eligible guarantor institution participating in
a securities transfer association recognized
signature guarantee program.

NOTICE: The signature above must correspond
with the name of the registered owner as it
appears upon the front of this Bond in every
particular, without alteration or enlargement or
any change whatsoever.

**FORM OF REGISTRATION CERTIFICATE OF
COMPTROLLER OF PUBLIC ACCOUNTS TO ACCOMPANY
THE INITIAL BOND:**

COMPTROLLER'S REGISTRATION CERTIFICATE:

REGISTER NO. _____

I hereby certify that this Bond has been examined, certified as to validity, and approved by the Attorney General of the State of Texas, and that this Bond has been registered by the Comptroller of Public Accounts of the State of Texas.

Witness my signature and seal this

Comptroller of Public Accounts
of the State of Texas

(COMPTROLLER'S SEAL)

INSERTIONS FOR THE INITIAL BOND

The initial Bond shall be in the form set forth in this Exhibit, except that:

A. immediately under the name of the Bond, the headings "INTEREST RATE" and "MATURITY DATE" shall both be completed with the words "As shown below" and "CUSIP NO." shall be deleted.

B. the first paragraph shall be deleted and the following will be inserted:

"ON THE MATURITY DATE SPECIFIED ABOVE, the Board of Regents of the Texas State University System (the "Board"), being a political subdivision, hereby promises to pay to the Registered Owner specified above, or registered assigns (hereinafter called the "Registered Owner"), on _____* in each of the years, in the principal installments and bearing interest at the per annum rates set forth in the following schedule:

**Principal Maturity Interest Initial
Amount () Rate Yield**

(Information from the Award Certificate of the System Representative to be inserted)

*As provided in the Award Certificate. To the extent that the Award Certificate relating to the Bonds is inconsistent with any provisions in this Form of Bond or contains information to complete missing information in this Form of Bond, the language in the Award Certificate shall be used in the executed Bonds.

The Board promises to pay interest on the unpaid principal amount hereof (calculated on the basis of a 360-day year of twelve 30-day months) from _____, 20__* at the respective Interest Rate per annum specified above. Interest is payable on _____, 20__* and semiannually on each _____* and _____* thereafter to the date of payment of the principal installment specified above; except, that if this Bond is required to be authenticated and the date of its authentication is later than the first Record Date (hereinafter defined), such principal amount shall bear interest from the interest payment date next preceding the date of authentication, unless such date of authentication is after any Record Date but on or before the next following interest payment date, in which case such principal amount shall bear interest from such next following interest payment date; provided, however, that if on the date of authentication hereof the interest on the Bond or Bonds, if any, for which this Bond is being exchanged is due but has not been paid, then this Bond shall bear interest from the date to which such interest has been paid in full."

C. The initial Bond shall be numbered "T-1"

*As provided in the Award Certificate. To the extent that the Award Certificate relating to the Bonds is inconsistent with any provisions in this Form of Bond or contains information to complete missing information in this Form of Bond, the language in the Award Certificate shall be used in the executed Bonds.

EXHIBIT C

DESCRIPTION OF ACCOUNTING PRINCIPLES

The financial statements of the Texas State University System will be prepared in accordance with the Texas Comptroller of Public Accounts' Annual Financial Reporting Requirements, and follow to the extent practical, the AICPA Industry Audit Guide Audits of Colleges and Universities, 1973, as amended by AICPA Statement of Position (SOP) 74-8, Financial Accounting and Reporting by Colleges and Universities, or such other accounting principles as the Board may be required to employ from time to time pursuant to state law or regulation.

AMENDMENT NUMBER NINE TO FOOD SERVICE CONTRACT

The Agreement between Sam Houston State University ("University") and ARAMARK Educational Services of Texas, LLC ("Company") dated May 26, 2005, as amended, shall be further amended, effective August 11, 2013, as set forth below:

1. Article 6, Financial Provisions, Paragraph 6.01, Board Plan Rates, shall be amended as follows:

"6.01 Board Plan Rates. The Contractor will prepare and serve meals to students or other persons participating in the Board Plan, and the University will pay the Contractor for Board Plans sold by the University to food service customers based upon the following rates:

These mandatory plans offer three options to SHSU students.

- *Option 1. \$12.68 per day [from \$12.31] All Access/ Unlimited, with \$100 worth of declining balance Bearkat express each semester. (From \$1,685.00 to \$1,735.00, a \$50.00 increase or 2.967%).
- *Option 2. \$12.25 per day [from \$11.88] All 20 meals, one per meal period, with \$175 worth of declining balance Bearkat express each semester (From \$1,605.00 to \$1,655.00, a \$50.00 increase or 3.115%).
- * Option 3. \$11.82 per day [from \$11.46] Any 15 meals, one per meal period, with \$200 worth of declining balance Bearkat express each semester (From \$1,575.00 to \$1,625.00, a \$50.00 increase or 3.175%).

Daily rates above include declining balance amounts and are based on a minimum of 113 billing days/semester average.

These voluntary plans offer additional flexibility.

- A. Bearkat Flex - \$7.77 per day [from \$7.52]. A block of 90 meals with \$250 of declining balance, Bearkat express each semester. (from \$910 to \$940, a 3.297% increase).
- B. BearKat Value - \$5.71 per day [from \$5.54] A block of 60 meals with \$250 of declining balance Bearkat express each semester. (from \$665 to \$685, a 3.008% increase).
- C. Bearkat Lite - \$4.01 per day [from \$3.89] A block of 30 meals with \$250 of declining balance Bearkat express each semester. (from \$470 to \$485, a 3.191% increase).

Daily rates above include declining balance amounts and are based on a minimum of 113 billing days/semester average.

391401.02

2. Article 6, Financial Provisions, Paragraph 6.02, Casual Meals, shall be amended as follows:

"6.02 Casual Meals. The Contractor will provide casual meals not to exceed the following rates:

	<u>From</u>	<u>To</u>	<u>%Increase</u>
Breakfast	\$ 6.95	<u>\$ 6.95</u>	0%
Lunch	\$ 7.95	<u>\$ 7.95</u>	0%
Sack Lunch	\$ 7.95	<u>\$ 7.95</u>	0%
Dinner	\$ 8.95	<u>\$ 8.95</u>	0%
Special	\$ 10.95	<u>\$ 9.50</u>	-13.24%"

3. Article 6, Financial Provisions, Paragraph 6.03, Summer Camp, shall be amended as follows:

"6.03 Summer Camp. Contractor shall charge a rate of \$24.25 (from \$23.50, a 3.09% increase) per person, per day, for meals furnished for University-sponsored camps."

4. Article 6, Financial Provisions, Paragraph 6.04, Athletic Pre-Season Training Camp, shall be amended as follows:

"6.04 Athletic Pre-Season Training Camp. Contractor shall charge a rate of \$27.75 (from \$26.95, a 2.97% increase) per person, per day, for meals furnished for athletic pre-season training camps."

All other terms and conditions of our Agreement dated May 26, 2005, shall remain in full force and effect.

Signed and dated this _____ day of _____ 2013.

ATTEST:

ARAMARK Educational Services
of Texas, LLC ("Company")

_____ By: _____
Angel Herrera, Vice President

[SEAL]

TEXAS STATE UNIVERSITY SYSTEM

Examined and Recommended:

President
Sam Houston State University

Date

Chancellor
Texas State University System

Date

APPROVED by the Board of Regents on _____ at _____.

The Honorable _____
Chairman of the Board

Date

CUSTODY AGREEMENT

THIS CUSTODY AGREEMENT ("Agreement") is made and entered into on this the 15th day of February, 2013, by and between **SUL ROSS STATE UNIVERSITY** , ("SRSU"), a not-for-profit organization Texas Institution of Higher Education, having its principal office in Alpine, Texas and **TRUSTMARK NATIONAL BANK**, a National Banking Association having its principal office in Jackson, Mississippi, ("Trustmark") in its capacity as custodian.

W I T N E S S E T H:

WHEREAS, SRSU is in possession of certain assets and securities, including cash, and other similar property "Assets"; and

WHEREAS, SRSU requests that Trustmark hold and/or dispose of all securities or similar property and cash deposited, delivered to or received by custodian; and

WHEREAS, Trustmark has agreed to perform the duties as described below;

NOW THEREFORE, in consideration of the mutual covenants contained herein, and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, SRSU and Trustmark agree as follows:

Section 1.

(a) Trustmark will hold the Assets in one or more custodian accounts ("Account") which will be opened and maintained in such name(s) as SRSU shall designate.

(b) Trustmark will have the authority to deposit any part, or all of any Asset with the Depository Trust Company, the Federal Reserve Book Entry System, or any centralized security depository system ("CSDS"), whether presently or hereafter organized in which Trustmark is a participant and which will expedite or make more efficient the disposition of the Assets.

(c) Certificated securities shall be held separate from all other securities or in a fungible bulk. Securities held in a fungible bulk shall be separately identified on Trustmark's official records as being owned by System.

(d) The Assets are to be held in the Account in bearer form; with respect to Assets, which are received in registered form, Trustmark is authorized, at its own discretion, to re-register such Assets in its nominee name or the nominee name of any CSDS, unless alternate registration instructions are furnished to Trustmark in writing. In lieu of re-registration, Trustmark may change the mailing address for debt service by notification to the paying agent.

(e) That any foreign bank acting as Trustmark's custodian/agent or U.S. Custodian's foreign agent, or a foreign clearing corporation is only holding foreign securities or securities required by the foreign country in order for the insurer to do business in that country and a US custodian will hold all other securities.

(f) Trustmark and its agents, upon reasonable request, shall send all reports which they receive concerning System or its accounts, from a clearing corporation or the Federal Reserve book-entry which the clearing corporation or Federal Reserve permits to be redistributed and reports prepared by Trustmark's outside auditors, to System, on their respective systems on internal control.

Section 2. Trustmark is authorized to accept, act upon and rely upon all written instructions given by an employee, officer or agent of SRSU, when Trustmark reasonably believes to be acting within the scope of his\her authority. In addition, Trustmark may rely on telephonic instructions or facsimile transmissions, which are later, confirmed in writing by SRSU. Trustmark will incur no liability as a result of any act in accordance with instructions on which Trustmark is authorized to rely pursuant to the provisions of this section. It shall be the sole responsibility of SRSU to confirm any telephonic instructions in writing. In lieu of the written confirmation by SRSU, Trustmark may solely rely on these telephonic instructions.

Section 3. Unless instructed otherwise in writing, the proceeds of sales, redemption collections, and other receipts and dividend and interest income will be credited to the Account in accordance with standard industry practices.

Section 4.

(a) In no event shall Trustmark be responsible for taking any action concerning any puts, calls, conversions, exchanges, reorganizations, offers, tenders or similar matters relating to the Assets held in the Account, other than to transmit to SRSU or the appropriate agent all information relating to any such transaction received by Trustmark from any offerors or otherwise. SRSU agrees that its instructions to Trustmark with respect to tender offers must be in writing and delivered to Trustmark within sufficient time for it to act thereon if any action is required. If given orally, Trustmark may act upon these oral instructions.

(b) All proxies and material pertaining thereto received by Trustmark in connection with stocks, bonds, securities and other property held in the Account, whether physically or on deposit with the CSDS, are to be voted in accordance with System's written instructions to Trustmark.

(c) Should any securities held in a CSDS be called for a partial redemption by the issuer of such securities, Trustmark is authorized to accept

allocation as determined pursuant to the program therefore then in effect at such CSDS or, in the absence of any such program, in Trustmark's sole discretion, to allot the called portion to the respective holders in any manner deemed to be fair and equitable.

(d) Recapitalizations and stock distributions will be credited to the account within three business days after the Stock Exchange due bill redeemable date (ten business days after payable date) in order to comply with the normal course of settling Trustmark's position at CSDS and to provide sufficient time to allocate these shares to the Account. Stock dividends will be credited on payable date.

(e) Trustmark is authorized to exchange temporary certificates for definitive certificates, and old certificates for new or over stamped certificates evidencing a change therein.

Section 5. Trustmark will bill the Account for all costs incurred by Trustmark in connection with Trustmark's receipt of Assets for the Account. Trustmark is not obligated to effect any transaction or make any payment in connection therewith unless there are sufficient available funds on deposit in the Account or funds have otherwise been made available to it. Should payments made by Trustmark with respect to transactions pursuant to this Agreement exceed available funds and result in an Account overdraft, such overdraft shall be deemed a loan from Trustmark in the same amount, payable on demand and bearing interest at the rate customarily charged on similar loans.

Section 6.

(a) Trustmark agrees to provide SRSU with monthly written and/or electronic reports of transactions and holdings in the Account. To the extent that certain information maintained by Trustmark is relied upon by System, in preparation of its annual statement and supporting schedules, Trustmark agrees to maintain records sufficient to determine and verify such information. Upon written request from a regulator or an authorized officer of SRSU, Trustmark shall provide the appropriate affidavits, with respect to SRSU's securities held in the Account.

(b) Trustmark shall be under no duty or obligation to take any action with respect to any property held in the Account except to the extent of any properly issued instructions by SRSU or by its appropriate agent.

(c) Trustmark is authorized and empowered in SRSU's name and on its behalf to execute any certificates of ownership or other reports, declarations or affidavits which Trustmark is or may hereafter be required to execute and furnish under any regulation of the Internal Revenue Service, or other authority of the United States, so far as the same are required in connection with any Asset which is now or may hereafter be held in the Account, claiming no exemptions on SRSU's behalf.

(d) During regular business hours, and upon reasonable notice, an officer or employee of SRSU, an independent accountant selected by SRSU, and a representative of an appropriate regulatory body shall be entitled to examine, on the premises of Trustmark, its records relating to securities, upon written instructions of an authorized officer of SRSU.

(e) Trustmark shall secure and maintain insurance protection in an adequate amount.

Section 7. SRSU agrees to compensate Trustmark as described in Exhibit A to this Agreement.

Section 8.

(a) Trustmark may act in reliance upon any written or oral instructions or instrument or signature which it in good faith believes to be genuine, may assume the validity and accuracy of any statement or assertion contained in such a writing or instrument, and may assume that any person purporting to give any written, notice, advice or instructions in connection with the provisions hereof has been duly authorized to do so. Trustmark shall not be liable in any manner for the sufficiency or correctness as to form, manner and execution, or validity of any instrument deposited with it, nor as to the identity, authority or right of any person executing the same; and its duties hereunder shall be limited to those specifically provided herein.

(b) Unless Trustmark is negligent, grossly negligent or otherwise engages in willful misconduct with regard to its duties hereunder, SRSU, to the extent permitted by law, hereby agrees to defend and indemnify Trustmark and hold it harmless from any and all claims, liabilities, losses, actions, suits or proceedings at law or in equity, or any other expense, fees or charges of any character or nature, which it may incur or with which it may be threatened by reason of its acting as Custodian under this Agreement; and in connection therewith, to indemnify Trustmark against any and all expenses, including reasonable attorneys' fees and the cost of defending any action, suit or proceeding or resisting any claim.

(c) Trustmark shall not be liable for any failure to take any action required to be taken hereunder in the event and to the extent that the taking of such action is prevented or delayed by war (whether declared or not and including existing wars), revolution, insurrection, riot, civil action, act of God, accident, fire, explosions, stoppage of labor, strikes or other differences with employees, laws, regulators' orders or other acts of any governmental authority, or any other cause whatever beyond its reasonable control.

(d) Trustmark is obligated to indemnify SRSU for any loss of securities occasioned by the gross negligence or dishonesty of Trustmark's officers or employees, or burglary, robbery, holdup, theft, or mysterious disappearance, including loss by damage or destruction. In the event of a loss of the securities for which Trustmark is obligated to indemnify SRSU, the securities shall be promptly replaced or the value of the securities and the value of any loss or rights or privileges resulting from said loss of securities shall be promptly replaced.

(e) Trustmark may consult with counsel of its own choice and shall have full and complete authorization hereunder for any actions taken or omitted to be taken in reliance upon the opinion of such counsel. Trustmark shall not be liable for any mistakes of facts or errors of judgment, or for any acts or omissions of any kind unless caused by its gross negligence or willful misconduct.

Section 9. Upon termination of this Agreement, Trustmark will transfer to SRSU or its designated agent the Assets of the Account, after payment of all taxes and other expenses, including Trustmark's fee and expenses. In addition, if 100% of the Assets of the Account are withdrawn, this Agreement terminates and within three business days of the termination, Trustmark shall provide written notification to SRSU's domiciliary insurance commissioner.

Section 10. This Agreement contains the whole of the understanding between SRSU and Trustmark, and no provision hereof shall be modified or altered except in writing signed by both parties to this Agreement.

Section 11. This Agreement shall be governed by the laws of the State of Texas, shall be binding upon the parties, their successors and assigns, and shall be deemed continuing until terminated by either party upon at least sixty (60) days prior written notice to the other.

Section 12. If any provision of this Agreement is deemed to be invalid or unenforceable, such determination shall not affect the validity or enforceability of any other provisions of this Agreement.

Section 13. Trustmark is authorized to invest all cash proceeds in all Accounts in the Performance Money Market Fund until Trustmark receives a written

request from SRSU, which shall designate another money market fund. Trustmark is authorized to invest in the Performance Mutual Funds or any other open-end or closed-end management type investment company or investment trust registered under the Investment Company Act of 1940, as from time to time amended, notwithstanding the fact that Custodian or any of its affiliates is providing services to such investment company or investment trust and is receiving reasonable compensation for such services, in addition to Custodian's compensation hereunder. Trustmark National Bank serves as investment advisor to the Performance Mutual Funds and is compensated for such services.

Section 14. Any notice, authorization, request, or demand required or permitted hereunder shall be in writing and be deemed to have been duly given when mailed by registered mail or certified mail, return receipt requested, postage prepaid addressed as follows:

To SRSU:

Sul Ross State University

Vice President for Finance and Operations
P.O. Box C118
Alpine, TX 79832

—
Telephone: 432 - 837 8076
Fax: 432 - 837 8905
Email: cesariov@sulross.edu

To Trustmark:

Trustmark National Bank
Custody Department, Suite 820
P.O. Box 291
Jackson, MS 39205
Fax: (601) 208-6383
Telephone: (601) 208-2347
Email: scarter@trustmark.com

Section 15. The resolution of SRSU setting forth the names and signatures of parties authorized to give notices and instructions in connection with this custody Agreement, are attached hereto as Exhibit B.

Executed as of the date contained herein.

TRUSTMARK NATIONAL BANK

By: _____
W. Sanders Carter, Jr.
Title: First Vice President and
Trust Officer

ATTEST:

TEXAS STATE UNIVERSITY SYSTEM

Examined and Recommended:

President

Date

Chancellor

Date

The Honorable Donna Williams
Chair, Finance Committee

Date

APPROVED by the Board of Regents on February 15, 2013 at Austin, Texas

The Honorable Donna Williams
Chairman of the Board

Date

DAILY REPORTING OF SECURITY TRANSACTIONS

I understand that according to Regulation 12CFR 12.5(a) I have a right to be notified in writing of security transactions in my account within five (5) business days from the date of the transaction, or if a broker/dealer is utilized, within five (5) business days from the receipt by Trustmark of the broker/dealer's confirmation at no additional cost.

By signing below, I acknowledge that I DO NOT wish to receive written notification of the security transactions in my account.

TAX LOT ACCOUNTING

Federal law requires that each client must advise their financial institution of their desired tax lot selection method. The method that has been predominantly used is LTHC (which is longest held, highest purchase price) resulting in reducing gains to the lowest level possible. The new law requires that if no selection is made, FIFO (which is first security purchased, first security sold) will be used.

Please check the tax selection method desired below. Remember, if no response is provided, the FIFO method will be used. Otherwise, you must check the method you prefer.

I hereby request that my account have the following Tax Lot Selection Method:

<input type="checkbox"/>	H	HIFO(highest in, first out)
<input type="checkbox"/>	L	LOFO(lowest in, first out)
<input type="checkbox"/>	F	FIFO(first in, first out)

	I	LIFO(last in, first out)
	A	Average
	S	Select
	T	LTHC(long term high cost)
	M	MLMG(maximize loss minimize gain)

BY:

Date:

Exhibit A

Fees

Account fees:

Mutual Funds – 3 basis points

Domestic Equity Separate Accounts - 3 basis points

Domestic Fixed Income Separate Accounts - 3 basis points

Certificates of Deposit – 30 basis points

Minimum fee - \$1000

Money Market Sweep Fee

25 basis points on the amount invested in the money market once the average yield for the month reaches .40%. Until that time, sweep fees are split between the client and Trustmark

Termination fee

Actual cost of transfers of securities

Extraordinary Expenses

Any out-of-pocket expenses associated with extraordinary events

Wire Fees

An account is allowed 15 free domestic, outgoing wire transfers per calendar year; thereafter, Trustmark charges \$15 for domestic, outgoing wire transfers and \$35 for international, outgoing wire transfers. There are no charges for incoming wires.

CERTIFIED COPY OF BOARD RESOLUTION

I hereby certify that at a meeting of the Board of Directors of **Texas State University System**, located in Austin, Texas, ("System"), held the 15th day of February, 2013 at which said meeting a quorum was present and acting throughout, the following resolution was adopted and ever since has been and is now in full force and effect:

"RESOLVED, Sul Ross State University and Trustmark National Bank (the "Bank") entered into a Custodian Agreement dated February 15, 2013 (the "Agreement"), which Agreement is hereby ratified and confirmed.

RESOLVED, that whereas the terms of the Agreement require certain officers and authorized signatories to be designated by the University to direct the Bank on its matters. Accordingly, it is hereby resolved that any one of the Authorized Signatories of Sul Ross State University, are fully authorized and empowered to give instructions, either orally or written, or to direct or otherwise move assets and/or cash held in its accounts at the Bank. This authority to direct includes, but is not limited to, transfers of assets or cash by wire transfer, check or transfer to other accounts of University and/or to accounts of third parties either within or outside the Bank, approving and/or delegating settlement instructions or responsibilities for trades or any other disposition of funds or assets. The aforesaid Officers and Authorized Signatories are as follows:

Authorized Signatory:

Cesario Valenzuela, _____
Name Signature

Authorized Signatory:

Oscar Jimenez, _____
Name Signature

RESOLVED, that the Board has retained the following investment advisor, as its agents, to instruct Bank on the investment of all securities held in System's account(s), and to the retention of additional money mangers:

Asset Strategy Consultants

RESOLVED, that the Bank may rely on and will be indemnified by Sul Ross State University in acting upon any instruction believed by it to be genuine and to have been orally or communicated in writing signed by one of the above designated Officers, Authorized Signatories or

Investment Managers.”

I further certify that the actions taken through the Resolution are consistent with the Charter of this System as of the present date and that the signatures are genuine specimen signatures of the said officer and authorized signatories.

IN witness whereof, I have hereunto set my hand and the Seal of said Resolution, this the 15th day of February, 2013

SEAL

**Sul Ross State University
and
Texas Book Company
Bookstore Management Agreement**

This agreement is entered into between Sul Ross State University, a state-supported institution of higher education located in Alpine, Brewster County, Texas (SRSU or University) and Texas Book Company (TBC), 8501 Technology Circle, Greenville, Texas 75402.

1. Purpose:

Sul Ross State University hereby engages Texas Book Company (TBC) as an independent contractor to manage and operate the Sul Ross State University (SRSU or University) Bookstore (the “Bookstore”) on the terms and subject to the conditions set forth herein, and Texas Book Company hereby accepts contracted responsibilities. Such responsibilities to include but not be limited to:

- All goods and services reasonably expected from a quality academic bookstore;
- Sufficient quantity and timely supply of all required textbooks and materials;
- A selection of current trade, academic, and technical literature
- Timely response to orders for special books and similar items;
- A selection of other goods such as; office and art supplies, incidental household goods for resident students, personal care items, University insignia, wearing apparel, memorabilia, and other similar goods
- A fair and equitable book pricing and buyback service at least comparable to industry standards;
- A used textbook program which ensures the highest possible ratio of used textbooks to new textbooks;
- An online textbook sales program capable of servicing the Rio Grande College;
- An online website complete as to content description and services available in the bookstore.
- Become involved in the academic, social, and cultural environment of the University, offering special merchandising and/or assistance to complement the University’s activities and programs.

2. Term:

- a. Length of Contract: This agreement shall govern the partnership between Sul Ross State University and Texas Book Company with regard to Bookstore Management for a seven (7) year initial period beginning April 1, 2009, and ending March 31, 2016.
- b. Renewal of Contract: Upon completion of the initial contract term, the contract may be renewed for one additional five (5) year term, under the terms and conditions, as agreed to in writing between Texas Book Company and Sul Ross State University. Thereafter, unless either party notifies the other in writing at least 120 (one hundred twenty) days prior to the expiration of the current term, of its intention not to renew, the contract shall automatically self-renew for one year periods under the same terms and conditions.

3. Contract Considerations:

a. Commissions on Sales:

Texas Book Company will pay SRSU 3% (three percent) of gross sales from \$0 (zero) to \$750,000 (seven hundred fifty thousand dollars) and 8% (eight percent) of gross sales from \$750,000 (seven hundred fifty thousand dollars) to \$1,000,000.00 (one million dollars), plus 10% (ten percent) of gross sales over \$1,000,000.00 (one million dollars). In contract years that gross sales exceed \$750,000 (seven hundred fifty thousand dollars) Texas Book Company will pay SRSU an additional \$37,500.00 (thirty-seven thousand five hundred dollars).

b. Commission Advance Payment:

Texas Book Company will offer a commission advance payment of \$150,000.00 (one hundred fifty thousand dollars).

c. Equipment and Furnishings:

Texas Book Company commits up to \$25,000.00 (twenty five thousand dollars) for bookstore interior enhancement, to include interior design, décor, furnishings and fixtures for the extent of the five (5) year contract. Funds not utilized as described in this section may be redirected by SRSU to other purposes such as an increase in textbook scholarships or deferred for future enhancements.

d. Technology Enhancements:

Texas Book Company commits up to \$40,000.00 (forty thousand dollars) for state-of-the-art computerization, E-commerce and equipment.

Texas Book Company commits \$500.00 annually to provide digital textbook delivery options.

e. Textbook Scholarship Contribution

Texas Book Company will give SRSU \$5,000.00 (five thousand dollars) in textbook scholarship contributions to be managed by the University during the five-year term of the initial contract. TBC will distribute the scholarship funds in \$1,000.00 (one thousand dollars) annual increments (subject to 3c above). The University will manage and distribute the scholarship at the University's discretion.

f. Discounts:

i. University and Departmental Discounts:

Texas Book Company grants Sul Ross State University a 20% (twenty percent) discount off regular retail prices for any merchandise, excluding textbook, software, sundries and already discounted items.

Purchased items will be charged to the University or department and clearly itemized on a monthly invoice, in a format prescribed by the University. Payment from the University or department shall be due 30 (thirty) days from billing.

ii. Faculty and Staff Discounts:

Texas Book Company offers the faculty and staff a 10% (ten percent) discount off regular retail prices for any merchandise, excluding textbooks, software, sundries, and already discounted items.

4. Termination:

Sul Ross State University or Texas Book Company may terminate this contract by choice with 120 (one hundred twenty) days written notice of intent to terminate. Termination notice must be mailed by registered mail to the respective principal business address of the other party in this contract.

Sul Ross State University may terminate this contract should there be a material breach of contract or should Texas Book Company fail to perform. Sul Ross State University must send written notice to Texas Book Company stating defects to be corrected. If Texas Book Company, after having received written notice from SRSU of default of any of the contractual obligations, does not fully remedy said defaults within 60 (sixty) days, the University may, with written notice to Texas Book Company, terminate this contract in its entirety within 120 (one hundred twenty) days from notification of breach.

Texas Book Company may terminate this contract should there be a material breach of contract or should Sul Ross State University fail to perform. Texas Book Company must send written notice to Sul Ross State University stating defects to be corrected. If Sul Ross State University, after having received written notice from Texas Book Company of default of any of the contractual obligations, does not fully remedy said defaults within 60 (sixty) days, Texas Book Company may, with written notice to the University, terminate this contract in its entirety within 120 (one hundred twenty) days from notification of breach.

If this contract terminates, the University or its assigns will purchase the bookstore inventory at the same price as TBC's cost for the inventory. If this contract terminates prior to the University earning the commission advance payment, the University or its assigns will reimburse Texas Book Company for the unearned portion of the commission advance. If this contract terminates prior to the end of the amortization schedule, the University or its assigns will reimburse Texas Book Company for the unamortized portion of the leasehold improvements, equipment, furniture, fixtures, including Texas Book Company and third party design and project management services, architectural and engineering services, cabling, infrastructure, flooring, wall coverings, decorating, and like costs. All previously stated costs will be amortized over five (5) years on a straight-line amortization schedule. Furniture, fixtures and equipment purchased with funds designated as *Equipment and Furnishings*, become the property of the University at the end of the amortization period. If this contract terminates, removable technology and computer equipment remains the property of Texas Book Company. Amortization of leasehold improvements, equipment, furniture, fixtures, including Texas Book Company and third party design and project management services, architectural and engineering services, cabling, infrastructure, flooring, wall coverings, decorating, and like costs for the bookstore will begin on the day improvements are completed. All payments to TBC are due within 30 (thirty) days of contract termination.

5. Amendments:

No change, modification, or amendment of this agreement shall be valid unless the same shall be in writing and signed by both parties hereto.

6. Exclusive Seller Right:

SRSU will extend exclusive right, free from any alternate source endorsed, licensed or otherwise approved or supported by SRSU (whether on campus, by catalog or through electronic commerce, including hyperlinks to alternate sources) to buy, sell, and distribute (including the right to select vendors) merchandise and services traditionally offered in college and university bookstores, including but not limited to, textbooks, course materials, course-adopted software, paper and electronic custom anthologies, educational materials used in the teaching process, all type of medium required or recommended for course study, whether printed or computer-based, which is sold for use on a per-person basis, class and alumni rings and jewelry, clothing (whether or not emblematic), college supplies, desk and dorm accessories, gifts, souvenirs, graduation regalia (sale and rental) and announcements, and computer software. TBC shall fulfill any distance learning, extension campus, or any location where SRSU instructional and auxiliary materials are required by the University during the extent of this contract. TBC shall fulfill all website sales required by the University during the extent of this contract. TBC may alter operational policies to facilitate web and distance learning transactions as needed. Request by TBC to be released of this obligation must be approved in writing by SRSU.

SRSU grants TBC the non-exclusive right, subject to the University's published standards, to use SRSU's seal, logotype, and associated trademarks and service marks on the Bookstore Internet site, signage and collateral materials, and stationery, soft goods, notebooks, pens, pencils, decals and other goods traditionally sold in college and university bookstores. SRSU will not grant such right to any other online or brick-and-mortar retail bookseller during the contract term. If SRSU or the Texas legislature changes its name, seal, or logotype, immediate notification shall be given to Texas Book Company for the purpose of liquidating obsolete SRSU inventory. The cost of unsold emblematic merchandise on hand 90 (ninety) days after the official name change date shall be deducted from commissions payable to SRSU.

SRSU shall extend exclusive right, to the extent allowed by law, to TBC to Web page links to the University Internet sites for the purpose of selling books, teaching materials, clothing, logo and emblematic items, and all other Bookstore products. SRSU shall extend exclusive rights to TBC for advertising and promotions on the campus, campus Website, campus publications and school sponsored student publications for the purpose of selling bookstore products. No other company or vendor will be allowed to solicit sales for products exclusive to the Bookstore.

None of the typical bookstore merchandise or articles sold by TBC on the SRSU campus shall be sold, at wholesale or retail, by an individual, corporation, or co-partnership other than TBC. SRSU shall not, under the trade name of SRSU, Sul Ross State University, or any other name, either directly or indirectly, sell merchandise sold by Texas Book Company at either retail or wholesale during the life of the contract. No other organization or business is allowed to sell SRSU emblematic merchandise on the SRSU campus, or University-sponsored event without prior written consent from TBC.

This exclusive right does not prohibit occasional sales by special employee functions, student groups, alumni groups, or student government organizations that do not materially impact Bookstore sales including but not limited to college promotional items for give-aways. Upon written mutual consent,

selected supply items may be excluded. This exclusive right does not include any products currently sold elsewhere within the University or through other existing contracts. This exclusive right does not prohibit SRSU from granting rights to sell SRSU logo and emblematic soft goods to off campus vendors.

7. Environmental Matters:

To the best of its knowledge, Sul Ross State University is not aware of any health or environmental problems which currently exist or are likely to develop in the building or physical facility which houses the Bookstore. Sul Ross State University shall be responsible for promptly remedying any health or environmental problem at the Bookstore, other than those caused by Texas Book Company, and notify Texas Book Company accordingly.

8. Contractor Obligations: Texas Book Company Shall be responsible for the following obligations at Texas Book Company's Expense:

- a. All operating expenses of the Bookstore other than those described in Section 35, including those related to:
 - i. Inventory and capital equipment acquisitions and related bill paying and accounting functions
 - ii. Computer technology and integration for the purpose of textbook management, registration integration, point-of sale system
 - iii. Office equipment maintenance and repair
 - iv. Loss prevention and collection services
 - v. General bookstore interior custodial services
 - vi. Security systems
 - vii. Key replacement, rekeying, or lock replacement
- b. TBC assumes responsibility for expenses related to existing applicable taxes, including employment, sales, inventory, and Company owned property taxes, excluding Sul Ross State University owned property.
- c. TBC assumes responsibility for expenses related to applicable licenses, permits, and bonding required to comply with municipal, county, state, and federal laws. The parties agree that nothing in this contract confers upon TBC the right to exclusive use and possession of any particular location on Sul Ross State University campuses.
- d. Upon request, TBC will supply Sul Ross State University proof of payment of all expenses related to taxes, licenses, and permits. TBC agrees to indemnify and holds harmless Sul Ross State University, the Texas State University System, their regents, and employees from all claims, suits, actions, damages, and costs arising under this Agreement.

- e. Additional telecommunication lines or electronic communication equipment over and above those referenced in Section 35 and all long distance charges for the Bookstore operation will be paid by TBC at TBC expense.

9. Insurance:

Texas Book Company shall procure at its own expense, and maintain during the existence of this agreement, the following policies of insurance in connection with the operation of the Bookstore:

- a. Worker's Compensation Insurance;
- b. Comprehensive Bodily and Property Damage Insurance, (including bodily injury and property damage caused by motorized vehicles, individual injury or death, and/or property damage in any one accident, with limits of at least one million dollars (\$1,000,000.00 per occurrence); and
- c. Product and General Liability Insurance in an amount at least equal to the maximum limits of liability as contained in Chapter 101 of the Texas Civil Practices and Remedies Code, the Texas Tort Claims Act, or any successor or companion statutes, as amended from time to time, but such coverage shall be never less than current minimum limits under said statute, which are \$250,000 per person and \$500,000 for any single occurrence for bodily injury or death, and \$100,000 for any single occurrence of injury to or destruction of property.

Texas Book Company shall obtain and deliver to SRSU all certificates evidencing such insurance. Texas Book Company shall hold Sul Ross State University, its Board of Regents, their officers and employees harmless from claims, which may arise in connection with operation of the Bookstore facilities specified herein, and sales of products or performance of any service under this contract or injuries or death caused by Texas Book Company vehicles on and immediately adjacent to the Bookstore premises, except for claims caused solely by Sul Ross State University or any of its employees, agents or representatives, for which Sul Ross State University shall save Texas Book Company harmless, as allowed by law.

Texas Book Company's insurance policies for the Bookstore shall name Sul Ross State University, the Texas State University System, their regents and employees as additional insured and loss payees, and shall contain covenants requiring thirty (30) days' written notice to Sul Ross State University before cancellation, reduction, or other modification of such coverage. These policies shall be primary and noncontributing with any insurance carried by Sul Ross State University or the Texas State University System and shall contain a severability of interest clause with respect to gross liability, protecting each named insured as though a separate policy has been issued to each.

10. Facilities and Equipment Use and Maintenance:

TBC assumes the use and full responsibility for the care of the Bookstore interior and Sul Ross State University-owned Bookstore furnishings, electronics and equipment. TBC agrees to return the equipment and facilities in the same condition it was received except for reasonable wear and tear. If removal of the equipment is necessary, TBC will notify Sul Ross State University for proper removal or disposal. From time-to-time TBC may employ the services of the Sul Ross State University maintenance department to provide maintenance and repair services. Such services will be billed to TBC at actual cost of materials and labor.

11. Custodial Maintenance:

Texas Book Company shall provide daily custodial care and janitorial maintenance required. Floor and other debris shall be removed daily. Windows shall be kept clean and signage will be professional and attractive. Signage to be erected will require approval of the SRSU Vice President for Finance and Operations in advance of installation. Shipping containers will be contained to back rooms away from view of customers. TBC corporate management and auditors will inspect the cleanliness of the store on every visit.

12. Security, Access, and Sul Ross State University Department of Public Safety:

TBC shall cooperate with Sul Ross State University Department of Public Safety concerning enforcement of regulations, internal security, and theft control. Except in physically dangerous or other emergency situations, Texas Book Company will summons the Sul Ross State University Department of Public Safety for emergency needs. Texas Book Company will not summons public emergency services except through the University Department of Public Safety. Texas Book Company will not seek to have students or employees of the University, suspected of theft, arrested by public authorities or prosecuted without prior consultation with the official University liaison. Unless otherwise named by SRSU, the liaison shall be the University Director of Public Safety.

13. Compliance With All Laws:

Texas Book Company shall comply with all laws, ordinances, rules, orders and regulation of federal, state, and municipal governments, and of any and all of their departments, division, bureaus, and subdivisions, applicable to the operation of the Bookstore. TBC shall also comply with all SRSU policies and the Texas State University System Rules and Regulations as presently constituted and as may be amended to the extent applicable to operations.

14. Management of Staff:

Texas Book Company shall staff the Bookstore with experienced and qualified managerial, technical, and service personnel. During peak “rush” periods, Texas Book Company shall hire sufficient additional employees to expedite sales transactions. Texas Book Company will employ students of Sul Ross State University whenever possible. The University shall have the right to interview and approve any person whom the TBC proposes to appoint as onsite Bookstore Manager. TBC shall reassign any of its employees from positions having direct contact with customers when the University so requests, provided that such requests shall be based primarily on the grounds that continued contact with customers would be detrimental to the University’s public relations. TBC understands that the Texas State University System is currently considering passage of a rule that will require TBC and other like vendors to conduct employee background checks and take other actions respective of campus safety.

15. Staff Relations, Wages, and Benefits:

Texas Book Company shall be responsible for all personnel expenses including the wages, benefits, training and development, and payroll system cost of all Bookstore employees.

16. Calendar of Operating Hours:

Texas Book Company shall maintain a schedule of operating hours and week of business for the Bookstore in accordance with the official Sul Ross State University calendar and in mutual agreement with Sul Ross State University. The ultimate objective of operating hours is to meet the needs of students, faculty, and staff. Current normal operating hours will be as listed below:

Monday-Friday	8:00 a.m. – 5:00 p.m.
Saturday	9:00 a.m. – 12:00 p.m.

Any change to the operating schedule shall be subject to approval of Sul Ross State University. Such approval shall not be unreasonably withheld. During registration periods, the first two weeks of classes, and all special campus events, TBC shall extend Bookstore hours to meet demand.

TBC shall provide additional hours of service in the Bookstore on additional days and times as reasonably requested by Sul Ross State University.

17. Book Orders and Deadlines:

Texas Book Company shall fill orders for books and required supply items from semester to semester or term to term in accordance with textbook and supply adoptions submitted by the faculty. The Bookstore manager shall be given notice by the faculty or authorized department designees of the textbook and supply adoptions for all courses offered as follows:

- a. Fall session – on or before March 15
- b. Mid Winter session – on or before October 1
- c. Spring session – on or before October 1
- d. Summer session – on or before March 1

Texas Book Company shall be responsible, at its expense, for contacting all faculty members in a timely manner for their textbook and supply adoptions. Sul Ross State University shall not be responsible for compiling, nor shall it maintain a list of such adoptions.

18. Promotions and Advertising:

Texas Book Company shall be responsible, at its expense, for development and implementation of a promotion and advertising plan focused on special events, discount pricing, enticement into the stores, driving store traffic, and boosting sales. Sul Ross State University academic calendar and campus events will drive Sul Ross State University’s unique Bookstore event and promotion calendar. Sul Ross State University reserves the right to approve such promotions and advertisements.

19. Refunds and Return Policies:

TBC shall grant a full refund for textbooks during the first five (5) days of the fall and spring semester classes, with a receipt. Thereafter, a full refund is given through the twelfth (12th) class day with a receipt and a drop slip. A cash register receipt must accompany all refunds and returns.

- a. Returns may be made within five (5) class days of each summer session.
- b. Study guides, test preparations, manuals and software are non-refundable.
- c. Computer items may be refunded or exchanged within three (3) days from the date of purchase, with the original receipt, providing the merchandise is unopened.

- d. All other merchandise may be returned within three (3) days of purchase with a receipt.
- e. New materials must be returned in original condition.
- f. Shrink-wrapped items may be returned for refund, if unopened.
- g. Refunds are not allowed during the week prior to, or during finals.

Students in the Military Reserve or National Guard who receive orders for active duty are extended special refund and return privileges. Students who are activated will receive a full refund on their textbooks and course materials, with receipt.

Activated students who withdraw from classes prior to final exams without a letter grade will be offered a full refund on required course materials. A copy of the military orders or a Sul Ross State University withdrawal form indicating a withdrawal for military service must be presented when requesting a refund. Full refunds will be permitted within 30 days from the date of activation. In the case that a student must report to duty before receiving their refund, a refund may be obtained by another person on behalf of the student, within the stated conditions.

Texas Book Company will prominently post the refund/return policy at each register location. During each purchase transaction the cashier will describe the refund policy, the last day for refunds and attach the refund policy to the sales receipt.

20. Payment of Sales:

TBC shall accept cash, personal checks, MasterCard, Visa, Discover, American Express, ATM/Debit cards, University purchasing card, University one-card and financial aid vouchers. TBC shall accept student, faculty, and staff personal checks in reasonable amounts in relation to purchase amounts, subject to appropriate and normal identification of the customer and verification by the sales clerk.

21. Credit to Students:

TBC shall honor SRSU financial aid vouchers, University one-card, and qualified loans. At the end of each month TBC will submit a detailed accounting of charges to SRSU. Payment from SRSU is due 30 (thirty) days from billing.

TBC will establish accounts and billing cycles for scholarships provided by sponsorships, government agencies and private companies. Payments from non-SRSU scholarship funds are due 30 (thirty) days from billing. Unless third party scholarships are presented by SRSU to TBC, collection of the third party scholarship will remain the responsibility of TBC.

Payments 30 (thirty) days past due are subject to a 1% late fee per month. If payment is not made prior to the beginning of the subsequent semester, TBC will not accept scholarship credits or vouchers from a delinquent party until the account balance, including late penalties, are paid in full. TBC understands that SRSU will have no liability for third party accounts unless SRSU expressly accepts responsibility.

22. Bad Check Collections:

TBC shall collect all money on checks returned from the bank. A reasonable service fee may be added to the face amount of the returned check.

23. Policy Posting:

Texas Book Company shall post Bookstore policies concerning refunds, buybacks, and exchanges conspicuously and without equivocation.

24. Pricing:

- a. New Textbooks: The selling price of new textbooks purchased from the publisher shall not exceed 25% (twenty-five percent) gross margin, based on landed cost, inclusive of reasonable and necessary restocking fees and return penalties.
- b. Used Textbooks: Used books shall be priced at a discount of at least 25% (twenty-five percent) off the new textbook selling price.
- c. Course Packs, Bundles and e-Products: On e-books, e-course packs, course packs, text packages, kits, sets, and bundles, and non-returnable and return-restricted texts, not more than a 30% gross margin.
- d. Trade Books: Trade books are priced at publisher's list price. If net is discounted less than 25%, a 25% margin is added.
- e. General Merchandise and Supplies: General merchandise and supplies shall be priced at the industry-standard margin.
- f. Prepaid software license: Prepaid software license pricing is subject to individual contract review.

SRSU shall have the privilege of examining TBC records to confirm pricing structure. In exceptional cases only involving changes in university and campus store market conditions that are outside of

TBC's control, TBC may request a mutual review and decision with respect to commission and pricing policies at any time during the year. SRSU shall determine the effective date of any such changes in policies.

25. Bookstore Quality Assurance Committee and Reporting:

A Bookstore Quality Assurance Committee may be established by Sul Ross State University as a mechanism to ensure effective communication between the Bookstore, its customers, and Sul Ross State University administration and staff, and provide customer feedback and suggestions for Bookstore improvement and additional services. Sul Ross State University will specify University personnel and students to serve as members of the committee. TBC will provide Bookstore and Texas Book Company personnel to serve on the committee. A committee report and follow-up action will be conducted in accordance with the committee protocol established by Sul Ross State University.

26. Bookstore Performance Review:

Texas Book Company's vice president of the College Bookstore Division shall meet with the official Sul Ross State University liaison (the Vice President for Finance and Operations or his designee) for the sole purpose of a performance review of the Bookstore. Bookstore performance review meetings shall be held annually unless more frequent meetings are requested by either party to this agreement. The results of this review will be used to augment service and to develop solutions for improvement.

Sul Ross State University administrator(s) and faculty members will be asked to complete a comprehensive assessment "Scorecard" of Bookstore service, personnel performance, product selection, Bookstore environment, and corporate support.

27. Customer Satisfaction Assessment Tools:

Texas Book Company shall survey customers annually to assess service quality, detect problems or unmet product needs, and solicit constructive feedback. Survey results will be presented to the Bookstore Quality Assurance Committee for comments and suggestions. Faculty shall be assessed after the start of each long semester to determine their satisfaction with the bookstore, staff, the textbook process, and book availability. TBC shall use an independent third-party shopping service to evaluate the bookstore and staff according to the TBC service standards, operations policies and store appearance requirements. Assessment results are used to identify stores and staff that need training or other resolutions, or to recognize performance excellence. Results of such assessments will be shared with the SRSU Vice President for Finance and Operations.

28. Inventory Purchase:

Texas Book Company will negotiate the purchase of the existing Bookstore inventory with the current vendor.

29. Payment Schedule and Reports:

The \$150,000.00 commission advance shall be paid at the onset of the contract at the direction of SRSU. Once the advanced payment is earned based on percentage of gross sales as specified in the Section 3, *Contract Considerations*, commissions due to SRSU will be paid by the 30th (thirtieth) day after the closing of each monthly accounting period.

A sales report, itemizing sales by category, discounts and commissions due will be presented with each monthly payment. A monthly revenue statement and an end-of-year report will be provided.

30. Category of Gross Sales:

The category of “gross sales” includes all sales at the gross selling price, to include charges for all services to customers performed by TBC or through the lease premises. Gross sales includes all collected sales revenue less voids, refunds, excise tax, sales tax, credits, discounted sales, commissions, inter-company transfers, sales for which a commission has already been paid to the University, department, or program, or pass-through income.

TBC will pay all applicable taxes associated with any sales. Gross sales does not include other taxes levied by any federal, state, or municipal authority on the retail sales of the value of services performed by TBC, provided that the payment of such taxes is verified by TBC to the satisfaction of SRSU. TBC will not be responsible for property taxes on Bookstore facilities, or any other taxes not currently assessed.

In an unforeseen circumstance that enrollment decreases by five percent (5%) or more from the initial academic year of this agreement, or sales are materially impacted by SRSU policy, SRSU and TBC will re-negotiate an appropriate commission, suitable to the current financial condition. The 12th (twelfth) class day enrollment is considered the official enrollment for the fall and spring semesters.

31. Performance Bond:

As stated in Section 3, *Contract Considerations*, and Section 29, *Payment Schedule and Reports*, Texas Book Company will pay the University an advance commission payment in the amount of \$150,000.00. The advance commission payment will be in lieu of the performance bond until the advanced payment is earned based on percentage of gross sales as specified in Section 3, *Contract Considerations*. Immediately upon this occurrence, Texas Book Company will procure, maintain, and furnish the University a certificate of Performance Bond per the requirements of Texas Government Code, Section 2252.064 as amended from time to time. Such Performance Bond shall equal the amount of the University’s average first two years commissions earned.

32. Non-Competition

TBC agrees not to operate or initiate plans to operate a brick-and-mortar bookstore business selling textbooks in competition with the University or its bookstore vendor for at least three (3) years following the termination or expiration of this contract and any renewals thereof with the University.

33. Access to Records:

Sul Ross State University shall have full access to TBC Bookstore records at all times, including all cash registers being used by TBC, with or without notice. Cash register totals will be used to verify the cash sales reported. In addition, all registers shall have:

- a. Point of sale journal, which provide verifiable transactions in continuous numeric order.
- b. Electronic journal, which can be printed if necessary.

Sul Ross State University shall have full access to audit the Bookstore records upon request. All records arising from the operation of the Sul Ross State University Bookstore will be available at the Bookstore for a period of at least two (2) years from the date the record is created. All records arising from the operation of the Sul Ross State University Bookstore will be kept for a period of five (5) years.

34. Ownership of Merchandise:

Texas Book Company owns all inventories of merchandise to operate and manage the Sul Ross State University Bookstore. Texas Book Company maintains lines of merchandise appropriate to the Sul Ross State University marketplace, with emphasis on those items that enhance the educational process and image of Sul Ross State University.

Texas Book Company will stock and sell merchandise appropriate to the moral tone, quality, and character of Sul Ross State University. Texas Book Company will not display or sell any item unacceptable to Sul Ross State University.

Texas Book Company may, from time to time, secure financing from various lenders for the inventory of books and other merchandise to be located on Sul Ross State University premises. Such inventory of books and merchandise will be mortgaged to, or a security interest on such inventory granted to, the lenders to secure such financing. It is expressly understood that no University-owned facility, fixture, or equipment shall be mortgaged. To the extent allowed by Texas law, Sul Ross State University hereby waives all right to maintain or enforce a landlord's lien, statutory or contractual, or any other claim against the Texas Book Company's inventory of books and merchandise located on the premises belonging to Texas Book Company that may be mortgaged to or in which a security interest may be granted to the Company's lenders. This waiver binds the successors, heirs, and assigns of Sul Ross State University and insures to the benefit of Texas Book Company's lenders and its successors and assigns.

35. Sul Ross State University Shall Provide to Texas Book Company at the University Expense:

- a. Heat, light, utilities, and air conditioning as is reasonably required for operation of the Bookstore.
- b. Office equipment and office machines currently available for Bookstore use (including computer equipment, safes, furniture and fixtures, file cabinets, telephones and telephone service (including campus telephones and campus telephone service)).
- c. Bookstore access to local area telephone and campus extensions. Use of the current bookstore telecommunication lines and equipment. TBC is responsible for all monthly line charges and long distance charges and cell phone expenses incurred by TBC for bookstore operations.
- d. Bookstore access to the Sul Ross State University Internet network and sufficient static I P addresses.
- e. All repairs and maintenance for the building and the physical structure in which the Bookstore is located.
- f. A facility independent from University employees, departments, or auxiliary operations. University police/security may hold a key for emergency use only.
- g. Refuse removal from the Bookstore's designated refuse container.
- h. University Department of Public Safety shall provide security service in the same manner provided for other campus buildings. TBC may request special security services. TBC will fully reimburse Sul Ross State University for requested services.
- i. Prominent webpage links on the University website pages for the bookstore and textbook registration link services.

36. Benefit and Binding Effect:

This agreement shall be binding upon and shall inure to the benefit of Sul Ross State University and Texas Book Company and their successors and assigns.

37. Proprietary Information:

Sul Ross State University will safeguard all TBC proprietary information relating to corporate finances as designated as proprietary by TBC.

38. Headings; Interpretation:

The headings used in this agreement are for convenience only and do not constitute substantive matter to be considered in construing its terms.

39. Severability:

The presence in the text of this agreement of any clause, sentence, provision, paragraph, or article held to be invalid, illegal or ineffective by a court of competent jurisdiction shall not impair, invalidate, or nullify the remainder of this agreement. The effect of any such holding shall be confined to the portion so held invalid.

40. Dispute Resolution. Governing Law and Venue:

Disputes shall be referred to the Texas State Office of Administrative Hearings (SOAH) in accordance with Texas *Government Code, chapter 2260*. Texas law shall govern, and venue shall lie in Brewster County, Texas.

IN WITNESS WHEREOF, the parties hereto have set their hands as at the day and year written below.

TEXAS BOOK COMPANY

Signature: _____

Name: C. Brent Dyer

Title: President

Date: _____

TEXAS STATE UNIVERSITY SYSTEM

Examined and Recommended:

President

Date

Chancellor

Date

APPROVED by the Board of Regents on February 15, 2013 at Austin, Texas.

The Honorable Donna Williams
Chairman of the Board

Date

**TRANSPecos BANKS, PECOS BRANCH OFFICE LEASE
SUL ROSS UNIVERSITY**

Date: December 15, 2013

Landlord: TransPecos Banks

Landlord's Address: 112 E Pecan St, Ste 300
San Antonio, TX 78205

Tenant: Sul Ross University

Tenant's Address: Suite 213
115 W. Third St.
Pecos, TX 79772

Premises: Approximately 384 square feet on the 2nd floor of the Building

Name of Building: TransPecos Banks, Pecos Branch Building

Suite Number: Suite 213

Street address/suite: 115 W. Third St.
Pecos, TX 79772

Term: 1 year

Commencement Date: January 1, 2013

Base Rent (monthly): \$576 (\$1.50/sq. ft.)

Permitted Use: Office Space

Parking: Parking space provided for 10 vehicles. \$50 per month per vehicle in excess of 10

Definitions

“Building Operating Hours” means 8:00am to 5:00pm Monday through Friday, except holidays.

“Common Areas” means all facilities and areas of the Building and the related land intended and
Pecos Branch Office Lease

designated by Landlord from time to time for the common, general, and nonexclusive use of all tenants of the Building. Landlord has the exclusive control over and right to manage the Common Areas. See also paragraph 22.

“Essential Services” means the following services: (a) air-conditioning and heating to the Premises reasonable for the Permitted Use (exclusive of air-conditioning or heating for electronic data-processing or other specialized equipment) during Building Operating Hours and at such other times at such additional cost as Landlord and Tenant may agree on; (b) hot and cold water for lavatory and drinking purposes; (c) limited janitorial service (light cleaning); (d) elevator service, if necessary, to provide access to and from the Premises; (e) electric current for normal office machines and the Building’s standard lighting reasonable for the Permitted Use; (f) lighting in Common Areas and fluorescent lights in the Building’s standard light fixtures on the Premises.

“Injury” means (a) harm to or impairment or loss of property or its use, (b) harm to or death of a person, or (c) “personal and advertising injury”

“Landlord” means Landlord and its agents, employees, invitees, licensees, or visitors.

“Operating Expenses” means all expenses that Landlord must reasonably pay in connection with the ownership, operation, and maintenance of the building, and expenses for which Tenant is required to reimburse Landlord.

“Rent” means Base Rent plus any Equipment Rental or other amounts of money payable by Tenant to Landlord.

“Tenant” means Tenant and its agents, contractors, employees, invitees, licensees, or visitors.

Clauses and Covenants

A. Tenant agrees to—

1. Lease the Premises for a one year term beginning on the Commencement Date and ending on December 31, 2013.

2. Accept the Premises in their present condition “AS IS,” the Premises being currently suitable for the Permitted Use.

3. Obey (a) all applicable laws relating to the use, condition, and occupancy of the Premises and Building; (b) any requirements imposed by utility companies serving or insurance companies covering the Premises or Building; and (c) any rules and regulations for the Building and Common Areas adopted by Landlord.

4. Pay monthly, in advance, on the first day of the month, the Base Rent to Landlord

at Landlord's Address.

5. Pay a late charge of 5 percent of any Rent not received by Landlord by the tenth day after it is due.

6. Obtain and pay for all utility services used by Tenant and not provided by Landlord.

7. Allow Landlord to enter the Premises to perform Landlord's obligations, inspect the Premises, and show the Premises to prospective purchasers or tenants.

8. Repair, replace, and maintain any part of the Premises that Landlord is not obligated to repair, replace, or maintain, normal wear excepted.

9. Submit in writing to Landlord any request for repairs, replacement, and maintenance that are the obligations of Landlord.

10. Vacate the Premises and return all keys to the Premises on the last day of the term unless both parties agree to an extension of the lease or a new lease has been entered into.

11. On request, execute an estoppel certificate that states the Commencement Date and Termination Date of the lease, identifies any amendments to the lease, describes any rights to extend the Term or purchase rights, lists defaults by Landlord, and provides any other information reasonably requested.

12. Arrange with Landlord in advance for any heating, air-conditioning, or electrical needs in excess of the services provided by Landlord and pay for such additional services as billed by Landlord.

10. Subject to Landlords obligations enumerated in Section C of Clauses and Covenants, keep and maintain the entire Leasehold Premises, and every part thereof, in good condition and repair at all times during the term of the Lease. Tenant shall keep and maintain the Leasehold Premises in a clean, sanitary and safe condition, at all times during the term of the Lease, in accordance with the laws of the State of Texas and in accordance with all directions, rules and regulations of the health officer, fire marshal, building inspector, or other proper officials of the governmental agencies having jurisdiction, at the sole cost and expense of Tenant, and Tenant shall comply with all requirements of law, ordinance and otherwise, affecting said premises. If Tenant refuses or neglects to commence and to complete repairs promptly and adequately, Landlord may, but shall not be required to do so, make and complete said repairs, and Tenant shall pay the cost thereof to Landlord upon demand. At the time of the expiration of the tenancy herein, Tenant shall surrender the premises in good condition, reasonable wear and tear excepted.

11. *Personal Property Insurance.* Tenant agrees to carry, at its sole expense, insurance against all risks of physical loss, insuring Tenant's fixtures, furnishings, equipment and all other items of personal property of Tenant located on or within the Leasehold Premises, in an amount equal to not less than one hundred percent (100%) of the actual replacement cost thereof and to furnish Landlord with a certificate evidencing such coverage.

12. To the extent allowed by Texas law, INDEMNIFY, DEFEND, AND HOLD LANDLORD HARMLESS FROM ANY INJURY (AND ANY RESULTING OR RELATED CLAIM, ACTION, LOSS, LIABILITY, OR REASONABLE EXPENSE, INCLUDING ATTORNEY'S FEES AND OTHER FEES AND COURT AND OTHER COSTS) OCCURRING IN ANY PORTION OF THE PREMISES. **THE INDEMNITY CONTAINED IN THIS PARAGRAPH (a) IS INDEPENDENT OF TENANT'S INSURANCE, (b) WILL NOT BE LIMITED BY COMPARATIVE NEGLIGENCE STATUTES OR DAMAGES PAID UNDER THE WORKERS' COMPENSATION ACT OR SIMILAR EMPLOYEE BENEFIT ACTS, (c) WILL SURVIVE THE END OF THE TERM, AND (d) WILL APPLY EVEN IF AN INJURY IS CAUSED IN WHOLE OR IN PART BY THE ORDINARY NEGLIGENCE OR STRICT LIABILITY OF LANDLORD BUT WILL NOT APPLY TO THE EXTENT AN INJURY IS CAUSED BY THE GROSS NEGLIGENCE OR WILLFUL MISCONDUCT OF LANDLORD.**

B. Tenant agrees not to—

1. Use the Premises for any purpose other than the Permitted Use.
2. Create a nuisance.
3. Interfere with any other tenant's normal business operations or Landlord's management of the Building.
4. Permit any waste.
5. Use the Premises in any way that would increase insurance premiums or void insurance on the Building.
6. Change Landlord's lock system.
7. Alter the Premises.
8. Allow a lien to be placed on the Premises.
9. Assign this lease or sublease any portion of the Premises without Landlord's written consent.

C. Landlord agrees to—

1. Lease to Tenant the Premises for the entire Term beginning on the Commencement Date and ending on December 31, 2013.
2. Obey all applicable laws with respect to Landlord's operation of the Building and Common Areas.
3. Provide the Essential Services.
4. Repair, replace, and maintain the (a) roof, (b) foundation, (c) Common Areas, (d) structural soundness of the exterior walls, doors, corridors, and windows, and (e) other structures or equipment serving the Premises.
5. Provide Tenant with detailed invoices for all heating, air-conditioning, and electrical charges in excess of the Essential Services for which Landlord requests reimbursement.

D. Landlord agrees not to—

Interfere with Tenant's possession of the Premises as long as Tenant is not in default.

E. Landlord and Tenant agree to the following:

1. *Alterations.* Any physical additions or improvements to the Premises made by Tenant will become the property of Landlord. Landlord may require that Tenant, at the end of the Term and at Tenant's expense, remove any physical additions and improvements, repair any alterations, and restore the Premises to the condition existing at the Commencement Date, normal wear excepted.
2. *Abatement.* Tenant's covenant to pay Rent and Landlord's covenants are independent. Except as otherwise provided, Tenant will not be entitled to abate Rent for any reason.
3. *Uniform Commercial Code.* Tenant grants Landlord a security interest in Tenant's personal property now or subsequently located on the Premises. This lease is a security agreement under the Uniform Commercial Code.
4. *Default by Landlord/Events.* Defaults by Landlord are failing to comply with any provision of this lease within thirty days after written notice and failing to provide Essential Services to Tenant within ten days after written notice.
5. *Default by Landlord/Tenant's Remedies.* Tenant's remedies for Landlord's default are to sue for damages and, if Landlord does not provide an Essential Service within thirty days

after default, terminate this lease.

6. *Default by Tenant/Events.* Defaults by Tenant are (a) failing to pay timely Rent, (b) abandoning or vacating a substantial portion of the Premises, and (c) failing to comply within ten days after written notice with any provision of this lease other than the defaults set forth in (a) and (b) above.

7. *Default by Tenant/Landlord's Remedies.* Landlord's remedies for Tenant's default are to (a) enter and take possession of the Premises, after which Landlord may relet the Premises on behalf of Tenant and receive the rent directly by reason of the reletting, and Tenant agrees to reimburse Landlord for any expenditures made in order to relet; (b) enter the Premises and perform Tenant's obligations; and (c) terminate this lease by written notice and sue for damages. Landlord may enter and take possession of the Premises by self-help, by picking or changing locks if necessary, and may lock out Tenant or any other person who may be occupying the Premises, until the default is cured, without being liable for damages.

8. *Default/Waiver/Mitigation.* It is not a waiver of default if the nondefaulting party fails to declare immediately a default or delays in taking any action. Pursuit of any remedies set forth in this lease does not preclude pursuit of other remedies in this lease or provided by applicable law. Landlord and Tenant have a duty to mitigate damages.

9. *Holdover.* If Tenant does not vacate the Premises following termination of this lease, Tenant will become a tenant at will and must vacate the Premises on receipt of notice from Landlord. No holding over by Tenant, whether with or without the consent of Landlord, will extend the Term.

10. *Attorney's Fees.* If either party retains an attorney to enforce this lease, the party prevailing in litigation is entitled to recover reasonable attorney's fees and other fees and court and other costs.

17. *Venue.* Exclusive venue is in the county in which the Premises are located.

18. *Entire Agreement.* This lease, together with the attached exhibits and riders, is the entire agreement of the parties, and there are no oral representations, warranties, agreements, or promises pertaining to this lease or to any expressly mentioned exhibits and riders not incorporated in writing in this lease.

19. *Amendment of Lease.* This lease may be amended only by an instrument in writing signed by Landlord and Tenant.

20. *Limitation of Warranties.* THERE ARE NO IMPLIED WARRANTIES OF MERCHANTABILITY, OF FITNESS FOR A PARTICULAR PURPOSE, OR OF ANY OTHER KIND ARISING OUT OF THIS LEASE, AND THERE ARE NO WARRANTIES THAT EXTEND BEYOND THOSE EXPRESSLY STATED

IN THIS LEASE.

21. *Notices.* Any notice required or permitted under this lease must be in writing. Any notice required by this lease will be deemed to be delivered (whether actually received or not) when deposited with the United States Postal Service, postage prepaid, certified mail, return receipt requested, and addressed to the intended recipient at the address shown in this lease. Notice may also be given by regular mail, personal delivery, courier delivery, facsimile transmission, or other commercially reasonable means and will be effective when actually received. Any address for notice may be changed by written notice delivered as provided herein.

22. *Use of Common Areas.* Tenant will have the nonexclusive right to use the Common Areas subject to any reasonable rules and regulations that Landlord may prescribe. The upstairs conference room will be available to Tenants. However, access to the conference room will be on a commonly kept reservation list basis, identifying the time, date, and expected length of usage for each reservation.

23. *Abandoned Property.* Landlord may retain, destroy, or dispose of any property left on the Premises at the end of the Term.

Sul Ross University

Print Name: _____

Signature: _____

Title: _____

Date: _____

TransPecos Banks

Print Name: _____

Signature: _____

Title: _____

Date: _____



TEXAS ASSOCIATION OF REALTORS®
COMMERCIAL CONTRACT - UNIMPROVED PROPERTY

USE OF THIS FORM BY PERSONS WHO ARE NOT MEMBERS OF THE TEXAS ASSOCIATION OF REALTORS® IS NOT AUTHORIZED.
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1. **PARTIES:** Seller agrees to sell and convey to Buyer the Property described in Paragraph 2. Buyer agrees to buy the Property from Seller for the sales price stated in Paragraph 3. The parties to this contract are:

Seller: Central Texas Medical Center Inc.
 Address: 1301 Wonder World Drive, San Marcos, TX 78666
 Phone: _____ Fax: _____
 E-mail: _____

Buyer: Texas State University
 Address: _____
 Phone: _____ Fax: _____
 E-mail: _____

2. **PROPERTY:**

A. "Property" means that real property situated in Hays County, Texas at
1343 Thorpe Lane, San Marcos, TX 78666
 (address) and that is legally described on the attached Exhibit _____ or as follows:
 Charles Place 1 Lot 3 0.48 AC GEO ID#90212119

B. Seller will sell and convey the Property together with:
 (1) all rights, privileges, and appurtenances pertaining to the Property, including Seller's right, title, and interest in any minerals, utilities, adjacent streets, alleys, strips, gores, and rights-of-way;
 (2) Seller's interest in all leases, rents, and security deposits for all or part of the Property; and
 (3) Seller's interest in all licenses and permits related to the Property.
 (Describe any exceptions, reservations, or restrictions in Paragraph 12 or an addendum.)
 (If mineral rights are to be reserved an appropriate addendum should be attached.)

3. **SALES PRICE:**

A. At or before closing, Buyer will pay the following sales price for the Property:

(1) Cash portion payable by Buyer at closing	\$	<u>see 12.1</u>
(2) Sum of all financing described in Paragraph 4	\$	<u>see 12.1</u>
(3) Sales price (sum of 3A(1) and 3A(2))	\$	_____

(TAR-1802) 1-26-10 Initialed for Identification by Seller SM, _____ and Buyer WAV, _____ Page 1 of 13
 Randall Morris 405 NW River Road Martindale, TX 78655
 Phone: 000.000.0000 Fax: _____ Carter Morris 1343 Thorpe La
 Produced with ZipForm® by zipLogix 18070 Fifteen Mile Road, Fraser, Michigan 48026 www.zipLogix.com

B. Adjustment to Sales Price: (Check (1) or (2) only.)

- (1) The sales price will not be adjusted based on a survey.
- (2) The sales price will be adjusted based on the latest survey obtained under Paragraph 6B.
 - (a) The sales price is calculated on the basis of \$ _____ per:
 - (i) square foot of total area net area.
 - (ii) acre of total area net area.
 - (b) "Total area" means all land area within the perimeter boundaries of the Property. "Net area" means total area less any area of the Property within:
 - (i) public roadways;
 - (ii) rights-of-way and easements other than those that directly provide utility services to the Property; and
 - (iii) _____.
 - (c) If the sales price is adjusted by more than _____ % of the stated sales price, either party may terminate this contract by providing written notice to the other party within _____ days after the terminating party receives the survey. If neither party terminates this contract or if the variance is less than the stated percentage, the adjustment to the sales price will be made to the cash portion of the sales price payable by Buyer.

4. FINANCING: Buyer will finance the portion of the sales price under Paragraph 3A(2) as follows:

- A. **Third Party Financing:** One or more third party loans in the total amount of \$ _____ . This contract:
 - (1) is not contingent upon Buyer obtaining third party financing.
 - (2) is contingent upon Buyer obtaining third party financing in accordance with the attached Commercial Contract Financing Addendum.
- B. **Assumption:** In accordance with the attached Commercial Contract Financing Addendum, Buyer will assume the existing promissory note secured by the Property, which balance at closing will be \$ _____ .
- C. **Seller Financing:** The delivery of a promissory note and deed of trust from Buyer to Seller under the terms of the attached Commercial Contract Financing Addendum in the amount of \$ _____ .

5. EARNEST MONEY:

- A. Not later than 3 days after the effective date, Buyer must deposit \$ 10,000.00 as earnest money with Corridor Title Co- _____ Sherry Merrit (escrow agent) at 133 W. San Antonio St., San Marcos (address), _____ (closer). If Buyer fails to timely deposit the earnest money, Seller may terminate this contract or exercise any of Seller's other remedies under Paragraph 15 by providing written notice to Buyer before Buyer deposits the earnest money.
- B. Buyer will deposit an additional amount of \$ _____ with the escrow agent to be made part of the earnest money on or before:
 - (i) _____ days after Buyer's right to terminate under Paragraph 7B expires; or
 - (ii) _____ .Buyer will be in default if Buyer fails to deposit the additional amount required by this Paragraph 5B within 3 days after Seller notifies Buyer that Buyer has not timely deposited the additional amount.
- C. Buyer may instruct the escrow agent to deposit the earnest money in an interest-bearing account at a federally insured financial institution and to credit any interest to Buyer.

6. TITLE POLICY AND SURVEY:

A. Title Policy:

- (1) Seller, at Seller's expense, will furnish Buyer an Owner's Policy of Title Insurance (the title policy) issued by Corridor Title (title company) in the amount of the sales price, dated at or after closing, insuring Buyer against loss under the title policy, subject only to:
 - (a) those title exceptions permitted by this contract or as may be approved by Buyer in writing; and
 - (b) the standard printed exceptions contained in the promulgated form of title policy unless this contract provides otherwise.
- (2) The standard printed exception as to discrepancies, conflicts, or shortages in area and boundary lines, or any encroachments or protrusions, or any overlapping improvements:
 - (a) will not be amended or deleted from the title policy.
 - (b) will be amended to read "shortages in areas" at the expense of Buyer Seller.
- (3) Within 10 days after the effective date, Seller will furnish Buyer a commitment for title insurance (the commitment) including legible copies of recorded documents evidencing title exceptions. Seller authorizes the title company to deliver the commitment and related documents to Buyer at Buyer's address.

B. Survey: Within 30 days after the effective date:

- (1) Buyer will obtain a survey of the Property at Buyer's expense and deliver a copy of the survey to Seller. The survey must be made in accordance with the: (i) ALTA/ACSM Land Title Survey standards, or (ii) Texas Society of Professional Surveyors' standards for a Category 1A survey under the appropriate condition.
- (2) Seller, at Seller's expense, will furnish Buyer a survey of the Property dated after the effective date. The survey must be made in accordance with the: (i) ALTA/ACSM Land Title Survey standards, or (ii) Texas Society of Professional Surveyors' standards for a Category 1A survey under the appropriate condition.
- (3) Seller will deliver to Buyer and the title company a true and correct copy of Seller's most recent survey of the Property along with an affidavit required by the title company for approval of the existing survey. If the existing survey is not acceptable to the title company, Seller, at Seller's expense, will obtain a new or updated survey acceptable to the title company and deliver the acceptable survey to Buyer and the title company within 20 days after Seller receives notice that the existing survey is not acceptable to the title company. The closing date will be extended daily up to 20 days if necessary for Seller to deliver an acceptable survey within the time required. Buyer will reimburse Seller _____ (insert amount) of the cost of the new or updated survey at closing, if closing occurs.

C. Buyer's Objections to the Commitment and Survey:

- (1) Within 10 days after Buyer receives the commitment, copies of the documents evidencing the title exceptions, and any required survey, Buyer may object in writing to matters disclosed in the items if: (a) the matters disclosed are a restriction upon the Property or constitute a defect or encumbrance to title other than those permitted by this contract or liens that Seller will satisfy at closing or Buyer will assume at closing; or (b) the items show that any part of the Property lies in a special flood hazard area (an "A" or "V" zone as defined by FEMA). If Paragraph 6B(1) applies, Buyer is deemed to receive the survey on the earlier of: (i) the date of Buyer's actual receipt of the survey; or (ii) of the deadline specified in Paragraph 6B.

(2) Seller may, but is not obligated to, cure Buyer's timely objections within 15 days after Seller receives the objections. The closing date will be extended as necessary to provide such time to cure the objections. If Seller fails to cure the objections by the time required, Buyer may terminate this contract by providing written notice to Seller within 5 days after the time by which Seller must cure the objections. If Buyer terminates, the earnest money, less any independent consideration under Paragraph 7B(1), will be refunded to Buyer.

(3) Buyer's failure to timely object or terminate under this Paragraph 6C is a waiver of Buyer's right to object except that Buyer will not waive the requirements in Schedule C of the commitment.

7. PROPERTY CONDITION:

A. Present Condition: Buyer accepts the Property in its present condition except that Seller, at Seller's expense, will complete the following before closing: as-is

B. Feasibility Period: Buyer may terminate this contract for any reason within 30 days after the effective date (feasibility period) by providing Seller written notice of termination. *(Check only one box.)*

(1) If Buyer terminates under this Paragraph 7B, the earnest money will be refunded to Buyer less \$ 500.00 that Seller will retain as independent consideration for Buyer's unrestricted right to terminate. Buyer has tendered the independent consideration to Seller upon payment of the amount specified in Paragraph 5A to the escrow agent. The independent consideration is to be credited to the sales price only upon closing of the sale. If no dollar amount is stated in this Paragraph 7B(1) or if Buyer fails to deposit the earnest money, Buyer will not have the right to terminate under this Paragraph 7B.

(2) Not later than 3 days after the effective date, Buyer must pay Seller \$ _____ as independent consideration for Buyer's right to terminate by tendering such amount to Seller or Seller's agent. If Buyer terminates under this Paragraph 7B, the earnest money will be refunded to Buyer and Seller will retain the independent consideration. The independent consideration will be credited to the sales price only upon closing of the sale. If no dollar amount is stated in this Paragraph 7B(2) or if Buyer fails to pay the independent consideration, Buyer will not have the right to terminate under this Paragraph 7B.

C. Inspections, Studies, or Assessments:

(1) During the feasibility period, Buyer, at Buyer's expense, may complete or cause to be completed any and all inspections, studies, or assessments of the Property (including all improvements and fixtures) desired by Buyer.

(2) Buyer must:

- (a) employ only trained and qualified inspectors and assessors;
- (b) notify Seller, in advance, of when the inspectors or assessors will be on the Property;
- (c) abide by any reasonable entry rules or requirements of Seller;
- (d) not interfere with existing operations or occupants of the Property; and
- (e) restore the Property to its original condition if altered due to inspections, studies, or assessments that Buyer completes or causes to be completed.

(3) Except for those matters that arise from the negligence of Seller or Seller's agents, Buyer is responsible for any claim, liability, encumbrance, cause of action, and expense resulting from

Buyer's inspections, studies, or assessments, including any property damage or personal injury. Buyer will indemnify, hold harmless, and defend Seller and Seller's agents against any claim involving a matter for which Buyer is responsible under this paragraph. This paragraph survives termination of this contract.

D. Property Information:

- (1) Delivery of Property Information: Within 7 days after the effective date, Seller will deliver to Buyer:
 - (a) copies of all current leases pertaining to the Property, including any modifications, supplements, or amendments to the leases;
 - (b) copies of all notes and deeds of trust against the Property that Buyer will assume or that Seller will not pay in full on or before closing;
 - (c) copies of all previous environmental assessments, geotechnical reports, studies, or analyses made on or relating to the Property;
 - (d) copies property tax statements for the Property for the previous 2 calendar years;
 - (e) plats of the Property;
 - (f) copies of current utility capacity letters from the Property's water and sewer service provider; and
 - (g) _____

- (2) Return of Property Information: If this contract terminates for any reason, Buyer will, not later than 10 days after the termination date: (a) return to Seller all those items described in Paragraph 7D(1) that Seller delivered to Buyer and all copies that Buyer made of those items; and (b) deliver copies of all inspection and assessment reports related to the Property that Buyer completed or caused to be completed. This Paragraph 7D(2) survives termination of this contract.

E. Contracts Affecting Operations: Until closing, Seller: (1) will operate the Property in the same manner as on the effective date under reasonably prudent business standards; and (2) will not transfer or dispose of any part of the Property, any interest or right in the Property, or any of the personal property or other items described in Paragraph 2B or sold under this contract. After the feasibility period ends, Seller may not enter into, amend, or terminate any other contract that affects the operations of the Property without Buyer's written approval.

8. LEASES:

- A. Each written lease Seller is to assign to Buyer under this contract must be in full force and effect according to its terms. Seller may not enter into any new lease, fail to comply with any existing lease, or make any amendment or modification to any existing lease without Buyer's written consent. Seller must disclose, in writing, if any of the following exist at the time Seller provides the leases to the Buyer or subsequently occur before closing:
 - (1) any failure by Seller to comply with Seller's obligations under the leases;
 - (2) any circumstances under any lease that entitle the tenant to terminate the lease or seek any offsets or damages;
 - (3) any advance sums paid by a tenant under any lease;
 - (4) any concessions, bonuses, free rents, rebates, brokerage commissions, or other matters that affect any lease; and
 - (5) any amounts payable under the leases that have been assigned or encumbered, except as security for loan(s) assumed or taken subject to under this contract.

B. Estoppel Certificates: Within _____ days after the effective date, Seller will deliver to Buyer estoppel certificates signed not earlier than _____ by each tenant that leases space in the Property. The estoppel certificates must include the certifications contained in the current version

of TAR Form 1938 – Commercial Tenant Estoppel Certificate and any additional information requested by a third party lender providing financing under Paragraph 4 if the third party lender requests such additional information at least 10 days prior to the earliest date that Seller may deliver the signed estoppel certificates.

9. BROKERS:

A. The brokers to this sale are:

<u>Randall Morris</u> Principal Broker	<u>0236279</u> License No.	Cooperating Broker	License No.
<u>Carter Morris</u> Agent		Agent	
<u>333 Cheatham Street</u> Address		Address	
<u>San Marcos, TX, 78666</u>			
<u>(512) 757-1555</u> Phone	<u>(512) 353-1773</u> Fax	Phone	Fax
<u>carter@randallmorris.com</u> E-Mail		E-Mail	

Principal Broker: *(Check only one box.)*

Cooperating Broker represents Buyer.

- represents Seller only.
- represents Buyer only.
- is an intermediary between Seller and Buyer.

B. Fees: *(Check only (1) or (2) below.)*

- (1) Seller will pay Principal Broker the fee specified by separate written commission agreement between Principal Broker and Seller. Principal Broker will pay Cooperating Broker the fee specified in the Agreement Between Brokers found below the parties' signatures to this contract.
- (2) At the closing of this sale, Seller will pay:

Principal Broker a total cash fee of:	Cooperating Broker a total cash fee of:
<input type="checkbox"/> _____ % of the sales price.	<input type="checkbox"/> _____ % of the sales price.
<input type="checkbox"/> _____	<input type="checkbox"/> _____

The cash fees will be paid in Hays County, Texas. Seller authorizes escrow agent to pay the brokers from the Seller's proceeds at closing.

NOTICE: Chapter 62, Texas Property Code, authorizes a broker to secure an earned commission with a lien against the Property.

C. The parties may not amend this Paragraph 9 without the written consent of the brokers affected by the amendment.

10. CLOSING:

A. The date of the closing of the sale (closing date) will be on or before the later of:

- (1) _____ days after the expiration of the feasibility period.
- _____ *(specific date).*

see paragraph 12.3

(2) 7 days after objections made under Paragraph 6C have been cured or waived.

B. If either party fails to close by the closing date, the non-defaulting party may exercise the remedies in Paragraph 15.

- C. At closing, Seller will execute and deliver, at Seller's expense, a general special warranty deed. The deed must include a vendor's lien if any part of the sales price is financed. The deed must convey good and indefeasible title to the Property and show no exceptions other than those permitted under Paragraph 6 or other provisions of this contract. Seller must convey the Property:
- (1) with no liens, assessments, or other security interests against the Property which will not be satisfied out of the sales price, unless securing loans Buyer assumes;
 - (2) without any assumed loans in default; and
 - (3) with no persons in possession of any part of the Property as lessees, tenants at sufferance, or trespassers except tenants under the written leases assigned to Buyer under this contract.
- D. At closing, Seller, at Seller's expense, will also deliver to Buyer:
- (1) tax statements showing no delinquent taxes on the Property;
 - (2) an assignment of all leases to or on the Property;
 - (3) to the extent assignable, an assignment to Buyer of any licenses and permits related to the Property;
 - (4) evidence that the person executing this contract is legally capable and authorized to bind Seller;
 - (5) an affidavit acceptable to the escrow agent stating that Seller is not a foreign person or, if Seller is a foreign person, a written authorization for the escrow agent to: (i) withhold from Seller's proceeds an amount sufficient to comply applicable tax law; and (ii) deliver the amount to the Internal Revenue Service (IRS) together with appropriate tax forms; and
 - (6) any notices, statements, certificates, affidavits, releases, and other documents required by this contract, the commitment, or law necessary for the closing of the sale and issuance of the title policy, all of which must be completed by Seller as necessary.
- E. At closing, Buyer will:
- (1) pay the sales price in good funds acceptable to the escrow agent;
 - (2) deliver evidence that the person executing this contract is legally capable and authorized to bind Buyer;
 - (3) sign and send to each tenant in a lease for any part of the Property a written statement that:
 - (a) acknowledges Buyer has received and is responsible for the tenant's security deposit; and
 - (b) specifies the exact dollar amount of the security deposit;
 - (4) sign an assumption of all leases then in effect; and
 - (5) execute and deliver any notices, statements, certificates, or other documents required by this contract or law necessary to close the sale.
- F. Unless the parties agree otherwise, the closing documents will be as found in the basic forms in the current edition of the State Bar of Texas Real Estate Forms Manual without any additional clauses.
- 11. POSSESSION:** Seller will deliver possession of the Property to Buyer upon closing and funding of this sale in its present condition with any repairs Seller is obligated to complete, ordinary wear and tear excepted. Any possession by Buyer before closing or by Seller after closing that is not authorized by a separate written lease agreement is a landlord-tenant at sufferance relationship between the parties.
- 12. SPECIAL PROVISIONS:** *(If special provisions are contained in an Addendum, identify the Addendum here and reference the Addendum in Paragraph 22D.)*
- 1) Purchase Price shall be an amount: a. equal to the land value as established by an independent appraisal performed by Graham & Associates. b. and be a value accepted by both parties.
 - 2) Following the feasibility period, a contingency period of 2 months shall commence to provide buyer time to acquire approval from its governing entities including the Board of Regents for Texas State University System and the Texas Higher Education Coordinating Board. If buyer fails to acquire funding or authority to close, the earnest money shall be forfeit to seller.
 - 3) Closing shall occur within 21 days of : a. the end of the contingency period or b. Seller receiving approval from all governing entities

13. SALES EXPENSES:

- A. Seller's Expenses: Seller will pay for the following at or before closing:
- (1) releases of existing liens, other than those liens assumed by Buyer, including prepayment penalties and recording fees;
 - (2) release of Seller's loan liability, if applicable;
 - (3) tax statements or certificates;
 - (4) preparation of the deed;
 - (5) one-half of any escrow fee;
 - (6) costs to record any documents to cure title objections that Seller must cure; and
 - (7) other expenses that Seller will pay under other provisions of this contract.
- B. Buyer's Expenses: Buyer will pay for the following at or before closing:
- (1) all loan expenses and fees;
 - (2) preparation of any deed of trust;
 - (3) recording fees for the deed and any deed of trust;
 - (4) premiums for flood insurance as may be required by Buyer's lender;
 - (5) one-half of any escrow fee;
 - (6) other expenses that Buyer will pay under other provisions of this contract.

14. PRORATIONS:

- A. Prorations:
- (1) Interest on any assumed loan, taxes, rents, and any expense reimbursements from tenants will be prorated through the closing date.
 - (2) If the amount of ad valorem taxes for the year in which the sale closes is not available on the closing date, taxes will be prorated on the basis of taxes assessed in the previous year. If the taxes for the year in which the sale closes vary from the amount prorated at closing, the parties will adjust the prorations when the tax statements for the year in which the sale closes become available. This Paragraph 14A(2) survives closing.
 - (3) If Buyer assumes a loan or is taking the Property subject to an existing lien, Seller will transfer all reserve deposits held by the lender for the payment of taxes, insurance premiums, and other charges to Buyer at closing and Buyer will reimburse such amounts to Seller by an appropriate adjustment at closing.
- B. Rollback Taxes: If Seller changes the use of the Property before closing or if a denial of a special valuation on the Property claimed by Seller results in the assessment of additional taxes, penalties, or interest (assessments) for periods before closing, the assessments will be the obligation of the Seller. If this sale or Buyer's use of the Property after closing results in additional assessments for periods before closing, the assessments will be the obligation of Buyer. This Paragraph 14B survives closing.
- C. Rent and Security Deposits: At closing, Seller will tender to Buyer all security deposits and the following advance payments received by Seller for periods after closing: prepaid expenses, advance rental payments, and other advance payments paid by tenants. Rents prorated to one party but received by the other party will be remitted by the recipient to the party to whom it was prorated within 5 days after the rent is received. This Paragraph 14C survives closing.

15. DEFAULT:

- A. If Buyer fails to comply with this contract, Buyer is in default and Seller may:
- (1) terminate this contract and receive the earnest money, as liquidated damages and as Seller's sole remedy; or
 - (2) seek any other relief provided by law. Seller may may not enforce specific performance.

(TAR-1802) 1-26-10

Initialed for Identification by Seller SK, _____ and Buyer W.A., _____

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1343 Thorpe La

- B. If, without fault, Seller is unable within the time allowed to deliver the estoppel certificates, survey or the commitment, Buyer may:
- (1) terminate this contract and receive the earnest money, less any independent consideration under Paragraph 7B(1), as liquidated damages and as Buyer's sole remedy; or
 - (2) extend the time for performance up to 15 days and the closing will be extended as necessary.
- C. Except as provided in Paragraph 15B, if Seller fails to comply with this contract, Seller is in default and Buyer may:
- (1) terminate this contract and receive the earnest money, less any independent consideration under Paragraph 7B(1), as liquidated damages and as Buyer's sole remedy; or
 - (2) enforce specific performance, or seek such other relief as may be provided by law, or both.
- 16. CONDEMNATION:** If before closing, condemnation proceedings are commenced against any part of the Property, Buyer may:
- A. terminate this contract by providing written notice to Seller within 15 days after Buyer is advised of the condemnation proceedings and the earnest money, less any independent consideration paid under Paragraph 7B(1), will be refunded to Buyer; or
 - B. appear and defend in the condemnation proceedings and any award will, at Buyer's election, belong to:
 - (1) Seller and the sales price will be reduced by the same amount; or
 - (2) Buyer and the sales price will not be reduced.
- 17. ATTORNEY'S FEES:** If Buyer, Seller, any broker, or any escrow agent is a prevailing party in any legal proceeding brought under or with relation to this contract or this transaction, such party is entitled to recover from the non-prevailing parties all costs of such proceeding and reasonable attorney's fees. This Paragraph 17 survives termination of this contract.
- 18. ESCROW:**
- A. At closing, the earnest money will be applied first to any cash down payment, then to Buyer's closing costs, and any excess will be refunded to Buyer. If no closing occurs, escrow agent may require payment of unpaid expenses incurred on behalf of the parties and a written release of liability of escrow agent from all parties.
 - B. If one party makes written demand for the earnest money, escrow agent will give notice of the demand by providing to the other party a copy of the demand. If escrow agent does not receive written objection to the demand from the other party within 15 days after the date escrow agent sent the demand to the other party, escrow agent may disburse the earnest money to the party making demand, reduced by the amount of unpaid expenses incurred on behalf of the party receiving the earnest money and escrow agent may pay the same to the creditors.
 - C. Escrow agent will deduct any independent consideration under Paragraph 7B(1) before disbursing any earnest money to Buyer and will pay the independent consideration to Seller.
 - D. If escrow agent complies with this Paragraph 18, each party hereby releases escrow agent from all claims related to the disbursement of the earnest money.
 - E. Notices under this Paragraph 18 must be sent by certified mail, return receipt requested. Notices to escrow agent are effective upon receipt by escrow agent.
 - F. Any party who wrongfully fails or refuses to sign a release acceptable to escrow agent within 7 days after receipt of the request will be liable to the other party for liquidated damages in an amount equal to the sum of: (i) three times the amount of the earnest money; (ii) the earnest money; (iii) reasonable attorney's fees; and (iv) all costs of suit.

G. Seller Buyer intend(s) to complete this transaction as a part of an exchange of like-kind properties in accordance with Section 1031 of the Internal Revenue Code, as amended. All expenses in connection with the contemplated exchange will be paid by the exchanging party. The other party will not incur any expense or liability with respect to the exchange. The parties agree to cooperate fully and in good faith to arrange and consummate the exchange so as to comply to the maximum extent feasible with the provisions of Section 1031 of the Internal Revenue Code. The other provisions of this contract will not be affected in the event the contemplated exchange fails to occur.

19. MATERIAL FACTS: To the best of Seller's knowledge and belief: *(Check only one box.)*

- A. Seller is not aware of any material defects to the Property except as stated in the attached Property Condition Statement.
- B. Except as otherwise provided in this contract, Seller is not aware of:
- (1) any subsurface structures, pits, waste, springs, or improvements;
 - (2) any pending or threatened litigation, condemnation, or assessment affecting the Property;
 - (3) any environmental hazards or conditions that materially affect the Property;
 - (4) whether the Property is or has been used for the storage or disposal of hazardous materials or toxic waste, a dump site or landfill, or any underground tanks or containers;
 - (5) whether radon, asbestos containing materials, urea-formaldehyde foam insulation, lead-based paint, toxic mold (to the extent that it adversely affects the health of ordinary occupants), or other pollutants or contaminants of any nature now exist or ever existed on the Property;
 - (6) any wetlands, as defined by federal or state law or regulation, on the Property;
 - (7) any threatened or endangered species or their habitat on the Property;
 - (8) any present or past infestation of wood-destroying insects in the Property's improvements;
 - (9) any contemplated material changes to the Property or surrounding area that would materially and detrimentally affect the ordinary use of the Property;
 - (10) any condition on the Property that violates any law or ordinance.

(Describe any exceptions to (1)-(10) in Paragraph 12 or an addendum.)

20. NOTICES: All notices between the parties under this contract must be in writing and are effective when hand-delivered, mailed by certified mail return receipt requested, or sent by facsimile transmission to the parties addresses or facsimile numbers stated in Paragraph 1. The parties will send copies of any notices to the broker representing the party to whom the notices are sent.

- A. Seller also consents to receive any notices by e-mail at Seller's e-mail address stated in Paragraph 1.
- B. Buyer also consents to receive any notices by e-mail at Buyer's e-mail address stated in Paragraph 1.

21. DISPUTE RESOLUTION: The parties agree to negotiate in good faith in an effort to resolve any dispute related to this contract that may arise. If the dispute cannot be resolved by negotiation, the parties will submit the dispute to mediation before resorting to arbitration or litigation and will equally share the costs of a mutually acceptable mediator. This paragraph survives termination of this contract. This paragraph does not preclude a party from seeking equitable relief from a court of competent jurisdiction.

22. AGREEMENT OF THE PARTIES:

- A. This contract is binding on the parties, their heirs, executors, representatives, successors, and permitted assigns. This contract is to be construed in accordance with the laws of the State of Texas. If any term or condition of this contract shall be held to be invalid or unenforceable, the remainder of this contract shall not be affected thereby.
- B. This contract contains the entire agreement of the parties and may not be changed except in writing.
- C. If this contract is executed in a number of identical counterparts, each counterpart is an original and all counterparts, collectively, constitute one agreement.

- D. Addenda which are part of this contract are: *(Check all that apply.)*
- (1) Property Description Exhibit identified in Paragraph 2;
 - (2) Commercial Contract Financing Addendum (TAR-1931);
 - (3) Commercial Property Condition Statement (TAR-1408);
 - (4) Commercial Contract Addendum for Special Provisions (TAR-1940);
 - (5) Notice to Purchaser of Real Property in a Water District (MUD);
 - (6) Addendum for Coastal Area Property (TAR-1915);
 - (7) Addendum for Property Located Seaward of the Gulf Intracoastal Waterway (TAR-1916);
 - (8) Information About Brokerage Services; and
 - (9) _____

(Note: Counsel for the Texas Association of REALTORS® (TAR) has determined that any of the foregoing addenda which are promulgated by the Texas Real Estate Commission (TREC) or published by TAR are appropriate for use with this form.)

- E. Buyer may may not assign this contract. If Buyer assigns this contract, Buyer will be relieved of any future liability under this contract only if the assignee assumes, in writing, all obligations and liability of Buyer under this contract.

23. TIME: Time is of the essence in this contract. The parties require strict compliance with the times for performance. If the last day to perform under a provision of this contract falls on a Saturday, Sunday, or legal holiday, the time for performance is extended until the end of the next day which is not a Saturday, Sunday, or legal holiday.

24. EFFECTIVE DATE: The effective date of this contract for the purpose of performance of all obligations is the date the escrow agent receives this contract after all parties execute this contract.

25. ADDITIONAL NOTICES:

- A. Buyer should have an abstract covering the Property examined by an attorney of Buyer's selection, or Buyer should be furnished with or obtain a title policy.
- B. If the Property is situated in a utility or other statutorily created district providing water, sewer, drainage, or flood control facilities and services, Chapter 49, Texas Water Code, requires Seller to deliver and Buyer to sign the statutory notice relating to the tax rate, bonded indebtedness, or standby fees of the district before final execution of this contract.
- C. Notice Required by §13.257, Water Code: "The real property, described below, that you are about to purchase may be located in a certificated water or sewer service area, which is authorized by law to provide water or sewer service to the properties in the certificated area. If your property is located in a certificated area there may be special costs or charges that you will be required to pay before you can receive water or sewer service. There may be a period required to construct lines or other facilities necessary to provide water or sewer service to your property. You are advised to determine if the property is in a certificated area and contact the utility service provider to determine the cost that you will be required to pay and the period, if any, that is required to provide water or sewer service to your property. The undersigned purchaser hereby acknowledges receipt of the foregoing notice at or before the execution of a binding contract for the purchase of the real property described in the notice or at closing of purchase of the real property." The real property is described in Paragraph 2 of this contract.
- D. If the Property adjoins or shares a common boundary with the tidally influenced submerged lands of the state, §33.135 of the Texas Natural Resources Code requires a notice regarding coastal area property to be included as part of this contract.

- E. If the Property is located seaward of the Gulf Intracoastal Waterway, §61.025, Texas Natural Resources Code, requires a notice regarding the seaward location of the Property to be included as part of this contract.
- F. If the Property is located outside the limits of a municipality, the Property may now or later be included in the extra-territorial jurisdiction (ETJ) of a municipality and may now or later be subject to annexation by the municipality. Each municipality maintains a map that depicts its boundaries and ETJ. To determine if the Property is located within a municipality's ETJ, Buyer should contact all municipalities located in the general proximity of the Property for further information.
- G. Brokers are not qualified to perform property inspections, surveys, engineering studies, environmental assessments, or inspections to determine compliance with zoning, governmental regulations, or laws. Buyer should seek experts to perform such services. Buyer should review local building codes, ordinances and other applicable laws to determine their effect on the Property. Selection of inspectors and repairmen is the responsibility of Buyer and not the brokers.

26. **CONTRACT AS OFFER:** The execution of this contract by the first party constitutes an offer to buy or sell the Property. Unless the other party accepts the offer by 5:00 p.m., in the time zone in which the Property is located, on December 21, 2012, the offer will lapse and become null and void.

READ THIS CONTRACT CAREFULLY. The brokers and agents make no representation or recommendation as to the legal sufficiency, legal effect, or tax consequences of this document or transaction. **CONSULT your attorney BEFORE signing.**

Seller: <u>Sam Auvergard CTMC</u>	Buyer: <u>TEXAS STATE UNIVERSITY-SAN MARCOS</u>
By: <u>PRESIDENT, CEO</u>	By: <u>William A. Nance</u>
By (signature): <u>Sam Auvergard</u>	By (signature): <u>William A. Nance</u>
Printed Name: <u>SAM AUVERGARD</u>	Printed Name: _____
Title: <u>CEO</u>	Title: <u>VICE PRESIDENT, FINNCE AND SUPPORT SERVICES</u>
By: _____	By: _____
By (signature): _____	By (signature): _____
Printed Name: _____	Printed Name: _____
Title: _____	Title: _____

AGREEMENT BETWEEN BROKERS
(use only if Paragraph 9B(1) is effective)

Principal Broker agrees to pay _____ (Cooperating Broker) a fee when the Principal Broker's fee is received. The fee to be paid to Cooperating Broker will be:

\$ _____, or
 _____ % of the sales price, or
 _____ % of the Principal Broker's fee.

Escrow agent is authorized and directed to pay Cooperating Broker from Principal Broker's fee at closing. This Agreement Between Brokers supersedes any prior offers and agreements for compensation between brokers.

Principal Broker _____ Cooperating Broker _____
By: _____ By: _____

ATTORNEYS

Seller's attorney: _____ Buyer's attorney: _____
Address: _____ Address: _____
Phone & Fax: _____ Phone & Fax: _____
E-mail: _____ E-mail: _____

Seller's attorney requests copies of documents, notices, and other information:
 the title company sends to Buyer.
 Seller sends to Buyer.

Buyer's attorney requests copies of documents, notices, and other information:
 the title company sends to Seller.
 Buyer sends to Seller.

ESCROW RECEIPT

Escrow agent acknowledges receipt of:
 A. the contract on this day _____ (effective date);
 B. earnest money in the amount of \$ _____ in the form of _____ on _____.

Escrow Agent: _____ Address: _____
By: _____ Phone & Fax: _____
Assigned file number (GF#): _____ E-mail: _____



Approved by the Texas Real Estate Commission for Voluntary Use
 Texas law requires all real estate licensees to give the following information about
 brokerage services to prospective buyers, tenants, sellers and landlords.

10-10-11

Information About Brokerage Services

Before working with a real estate broker, you should know that the duties of a broker depend on whom the broker represents. If you are a prospective seller or landlord (owner) or a prospective buyer or tenant (buyer), you should know that the broker who lists the property for sale or lease is the owner's agent. A broker who acts as a subagent represents the owner in cooperation with the listing broker. A broker who acts as a buyer's agent represents the buyer. A broker may act as an intermediary between the parties if the parties consent in writing. A broker can assist you in locating a property, preparing a contract or lease, or obtaining financing without representing you. A broker is obligated by law to treat you honestly.

IF THE BROKER REPRESENTS THE OWNER:

The broker becomes the owner's agent by entering into an agreement with the owner, usually through a written - listing agreement, or by agreeing to act as a subagent by accepting an offer of subagency from the listing broker. A subagent may work in a different real estate office. A listing broker or subagent can assist the buyer but does not represent the buyer and must place the interests of the owner first. The buyer should not tell the owner's agent anything the buyer would not want the owner to know because an owner's agent must disclose to the owner any material information known to the agent.

IF THE BROKER REPRESENTS THE BUYER:

The broker becomes the buyer's agent by entering into an agreement to represent the buyer, usually through a written buyer representation agreement. A buyer's agent can assist the owner but does not represent the owner and must place the interests of the buyer first. The owner should not tell a buyer's agent anything the owner would not want the buyer to know because a buyer's agent must disclose to the buyer any material information known to the agent.

IF THE BROKER ACTS AS AN INTERMEDIARY:

A broker may act as an intermediary between the parties if the broker complies with The Texas Real Estate License Act. The broker must obtain the written consent of each party to the transaction to act as an

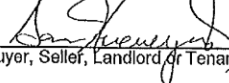
intermediary. The written consent must state who will pay the broker and, in conspicuous bold or underlined print, set forth the broker's obligations as an intermediary. The broker is required to treat each party honestly and fairly and to comply with The Texas Real Estate License Act. A broker who acts as an intermediary in a transaction:

- (1) shall treat all parties honestly;
- (2) may not disclose that the owner will accept a price less than the asking price unless authorized in writing to do so by the owner;
- (3) may not disclose that the buyer will pay a price greater than the price submitted in a written offer unless authorized in writing to do so by the buyer; and
- (4) may not disclose any confidential information or any information that a party specifically instructs the broker in writing not to disclose unless authorized in writing to disclose the information or required to do so by The Texas Real Estate License Act or a court order or if the information materially relates to the condition of the property.

With the parties' consent, a broker acting as an intermediary between the parties may appoint a person who is licensed under The Texas Real Estate License Act and associated with the broker to communicate with and carry out instructions of one party and another person who is licensed under that Act and associated with the broker to communicate with and carry out instructions of the other party.

If you choose to have a broker represent you, you should enter into a written agreement with the broker that clearly establishes the broker's obligations and your obligations. The agreement should state how and by whom the broker will be paid. You have the right to choose the type of representation, if any, you wish to receive. Your payment of a fee to a broker does not necessarily establish that the broker represents you. If you have any questions regarding the duties and responsibilities of the broker, you should resolve those questions before proceeding.

Real estate licensee asks that you acknowledge receipt of this information about brokerage services for the licensee's records.


 Buyer, Seller, Landlord or Tenant

12-17-12
 Date

Texas Real Estate Brokers and Salespersons are licensed and regulated by the Texas Real Estate Commission (TREC). If you have a question or complaint regarding a real estate licensee, you should contact TREC at P.O. Box 12188, Austin, Texas 78711-2188, 512-938-3000 (<http://www.trec.texas.gov>)

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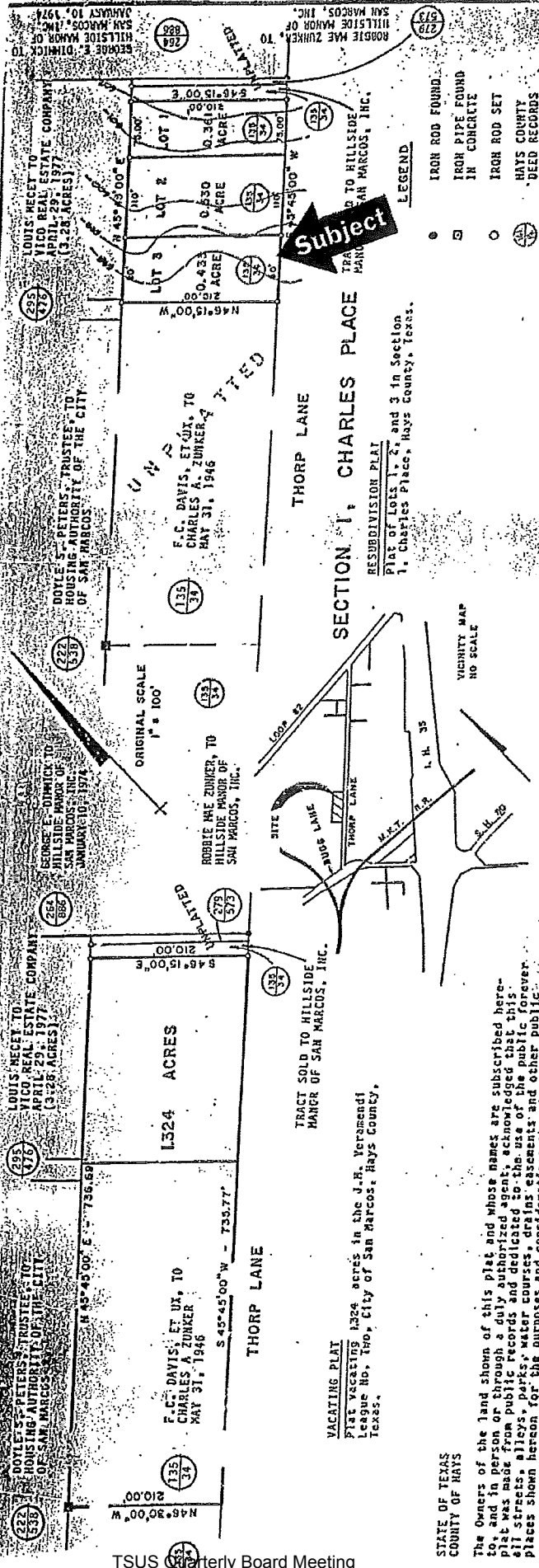
Randall Morris 405 NW River Road Martindale, TX 78655
 Phone: 000.000.0000 Fax: .

Carter Morris

TREC No. OP-K

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SECTION 1, CHARLES PLACE
RESUBDIVISION PLAT
 Plat of Lots 1, 2, and 3 in Section 1, Charles Place, Hays County, Texas.

VACATING PLAT
 Plat vacating 1.324 acres in the J.R. Veramendi League No. 480, City of San Marcos, Hays County, Texas.

OWNER BEAT COBB
 P.O. BOX 931
 SAN MARCOS, TEXAS 78666

OWNER WILLIAM WERETH
 115 WARDEN LANE
 SAN MARCOS, TEXAS 78666

This plat of the land shown of this plat and whose names are subscribed hereon, and in person or through a duly authorized agent, acknowledged that this plat was made from public records and dedicated to the use of the public forever. All streets, alleys, parks, water courses, drains, easements and other public places shown hereon for the purposes and considerations therein expressed.

Given under my hand and seal of office this 10th day of February, A.D. 1983

Patricia S. Egan
 ROTARY PUBLIC, HAYS COUNTY, TEXAS
 Term expires: 11-22-83

Patricia S. Egan
 Director of Planning

Accepted and authorized for record by the Planning Commission, City of San Marcos, Texas, this the 16th day of February, 1983, A.D.

Secretary

Approved for acceptance
 Date: March 3, 1983

STATE OF TEXAS
 COUNTY OF HAYS

Before me, the undersigned authority on this day personally appeared **Robbie Mae Zunker** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed and in the capacity therein stated.

Given under my hand and seal of office this 10th day of February, A.D. 1983

Robbie Mae Zunker
 L.I.E. 111
 Everette Krissen

STATE OF TEXAS
 COUNTY OF HAYS

Before me, the undersigned authority on this day personally appeared **Robbie Mae Zunker** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed and in the capacity therein stated.

Given under my hand and seal of office this 10th day of February, A.D. 1983

Robbie Mae Zunker
 L.I.E. 111
 Everette Krissen

James B. Williams and Associates
 167875

SECTION 1, CHARLES PLACE
 A SUBDIVISION IN THE
 CITY OF SAN MARCOS,
 HAYS COUNTY, TEXAS.

THIS PLAT PREPARED FROM PUBLIC RECORDS AND MEASUREMENTS MADE ON THE GROUND DURING NOVEMBER, 1981, AND IS CORRECT TO THE BEST OF MY KNOWLEDGE.

James B. Williams
 HAYS COUNTY SURVEYOR

STATE OF TEXAS
 COUNTY OF HAYS

County Clerk of Hays County, Texas, do hereby certify that the foregoing instrument was filed for record in my office on the 16th day of February, A.D. 1983 at 1:50 P.M. and duly recorded in the 16th day of February, A.D. 1983 volume 111, page 20 of the public records of Hays County, Texas, in Book office index, 252 day of February, A.D. 1983.

County Clerk
 Hays County, Texas

Robbie Mae Zunker
 L.I.E. 111
 Everette Krissen

STATE OF TEXAS
 COUNTY OF HAYS

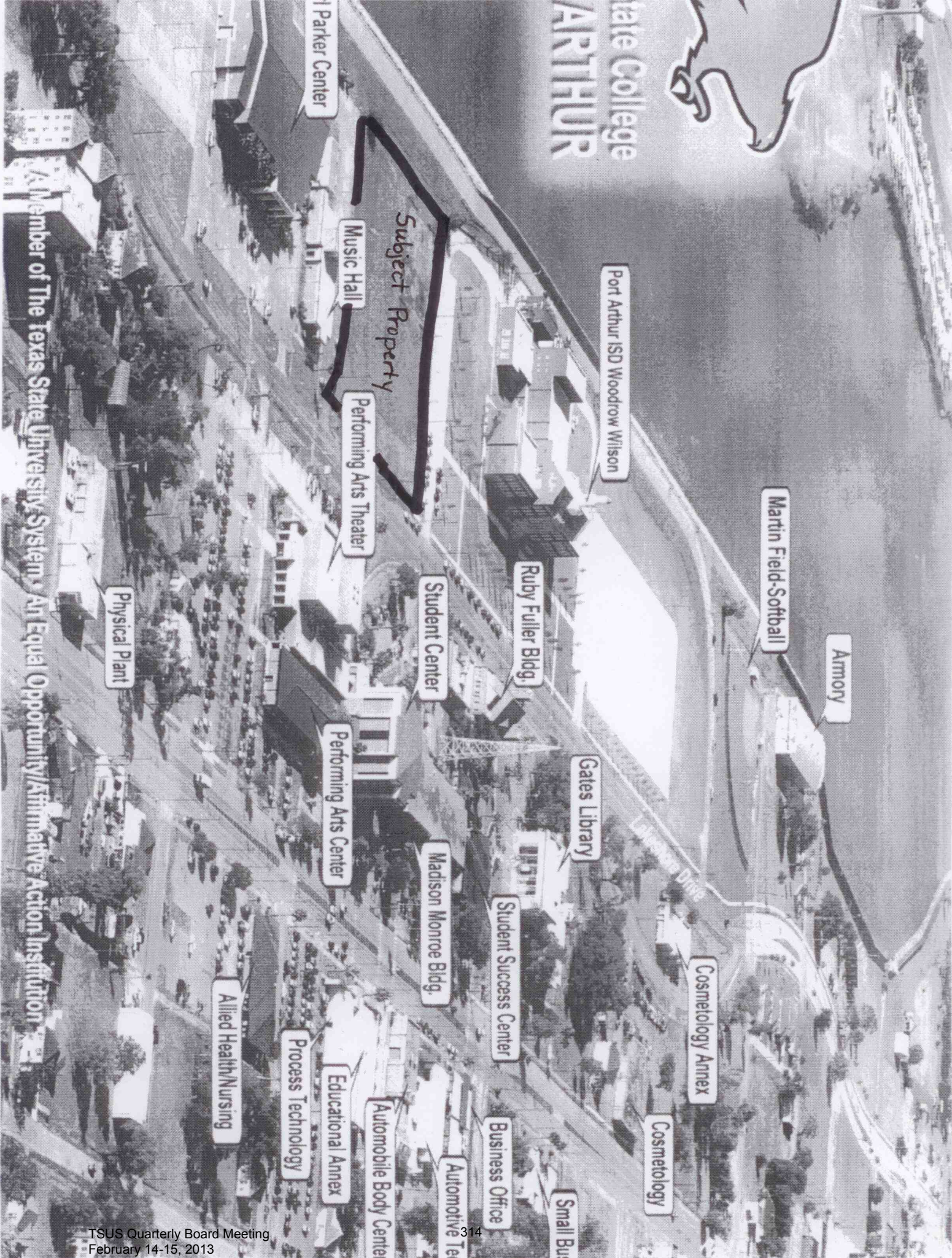
Before me, the undersigned authority on this day personally appeared **Robbie Mae Zunker** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed and in the capacity therein stated.

Given under my hand and seal of office this 10th day of February, A.D. 1983

Robbie Mae Zunker
 L.I.E. 111
 Everette Krissen



State College ARTHUR



Parker Center

Music Hall

Performing Arts Theater

Subject Property

Port Arthur ISD Woodrow Wilson

Martin Field-Softball

Armory

Ruby Fuller Bldg.

Student Center

Gates Library

Physical Plant

Performing Arts Center

Madison Monroe Bldg.

Student Success Center

Cosmetology Annex

Allied Health/Nursing

Process Technology

Educational Annex

Automobile Body Center

Business Office

Cosmetology

Small Bus

Automotive Tec

Member of The Texas State University System An Equal Opportunity/Affirmative Action Institution

Glossary of Acronyms Used in TSUS Planning and Construction Policy Documents

A/E	Architect/Engineer
AVCF	Associate Vice Chancellor for Facilities
CIP	Capital Improvements Program
CMA	Construction Manager-Agent
CMP	Campus Master Plan
CM@R	Construction Manager-at-Risk
CPM	Critical Path Method
CSI	Construction Specifications Institute
CSP	Competitive Sealed Proposals
D-B	Design-Builder or Design-Build
DBB	Design-Bid-Build
DD	Design Development
GMP	Guaranteed Maximum Price
HEAF	Higher Education Assistance Funds
HUB	Historically Underutilized Business
HVAC	Heating, ventilation and air conditioning
JOC	Job order contract
NTP	Notice to Proceed
O&M	Operations and Maintenance
ODR	Owner's Designated Representative (typically the Vice Chancellor for Contract Administration)
ODSR	Owner's Designated Site Representative (component representative)
POC	Point of Contact (component representative for RFQ/RFP solicitations)
PP&P	Project Planning and Programming
RFP	Request for Proposals
RFQ	Request for Qualifications
THECB	Texas Higher Education Coordinating Board
TRB	Tuition Revenue Bond
TSUS	Texas State University System
VCAA	Vice Chancellor for Academic Affairs
VCCA	Vice Chancellor for Contract Administration
VCF	Vice Chancellor for Finance

POLICIES AND PROCEDURES MANUAL FOR PLANNING AND CONSTRUCTION

LAMAR UNIVERSITY

LAMAR INSTITUTE OF TECHNOLOGY

LAMAR STATE COLLEGE - ORANGE

LAMAR STATE COLLEGE - PORT ARTHUR

SAM HOUSTON STATE UNIVERSITY

SUL ROSS STATE UNIVERSITY

SUL ROSS STATE UNIVERSITY RIO GRANDE COLLEGE

TEXAS STATE UNIVERSITY - SAN MARCOS

Issued: May 24, 2011

Revised: January 28, 2013

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[THOMAS J. RUSK BUILDING](#)

[208 E. 10th Street, Suite 600](#) • [Austin, TX 78701-2407](#) • [\(512\) 463-1808](#) • [tsus.edu](#)

EXECUTIVE OVERVIEW

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“So... YOU WANT TO BUILD A CAPITAL PROJECT? WHAT DO YOU NEED TO DO?”

The Office of Contract Administration oversees the approval of capital improvement projects, and the procurement and administration of design and construction services on behalf of The Texas State University System for major capital projects pursuant to Chapter III, Section 1.6 of the Board of Regents Rules and Regulations for the eight Component Institutions (Components) that comprise The Texas State University System (System).

The Vice Chancellor for Contract Administration (VCCA) is the point person between the Components and the Chancellor and the Board of Regents (Board), and insures that projects are appropriately planned, programmed, designed and budgeted for approval by the Components. In so doing, the Office of Contract Administration strives to add value to the project delivery process by bringing System and external expertise to bear to help insure that projects are efficiently executed and that the underlying contracts are procured, negotiated and administered in an efficient and cost-effective manner while protecting the interests of the Board, Components and System.

The office has been delegated various levels of authority and many responsibilities, including changes to design and construction contracts and publication of the **Policies and Procedures Manual for Planning and Construction** (manual). Furthermore, the office strives to advance the image and reputation of the System’s facilities planning and construction teams by interacting with our peers and with the construction industry in a professional and knowledgeable manner, and by participating in activities that allow for the interchange of information and best practices.

This manual communicates laws, rules, regulations, policies and procedures to the Components on how to engage with System for the effective approval, contract administration and reporting of capital projects. The manual is organized as follows:

- SECTION 1: CAPITAL PROJECT DELIVERY PROCESS OVERVIEW**.....3
- SECTION 2: PROJECT AUTHORITY**5
- SECTION 3: PROJECT APPROVALS**.....9
- SECTION 4: PRE-PROJECT PLANNING & PROGRAMMING**13
- SECTION 5: DESIGN & CONSTRUCTION SERVICES PROCUREMENT**14
- SECTION 6: PROJECT REPORTING & DESIGN OVERSIGHT REVIEWS**.....18
- SECTION 7: CONTRACT ADMINISTRATION (PAYMENTS, CHANGES & GMPs)**.....20
- SECTION 8: CLOSE-OUT**22

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In general, the project delivery process is linear regardless of the delivery or contracting method used, and requires the project to pass through several pre-determined approval milestones (or “gates”) as established by the Board, the Chancellor and the VCCA before moving on to the next phase. Understanding, planning and executing the required approvals as described in this manual, and in the proper sequence is critical to project success. The typical project delivery process for capital improvement projects is shown in *Figure 1* below.

This manual is written as concisely as possible and is crafted to maximize communication among the Component, System, the Chancellor and the Board in order to minimize “surprises”; therefore, the responsibilities and procedures contained herein are considered System policy. This manual does not describe how to plan, design, construct or operate a facility.

The Typical Texas State University System Capital Improvement Project Delivery Process

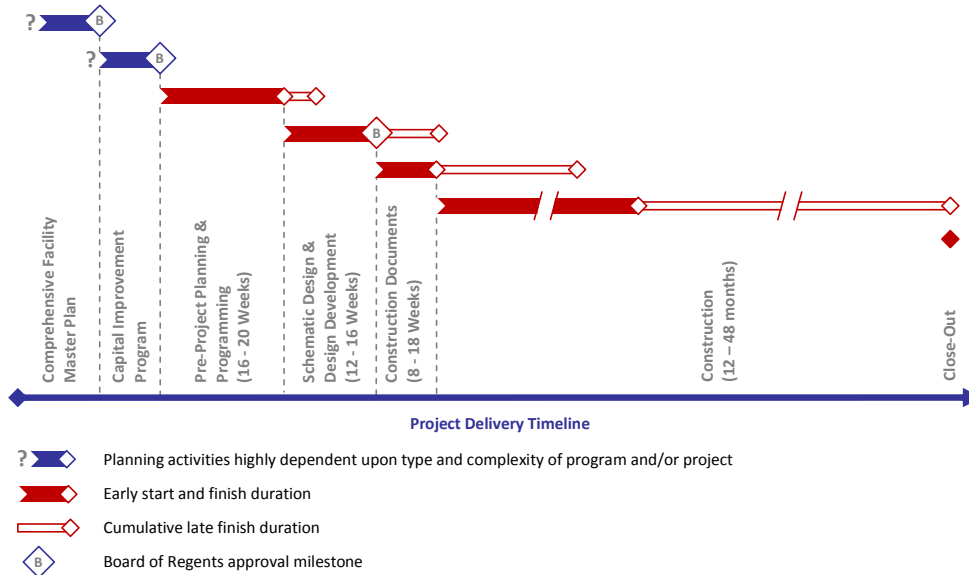


FIGURE 1

When revisions are issued, the Component is responsible for reading, understanding, updating, and implementing the procedures described herein. Any requests to deviate from the described procedures herein must be submitted to the Vice Chancellor for Contract Administration for approval.

Peter Graves
 Vice Chancellor for Contract Administration
Texas State University Texas
 208 East 10th Street, Suite 600
 Austin, Texas 78701
 Phone: (512) 463-1808
 e-mail: peter.graves@tsus.edu

As a supplement to this manual, the Office of Contract Administration has developed and will continue to develop an eManual to store electronically additional guidelines, forms, letters, documents, checklists, contracts, worksheets, and standard process maps. The eManual will be made available to all Component staff. All forms, checklists, contracts and similar items will be made available on e-Builder.

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SECTION 1: CAPITAL PROJECT DELIVERY PROCESS OVERVIEW

1.1 System capital projects are approved and controlled by the VCCA through the following six actions, and as described below:

1. Comprehensive Facility Master Plan
2. Capital Improvement Program
3. Pre-Project Planning and Programming
4. Design and Construction Services Procurement
5. Design Development Approval
6. Contract Administration

Comprehensive Facility Master Plan

1.2 Each Component is responsible for developing a ten year *Comprehensive Facility Master Plan* (Master Plan), a *Capital Improvements Program*, and a set of projects, and for obtaining the necessary funding and approvals from appropriate department heads, provosts, campus planning and space councils, and business affairs officers.

1.3 The master planning process is critical to the future of every Component and results in guidance to executives for the prioritization and selection of capital projects that may be considered for recommendation to the Board for approval.

1.3.1 Once the Component's Master Plan is approved, comprehensive strategic or campus wide programming can begin.

1.4 Refer to Section 3.3; *Comprehensive Facility Master Plan* below, [The Texas State University System Rules and Regulations, Chapter I, Paragraph 6.5](#) and the eManual for additional information regarding the master planning process.

Capital Improvement Program

1.5 Each Component is responsible for developing, maintaining and submitting to the Board, through the VCCA, an up-to-date six year *Capital Improvements Program* (CIP) that encompasses the capital projects that are needed to preserve, enhance and add to the facilities assets in alignment with the Component's approved Strategic Plan (not included in this manual) and Master Plan.

1.5.1 The CIP includes scope, schedule, funding and Total Project Cost (TPC) of all Component projects regardless of who has delegated authority to manage the work.

1.5.2 The TPC is defined as all costs including programming, design, site acquisition, site development, facilities, furnishings, furniture and equipment, operational warranties and any other costs identified to meet the project's requirements as approved by the Texas Legislature, The Texas Higher Education Coordinating Board and the TSUS Board of Regents.,

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1.5.3 Projects shall be submitted separately, or for smaller projects (less than \$1 million) may be combined into a single aggregated project.

1.6 Refer to *Section 3.4: Capital Improvement Program* below and the eManual for additional information regarding the CIP process.

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Pre-Project Planning and Programming

1.7 Effective project planning is required to assure success. It provides a basis for action for the Component, User(s) and project team. Managing needs and requirements along with the ever-competing constraints of time, money, resources and risk is critical to effective delivery. During the pre-project planning phase the Component must review and evaluate several factors to develop an appropriate and realistic project execution plan in order to deliver the project successfully and meet the expectations of the stakeholders. These include:

- Collecting needs and requirements
- Defining the scope, quality and work breakdown structure
- Defining the activities and their sequence
- Estimating activity resources and durations, including roles, responsibilities and an overall staffing management plan
- Estimating costs and determining an appropriate budget
- Estimating a project schedule
- Identifying risks and establishing a communication plan

1.8 While the pre-project planning process will consider many alternatives, its goal is to create a specific project plan of action and activities that will be carried out in the future. Pre-project planning ensures that:

1.8.1 Adequate project information is documented and approved by the Component, including the Component's project specific needs, prior to requesting approval from Board in the CIP.

1.8.2 Component executives confirm that the project is financially feasible, establishes realistic objectives and requirements, and identifies critical decisions and assumptions. All of these will lead the project team through the programming and design process.

1.9 Contact the VCCA for additional assistance and refer to *Section 4: Pre-Project Planning & Programming* below and the eManual for additional information regarding the planning and programming process.

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Design and Construction Services Procurement

1.10 System and the Component procure design and construction professionals for each specific project to create a set of design documents and execute the construction process.

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1.10.1 Refer to *Section 5: Design & Construction Services Procurement* below and the eManual for additional information.

Contract Administration

- 1.11 The System's goal for every System project is be to develop and maintain a reputation for decisive leadership, sound knowledge, consistency, firm interpretations of contract documents, professionalism and fairness throughout the life of a project among design professionals, contractors, and other service providers.
- 1.12 The general purpose of a contract is to clearly identify the risks and responsibilities of each party. Effective contract administration provides a foundation for responsible decision making by System and the Component.
- 1.12.1 The VCCA is responsible for promulgating, negotiating, approving and overseeing all design and construction related contracts and any changes thereto. The VCCA is also responsible for certain interactions related to approvals to milestones in document development, Board of Regents Design Development packaging as well as approval to the Guaranteed Maximum Pricing as well as the final authority on the buy out values associated with a project.
- 1.12.2 The Component is responsible for:
- Executing the project, including managing all terms and conditions within the respective limits of authority.
 - Leading, administering, coordinating, reviewing and approving all design phase activities and documents.
 - Reviewing and approving all project related payments.
 - Leading, administering and inspecting the construction process through kick-off meetings, periodic project meetings, inspections, commissioning, final acceptance and administration of warranty and its associated milestones.
 - Coordinating the scheduling, training, acceptance, and operation of facility with the Component and user representatives.
- 1.12.3 Refer to *Section 7: Contract Administration* and *Section 8: Close-Out* below and the eManual for additional information.

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SECTION 2: PROJECT AUTHORITY

The Board of Regents

- 2.1 The Board of Regents governs the System and its Components and has delegated to The Planning and Construction Committee the primary responsibility for making recommendations to the Board regarding the planning, design, construction, maintenance, and use of System and Component buildings and other physical facilities.
- 2.1.1 The Planning and Construction Committee is authorized to make recommendations to the Board to approve all project requests with a Total Project Cost of \$4,000,000 and greater.

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- 2.1.2 The Board is authorized to approve all indefinite quantity services contracts—including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and consulting contracts, equal to, or greater than **\$1,000,000** (\$2,000,000 in the case of job order construction contracts).

The Chancellor

- 2.2 The Chancellor is the chief executive officer of the System. The Chancellor reports to, and is responsible to, the Board and has direct line responsibility for all aspects of the System's operations assistance from the System's Vice Chancellors.

Projects

- 2.2.1 The Chancellor is authorized to approve all project requests with a Total Project Cost of less than **\$4,000,000**, and all related project changes.

2.2.2 After Board Design Development approval, the Chancellor is authorized to increase or decrease the cumulative value of the Total Project Cost up to **5%** (new) or **8%** (renovation). Requests for increases of greater amounts are approved by the Board.

2.2.3 The Chancellor is authorized to make a determination based on the needs of a specific project or component that it is appropriate for project management services to be provided by a third party under contract to the System, and to procure, conclude and administer such contracts. To the extent services normally provided by Component personnel are to be provided by the third party, references in this Manual to the Component shall be deemed to refer to the third party project manager. Refer to the eManual for guidance as to the responsibilities of Component personnel in projects with third party project management.

2.2.4

Contracts

- 2.2.5 The Chancellor is authorized to approve all design and construction contracts.
- 2.2.6 The Chancellor is authorized to approve all indefinite quantity services contracts—including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and consulting contracts, with a total potential contract amount of less than **\$1,000,000** (\$2,000,000 in the case of job order construction contracts).

The Vice Chancellor for Contract Administration

- 2.3 The Vice Chancellor for Contract Administration (VCCA) is responsible for contract management and administration of System and Component planning, design, construction, maintenance, and use of buildings and other physical facilities, including, but not necessarily limited to, long-term planning and construction and administration of policies in the subject area. The VCCA performs duties under authority delegated by the Board through the Chancellor.

- 2.3.1 The VCCA is authorized to approve all design contract changes.

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2.3.2 The VCCA is authorized to approve all individual construction contract changes valued at **\$25,000** or more and all cumulative contract changes up to **5%** of the Total Project Cost for new projects and up to **8%** for renovation projects.

2.3.3 The VCCA is authorized to issue work authorizations and notices to proceed to design and construction professionals for programming, Schematic Design, Design Development, Construction Documents, Pre-Construction and Construction services.

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2.4 The VCCA is authorized to further delegate any of the authorities listed above as deemed reasonable and necessary.

2.5 The VCCA has the authority to waive the application of any provision of these Policies and Procedures with respect to a particular project upon written request by the component, except to the extent compliance is required by applicable law or the System's Rules and Regulations.

The President

2.6 The Chancellor has delegated to the President the authority to plan, design and construct projects listed on the approved Capital Improvement Program for projects with a Total Project Cost of less than **\$1,000,000**, without further approvals from the Chancellor or the System.

2.6.1 Due to the resources required to manage alternative delivery methods, Components shall use Hard Bid (i.e. lowest responsible bid) or Competitive Sealed Proposals delivery methods for all projects. Any requests to use Construction Manager-At-Risk, Design-Build or any other project delivery method shall require written approval from the VCCA. Job order contracting may be used on projects that are within authority delegated to the President, primarily on projects consisting of minor renovation and alterations to existing facilities, or other repetitive projects with simple scopes.

2.6.2 Components shall use contract forms and documents as promulgated and approved by the VCCA.

2.6.3 Components shall follow the *TSUS Rules and Regulations*, these Policies and Procedures, and applicable state law including but not limited to Education Code, Chapter 51; Government Code, Chapter 2155 ; Government Code, Chapter 2254 ; Occupations Code, Chapters 1001 (Engineers),1051 (Architects),1052 (Landscape Architects) and 1053 (Interior Designers).

2.6.4 Components shall report to the VCCA quarterly on a standard format developed by the Office of Contract Administration the scope of services, the current contract amounts and the duration of services for all active Component projects (including indefinite quantity contracts).

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2.6.4.1 An active project is defined as any project where the Component has submitted a *Statement of Initiation* and received approval from the VCCA, but has not closed-out the design or construction contracts, plus all current indefinite quantity design, construction or consulting contracts.

2.6.5 Components shall not fund separate smaller projects in stages or increments in order to avoid the delegated authority limits.

- 2.7 The President is authorized to approve all indefinite quantity services contracts, including but not limited to job order construction contracts, contracts for architectural and/or engineering services, and any other consulting contracts less than **\$500,000** (\$1,000,000 in the case of job order construction contracts).
- 2.8 The President is authorized to approve all individual construction contract changes valued at less than **\$25,000** and all cumulative contract changes up to **5%** of the Total Project Cost for new projects and up to 8% for renovation projects.
- 2.9 The President is authorized to review and approve all Historically Underutilized Business (HUB) Good Faith Efforts, Subcontracting Plans and payments.
- 2.10 Unless specifically stated otherwise, and except as provided in Section 2.2.3, all other responsibility and authority for the delivery of capital projects has been delegated to the Component.
- 2.11 The President is authorized to further delegate any of the authorities listed above as deemed reasonable and necessary.

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Project Expenditures

- 2.12 Project approval in the Capital Improvement Program constitutes Board authority for the Chancellor to execute, and the Component to expend up to **4%** of the Total Project Cost (TPC) to select the project design professional, conduct surveying and investigation (including some demolition), pre-project planning and programming, Schematic Design and Design Development. Such expenditures shall not include, major demolition, procurement of equipment, Construction Documents, or other similar items.
 - 2.12.1 If **4%** proves insufficient based on unique requirements of the project, the Chancellor may approve an exception to exceed that amount based on a specific request from the Component via the VCCA.
- 2.13 Project approval at Design Development (DD) constitutes Board authority for the Chancellor to execute, and the Component to expend up to **100%** of the Total Project Cost to complete Construction Documents and execute the Construction Phase.

Contracts

- 2.14 The VCCA administers programming, design and construction contracts; however, the Component administers all other contracts and purchase orders, and leads the project, including conducting meetings, facilitating receipt and incorporation of user needs and requirements into the design documents, and reviewing and commenting on design submittals.
- 2.15 The VCCA acts as the Owner’s Designated Representative (ODR) and the Component acts as the Owner’s Designated Site Representative (ODSR), both as defined in the contract.
 - 2.15.1 The ODR delegates authority to the ODSR to execute Substantial Completion Certificates.

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Section 3: Project Approvals

3.1 All System capital improvement projects require various approvals, as mandated by the Board, the Chancellor and the VCCA throughout the project delivery process. Securing each of these required approvals provides the President, the Chancellor and the Board:

3.1.1 A perspective on where the project stands within the delivery timeline;

3.1.2 Assurance that the Component is maintaining various levels of compliance during the project delivery process;

3.1.3 Assurance that the project design team is adhering to the approved project program and the architectural theme and vision established in each Component’s master plan.

3.2 The overall project delivery approval process is shown in *Figure 2*.

Comprehensive Facility Master Plan

3.3 The procedure for the preparation and approval of a *Comprehensive Facility Master Plan* is as follows. Refer to the eManual for additional guidance. Also note that the President establishes a Master Plan Committee pursuant to Chapter I, paragraph 6.5 of the *TSUS Rules and Regulations*.

3.3.1 The Component and VCCA procure master planning services.

3.3.2 The Component, VCCA and master planner schedule appropriate meetings.

3.3.3 The Component, VCCA and master planner schedule at least one interim briefing for the Planning and Construction Committee, prior to the meeting at which the Board will be asked to approve the Component’s Master Plan.

3.3.4 A draft of the proposed final Master Plan shall be submitted by the Component to the VCCA at least eight (8) weeks prior to the Board meeting for review and comment.

3.3.5 Upon approval from the VCCA, the Component shall submit thirteen (13) copies of the final Master Plan to the Board at least four (4) weeks prior to the Board meeting.

3.3.6 The master planner presents the proposed master plan to the Board, with support and assistance from the President and the VCCA.

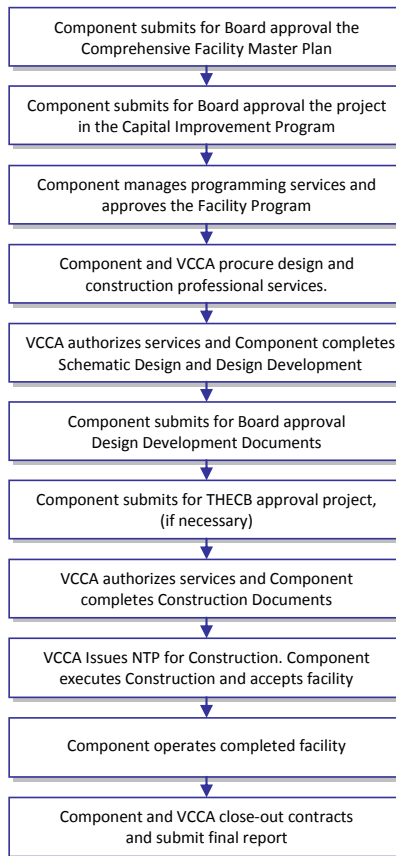


FIGURE 2

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- 3.3.7 Should the Component desire to update a current Master Plan, the Component shall notify the VCCA in writing of the proposed scope of the update and the proposed process and timeline for the preparation and delivery of the update. The VCCA shall determine whether the process outlined in sections 3.3.3 through 3.3.5 above shall apply to the update, based on the scope of the proposed update. In any event, the proposed update shall be presented to the Board as provided in paragraph 3.3.6.

Capital Improvement Program

3.4 The procedures for the preparation and approval of the Capital Improvement Program (CIP) are as follows. Refer to the eManual for additional guidance.

3.4.1 The VCCA begins the process on or before February 1 of each year by sending out submission instructions to each Component describing schedule, process and forms required to gather all the information needed to update the CIP.

3.4.1.1 Submission instructions will include the *Project Planning Form* (PPF), which the Component is required to submit for each project (or aggregated group of projects) that it proposes to add to the CIP, and for each existing CIP project that it wishes to amend.

3.4.2 Components submit their completed PPF forms through e-Builder at least **eight (8) weeks** prior to the Board meeting for review and comment.

3.4.3 The Vice Chancellor for Academic Affairs (VCAA) evaluates and reviews the proposed projects with a particular view to the project scope, budget and funding, and may consult with the Component concerning the need for a particular project. Further refinements of the project may be made as a result of this review process that focus on:

- Whether there is sufficient justification for the project;
- Whether the project is consistent with the mission and strategic plan of the Component;
- Whether the proposed projects are already included, have been omitted or removed in the CIP;
- Whether the project funding is adequate and achievable. In particular, the level of commitment of any proposed gift pledges on which the success of the project may depend.

3.4.4 For each such project initially submitted, and based on the information provided in the PPF and the review process, the VCCA establishes the Total Project Cost (TPC) using the average cost figures from the Texas Higher Education Coordinating Board (if available), including any adjustments for escalation.

3.4.4.1 This process ensures that the source of the estimate is known, but it does not preclude the Component from adjusting the TPC set forth in the CIP during the early phases of design or at Board Design Development approval.

3.4.5 Once the submissions are reasonably complete, the draft CIP is forwarded to the VCAA and the Vice Chancellor for Finance (VCF) for review and comment.

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3.4.5.1 The VCAA reviews all proposed projects relative to the mission, vision and strategic plan of the Component.

3.4.5.2 The VCF reviews all proposed projects to be funded in part, or in whole, with System Bond proceeds. This review focuses on the impact of the proposed debt issuance on the System's bond ratings, and on the Component and System's ability to service the debt.

3.4.6 Upon approval by the VCAA, VCF, and VCCA, a draft of the proposed CIP is sent to the Component for review and final comment.

3.4.6.1 Components shall submit their comments to the VCCA within one (1) week after receipt of the draft.

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3.5 Once the submissions are complete, the draft CIP is forwarded to the Chancellor for review, comment and approval.

3.6 The complete proposed CIP is then scheduled and presented by the VCCA to the Planning and Construction Committee and ultimately to the Board for adoption.

3.6.1 The Component's CIP is reviewed, revised and approved annually by the Board to achieve the Strategic Plans of the Board and to accommodate known funding limitations on a year-by-year basis.

3.6.2 After the completion of programming and/or Schematic Design, the Component shall update the PPF and amend the CIP at the next annual update to reflect the current scope, schedule and cost of the project.

3.6.3 The CIP is approved at the May Board meeting.

Capital Improvement Program - Interim Updates

3.7 The Component may request the addition of a project to the CIP at any regular or called meeting of the Board, but only if the new project is to be initiated in the current fiscal year.

3.7.1 The process for submission and approval is the same as the CIP submission process described above, except the submission deadlines shall follow the normal deadlines for agenda items for the quarterly Board meeting at which the projects are proposed for addition to the CIP.

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Design Phases

3.8 Prior to completion of each major phase of design (Schematic Design, Design Development and Construction Documents), the Component shall confirm that all design requirements reconcile with the program and the Total Project Cost. The VCCA will provide overview of this process and comment and subsequently confirm each phase.

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3.8.1 When the documents are acceptable, the VCCA will issue an authorization letter to the design professional to continue to the next phase of design or a notice to proceed for construction letter to the contractor to start pre-construction or construction activities.

3.8.2 Refer to *Section 6, Project Reporting & Design Oversight Reviews* below for additional VCCA requirements.

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Design Development

3.9 The procedure for the preparation and approval of a project at Design Development (DD) is as follows. Refer to the eManual for additional guidance and documents.

3.9.1 The Component begins the process by submitting a complete DD approval package to the VCCA at least **four (4) weeks** prior to the appropriate quarterly Board meeting for review and comment. The DD package shall conform to the requirements set forth in Attachment D, which is included in the forms library maintained by the VCCA.

3.9.1.1 Note: The DD approval package is in addition to the Design Development documents that are submitted to the VCCA for review and approval.

3.9.2 The VCCA evaluates and reviews the project with a particular view to the scope and Total Project Cost (TPC).

3.9.3 Once the submissions are reasonably complete, the draft DD submission is forwarded to the Vice Chancellor for Academic Affairs (VCAA) and the Vice Chancellor for Finance (VCF) for review and comment.

3.9.4 Upon review by the VCAA, VCF, and VCCA, comments are submitted to the Component and design professional to generate a final submittal.

3.9.4.1 The Component submits the final package to the VCCA at least **sixteen (16) days** prior to the Board meeting.

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3.9.5 Once the submissions are complete, the DD submission package is forwarded to the Chancellor for review.

3.9.6 The complete proposed DD package is presented to the Planning and Construction Committee and ultimately to the Board for approval.

3.9.6.1 The VCCA presents the project with the Component’s representatives and, if desired, the design team available for questions.

3.9.7 If the project is funded, either in whole or part, by bond proceeds, the project must also be approved by The Texas Bond Review Board.

Texas Higher Education Coordinating Board

3.10 After approval by the Board, and before any construction activity may commence, all projects with a Total Project Cost (TPC) of at least **\$4,000,000** and greater, and certain other projects as specified in THECB administrative rules, must receive approval from the Texas Higher Education Coordinating Board (THECB) based on several basic requirements and standards as defined by THECB (e.g., space usage, efficiency, and cost).

3.10.1 The Component prepares and submits the THECB’s Board of Regents Certification form to the VCCA for the Chancellor’s signature. The form is signed by the Chancellor upon Board of Regents approval of the project, and is delivered by the VCCA to the THECB.

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- 3.10.2 The Component completes the electronic THECB project application with assistance from the design team and submits it online through the THECB's online Campus Planning System.
- 3.10.3 The VCCA reviews and edits the application online and either returns it to the component for further editing or submits it to the THECB.
- 3.10.4 If a presentation is required, the design team prepares the presentation materials and the VCCA and Component make the presentation to the Coordinating Board or its Strategic Planning Committee, as appropriate.
- 3.10.5 THECB Commissioner, Committee or Board approves the project.
- 3.11 The Component shall submit an application for re-approval to the THECB if the TPC increases by more than **10%**, if the funding sources for the project change, or if re-approval for any other reason is required by THECB rules.

Section 4: Pre-Project Planning & Programming

4.1 To start a project other than projects that have been delegated to the President's authority under section 2.6, the Component shall submit a *Statement of Project Initiation* to the VCCA, which includes a request by the Component to procure programming services.

4.2 All project programming shall be performed by the following consultant under an umbrella contract with the System Office. This contract expires on August 31, 2013. The Component shall consult with the VCCA after that date to ascertain the contracted consultant.

Facility Programming and Consulting, Ltd.
 Attention: Doug Lowe
 100 West Houston Street, Suite 1100
 San Antonio, Texas 78205
 Phone: (210) 228-9600
 e-mail: douglowe@facilityprogramming.com

4.3 The VCCA authorizes the Component to negotiate scope and fee for programming with the System pre-approved programming firm or other firm as approved by the VCCA.

4.3.1 To promote the programming effort and to avoid a conflict of interest, consultants who provide programming services will not be permitted to provide design services for the project. The VCCA has published a Policy Regarding Participation by Consultants and Subconsultants in Project Programming to address compliance with Section 2155.004 of the Texas Government Code. This policy is available from the VCCA's forms library.

4.4 The Component initiates, leads and administers the programming effort, including establishing well defined scope, schedule and budget information.

4.5 The Component shall submit the final draft copy of the program to the VCCA for review and comment.

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- 4.6 When scope, schedule, cost and funding are all in agreement and approved by the President, the Component's President shall approve the Program and submit a copy to the VCCA.
- 4.7 If at any time during the programming process, the project scope (measured by gross square footage) or the estimated Total Project Cost increases by more than **10%** from the information provided in the approved Capital Improvement Program, the Component shall submit an amended *Project Planning Form* through e-Builder updating the project information for approval and adoption by the Board.

Section 5: Design & Construction Services Procurement

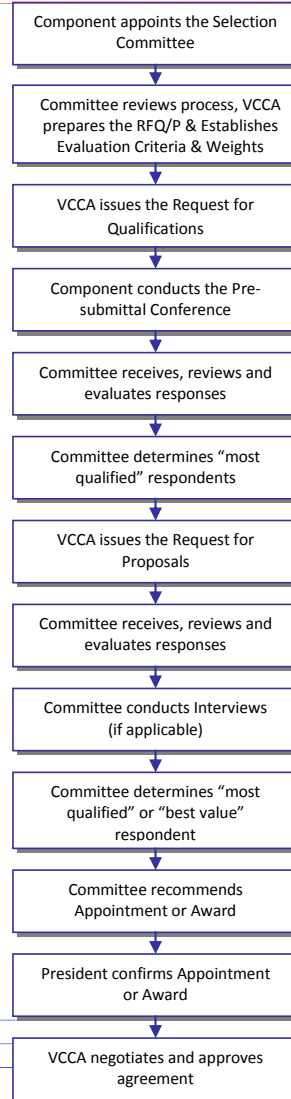
5.1 The System procures the services of design and construction professionals on behalf of the Component for all capital projects other than those delegated to the Component President pursuant to Section 2.6. The following section describes System's requirements for selecting a design professional and a contractor for the Competitive Sealed Proposals (CSP), Construction Manager at Risk (CM-R) and Design-Build (DB) project delivery methods. In general the process follows the following steps and is summarized in *Figure 3*:

- Appoint the selection committee
- Issue and evaluate the Request for Qualifications (RFQ)
- Issue and evaluate the Request for Proposals (RFP)
- Conduct interviews
- Recommend appointment or award
- Negotiate and approve the agreement

5.2 The Component requests initiation of the contract procurement process by providing a point-of-contact, a project description and a schedule, with key milestone dates to the VCCA.

5.2.1 Per *Texas Government Code* 2254.003, design professionals shall be selected on the basis of demonstrated competence and qualifications to perform the required services, and not on the basis of competitive bids or proposals.

5.2.2 Per *Texas Education Code* 51.776 – 51.785, Design-Builders are selected through a two-step process, contractors procured through Competitive Sealed Proposals are selected through a one-step process, and Construction Managers-at-Risk are selected through a one or two-step process, all of which include the submission of competitive bids or proposals.



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FIGURE 3

5.2.3 Note: *Each Component shall make a good faith effort to distribute the work and avoid the perception of always selecting the same one or two design and/or construction professionals for all of its major projects.*

Appoint the Selection Committee

- 5.3 The Component appoints the selection committee members as approved by the President or his/her delegate.
 - 5.3.1 Committee members shall represent a broad understanding of the project, including the Component's needs, requirements, and the design and construction process.
 - 5.3.2 The number of Component committee members is at the Component's discretion.
 - 5.3.3 The VCCA, or his designee, may participate as a voting member in the selection process if deemed advisable.

Issue the Request for Qualifications (Design Professional, CM-R and DB Selection Only)

- 5.4 The VCCA publishes the RFQ through the Electronic State Business Daily (ESBD) in accordance with Texas law.
 - 5.4.1 The Component convenes the appointed committee in a pre-RFQ preparation meeting to review the standard procedures and documents related to the RFQ, RFP, interviews, and overall selection process.
 - 5.4.2 Design professionals are selected in one (1) step process, plus an interview.
 - 5.4.3 Contractors selected through CM-R or DB CSP are selected in a two (2) step process, with an interview. However, under special circumstances CM-R can be selected through a one step process with an Interview.
 - 5.4.4 Contractors selected through CSP are selected in a one (1) step process, with no interview. For CSP, skip to Issue Request for Proposals below.
- 5.5 In the case of a design professional or Design-Build contractor, the Component shall provide any special performance criteria and associated weights to the VCCA prior to preparation of the RFQ.
- 5.6 The Component shall conduct any Pre-Submittal Conference at the time and location identified in the RFQ or RFQ/P.
 - 5.6.1 The Component forwards any questions submitted by potential respondents with applicable answers to the VCCA to determine the need to issue an addendum through the ESBD.

Accept and Evaluate RFQ Responses

- 5.7 The Component accepts all responses to the RFQ at the advertised location until the advertised deadline, and coordinates the Historically Underutilized Business (HUB) Subcontracting Plan due date with the appropriate Component HUB coordinator.

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- 5.7.1 The Component is responsible for preparing the evaluation team to understand properly and evaluate the responses.
- 5.7.2 The Component's HUB office is responsible for the review and approval / disapproval of each response in such a way that it does not delay the process.
- 5.7.3 Qualifications received after the deadline shall not be considered by the committee.
- 5.7.4 The Component shall forward one (1) additional copy of all responses to the VCCA for record.
- 5.8 The committee evaluates the responses independently, within **fifteen (15) calendar days**, using the criteria and questions established in the RFQ.
- 5.9 After the committee completes their individual evaluations and rankings, the committee meets to discuss the qualifications, consolidate, and collect for record the rankings. The respondents with the best average rankings shall be deemed the "most qualified" and eligible for the next step in the process.
 - 5.9.1 No more than five (5) respondents may be selected to submit additional information and to interview for final selection.

Issue the Request for Proposals (CM-R, CSP and DB Selection Only)

- 5.10 The VCCA publishes the RFP through the Electronic State Business Daily (ESBD) in accordance with Texas law. Immediately thereafter, the Component completes any required reporting of the solicitation to the Legislative Budget Board (LBB).
- 5.11 The Component convenes the appointed committee in a pre-RFP preparation meeting to review the standard procedures and documents related to the RFP and overall selection process.
 - 5.11.1 Per the Texas Professional Licensing Act and the Texas Government Code, design professionals shall not submit proposals for services. For Design Professionals, skip to Interview below.
 - 5.11.2 All RFPs shall require the respondents to submit two (2) separately sealed responses, one containing the qualifications and the other containing the proposal.
- 5.12 **For CSP Only:** The Component shall conduct any Pre-Submittal Conference at the time and location identified in the advertised RFP.

Accept and Evaluate RFP Responses

- 5.13 The Component accepts all responses to the RFP at the advertised location until the advertised deadline, and coordinates the Historically Underutilized Business (HUB) Subcontracting Plan due date with the appropriate Component HUB coordinator.
 - 5.13.1 Proposals received after the deadline shall not be considered by the committee.
 - 5.13.2 All responses that have a proposal / bid shall be submitted as two packages: one for qualifications to be reviewed by the committee, and the other to be sent by the

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Component to VCCA for public opening at Office of Contract Administration in Austin at a separate day and time.

5.13.3 The Component shall evaluate the qualifications upon receipt; however, the VCAA will add the proposal to the Component’s evaluation worksheet to finish the process as described below.

5.13.3.1 The weight assigned to the financial terms of the proposal shall not be less than **40%** and not greater than **70%**.

5.13.3.2 **For CM-R and DB:** Scoring of the proposals are based on the total of all preconstruction and construction phase fees and general conditions relative to the lowest total proposal amount submitted by the respondents. See below for an example.

5.13.3.3 **For CSP:** Scoring of the proposals are based on the total of the base bid plus any Component accepted alternates relative to the lowest total proposal amount submitted by the respondents. See below for an example.

5.13.3.4 For scoring purposes, the lowest proposal amount shall receive a "10," while each remaining proposal score is reduced proportionally as compared to the lowest proposal. An example is shown below:

Respondent	Proposal Amount	Difference Amount	% Reduction	Score
Lowest	\$1,000,000	\$0	0.0%	10.0
2nd Lowest	\$1,100,000	\$100,000	10%	9.0
3rd Lowest	\$1,250,000	\$250,000	25%	7.5
4th Lowest	\$2,000,000	\$1,000,000	100%	0.0
Highest	\$2,500,000	\$1,500,000	100%	-5.0

5.13.4 The Component shall forward one (1) additional copy of all responses to the VCCA for record.

5.14 Each committee member evaluates the responses independently, within **fifteen (15) calendar days**, using the criteria and questions established in the RFP.

5.15 After the committee completes their individual evaluations and rankings, the committee meets to discuss the proposals, consolidate, and collect for record the rankings. The respondents with the best average ranking shall be deemed the “best value” and eligible for the next step in the process, if applicable.

Interviews (Design, CM-R, and DB Selection Only)

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5.16 Following a discussion of the RFQs and/or RFPs, a short-list of respondents to interview is determined by the selection committee and communicated to the VCCA. The VCCA notifies the short-listed respondents of the interview date, time and location.

5.16.1 The interview is to allow each short-listed respondent to answer questions developed by the committee in light of the responses provided in the RFQ and/or RFP. The interview is not a separate scoring criterion.

5.17 The Component chairs the committee through the interview process.

5.18 Once the final interview is complete, the committee confirms the evaluations and determines a final ranking. The scores assigned to each respondent in the evaluation of the RFQ and/or RFP shall not be considered at the interview stage, and all short-listed firms begin the interview process on an equal footing. The grading of each interviewee shall be based on a numbering system based on the number of shortlisted firms. Each interviewer shall rank the short-listed firms 1, 2, 3 and so forth, where 1 is the best ranking. The respondent receiving the lowest total score is the top-ranked respondent.

Recommend Appointment or Award

5.19 The Component prepares and sends to the VCCA electronically a written request that the System award a contract to the top-ranked respondent. The request shall include a brief summary of the RFQ, RFP, and interview process, a brief description of the chronology of the selection process, and a final tally of the rankings.

Negotiate and Approve Agreement

5.20 The VCCA notifies the "most qualified" or "best value" respondent of its selection and proceeds to negotiate the contract, including scope of services and fee.

5.20.1 After receipt and approval by the System Office of evidence of insurance, the VCCA will issue the *Authorization to Commence Services or Notice to Proceed*.

5.20.2 The unsuccessful respondents will then be notified of their non-selection by the VCCA.

5.20.3 Upon completion of negotiations, VCCA drafts and issues contract.

5.20.4 The VCCA notifies the Component of contract issuance, and the Component completes any required reporting of the contract to the LBB.

5.21 The VCCA administers the contract. Any amendments to the contract will be negotiated by the VCCA in consultation with the Component.

5.21.1 The Component manages the design and construction professional per the terms of the agreement.

Section 6: Project Reporting & Design Oversight Reviews

Project Reporting

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6.1 Each Component shall submit a quarterly report on the TSUS Summary Report Form to the VCCA for all active projects with a Total Project Cost greater than **\$1,000,000** which have been initiated, but which have not achieved Final Completion (as defined by the Uniform General Conditions).

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6.1.1 The report shall include the status of a project in terms of budget, scope and schedule, and shall be reported on a periodic basis to the Chancellor and Board via the VCCA.

6.1.2 Approval status by the President, VCCA, Chancellor, Board and any state or federal agencies

6.1.3 Standard project report formats are developed and controlled by the VCCA and are available in the VCCA's forms library.

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6.1.4 The Component shall advise the VCCA if, at any time during design or construction, a change in project scope (as defined by the approved program) and/or additional design or construction services is anticipated.

6.1.4.1 Such notifications shall be made prior to the performance of additional services execution of the changes in scope.

6.1.4.2 Any amendment to a contract will be negotiated by the VCCA in consultation with the Component.

Design Oversight Reviews

6.2 The Component is responsible for the overall project delivery process, while the VCCA is responsible for project oversight and contract administration.

6.3 For all phases of Schematic Design, Design Development and Construction Documents, the Component shall transmit all deliverables from the design professional (as defined in the agreement), including plans, specifications, estimates, schedules, constructability and basis of design documents to the VCCA for review and comment.

6.3.1 The Component shall consolidate all programmatic and design review comments from the Component and the System into one document and forward it to design professional for incorporation / response and the VCCA.

6.3.2 Estimates shall be in Construction Specifications Institute (CSI) 35 Division format and delivered within **two (2) weeks** of each design submittal.

6.4 Prior to requesting approval to continue to the next phase of design, the Component shall ensure that the scope, quantities, unit costs and construction estimate are 100% reconciled and are within the parameters of the approved program and Total Project Cost.

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Section 7: Contract Administration (Payments, Changes & GMPs)

Payments

- 7.1 The Component reviews and approves all pay application requests per the agreement, and shall include:
- Component's *Voucher*
 - Application for payment with the *Schedule of Values*
 - Construction cash flow projections
 - Updated revised CPM schedule
 - Updated Submittal Schedule
 - *HSP Prime Contractor Progress Assessment Report*.
- 7.1.1 The Component shall submit the first, second and last pay application request for each design and construction contract to the VCCA for review and approval.
- 7.1.1.1 For projects with multiple stages, the Component shall submit the first and second pay application request for each separate construction stage.
- 7.1.2 After achieving Substantial Completion and as part of the final pay request, the Component shall provide the VCCA with the respective *Substantial Completion and Final Payment Checklists*, including all required backup. Refer to the System eManual for copies of the checklists.

Contract Changes

- 7.2 All contract changes shall be administered per the delegated authority specified in *Section 2: Project Authority* above.
- 7.2.1 All changes in services or to the work shall be requested in writing in a format promulgated and approved by the VCCA.
- 7.2.2 Contract change requests to the VCCA shall include written justification from the Component and be approved before the service or the work is performed; however, in exigent circumstances, the Component may request authorization to perform the service and/or work prior to approval of the additional service or change order.
- 7.2.3 All construction change directives shall be independently priced by the design team or by qualified Component personnel prior to receipt of the Contractor's pricing. This independent estimate shall be attached to the proposed Change Order.
- 7.3 Contract changes, for both design and construction services, shall not be used to expand or reduce the approved scope of the project, without approval from the VCCA.

Guaranteed Maximum Price Proposal

- 7.4 When a project is within the program, scope, budget and funding as approved by the VCCA, Chancellor and the Board, the Component may request the Construction Manager at Risk and Design-Build contractor to submit a Guaranteed Maximum Price (GMP). Prior to submission of the GMP, the Component shall verify that:

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- 7.4.1 The GMP proposal is assembled in accordance with Attachment 1, Exhibit D and Exhibit F of the agreement.
- 7.4.2 The General Conditions costs and Construction Phase Fees are specifically tailored to the project.
- 7.4.3 The GMP proposal, together with documentation supporting the proposed items of cost in the GMP proposal and a current CPM schedule for the performance of construction phase services is submitted to the VCCA at least **four (4) weeks** before the proposed commencement of construction phase services.
- 7.4.4 The strategic plans for bidding the work, including the types of packages, the scope of work included in each package and a construction schedule for the implementation of each package as developed between the Component, design professional and the construction professional.
 - 7.4.4.1 Approval of the bidding strategy is required for GMP approval.
 - 7.4.4.2 When the strategy is acceptable, the VCCA will issue one Notice to Proceed to commence the overall construction duration while written approval must be obtained from the VCCA for each additional bidding package(s).
- 7.4.5 All submissions shall be made so as to provide a reasonable amount of time for the VCCA to review and comment, and for the Component to correct and resubmit.
- 7.4.6 If the project is staged, the Component may submit multiple GMPs, which will include corresponding reviews and bid packages.
- 7.4.7 Projects that include a GMP shall be subject to a financial and performance audit of the design and construction contracts. Selection of a project for audit will be made in accordance with criteria developed by the VCCA and approved by the System Internal Auditor.

7.4.7.1 Audits will be conducted by third party auditors under contract to the System, under the auspices of the Internal Auditor and the review and oversight of VCCA.

7.4.7.2 Audit findings shall be submitted to the VCCA and the System Internal Auditor, for review, comment and distribution to the Component.

7.4.7.3 Final audit reports are transmitted to external oversight entites as required by law,

E-Builder

7.5.1 All capital projects except those generally delegated to the President's authority under Section 2.6 or specifically delegated to the President's authority in writing shall be managed using the System's program management software, e-Builder. This means that all project documentation from the placement of the project on the Capital Improvement Program through closeout will be accomplished in or otherwise uploaded to e-Builder pursuant to

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procedures implemented by the Vice Chancellor for Contract Administration and communicated to the Components.

7.5.2 For projects managed in e-Builder, all contractual documentation including but not limited to Notices to Proceed, Change Orders, pay application requests, and contract amendments (including GMP proposals agreed to by the System) shall be approved electronically by the person(s) authorized to do so in these Policies and Procedures, and evidence of such approval shall be legally sufficient for all purposes. The only exception to this policy is the initial contract between the Board of Regents and the Architect-Engineer, Contractor, Construction Manager-at-Risk, Design-Builder, programming consultant, third-party project manager and/or other professional, which shall be manually signed in hard copy by each party to the contract.

7.5.3 As a transitional matter, projects initiated prior to the implementation of e-Builder are not governed by this Section 7.5.

7.5.4 The Components are encouraged to utilize e-Builder for projects delegated to the President's authority but they are not required to do so.

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Section 8: Close-Out

8.1 Both the Component and the VCCA approve the final inspections and close-out of design and construction contracts. The Component shall...

8.1.1 Notify the VCCA when Substantial Completion and Final Completion inspections are scheduled, and when all design services required are complete.

8.1.2 Transmit final audit reports as required by law.

8.1.3 Resolve all outstanding contract changes, with no outstanding service or work items remaining.

8.1.4 Provide the VCCA with executed substantial completion and final completion checklists, final payment checklist and the close-out matrix for Operation & Maintenance documents.

8.1.5 Provide the VCCA with a Final Project Report to the Board per *Section 6: Project Reporting & Oversight Reviews* above.

8.1.6 Conduct a one year warranty and submit to the VCCA the warranty walk letter noting all deficiencies discovered and need of correction and the subsequent follow up warranty letter when all corrections have been made. Refer to the System eManual for copies of the letters.

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END OF MANUAL



Final Report For Old Main Market Sam Houston State University



Project Description:

The Old Main Market, completed in accordance with the plans and specifications prepared by Tipton Associates of Baton Rouge, Louisiana and constructed by Bartlett-Cocke, L.P. of Houston, Texas was substantially completed July 12, 2011. The project cost was less than 1% over the Board approved budget of \$9 million.

Financial Information:

Project Line	Approved BOR Budget	Commitments	Adjustments	Change Orders	Final Amount
Construction Cost Limitation	\$ 7,696,126.00	\$ 7,291,552.00		\$ 413,466.96	\$ 7,705,018.96
Contingency	\$ 100,000.00		\$ -	\$ -	\$ -
Architect/Engineering	\$ 554,000.00	\$ 535,484.06	\$ 13,930.47	\$ -	\$ 549,414.53
Owner Serv/Site Dev	\$ 199,874.00	\$ 378,821.42	\$ -	\$ -	\$ 378,821.42
Other FF&E and Art	\$ 450,000.00	\$ 372,238.93	\$ -	\$ -	\$ 372,238.93
Total	\$ 9,000,000.00	\$ 8,578,096.41	\$ 13,930.47	\$ 413,466.96	\$ 9,005,493.84

Liquidated Damages/Settlements:

None

Change Orders:

No.	Description	Amount	Time Adjustment
1	Additional demolition/backfill/compaction required to remove sidewalk, stairs, retaining wall and associated footings (3 days) 2 rain days; Investigate existing leaking storm sewer line, repair discovered separation at joints and backfill Add two permanent pier casings at piers east of col. line L between col. lines 5 & 6 due to saturated soil from storm sewer leak - 1 day; rerouting of domestic water line due to conflicts with storm and sanitary sewers credit; extended days for May 5 and for June 23	\$ 19,040.86	32
2	Work to comply with City of Huntsville requirement to open cut sanitary tie-in in lieu of boring the line	\$ 17,869.26	0
3	Excavate to locate existing piping	\$ 12,630.30	0
4	Changes to the elevator sump pump and added oil interceptor; tie existing drain line from Buchanan into can wash sump - Weather days 7	\$ 16,815.67	7

5	Switchgear height conflict - September Weather days 3	\$ 12,597.13	3
6	Exterior lighting changes; structural changes required at elevator; loading dock waterproofing; removal of existing retaining wall; MEP modifications as per RFI 41R1	\$ 24,065.70	0
7	MEP modifications as per ASI 29 & 19R; roof tie-in at south wall; column B5; modify door at loading dock; modify roof drain at slab/column F2 and sanitary vent at slab/column E2	\$ 14,523.93	0
8	Installation of Data Back Bone extended to the NDF	\$ 18,254.57	0
9	East drive modifications - Add 14 days; 6 weather days; MEP modifications	\$ 51,037.03	20
10	Labor and materials to install data/security package	\$ 105,898.32	0
11	L&M to modify POS entry desk and custom arch slat walls at POS entry desk; L&M modify type D light fixtures; L&M modify FRP and paint layout and add ss corner guards	\$ 21,895.23	0
12	L & M to add food equipment D12 & B11 items; credit for stair #1 glass guardrail; Add j-box and circuit for Marvel panel; add modify door 150; L&M to provide neutrals and service grounds; L & M to install metal shielding in wall	\$ 20,794.80	0
13	Furnish & install FRP at storage rm 117, chilled water rework in mechanical rm, electric breaker modifications, credit to delete soffit at exterior stair, change in fire extinguisher specifications to type "k", change in exit light fixture to accommodate ceiling height, pipe bollards to protect electrical equipment.	\$ 22,222.18	3
14	Interior wall modifications, stainless steel soffit modifications and ceiling credit, bakery oven exhaust, AV wiring in rooms 202,212, 213, CAT 6 cable for FA, BAS, Security, Credit for VFD's, not required, 208/30A power for UPS at data Closet, Projector bridge system in rms 212 & 213, Credit for revised soffit finish at pizza oven, landscape credit, elevation kit (IT Room), exit light revision	\$ 32,080.48	7
15	Labor and materials to provide electrical modifications for Owner furnished coffee brewer, relocate dishwasher	\$ 10,709.20	0

	disconnect as requested by SHSU, install of additional elevator pit light fixture as req. by state inspector, Labor and material to provide power and data for POS LCD screens, Modification to electrical to accommodate dell display cooler, additional electric for pizza oven exhaust hood, credit for change in smoker specification, change ice machines from water cooled to air cooled.		
16	Electrical modifications for food service equipment steamer	\$ 4,645.30	0
17	Combination oven upgrade and exchange	\$ 8,387.00	0
Total		\$ 413,466.96	72

Hub Participation:

Percent:

Amount:

Schedule Information:

Project Time Line		Comments/Notes for Project Time Line:
Construction Commencement Date	3/22/2010	
Original Duration (days)	409	
Change Order Adjustments (days)	72	
Liquidated Damage Adjustments (days)	0	
Contract Completion Date	7/16/2011	
Actual Completion Date	7/12/2011	
Difference Between Contract and Actual Completion Date	4	

Building Performance/Sustainability Evaluation or General Comments :

Orienting the building so that the large dining room faces north, allowing for maximum controlled daylight; locating spaces requiring little or no windows to the south to reduce solar impact; using the sloping site to naturally zone public and private/support spaces; minimize western sun exposure; selection of mechanical equipment to meet/exceed ASHREA (American Society of Heating, Refrigerating and Air Conditioning Engineers) 90.1-2004 requirements; indoor air quality controlled baseline testing will be required prior to testing; environmentally sensitive interior finish materials and furnishings will be specified; specified food service equipment will be energy efficient.

Architect/Engineer Evaluation:

Tipton Associates of Baton Rouge, Louisiana performed this work in a professional manner and completed the project to the satisfaction of the University.

Contractor Evaluation

Bartlett Cocke General Contractors of Houston, Texas completed the project to the satisfaction of the University and were extremely responsive in the warranty phase.

Approval by All Authorities Having Jurisdiction:

TDLR Inspection performed, corrections performed and final inspection affirmed 'No Violations' #EABPRJB0804850, dated 9/28/12. Elevator TDLR Inspection ELB1# 35373 decal 80211,80212, 07/06/12 approved. Sprinkler Systems Inspection Satisfactory Report 07/14/11.

This report is submitted by the Vice Chancellor for Contract Administration and was prepared based on information and evaluations supplied by the component.

Rev. 6/12/12



Final Report For

The New Learning Center

Lamar State College-Port Arthur



Project Description:

The classroom and office complex provides 461 square feet of space for two classrooms, a testing center, six faculty and staff offices, study rooms, and open areas for computer-assisted instruction and learning.

Financial Information:

Project Line	Original Amount	Adjustments	Change Orders	Final Amount
Construction Contract	\$ 1,927,279.00	\$ (27,279.00)	\$ 75,661.35	\$ 1,975,661.35
Contingency				\$ -
Architect/Engineering Fees	\$ 164,000.00	\$ 29,071.45		\$ 193,071.45
Furniture and Equipment	\$ 100,000.00			\$ 100,000.00
Other		\$ 3,080.15		\$ 3,080.15
Total	\$ 2,191,279.00	\$ 4,872.60	\$ 75,661.35	\$ 2,271,812.95

Liquidated Damages/Settlements:

N/A

Change Orders:

No.	Description	Amount	Time Adjustment
1	Add four (4) auger cast piles per fiels change #SC01 issued by Fittz & Shipman (Civil Engineer) on August 16, 2010. Drill testing at the augured cast piles, removal of existing unsuitable soil, and replacement with select fill - Credits to owner for meter and tap fees.	\$ 23,111.13	
2	Remove and replace additional 312 yards of unsuitable soil.	\$ 8,900.03	
3	Add a total of 40 additional days due to rain delays and existing unsuitable soU excavation.		40
4	Add a total of 11 additional days due to weather related delays.		11
5	Add a total of 3 additional days due to weather related delays		3
6	Add a total of 3 additional days due to weather related delays		3
7	Eliminate 6" fire line and hydrant as shown due to lack of accessibility and relocate 4" Siamese connection to Lakes shore Drive as directed by the Fire	\$ 18,199.02	

	Marshall		
8		\$ 19,994.85	
	Cost to install 4" firer tap, Omni F2 Water Meter & 4'x4' in ground water meter vault as required by the City of Port Arthur		
9		\$ 1,073.47	
	Delete underground piping to Lakeshore as directed by the City Fire Marshal - \$5,796.84 ; Add finishes to Computer & Janitor Rooms, add dedication plaque + \$4,880.11; Install new ductwork per sketch ME-4.OR1 +\$829.84; Furnish and install two (2) access panels as directed by City of Port Arthur Building Inspector \$1,160.36		
10		\$ 4,382.85	
	Add cost to extend masonry nine (9) double courses at the screen wall in the mechanical yard.		
11			2
	Add a total of 2 additional days due to weather related delays.		
Total		\$ 75,661.35	59

HUB Participation:

Percent:

Amount:

Schedule Information:

Project Time Line		Comments/Notes for Project Time Line:
Construction Commencement Date	8/10/2010	
Original Duration (days)	308	
Change Order Adjustments (days)	59	
Liquidated Damage Adjustments (days)	0	
Contract Completion Date	8/12/2011	
Actual Completion Date	8/10/2011	
Difference Between Contract and Actual Completion Date	2	

Architect/Engineer Evaluation:

The performance of Dohn LaBiche, LaBiche Architectural Group, Inc., was outstanding. He made weekly visits to the job site and his oversight of the project was impeccable. He addressed issues quickly. The job was completed on time and on budget.

Contractor Evaluation

SeTex Construction Corp., did an excellent job on this project. Both the Contractor and its subcontractors performed their responsibilities in a professional manner. They were quick to address issues and are well versed in the State's procedures. They completed the project on time.

Approval by All Authorities Having Jurisdiction:

Approval, where required, was secured from the Code Review and Inspections Division-Architectural Barriers program of the Texas Department of Licensing and Regulation for provisions for the handicapped in the new Student Success Center. No Federal funding was involved: therefore, no other approval from Federal or State agencies was necessary.

This report is submitted by the Vice Chancellor for Contract Administration and was prepared based on information supplied by the component.

**Lamar Institute of Technology
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

1) Student Service Learning Center

Programmer: Facility Programming & Consulting Est. Cost: \$16,202,972

Phase 3 of 3 of the Programming is 100% complete. Programming has been approved by the Institute. This project is on the Capital Improvements Plan and will be initiated in FY2014, pending Tuition Revenue Bond funding.

2) TA Buildings Renovations/Replacement (5 Buildings)

Programmer: Facility Programming & Consulting Est. Cost: \$16,504,683

Phase 3 of 3 of the Programming is 100% complete. This project is on the Capital Improvements Plan and will be initiated in FY2013, pending Tuition Revenue Bond funding.

II. Design/Construction Document Phase

N/A

III. Construction Phase

3) Technology Training and Education Buildings

Architect: The LaBiche Architectural Group Est. Cost: \$2,300,000
CM@R: SeTEX Construction Completion: December 24, 2012

A Notice to Proceed was issued on June 19, 2012 with the substantial completion date set for December 24th 2012. The project is currently 100% complete. Project close-out is in process.

IV. Completed Projects

N/A

**Lamar State College-Orange
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

N/A

II. Design/Construction/Document Phase

N/A

III. Construction Phase

1) Nursing and Classroom Building

Architect/Engineer: PBK Architects	Est. Cost:	\$10,181,120
Construction Manager-At-Risk: SpawGlass	Est. Completion:	August 2013
Project Manager: Skanska USA Building, Inc.		

The design development documents were approved at the August 2012 Board Meeting for the new 32,416 square foot Nursing and Classroom Building. Included in the project is the replacement of three cooling towers at the Central Plant to gain efficiencies and allow connectivity to the new building. A notice to proceed with the construction phase of the project was issued to the Construction Manager-At-Risk on September 12, 2012. The groundbreaking ceremony was held November 1, 2012, and construction is underway and on schedule.

IV. Completed Projects

N/A

**Lamar State College-Port Arthur
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

N/A

II. Design/Construction/Document Phase

N/A

III. Construction Phase

N/A

IV. Completed Projects

1) New Learning Center

Architect/Engineer: LaBiche Architectural Group, Inc.
Contractor: SeTEX Construction (CSP)

Est. Cost: \$2,271,812.95
Est. Completion: July 2011

The classroom and office complex provides 7,461 square feet of space for two classrooms, a testing center, six faculty and staff offices, study rooms, and open areas for computer-assisted instruction and learning. Construction began on August 6, 2010 and the facility is in use for the 2011-2012 academic year. The Final Report is included with the materials for this Board Meeting.

**Lamar University
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

1) New Softball Field

Programming Firm: Facility Programming
& Consulting

Est. Cost: \$2,500,000
Est. Completion: August 1, 2013

Lamar University is initiating play in Women's NCAA Division 1 Softball and anticipates joining conference play in the fall of 2013. Therefore, the University plans to construct a Competition Softball Complex to be completed by August 2013. The preliminary budget for the complex is in the range of \$2.0 to \$2.5 million. The new softball complex will be located adjacent to the recently constructed competition soccer complex and will share support facilities including parking and a support building that houses locker rooms, offices, restrooms, and concessions. The softball complex will be designed to meet NCAA Division 1 standards and will include a lighted, natural grass field, seating for 500 to 2,000 spectators, hitting/pitching practice facilities, and a storage facility. Programming for the Women's Softball Field Project is complete. When adequate funds are secured to fund the estimated project cost, the project will be initiated.

II. Design/Construction Document Phase

2) Brooks-Shivers Renovation

Architect: PageSoutherlandPage
Contractor: SpawGlass Construction Corp.
Project Manager: Skanska USA Building

Est. Cost: \$19,000,000.00
Est. Completion: May 2014

A Facility Condition and Assessment was performed in August 2011 by Johnston, LLC, of Houston, Texas. The complex comprises three dormitory buildings; Buildings A, B, and C, all constructed in 1967. The preliminary strategy for the Brooks-Shivers Residence Hall is to demolish Building B and to perform selective demolition and total renovation of Building A and Building C. The final uses of the renovated Buildings A and C will include administrative support areas, general faculty and staff offices, a student activities center for welcoming new or potential students, parking and similar permit registration offices and a conferencing center. PageSoutherlandPage is the selected Architect/Engineer. The Construction Manager-At-Risk is SpawGlass Construction Corp. Skanska USA Building Inc. is the selected firm to provide Project Management Services. The project is currently in schematic design.

III. Construction Phase

3) Carl Parker Renovation

Architect: VLK Architects

Est. Cost: \$1,500,000

Contractor: SeTEX Construction Corp.

Est. Completion: January 31, 2013

Currently, undergraduate advisement is scattered throughout the campus. This project is expected to centralize all undergraduate advisement. This project is proceeding under authority delegated by the Chancellor to the President. Construction began July 30, 2012; while the substantial completion date is January 7, 2013, final completion is expected on January 31, 2013.

IV. Completed Projects

N/A

**Sam Houston State University
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

1) Biology, Nursing and Allied Health Building

Programmer: Facility Programming and Consulting Est. Cost: \$39,650,000

Programming is complete. Marketing and fundraising materials are being developed for a 100,000 square foot facility with general classroom space and laboratories. The Project will be the subject of a tuition revenue bond request.

2) I-45 Recreational Complex (formerly I-45 Sports Complex from combined projects formerly known as I-45 Recreational Complex and Tennis/Track/Soccer Complex)

Programmer: Facilities Programming Est. Cost: \$10,000,000

Programming for the I-45 Sports Complex began on June 14, 2011. This project originally combined athletics and recreational sports into the existing Agriculture campus and Holleman field areas; however, estimated budgets were presented and did not align with University projected budgets. Therefore, the programming restarted with only the recreational components included and is being reprogrammed in phases.

3) Plant Science Field Lab at Gibbs Ranch (formerly under relocation of Agriculture Complex to Gibbs Ranch)

Programmer: Facilities Programming Est. Cost: \$3,600,000

The project is envisioned as a replacement facility for the existing Horticulture operations currently at the I-45 Ag Complex, and provides opportunity for growth of departmental capabilities to better serve students. It consolidates greenhouses, shared classroom/research lab space, and a series of gardens and exterior amenities totaling 8,500 gross square feet and 7,500 square feet respectively. The architectural program was approved in April 2012 and is awaiting funding.

4) Lowman Student Center Expansion

Programmer: Facilities Programming Est. Cost: \$30,000,000

Programming began on June 22, 2012 to expand and selectively renovate the Lowman Student Center to expand the services and activities that support student life. The expansion is planned to be located on the Smith-Kirkley Hall site. The October 2012 student referendum vote was successful for this project. Programming is complete and is with the President for final approval.

5) Sycamore Vivarium Programming

Programmer: Facilities Programming Est. Cost: \$1,500,000

The building program includes approximately four animal rooms housing rodents, reptiles and fish and associated support areas including a Procedure Room with fume hood located at the recently purchased property at 1614 Sycamore Avenue. This will allow the University to relocate this operation into a suitable facility.

II. Design/Construction Document Phase

6) Relocation of Agriculture Complex to Gibbs Ranch – Phase I

Programmer: PDG Architects Est. Cost: \$11,000,000
Contractor: Tellepsen

The Master Plan for the Agriculture Complex at Gibbs Ranch is complete and approved. Tellepsen was selected as the Construction Manager-At-Risk in November for the Phase 1 Agriculture Education Multi-Purpose Arena and Support Building Structures. Fund raising efforts for the complex continue. In the schematic design phase, the University discovered the program scope and budget did not align. Therefore, schematic design is still in process to reconcile scope and budget.

7) Student Health and Counseling Center Expansion Programming (formerly known as Student Health Center Expansion)

Programmer: Facilities Programming Est. Cost: \$9,200,000

Programming for the expansion of the Student Health and Counseling Expansion began on February 23, 2012. Mental health counseling is proposed to merge with the health center to increase efficiency. The current health center is undersized for the 17,000 student enrollment and needs to meet the necessary accreditation standards applicable to this increased enrollment. The Final Program was approved and procurement of design and construction services has been begun.

III. Construction Phase

8) The Woodlands Center formerly known as University Center at The Woodlands

Architect: WHR Architects Est. Cost: \$40,500,000
Contractor: Vaughn Construction Completion: July, 2012

Ground-breaking ceremonies were held on October 22, 2010, and construction commenced on October 27, 2010. The garage and building were substantially complete on April 5, 2012. Level 4 - event space and kitchen build-out were substantially complete on July 18, 2012. The facility opened for summer classes on May 31, 2012.

9) Austin Hall Restoration

Architect: Ford, Carson & Powell
Contractor: Whiting-Turner Construction

Est. Cost: \$2,217,425
Completion: June 2012

The Design Development documents were approved at the August 2011 Board of Regents Meeting for restoration of Austin Hall, constructed in 1856. The project restores the exterior building envelope, makes interior repairs and brings the facility into compliance with life safety codes. A Notice to Proceed was issued to the Contractor on November 1, 2011. The project was substantially complete on June 16, 2012. Re-Dedication and Open House of the historic renovation occurred on October 20, 2012. The building has been approved by the National Park Service for the National Historic Register.

10) Sam Houston Village HVAC/Structural/TAS Renovations (combined projects formerly referred to as Sam Houston Village HVAC Renovations & Sprinkler Repairs and Sam Houston Village Structural Repairs & TAS Renovations)

Architect: Perspectiva Architects
Engineer: Dabhi Engineers (Mechanical)
Engineer: Wiss Janney Elstner (Structural)
CM@R Contractor: SpawGlass Construction

Est. Cost: \$7,418,472
Completion: Aug. 24, 2012

The Construction Manager-At-Risk, SpawGlass Construction, was selected in May 2011. A Notice to Proceed has been issued to the Contractor and a construction kickoff meeting was held on November 1, 2011. Construction progress is 99% complete. Interior Substantial Completion was achieved on July 12, 2012, with Exterior Substantial Completion reached on August 2, 2012. The residence hall was opened on schedule for the fall semester.

11) University Camp Phase 2 (formerly known as Bearkat Camp)

Programmer: TBG Partners
Contractor: SpawGlass Construction

Est. Cost: \$4,871,145
Est. Completion: May 17, 2013

The Phase 2 Master Plan for the University Camp at SHSU's Riverside property is complete. SpawGlass Construction was selected as Construction Manager-At-Risk in November 2011. A Notice to Proceed was issued mid-November 2011 for the design of overnight accommodations, multi-purpose buildings and the swimming pool. The Design Development Package was approved at the August 2012 Board of Regents meeting with the pool as an add-alternate pending availability of budgeted funds. Notice to Proceed for construction was issued December 17, 2012.

IV. Completed Projects

12) Old Main Market (formerly known as New Campus Dining Facility)

Architect: Tipton Associates
Contractor: Bartlett Cocke

Est. Cost: \$9,000,000
Completion: July 2011

Construction began in April, 2010 was substantially complete on July 12, 2011. The facility opened on August 22, 2011. Final Report is complete and included with the materials for the February 2013 Board of Regents meeting.

**Sul Ross State University
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

1) Campus Access (Phase I)

Architect: ARTchitecture (IDIQ)	Est. Cost:	\$633,228
Contractor: TBD	Est. Completion:	2013

As recommended in the 2010 Master Plan, the Campus Access Project will be completed in two phases. Phase 1 includes modifications to Loop Road to improve pedestrian traffic safety from just south of Fletcher Hall and extending to the intersection just south of the Physical Plant. This project also includes modification to existing signage for better identity and wayfinding by changing numbers to names, identifying the “main” entrance onto campus to create a less sterile/utilitarian appearance. Also included is relocation of the longhorn sculpture to the Range Animal Science Center and replacing it with the university’s mascot, the Lobo.

2) New Swimming Pool Entry

Architect: ARTchitecture (IDIQ)	Est. Cost:	\$30,000
Contractor: TBD	Est. Completion:	2013

The existing swimming pool entrance is on the north side of the building. Not only is this an inconvenience, but it also may prevent some from using the facility because it is hidden from public view. An entry on the south end is proposed to provide easy access to the amenity and increased safety by allowing this entrance to be in full public view.

II. Design/Construction/Document Phase

N/A

III. Construction Phase

3) Campus Operations Project

Design-Builder: Brandt	Est. Cost:	\$6,600,000
IDIQ Project Manager: Hill International	Substantial Completion:	September 19, 2012

The Campus Operations Project includes upgrades to mechanical, electrical and controls system on the Sul Ross Campus resulting in substantial energy savings. The Design-Build project includes the replacement of the aging Central Plant boiler system with high efficiency localized building hot water boilers. The project also includes the upgrade and expansion of the existing energy management system and lighting upgrades. A Change Order was issued authorizing work to correct deficiencies within the existing energy management system after the August 20, 2012 scheduled completion date. The project

was substantially complete on September 18, 2012. Final completion paperwork is being assembled by the contractor for final payment and project close-out. The project is expected to be completed approximately \$400,000 under budget.

4) Lobo Village

Developer: Century Development

Est. Cost: \$20,240,000

Est. Completion: Sept. 1, 2008

The Project is 100% complete. A small amount of retainage is being held pending the final resolution of minor issues.

IV. Completed Projects

N/A

**Texas State University-San Marcos
Summary
(as of January 17, 2013)**

I. Project Planning & Programming

1) Alkek Library Learning Commons

Programmer: Perry Dean Rogers

Est. Cost: \$2,500,000

The creation of the Learning Commons is critical to the transformation of the Alkek Library's public spaces. The renovation must also accomplish mandatory updating and modernization of a 20 year-old infrastructure in order to make the building secure, within code, and able to handle the newest technologies for the foreseeable future. The renovations will also include upgrades and modernization of mechanical, electrical, data, fire, and heating/cooling systems, and updating of interior finishes. Perry Dean Rogers completed the Feasibility Study for the Alkek Library Learning Commons project in May, 2012. The study is a conceptual plan with recommendations and cost estimates to incorporate the Learning Commons concept at the Library and provide a dynamic, collaborative learning and research environment. This project remains on hold pending funding.

2) Alkek Library Repository

Programmer: TBD

Est. Cost: \$5,920,000

The Library Repository is envisioned as a 13,000 gross square foot facility with expansion possibilities for an additional 20,000 gross square feet. It will include book storage, document intake and processing areas, offices, restrooms, mechanical spaces, and a conference room. Parking for 20 cars and delivery area will be included in the project site development. The design of the facility will include a state of the art Building Management Control System, a heating, ventilation and air conditioning system with humidity control features, and fire detection and protection system. The Project will be located at the Texas State – San Marcos STAR Park located at McCarty Lane and Hunter Road. A Land Planning study was developed identifying possible sites within the existing STAR Park. Harrison-Kornberg Architects was selected to provide Feasibility Study consulting services in August, 2012. A draft copy of the Feasibility Study was submitted in December, 2012 and included the following: evaluation of site utilities; building layout studies; descriptive narratives for structural, mechanical-electrical-plumbing and building envelope; and comparative cost estimates. The Feasibility Study is scheduled to be completed by March, 2013.

3) CoGeneration Plant Gas Turbines

Programmer: NA

Est. Cost: \$45,000,000

Texas State University has been designated as an Emerging Research University. A necessary component to support research capabilities and campus growth is a reliable power infrastructure. This project is proposed as a public-private venture to provide the financing, design, construction, operations and maintenance of a cogeneration plant with

the capability to generate up to 18 megawatts of power and to recover the waste heat for the production of steam and chilled water. The project was added to the CIP in May, 2012. The Request for Qualifications is targeted to be issued in the second quarter of 2013 and will begin the process of hiring a consultant to assist in the preparation of the private-public venture for the Cogeneration Plant Gas Turbines project.

4) Engineering and Science Building

Programmer: Facility Programming & Consulting Est. Cost: \$91,582,161

A major new facility is needed to house the expanding enrollment in the Engineering, Materials Science and Biology programs. It will consist of research laboratories, shared interdisciplinary labs, classrooms, facility offices, seminar, and conferencing facilities. The building will include the most sophisticated information and instructional technology features designed and installed for an information intensive environment. The project will require campus infrastructure and site utilities necessary to support a facility of this size. The CIP estimated cost was adjusted in May, 2012. Facility Programming and Consulting of San Antonio, Texas, updated the program document for the Engineering and Science Building project. The updated program document accounts for current end user needs as well as infrastructure requirements associated with this project. The updated program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for the Legislative Appropriations Request in July, 2012. A request was submitted with a Total Project Cost of \$91,582,161 and TRB Request of \$83,000,000 and the balance to be covered by a combination of HEAF, Unexpended Plant Funds, and Utility System Funds.

5) Jones Dining Hall Replacement

Programmer: Facility Programming and Consulting Est. Cost: \$23,671,000

Jones Dining Hall was built in the 1970s. The building infrastructure has outlived its usefulness and is no longer serviceable. The dining hall is consistently the busiest dining location serving over 500,000 students annually. Remodeling the facility will accommodate the needs of this growing program. As presently conceived, the project includes the demolition of the existing building and its replacement with a 50,000 gross square foot dining facility. The project was added to the CIP in May, 2012. Facility Programming & Consulting of San Antonio, Texas, completed the program document for the Jones Dining Hall Replacement project in November, 2012. An alternative for a complete renovation and interior remodeling is being assessed. A Request for Qualifications (RFQ) to obtain design services will be released once the feasibility of the project has been confirmed.

6) Music Building

Programmer: Facility Programming & Consulting Est. Cost: \$56,705,000

A new music building to address the pressing need for a music facility, classrooms and rehearsal space will be located in close proximity to the new University performance facility. The adjacent Performing Arts Center will provide a 300 seat Recital Hall and a 400 seat Theatre Center venue. The re-programmed building, cost estimate and project budget for the 109,582 gross square foot building were completed and forwarded to TSUS for possible funding by the legislature. The program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for the Legislative Appropriations Request document in July, 2012. A request was submitted with a Total Project Cost of \$56,705,000 to be fully funded with TRB funds.

7) Residence Life West Campus Housing 2

Programmer: Facility Programming and Consulting Est. Cost: \$62,525,000

The West Campus Housing 2 project is a 175,000 square foot 600-bed project and will consist of two residence halls as well as a connecting community building. The location of the West Campus 2 Housing project will require the demolition of San Saba Hall, Canyon Hall and the West Maintenance buildings. Additionally, extension of the site utility infrastructure will be a part of this project. Facility Programming and Consulting has begun the programming efforts. The draft program is anticipated to be 50% complete by the end of January, 2013. The final program document is anticipated to be completed by March, 2013.

8) Round Rock Health Professions – 1

Programmer: Facility Programming & Consulting Est. Cost: \$48,820,000

The third academic building on the RRHEC campus is currently re-programmed for classrooms and offices to support three of seven departments in the College of Health Professions. This project was previously programmed as a larger building and was the subject of a \$73.4 million TRB request in the 2009 legislative session that was not funded. The re-programmed building, cost estimate and project budget for an 87,274 gross square foot building were completed and forwarded to TSUS in November 2010 for possible funding by the legislature during the 2011 session. The program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for the Legislative Appropriations Request document in July, 2012. A request was submitted with a Total Project Cost of \$48,820,000 to be fully funded with TRB funds.

9) Round Rock Health Professions – 2

Programmer: Facility Programming & Consulting Est. Cost: \$31,900,000

The fourth academic building on the RRHEC campus will include classrooms and offices to support four existing departments and additional academic programs in the College of Health Professions. This project was the subject of a \$63.5 million TRB request in the 2009 legislative session that was not funded. The re-programmed building, cost estimate

and project budget for the 70,431 gross square foot building were completed and forwarded to TSUS for possible funding by the legislature during the 2011 session. The program document served to guide Texas State in the preparation of a Tuition Revenue Bond funding request for the Legislative Appropriations Request document in July, 2012. A request was submitted with a Total Project Cost of \$31,900,000 to be fully funded with TRB funds.

10) Utility Upgrades Bobcat Trail

Programmer: NA Est. Cost: \$3,500,000

The current thermal water systems along with several other underground utilities through the Bobcat Trail area are in need of replacement in conjunction with the proposed upgrades of the trail. This utility infrastructure project is necessary in order for the Campus to replace the aging and maintenance intensive existing underground utilities distribution infrastructure in Bobcat Trail and in North LBJ Street and Edward Gary Street north of Woods Street. Design of these utility upgrades will commence in late FY2013 and construction will commence in conjunction with the construction of the Bobcat Trail Mall Redevelopment project. The Engineering Design services will commence later this fiscal year.

II. Design and Construction Document Phase

11) Bobcat Trail Mall Redevelopment

Architect: TBG Partners Est. Cost: \$5,488,888
 Contractor: Flynn Construction Est. Construction: FY2014
 Est. Completion: TBD

The University selected TBG Partners of Austin to design the project in 2005. Due to funding limitations for the project, the Architect was engaged to develop a site analysis, conceptual schematic designs and preliminary plans with cost estimates to define the limits of project scope. The design development documents were subsequently completed. A Construction Manager-At-Risk was selected, and design documents were completed after multiple stakeholder and value engineering meetings. The construction must be phased to coordinate with access issues and budgetary issues. The development of the design is 95% complete. The project remains on hold pending completion of the Performing Arts Center project and restoration of the Edward Gary and Wood Street corridors.

12) Comal Renovation

Architect: Randall Scott Architects Est. Cost: \$13,850,000
 Contractor: Satterfield+Pontikes Est. Construction: Spring 2013
 Est. Completion: Summer 2014

The Psychology Building is one of the older buildings on Campus and is to be remodeled for Computer Science and Philosophy general classrooms and offices. Satterfield & Pontikes Construction Company was selected as the Construction Manager at Risk in July, 2012. The building served as the Education Building until 1977 at which time it

became the Psychology Building. Subsequent to the relocation of the Psychology department in June 2012, the building name was changed to Comal Building. The asbestos and lead paint study was completed in September, 2012. The design for the Comal Renovations project by Randall Scott Architects is complete. The demolition and abatement work for this project is underway. The Construction Documents are scheduled to be 50% complete in March, 2013. The activities over the next three months include finalize abatement and demolition; contractor site mobilization; construction fencing; and preparation of the Guaranteed Maximum Price proposal.

III. Construction Phase

13) Brogdon Hall Renovations

Programmer: Garza Bomberger	Est. Cost:	\$7,000,000
Architect: Garza Bomberger	Construction:	August 2012
Contractor: Lee Lewis Construction	Est. Completion:	Fall 2013

The scope of work for the Brogdon Hall Renovations includes new roofing materials and substructure; new energy efficient windows; a new elevator; and an ADA compliant entry. The interior renovations include upgrades to the air conditioning, electrical, and plumbing systems; upgrades to all Life Safety and security systems; designing and building accessible interior spaces in compliance with ADA recommendations; and the complete refurbishing of all interior spaces. The Design Development package was approved by the Board of Regents in November, 2011. Brogdon Hall was vacated at the end of May, 2012. The Guaranteed Maximum Price was submitted by Lee Lewis Construction and approved by the System Office in June, 2012. Abatement efforts were completed in July, 2012. Construction is approximately 8% complete. Floors 3 and 4 have 90% of the sheetrock installed. About 80% of the new roofing tile is in place. The scheduled work over the next three months includes: mechanical, electrical, and plumbing rough-in for floors 1 and 2; new topping in the restroom floors; elevator concrete block walls; and the mockup and testing of new windows.

14) Electrical Infrastructure Upgrades

Engineer: Bath Associates	Est. Cost:	\$11,800,000
Contractor: Hunt Construction	Substantial Completion:	January 2013

The existing 15 KV Switchgear Cubicles (12 cubicles approximately) are to be upgraded with the latest new electrical switchgear, incorporating remote switching capabilities between the major 800 Amp electric feeders from the two substations of the City of San Marcos. The existing 277/480 volt Switchboard and the existing Motor Control Centers will also be assessed and repaired or replaced as necessary. Also included in this project is the replacement or reconditioning of several maintenance intensive and inefficient transformers, switches, and other components of the electrical distribution system. The switchgear building is complete. In December a major campus-wide electrical outage was scheduled and successfully completed with success. During this outage the new Central Plant switchgear was energized and connections secured to the new South Chill Plant. Detailed coordination of this work included the City of San Marcos Electrical Utilities. Substantial Completion was accomplished in January, 2013. Scheduled work over the

next three months includes final punch list and change order work and replacement of other components of the electrical distribution system.

15) Old Main Roof Replacement

Architect: BRW Architects	Est. Cost:	\$3,500,000
Contractor: Phoenix I Restorations	Est. Construction:	December 2012
	Est. Completion:	Summer 2013

The Old Main Roof Repair project includes replacement of the roof and minor structural repairs to roof substrate and damaged/deteriorated wood framing members. The design documents were submitted to the Texas Historical Commission in September, 2012. System delegated authority to the University for the construction of this project in September, 2012. A request for Competitive Sealed Proposals was released in October, 2012 and a purchase order was issued to Phoenix 1 Restorations in December, 2012. A Pre-Construction meeting was held in January, 2013. Work over the next three months includes contractor mobilization; installation of the construction fence and scaffolding; selective site demolition; and the preliminary subsurface investigations for the removal and replacement of the metal shingles.

16) Performing Arts Center Complex

Programmer: Pfeiffer Partners, Inc.	Est. Cost:	\$83,243,646
Architect: Morris Architects	Est. Completion:	Fall 2013
Contractor: Hunt Construction		

The Performing Arts Complex project is composed of four major component parts, which are on the CIP as four separate projects:

1. Recital Hall (300 Seats) and Theatre (400 Seats) totaling 62,750 gross square feet;
2. Parking Garage for 455 cars, including 8,100 GSF for a Telecom Facility;
3. South Chill Plant (sized for 4500 tons and electrical switch gear);
4. Streets and Grounds: extensive landscaping, walkways, plazas, gardens, and signage will be added to fulfill Campus Master Plan goals converting campus spaces from "gray to green".

The City of San Marcos is expected to provide temporary overhead power lines along University Drive to connect to the South Chill Plant by summer of 2012. The Inter-Local Agreement between the University and the City of San Marcos for provision of electrical service was signed in July, 2011. The Topping Out and Beam Signing ceremony was conducted on July 24, 2012. The South Chill Plant electrical equipment was energized in September, 2012. The construction completion percentage for each component is as follows: Recital Hall and Theatre (RHT) – 61%; and Streets and Grounds – 76%. The South Chill Plant reached Substantial Completion on December 27, 2012 and the Parking Garage reached partial Substantial Completion on January 2, 2013. Scheduled work over the next three months includes building dry-in, installation of duct-work, plumbing, electrical, fire sprinkler, and special system rough-in at RHT. The finishes for the Information Technology portion of the Parking Garage are underway. The Streets and Grounds portion of the work continues with Butler Parking Lot upgrades completed. Woods Street at Moon Street round-about was completed enabling access into the refurbished JCK Parking Lot. The overall project will be completed in late fall 2013.

17) Residence Life Housing: West Campus

Programmer:	Facility Programming & Consulting	Est. Cost:	\$60,468,000
Architect:	SHW Architects	Est. Construction:	Fall 2012
Contractor:	SpawGlass	Est. Completion:	Fall 2014

This project accommodates 578 beds with a room configuration that includes two bedrooms and an adjoining bath (suite style without any additional living space), a small community building, seminar/ meeting rooms, offices, small group study rooms and lounges. The new hall location is at the site cleared upon demolition of the University Performing Arts Center. SHW Architects prepared the Design Development submittal that was approved by the Board of Regents at a special called meeting on July 25, 2012. The Guaranteed Maximum Price was approved by the System Office and SpawGlass was issued a Notice to Proceed in September, 2012. Construction began November, 2012. The utility construction activities anticipated over the next three months include installation of a new sanitary sewer line and water lines in Moore Street and Read Street. The construction activities over the next three months for the building include foundation sub-base, concrete piers, concrete beam forming and installation, and the concrete slabs for the ground floor. Construction is approximately 2% complete.

18) Round Rock - Nursing Building

Architect:	Barnes Gromatzky Kosarek Architects & Richter Cornbrooks Gribble	Est. Cost:	\$35,959,755
Contractor:	Flintco, Inc.	Bldg. Completion:	August 2010
		Est. Completion:	February 2013

Construction was initiated in January, 2009 and the building is 100% complete and occupied. In May, 2010 the Board approved use of the project budget savings to accomplish additional work consistent with the THECB and Legislative approval. While the Round Rock Nursing Building opened for the Fall Semester 2010, in May, 2010, the System Office approved additional work funded from remaining bond proceeds which included mechanical, electrical, plumbing and utilities upgrades, site grading, and construction of two surface parking lots. Final completion of all the additional work was July, 2012. The Close-Out documents for the additional Site Utility Infrastructure are assembled and will be submitted to System Office as part of the Final Report in January, 2013. The design of the new Round Rock Student Clinic by STG Architects, Austin, TX project is complete. This project is being funded by the remaining TRB balance. The activities over the next three months for the Round Rock Nursing Building Student Clinic include contractor mobilization and construction/reconfiguration of space for the clinic.

19) South Campus Utility Upgrades

Engineer:	Goetting and Associates	Est. Cost:	\$6,000,000
Contractor:	Hunt Construction	Est. Completion:	Fall 2013

This project is to replace old maintenance intensive components of the underground utility distribution infrastructure and provide alternate means to supply additional electrical power and redundant thermal service to the south campus. The underground utilities will be replaced and enhanced in Edward Gary Street, Woods Street, and Moon Street. The effort consists of replacing and upgrading maintenance intensive or undersized

22) Commons Hall Renovations

Programmer: Garza Bomberger	Est. Cost:	\$7,210,000
Architect: Garza Bomberger	Completion:	Fall 2012
Contractor: Lee Lewis Construction		

The scope of work for the Commons Dining Hall Renovation includes major renovations of all three floors and repurposing of the upper floor for use as offices and support space. The interior renovations include repairs and upgrades of the heating, ventilation and air conditioning systems, upgrades of the electrical and plumbing systems, upgrades to all Life Safety systems, renovation of building interior spaces to be in compliance with ADA requirements, new floor coverings, ceilings, painting, doors, and building signage. The exterior renovations include new roof materials and substructure, new energy efficient windows, and an ADA accessible entry. Substantial Completion of the Dining Hall occurred in August, 2012. The Dining Facility is in operation. Commons Hall is complete and reached Substantial Completion in December, 2012. The second floor furniture, fixtures and equipment was installed in January, 2013 and the Testing Center was occupied in December, 2012. The University is planning to assemble the Final Report for review and approval by the second quarter of 2013.

23) Department of Housing and Residential Life Office Building

Programmer: Facility Programming & Consulting	Est. Cost:	\$12,166,000
Architect: PBS&J	Completion:	August 2012
Contractor: Satterfield & Pontikes Construction		

The Department of Housing and Residential Life Office building reached Substantial Completion in August, 2012. An Open House Ceremony was held on October 1, 2012. The University is planning to submit the Final Report for review and approval by May, 2013 once the final piece of Public Art is installed.

24) North Campus Housing Complex

Programmer: Broaddus and Associates	Est. Cost:	\$46,125,712
Architect: Kirksey Architects	Completion:	June 2012
Contractor: SpawGlass		

The North Campus Housing project is a 612 bed (190,047 gross square foot) traditional student residential facility centrally located on campus near the LBJ Student Center at the corner of Comanche Street and Sessom Drive. The facility provides replacement beds for the demolition of student housing on campus to make way for academic buildings. Substantial Completion occurred in June, 2012. A dedication event was held on August 8, 2012. The interior Public Art sculpture was installed over the December, 2012 break. The university is planning to assemble the Final Report for review and approval by March, 2013 once the exterior sculpture is installed. A fire at the plant manufacturing the exterior sculpture has delayed delivery.

25) Center for Research Commercialization (now known as STAR One)

Programmer: Facility Programming & Consulting	Est. Cost:	\$6,950,000
Land Planner: Haddon+Cowan	Est. Completion:	October 2012
Architect-Engineer: Philo-Wilke		
Contractor: American Constructors		

The project provides a center for the research, development and commercialization of multifunctional materials to drive the development and innovation for the next generation of devices used in the fields of energy, security and health. Haddon+Cowan prepared the land use plan. TxState signed an inter-local agreement with the City of San Marcos for water/waste water services. Substantial Completion of the STAR One building in STAR Park (i.e., the Center for Research Commercialization project) was reached in August, 2012. Additional work included utility infrastructure to support additional laboratories. This infrastructure is complete and was commissioned in November, 2012. A dedication event was held on November 9, 2012. The University is planning to submit the Final Report for review and approval in the second quarter of 2013.

26) Tomas Rivera Drive Relocation and Student Center Drive Realignment

Architect: Halff Associates	Est. Cost:	\$8,371,938
Contractor: SpawGlass Construction	Completion:	September 2012

This Streets and Utilities project is an integral part of the North Campus Enhancement package. It supports traffic and utilities relocation for the Matthews Parking Garage, the Cogen Expansion and future housing projects. Due to the project's impact on City of San Marcos streets and traffic, an independent traffic study was completed, and acceptance by the City of San Marcos was obtained. The Construction Manager At Risk received GMP approval and initiated a seven-phase construction schedule in July 2008, which coordinated many different university stakeholders and three adjacent construction projects. Construction was scheduled in seven phases to coordinate with adjacent construction projects and University schedules. Final infrastructure is complete. The final phase has been coordinated with the North Campus Housing project and is complete. The installation of the monument sign at Comanche and Sessom is complete. A pedestrian crosswalk with warning devices is being installed across Student Center Drive near the entry to Matthews Street Parking Garage. The university is planning to assemble the Final Report for review and approval in the second quarter of 2013.

IV. Completed Projects

N/A

February, 2013

TSUS Capital Projects (funding identified)

Component	Project Name	Est. Cost	Phase	Construction Start	Construction Finish	Notes
LIT	Technology Training and Education Buildings	\$ 2,300,000.00	8-Close-out	June, 2012	November, 2012	Includes demolition of existing site
LSC-O	Nursing and Classroom Building	\$ 10,181,120.00	7-Construction	November, 2012	August, 2013	
LSC-PA	New Learning Center	\$ 2,271,813.00	8-Close-out	August, 2010	July, 2011	Final Report is submitted to the Board at the February, 2013 meeting.
LU	New Softball Field	\$ 2,500,000.00	2-Programming	Early 2013	August, 2013	Programming is complete.
LU	Brooks-Shivers Renovation	\$ 19,000,000.00	4-Schematic Design	TBD	May, 2014	
LU	Carl Parker Renovation	\$ 1,500,000.00	7-Construction	August, 2012	January, 2013	Authority to execute this project has been delegated to the University.
SHSU	I-45 Recreational Complex	\$ 10,000,000.00	2-Programming	TBD	TBD	Reprogramming ongoing to reconfigure project to include recreational components on
SHSU	Lowman Student Center Expansion	\$ 30,000,000.00	2-Programming	TBD	TBD	Programming is complete.
SHSU	Sycamore Vivarium	\$ 1,500,000.00	2-Programming	TBD	TBD	
SHSU	Student Health and Counseling Center Expansion	\$ 9,200,000.00	3-A/E Procurement	TBD	TBD	Formerly called Student Health Center Expansion
SHSU	Relocation of Agriculture Complex to Gibbs Ranch – Phase I	\$ 11,000,000.00	4-Schematic Design	TBD	TBD	
SHSU	University Camp Phase 2	\$ 4,871,145.00	7-Construction	December, 2012	May, 2013	
SHSU	Sam Houston Village Renovations	\$ 7,418,472.00	8-Close-out	October, 2011	August, 2012	Facility was occupied by students for fall semester 2012.
SHSU	Austin Hall Restoration	\$ 2,217,425.00	8-Close-out	November, 2011	June, 2012	Building has been approved for listing on the National Historic Register.
SHSU	University Center at the Woodlands	\$ 40,500,000.00	8-Close-out	October, 2010	July, 2012	Facility was occupied for summer classes in May 2012.
SHSU	Old Main Market	\$ 9,000,000.00	8-Close-out	April, 2010	July, 2011	Final Report is submitted to the Board at the February, 2013 meeting.
Sul Ross	Campus Access (Phase I)	\$ 633,228.00	2-Planning	TBD	TBD	
Sul Ross	New Swimming Pool Entry	\$ 30,000.00	2-Planning	TBD	TBD	
Sul Ross	Campus Operations	\$ 6,600,000.00	8-Close-out	March, 2012	November, 2012	
TxST	Alkek Library Repository	\$ 5,920,000.00	1-Feasibility Study	TBD	TBD	Feasibility Study should be complete by March, 2013.
TxST	CoGeneration Plant Gas Turbines	\$ 45,000,000.00	2-Planning	TBD	TBD	Public-private partnership is expected delivery method for this project.
TxST	Jones Dining Hall Replacement	\$ 23,671,000.00	2-Planning	TBD	TBD	Alternative to replacement is being evaluated
TxST	Residence Life West Campus Housing 2	\$ 62,525,000.00	2-Programming	TBD	TBD	
TxST	Utility Updates Bobcat Trail	\$ 3,500,000.00	4-Schematic Design	TBD	TBD	Awaiting completion of the Performing Arts Center complex
TxST	Bobcat Trail Mall Redevelopment	\$ 5,488,888.00	5-Design Development	FY2014	TBD	On hold pending completion of Performing Arts Center
TxST	Comal Building Renovation	\$ 13,850,000.00	6-Construction Docs	Spring, 2013	Summer, 2014	Formerly known as Psychology Building Renovations
TxST	Old Main Roof Replacement	\$ 3,500,000.00	7-Construction	December, 2012	Summer, 2013	
TxST	Residence Life Housing - West Campus	\$ 60,486,000.00	7-Construction	September, 2012	August, 2014	
TxST	Brogdon Hall Renovation	\$ 7,000,000.00	7-Construction	August, 2012	August, 2013	
TxST	Electrical Infrastructure Upgrades	\$ 11,800,000.00	7-Construction	January, 2012	January, 2013	
TxST	Performing Arts Center Complex	\$ 83,243,646.00	7-Construction	August, 2011	December, 2013	Includes parking garage, streets and grounds, chill plant and performance hall
TxST	South Campus Utility Upgrades	\$ 6,000,000.00	7-Construction	August, 2011	September, 2013	
TxST	Department of Housing and Residence Life Office Building	\$ 12,166,000.00	7-Construction	September, 2011	July, 2012	Public art remains to be installed. Final Report is expected in May, 2013.
TxST	Undergraduate Academic Center	\$ 47,700,000.00	7-Construction	June, 2010	July, 2012	Testing of rainwater collection cistern is the final remaining work.
TxST	Commons Hall Renovation	\$ 7,210,000.00	8-Close-out	December, 2011	January, 2013	Final Report is expected in May, 2013.
TxST	Bobcat Stadium North Side Complex	\$ 33,000,000.00	8-Close-out	June, 2011	August, 2012	Final report is expected in May, 2013.
TxST	Center for Research Commercialization	\$ 6,950,000.00	8-Close-out	September, 2011	October, 2012	Final report is expected in May, 2013.
TxST	Relocation of Tomas Rivera Dr and Realignment of Student Ctr Dr	\$ 8,371,938.00	8-Close-out	June, 2008	Summer, 2012	Final report is expected in May, 2013.
TxST	North Campus Housing	\$ 46,125,712.00	8-Close-out	October, 2010	July, 2012	Public Art installation was delayed due to a fire at the artist's plant.
TxST	RRHEC #2 Nursing Building	\$ 35,959,755.00	8-Close-out	January, 2009	July, 2012	Building completed in August, 2010; additional site work completed in July, 2012.

TOTAL: \$ 700,191,142.00

February, 2013

TSUS Projects Not Currently Moving Forward (funding not yet identified)

Component	Project Name	Est. Cost	Phase	Construction Start	Construction Finish	Notes
LIT	Student Service Learning Center	\$ 16,202,972.00	On hold - funding	TBD	TBD	Programming complete
LIT	TA Buildings Renovation	\$ 16,504,683.00	On hold - funding	TBD	TBD	Technology Training and Education Buildings project will accomplish part of this
SHSU	Biology, Nursing, and Allied Health Building	\$ 39,650,000.00	Fundraising	TBD	TBD	Programming complete
SHSU	Plant Science Field Lab at Gibbs Ranch	\$ 3,600,000.00	Programming	TBD	TBD	Programming complete
TxST	Alumni Visitors Center	\$ 4,990,825.00	Fundraising	TBD	TBD	Programming complete
TxST	Engineering and Science Building	\$ 91,582,161.00	On hold - funding	TBD	TBD	Existing facility program has been updated for TRB submission in 2013
TxST	Music Building	\$ 56,705,000.00	On hold - funding	TBD	TBD	Programming complete
TxST	Alkek Library Learning Commons	\$ 2,500,000.00	On hold - funding	TBD	TBD	Final feasibility study was delivered in May, 2012.
TxST	RRHEC #3 (Health Professions 1)	\$ 48,820,000.00	On hold - funding	TBD	TBD	Programming complete
TxST	RRHEC #4 (Health Professions 2)	\$ 31,900,000.00	On hold - funding	TBD	TBD	Programming complete

TOTAL: \$ 312,455,641.00

Detailed Breakdown

Project Phase	Number of Projects	Total Project Value	Percent of Total
Planning/Programming	10	\$ 181,779,228.00	25.96%
Design (pre-Board approval)	5	\$ 48,188,888.00	6.88%
Design (post-Board approval)	1	\$ 13,850,000.00	1.98%
Construction*	9	\$ 188,581,911.00	26.93%
Post-substantial completion**	15	\$ 267,791,115.00	38.25%
TOTAL:	40	\$ 700,191,142.00	100%

*See chart below for detail

**Includes projects in close-out

Projects In Construction

FY	Number of Projects	Total Project Value	Percent of Total
Completion FY 2013	6	\$ 38,852,265.00	20.60%
Completion FY 2014	3	\$ 149,729,646.00	79.40%
TOTAL:	9	\$ 188,581,911.00	100%